

Optical Phase-Locking and Wavelength Synthesis

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University of California, Santa Barbara

Z. Griffith, M. Urteaga
Teledyne Scientific

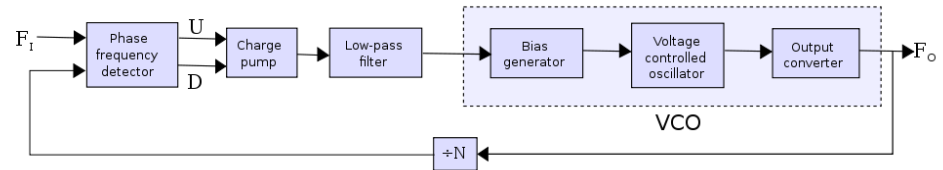
Wavelength synthesis: precise optical spectral control



1977 40-channel Citizen's band radio.

...had to purchase 40 quartz crystals

By 1980, frequency synthesis reduced this to one



Frequency synthesis enabled modern RF systems :

Precision phase/frequency control

→ efficient & controlled use of the spectrum

Today's optical systems look like a 1977 CB radio

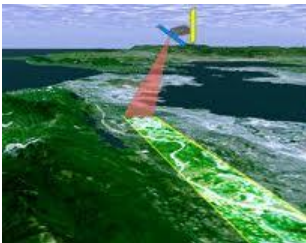
Phase-locked coherent optical systems:

control optical channel spacings

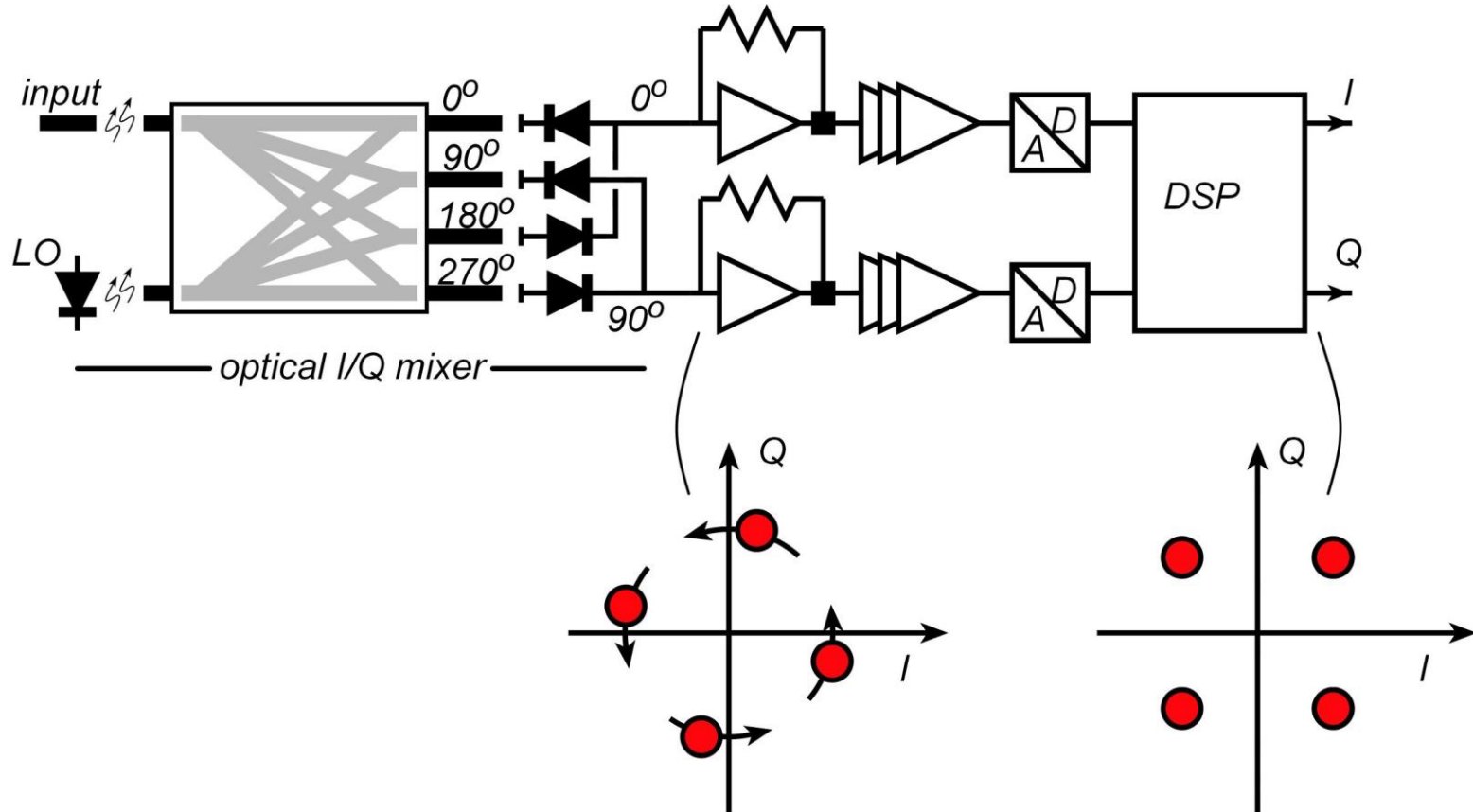
over 100's of GHz,

with sub-Hz precision

**→ sensitive, compact, spectrally efficient,
optical communications**



Coherent Receivers Today: Free-Running LO



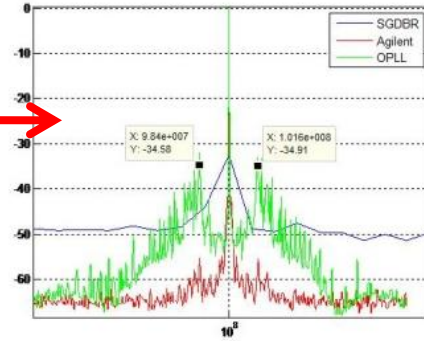
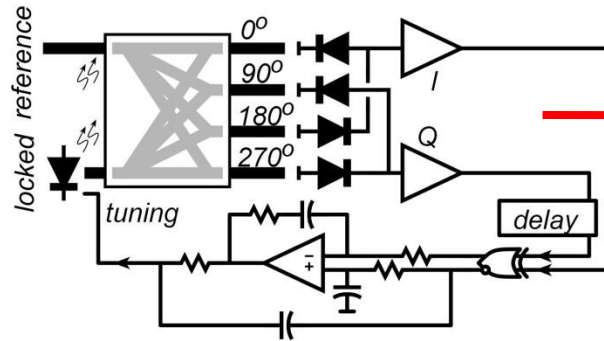
Optical LO is free-running

DSP corrects optical dispersion

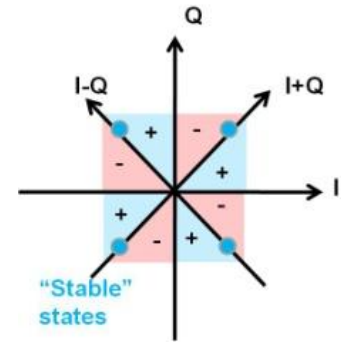
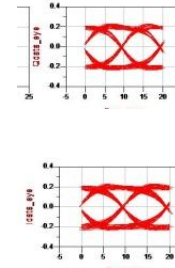
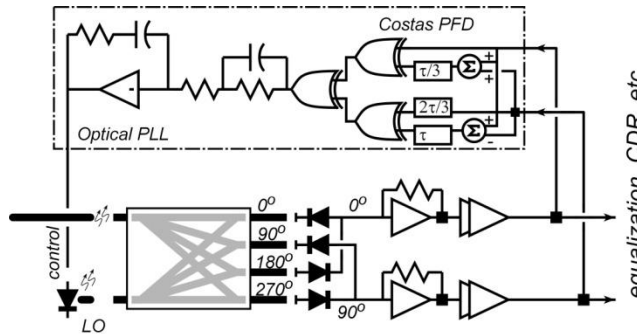
DSP corrects LO phase/frequency error

Optical Phase-Locked-Loops: Applications

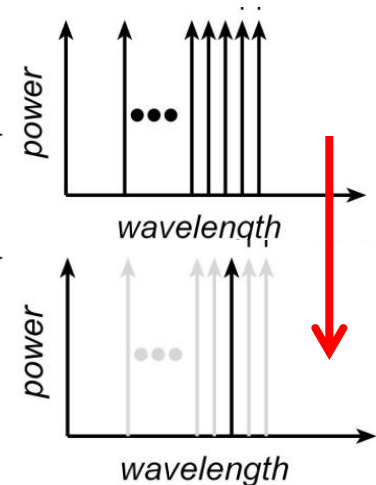
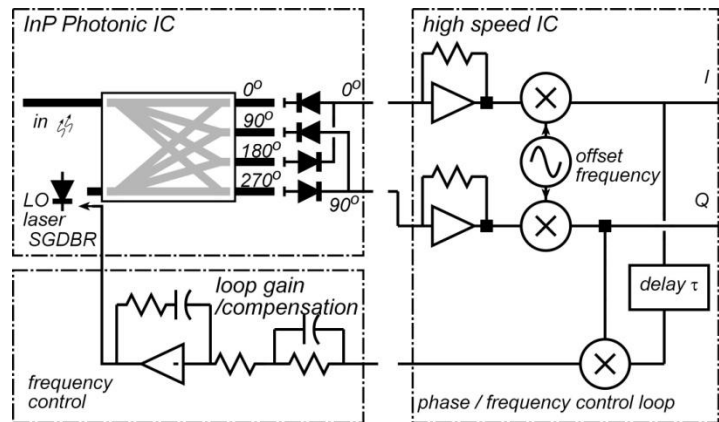
**Wideband laser locking
& noise suppression.**
improved spectral purity
without external cavities.



**BPSK/QPSK
Coherent Receivers**
Short- to mid-range links,
no DSP,
inexpensive wide-linewidth lasers

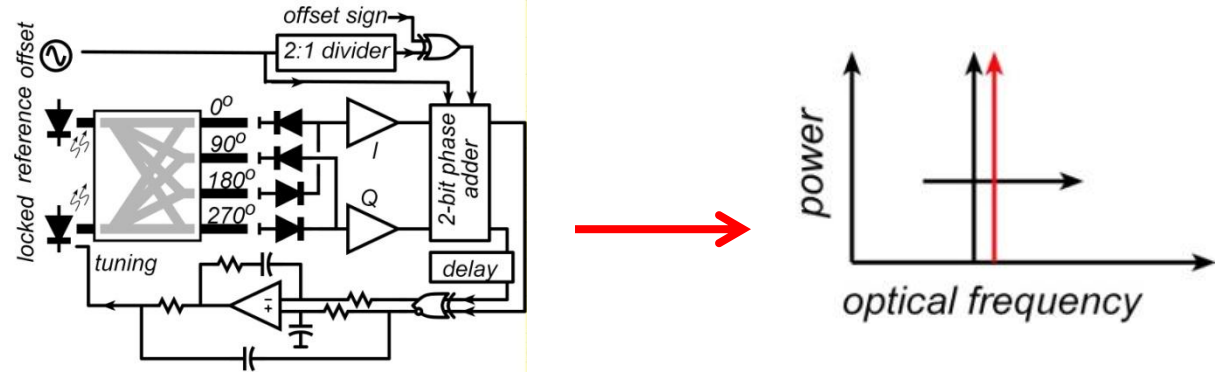


**Tunable
Wavelength-Selection
in Receivers**
WDM: electronic channel selection.

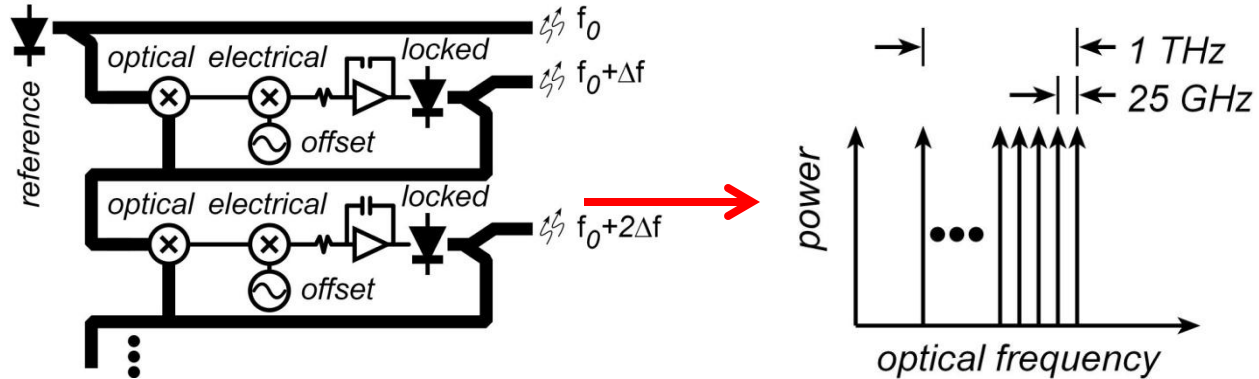


Optical Phase-Locked-Loops: Applications

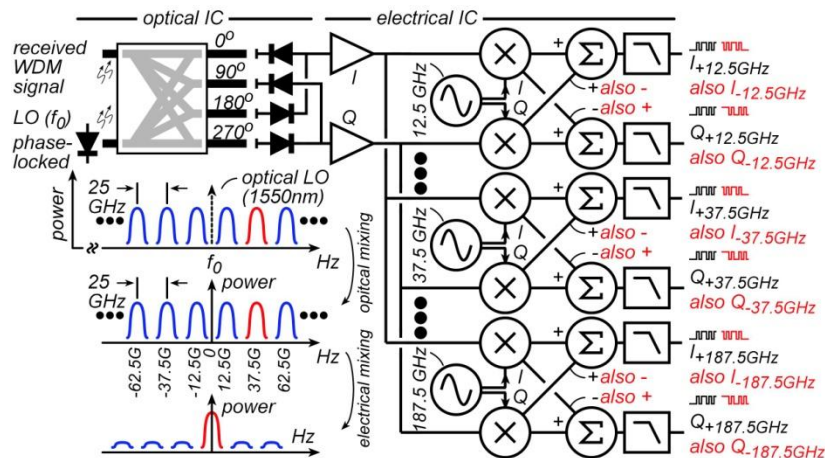
**Wavelength synthesis,
& sweeping**
→ digital control
of wavelength spacings.



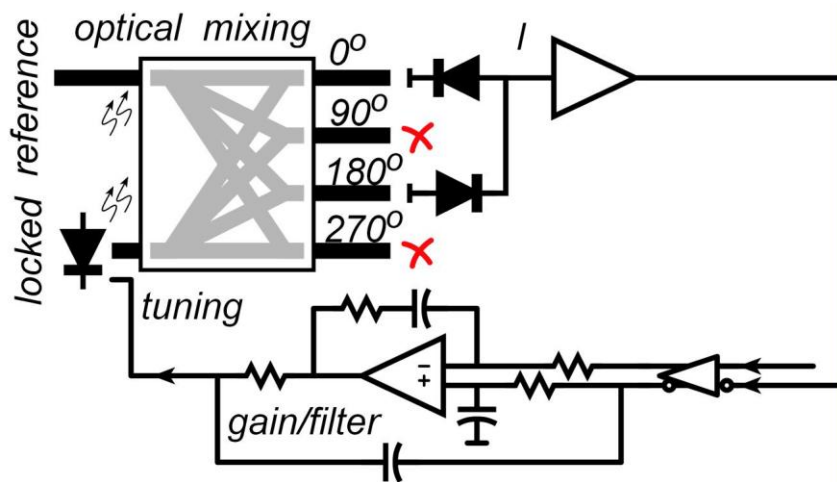
**Synthesis, Sweeping
of Wavelength Combs**
WDM: precise channel spacing,
no guard bands.



**Single-Chip
Multi-Wavelength
Coherent Receivers**
WDM



Optical PLLs: Basics

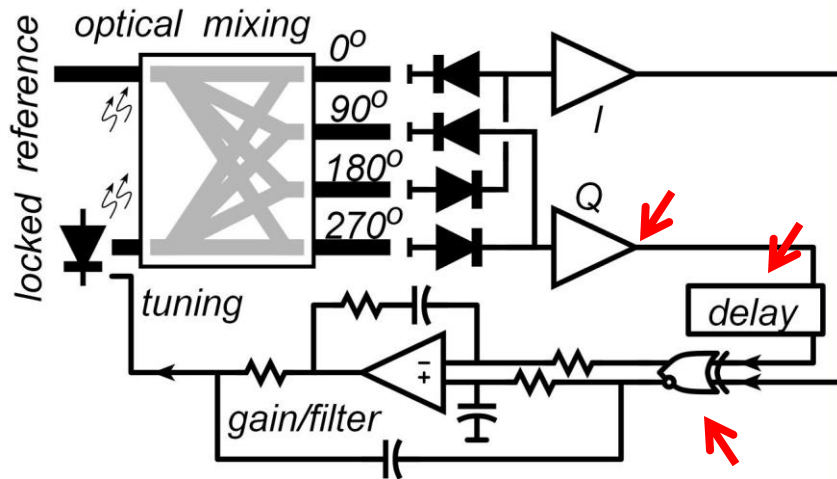


***Phase-lock tunable laser to optical reference
Lock to one line + improve linewidth / SNR***

Inexpensive laser with no external cavity ?

- large laser linewidth***
- 1GHz loop bandwidth for noise suppression***
- tight optical/electrical integration***

Optical PLLs: Frequency-Difference-Detector



~ 1 GHz loop bandwidth

~20 GHz initial frequency error

→ loop will not acquire lock

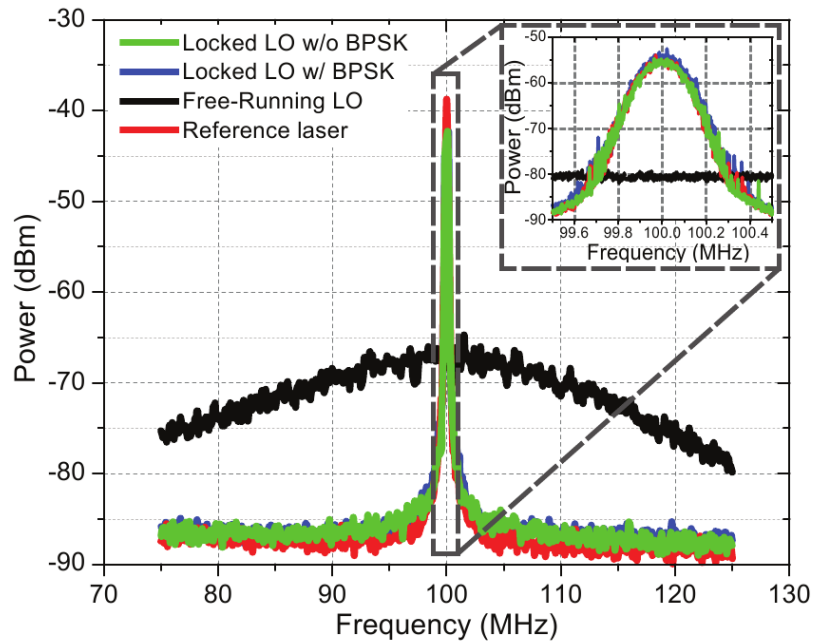
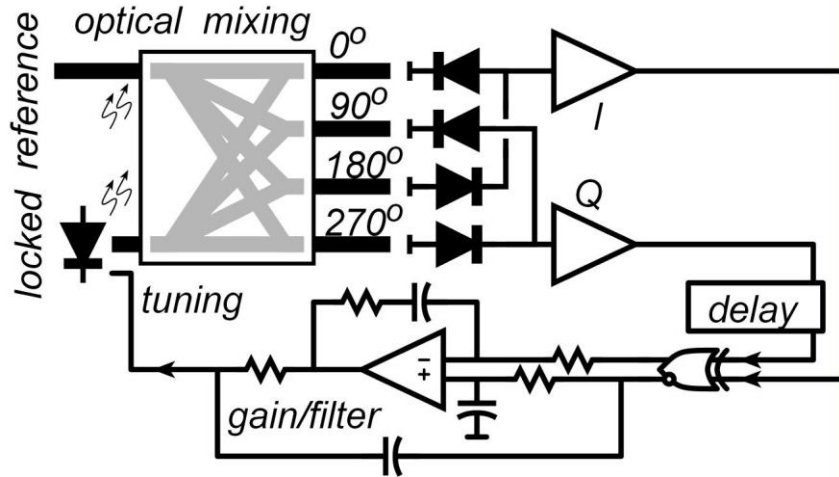
→ Add frequency-difference detector

Requires I/Q (0°, 90°) optical mixing

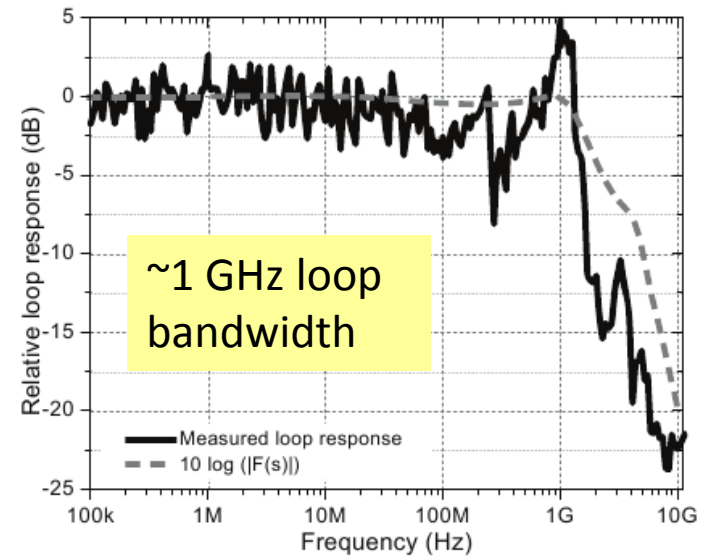
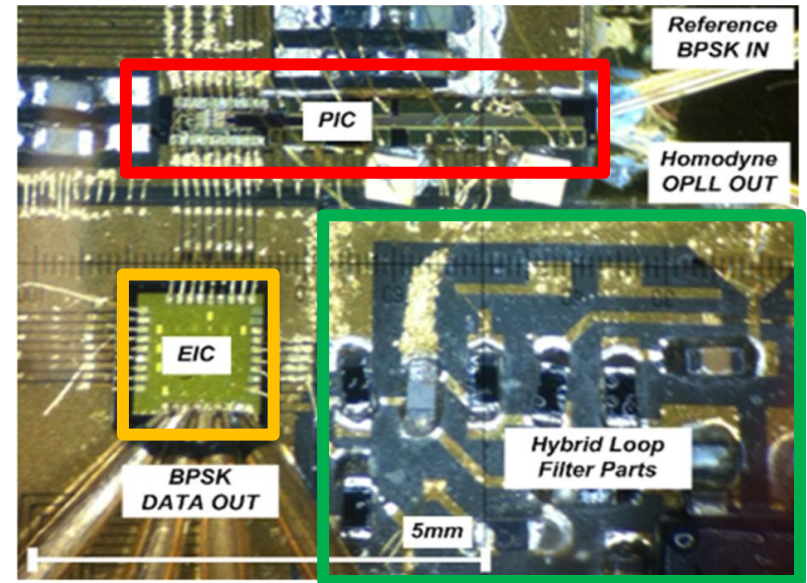
Full information of optical field is maintained

→ use later for other purposes

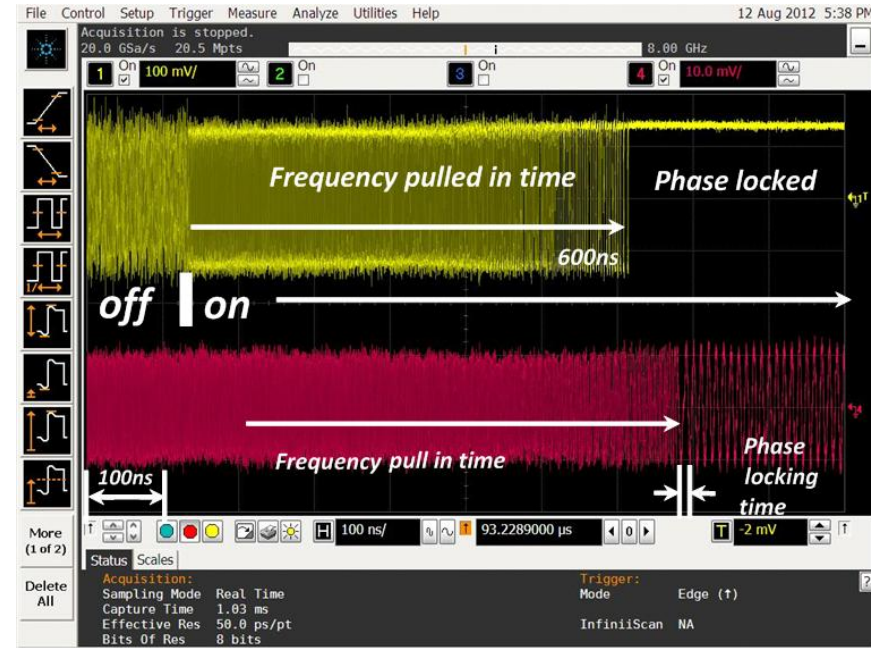
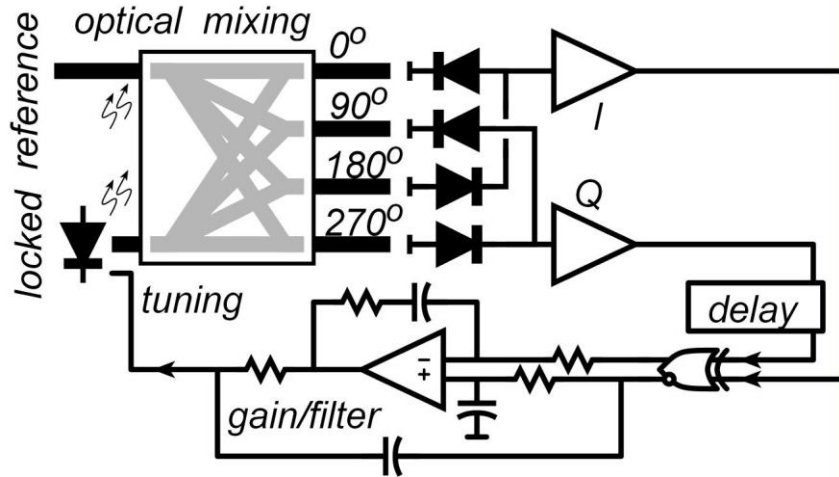
Optical PLLs: Demonstrated



H. Park, M. Lu, et al, ECOC'12, Th3A.2 (2012)



Optical PLLs: Frequency Acquisition



H. Park, M. Lu, et al, ECOC'12, Th3A.2 (2012)

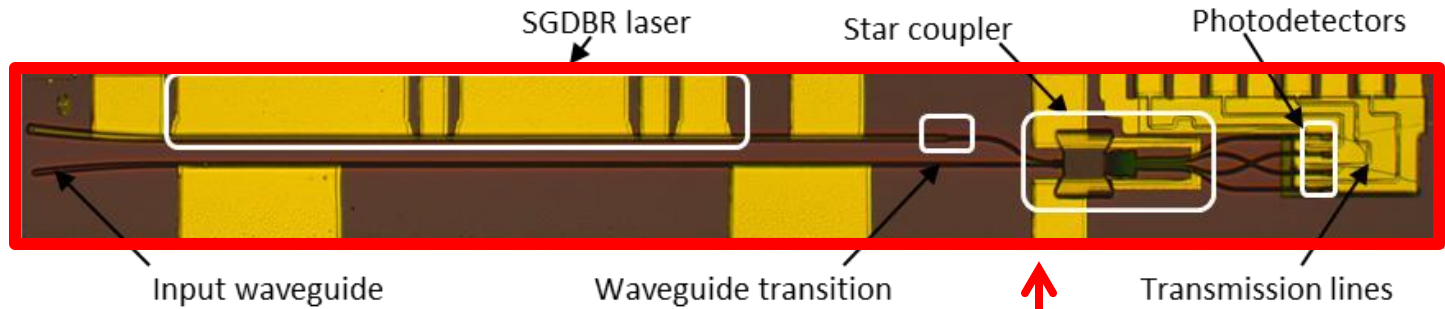
High carrier frequency (200 THz) but limited OPLL bandwidth (1.1 GHz)
Slow frequency capture outside OPLL bandwidth
Need Optical Frequency Phase Lock Loop

Phase-Frequency Locking Demonstrated → 50 GHz pull-in range
600ns frequency pull-in time
<10 ns optical phase lock time

OPLL Components

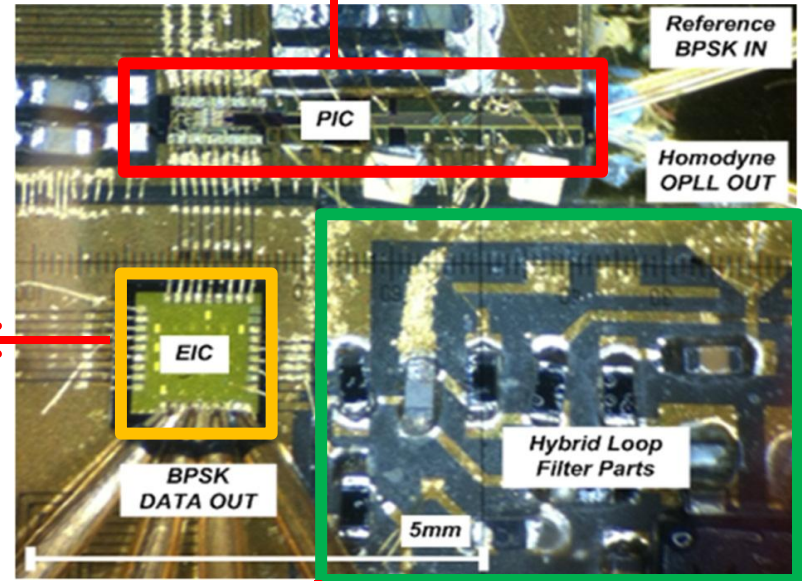
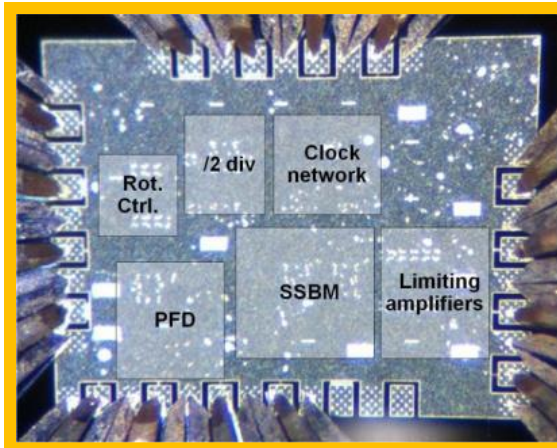
Photonic IC

Coldren group
InP integration



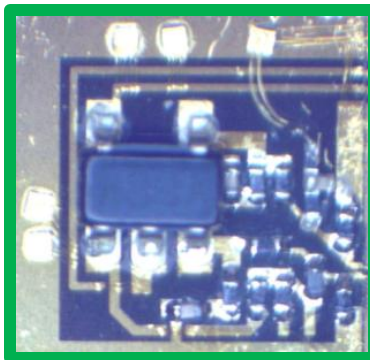
Fast electrical IC

Design: Rodwell group
Fab: Teledyne InP HBT
details to follow

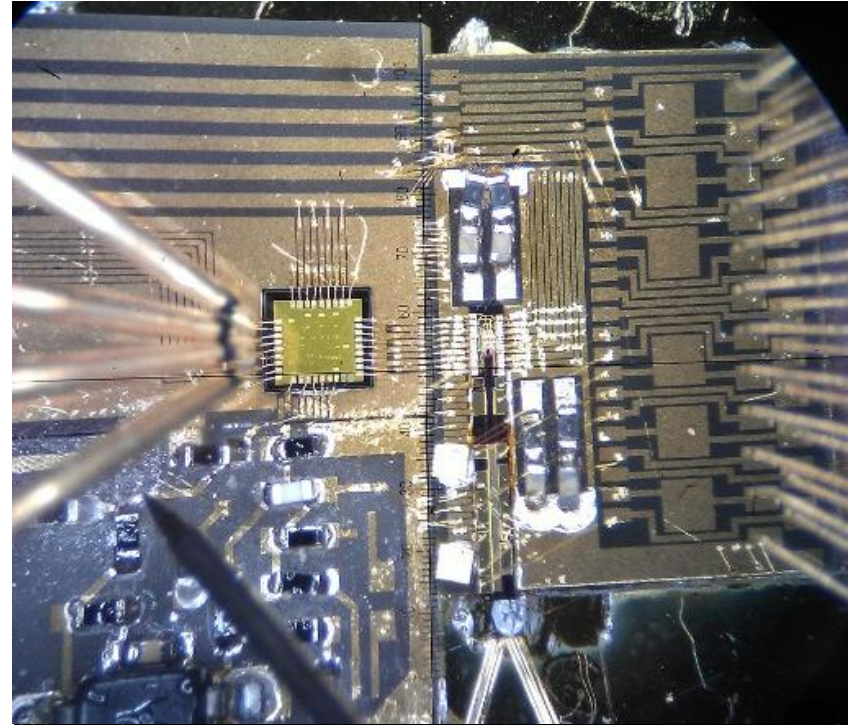
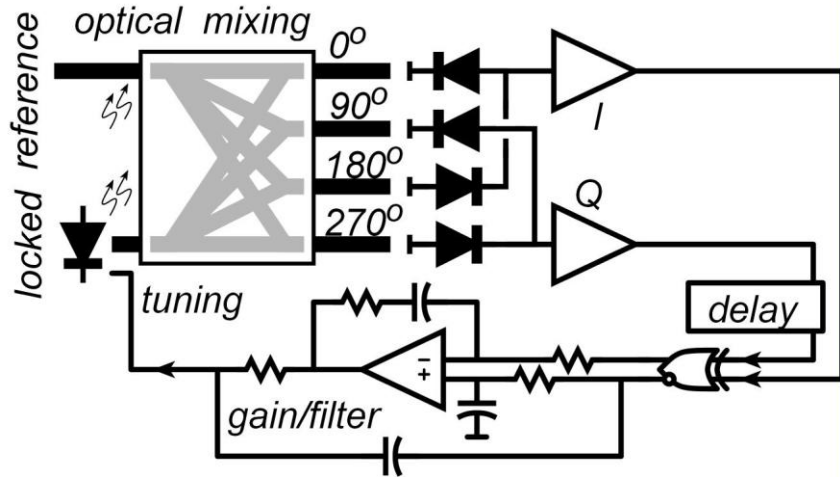


Hybrid loop filter

slow/fast design
slow: op-amp integrator
fast: passive feedforward

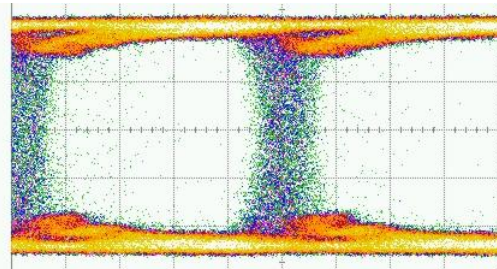
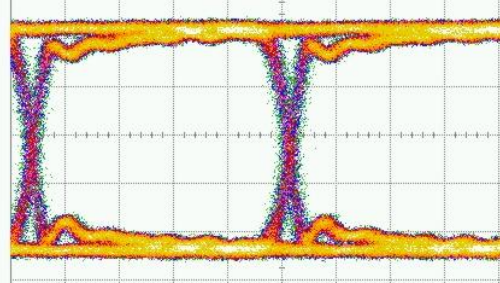


Optical PLLs: Phase-Locked **B**PSK Receiver



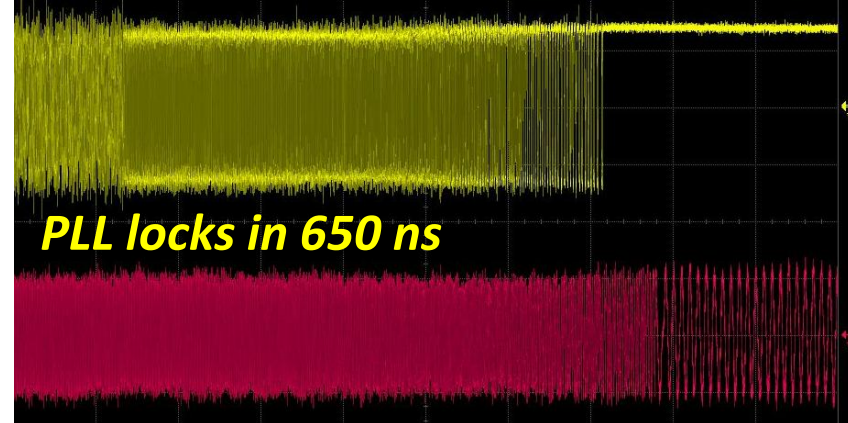
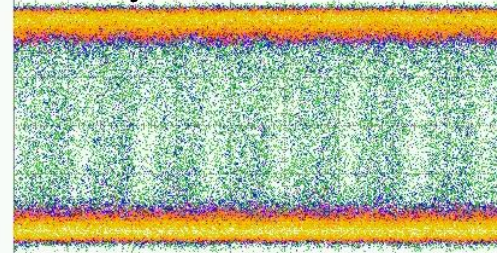
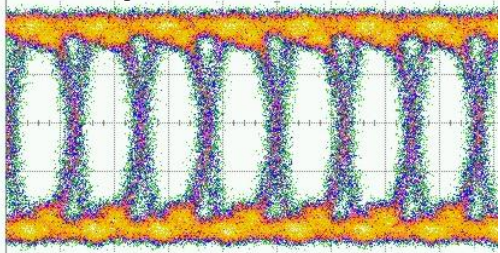
10Gb/s 0 km

10Gb/s 75 km



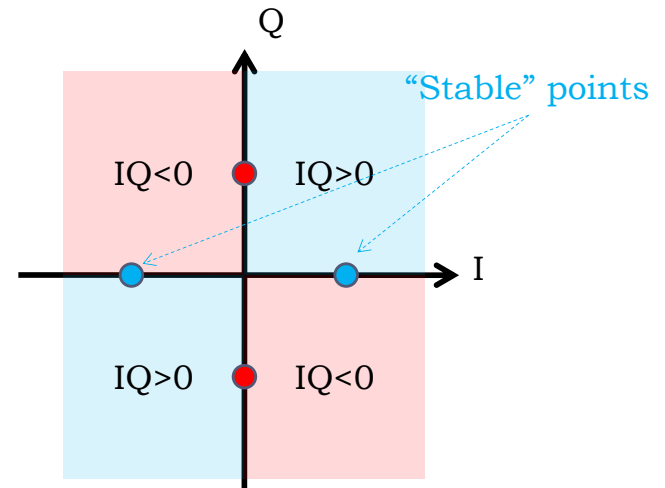
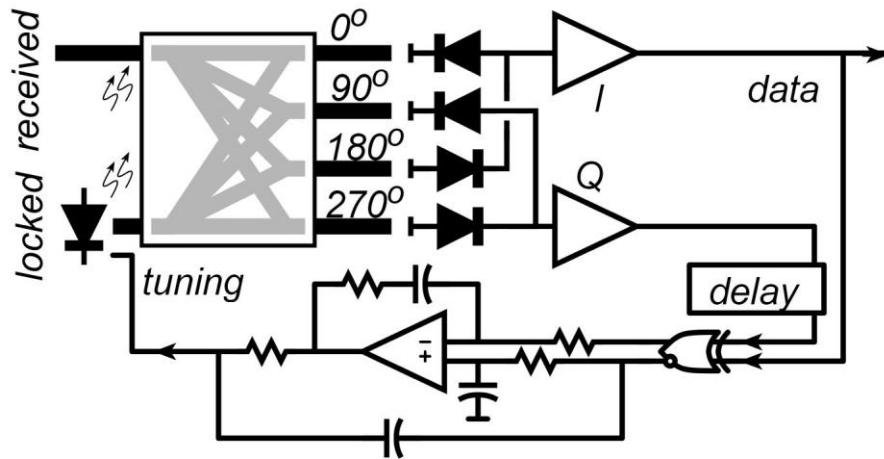
40Gb/s 0 km

40Gb/s 50 km

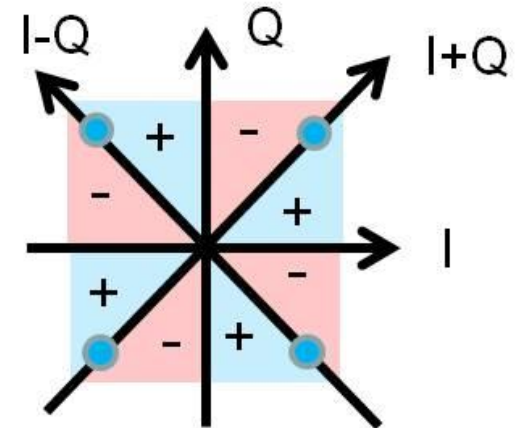
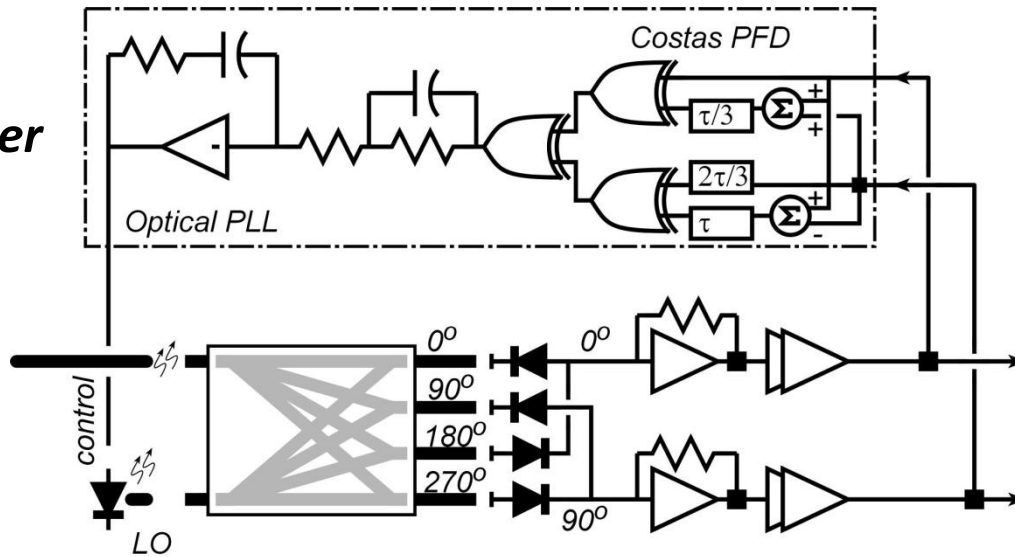


Optical PLLs: Phase-Locked QPSK Receiver

BPSK receiver



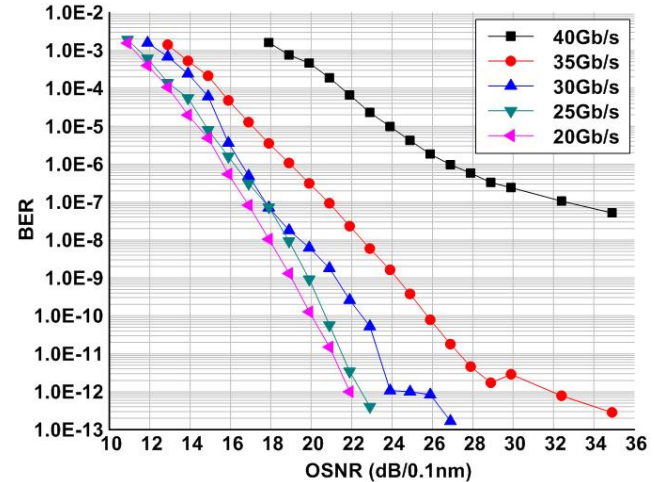
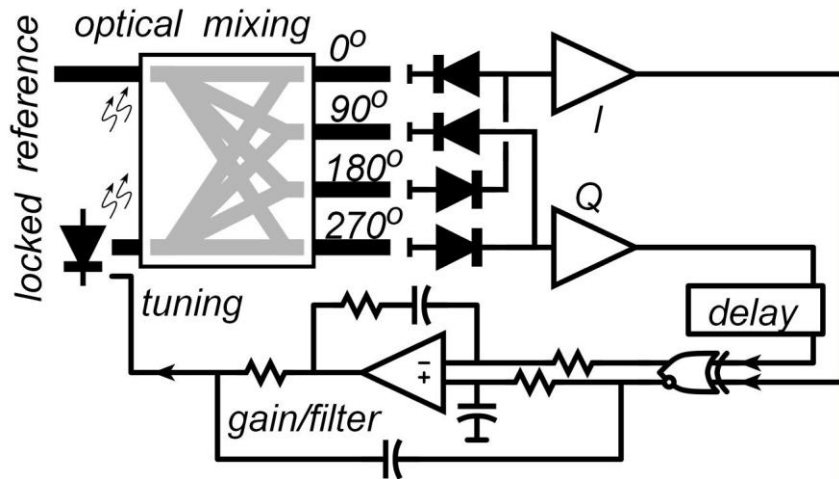
QPSK receiver



Designs attempted, ICs did not work properly

simply a design failure, should work just fine...

Phase-Locked **B/Q**PSK Receivers: Good and Bad



Present coherent receivers: DSP coherent detection

DSP compensates dispersion

DSP compensates LO phase & frequency errors.

sophisticated, high DC power, expensive

Phase-locked receivers in *short-range* links



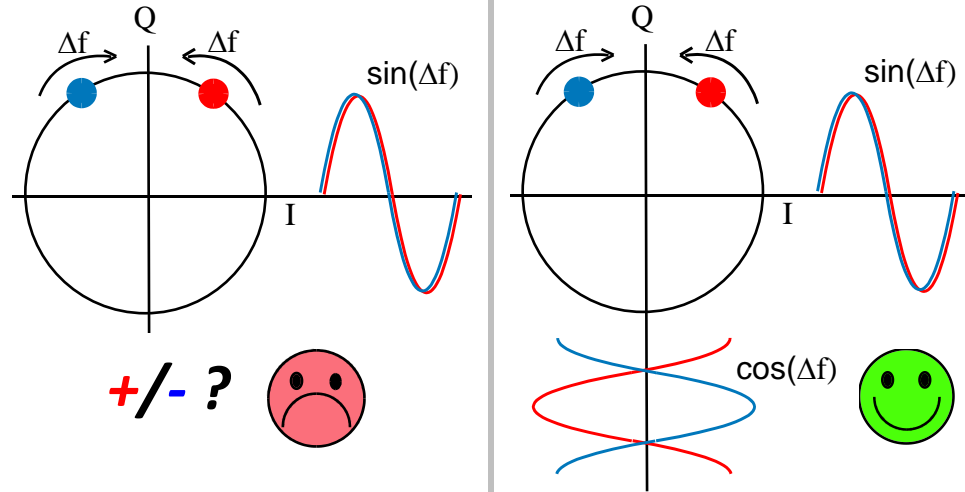
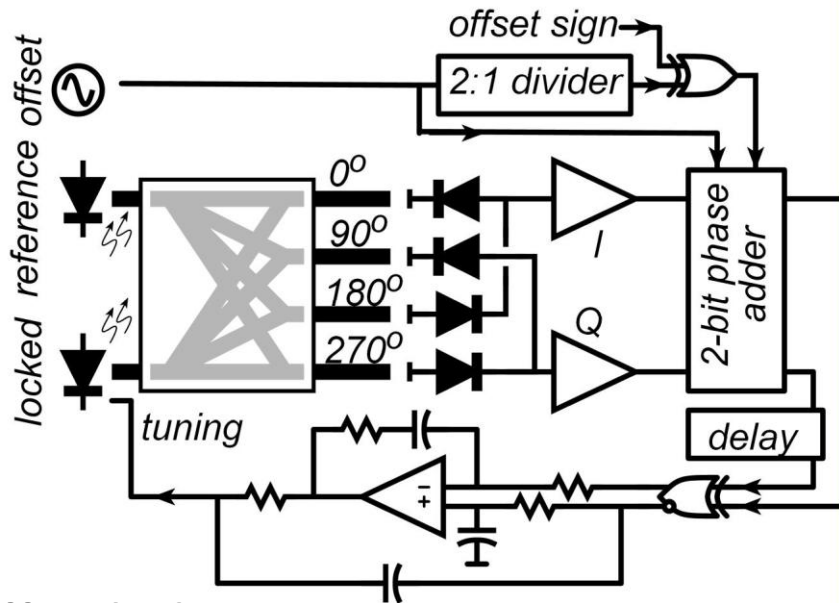
No DSP required ! → reduced cost, reduced DC power

Phase-locked receivers in *long-range* links



fiber dispersion will close eye → optical PLL will not lock

Offset Locking → Wavelength Synthesis



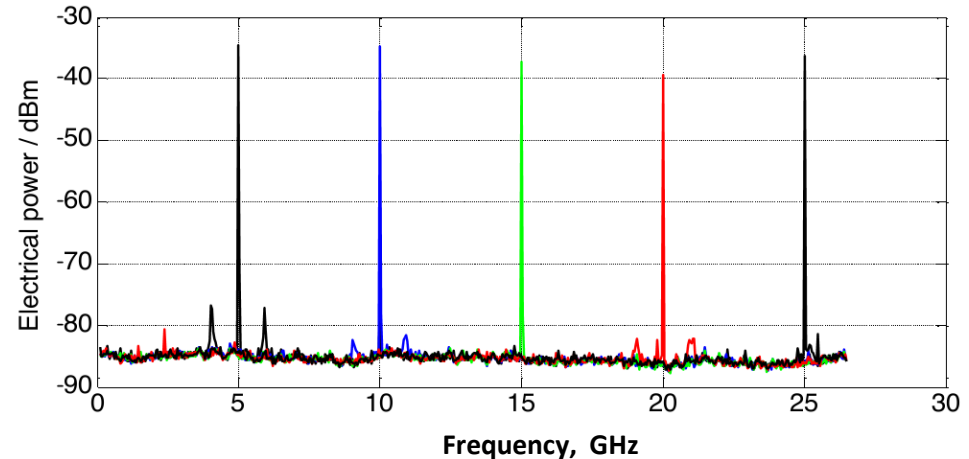
Offset locking to generate any optical frequency

Simple OPLL cannot distinguish +/- frequency offsets

**(0°/90°) optical mixing: no lost optical information
IC digital single-sideband mixing**

**300+ GHz offsets possible
fast UTC photodiodes, fast electronics**

Mingzhi Lu, et. al, – Tu2H.4, OFC2014



IC Design Details

Features

Phase detector

Frequency difference detector

forces loop to lock

2-bit digital phase adder

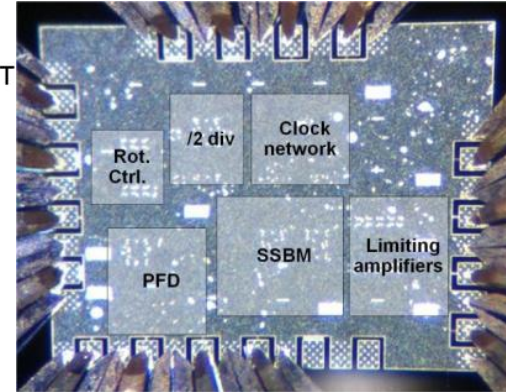
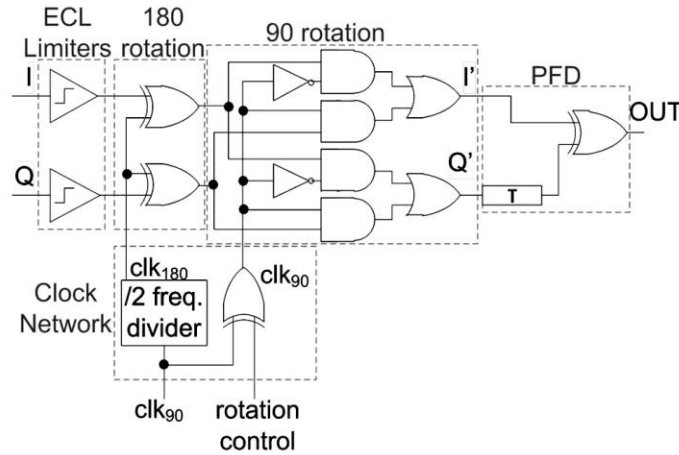
introduces frequency shift

controlled sign of shift !

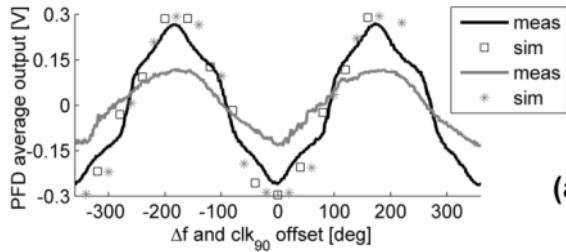
Implementation

Teledyne 350 GHz, 500 nm InP HBT

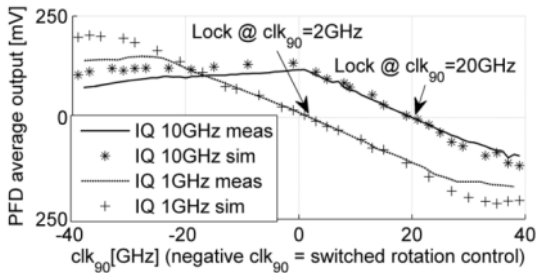
Robust all-digital implementation



Phase detector test: works over +/- 30 GHz

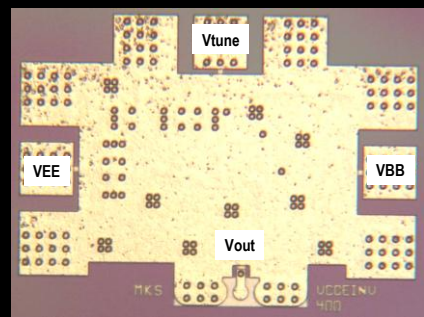


Frequency detector test: works over +/- 40 GHz

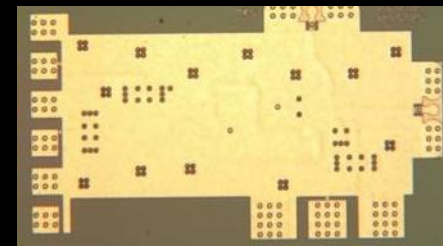


ICs Today: 670 GHz is done, 200 GHz is easy

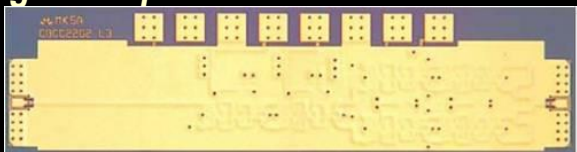
**614 GHz
fundamental
VCO**
M. Seo, TSC / UCSB



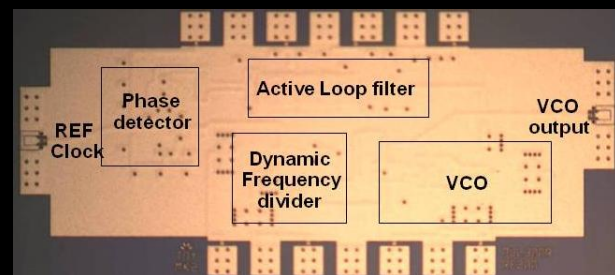
**340 GHz
dynamic
frequency
divider**
M. Seo, UCSB/TSC
IMS 2010



620 GHz, 20 dB gain amplifier
M Seo, TSC
IMS 2013

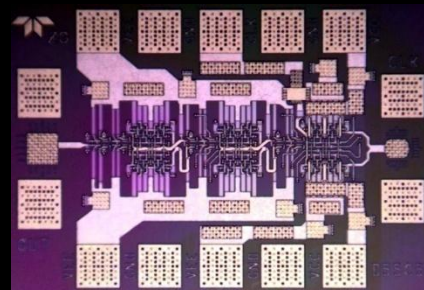


**300 GHz
fundamental
PLL**
M. Seo, TSC
IMS 2011

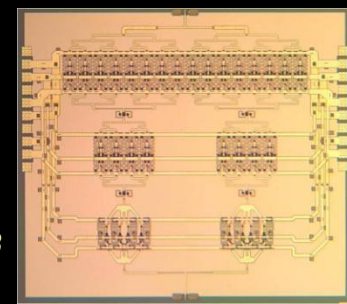


Not shown: 670 GHz HBT amplifier
J. Hacker, TSC, IMS 2013

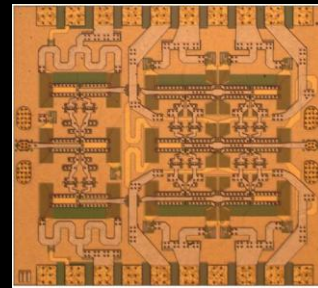
**204 GHz static
frequency divider
(ECL master-slave
latch)**
Z. Griffith, TSC
CSIC 2010



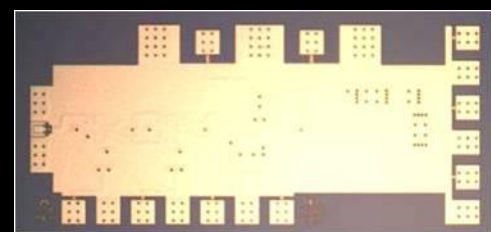
**220 GHz
180 mW
power
amplifier**
T. Reed, UCSB
CSICS 2013



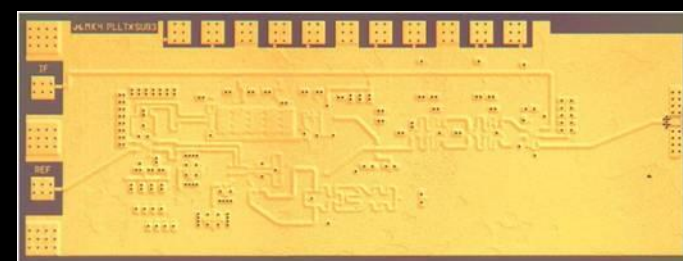
**81 GHz
470 mW
power
amplifier**
H-C Park UCSB
IMS 2014



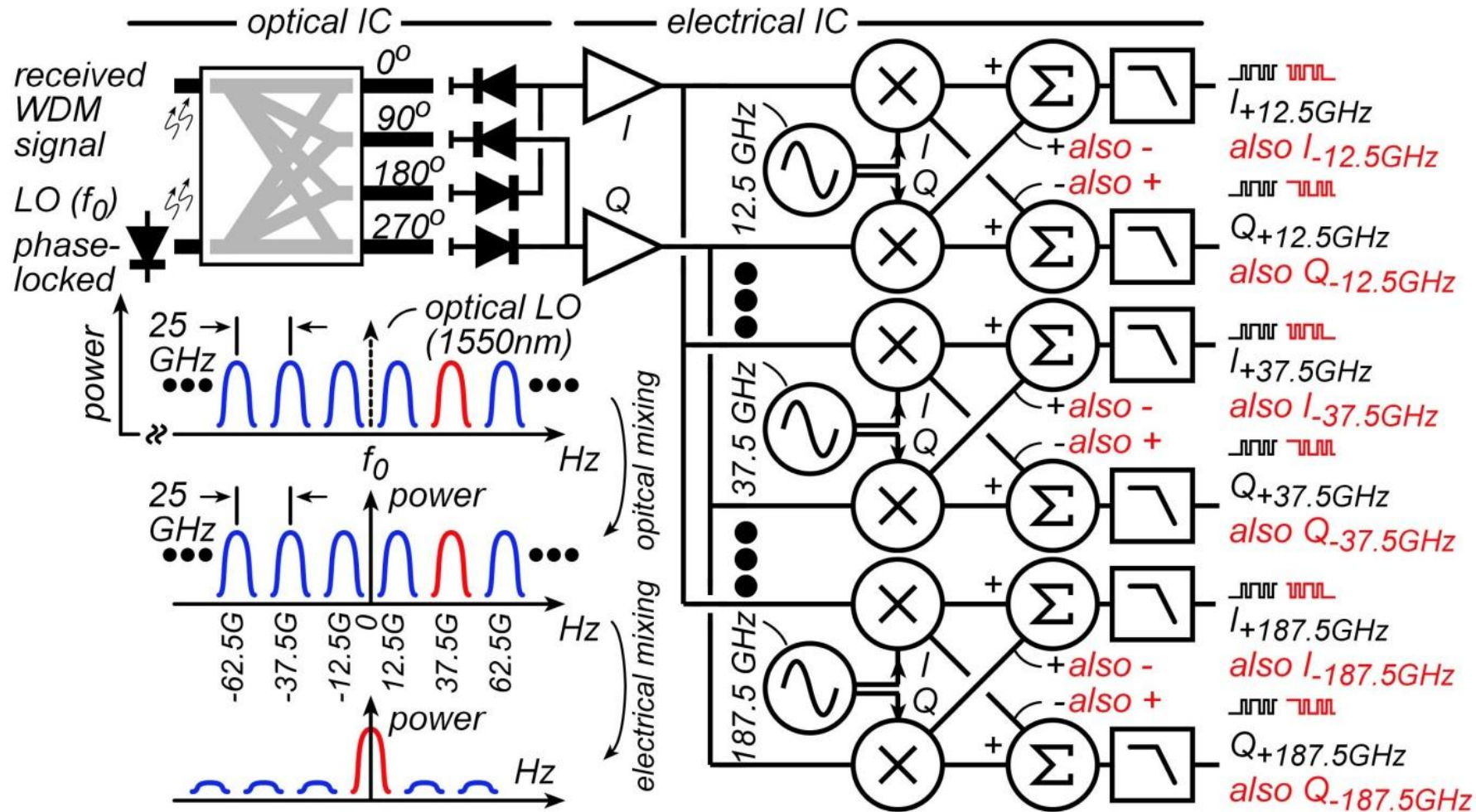
**Integrated
300/350GHz
Receivers:
LNA/Mixer/VCO**
M. Seo TSC



**600 GHz
Integrated
Transmitter
PLL + Mixer**
M. Seo TSC



Electrical Recovery of WDM for compact Tb/s Links



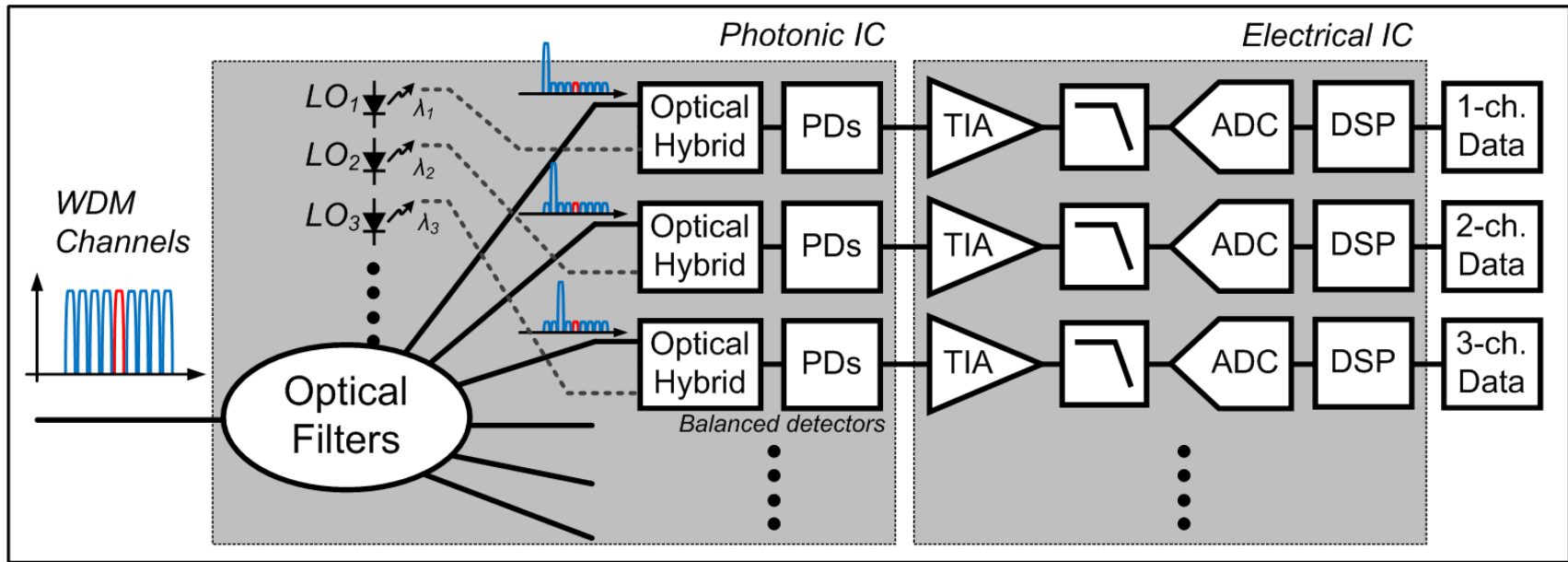
Assume: 25GHz channel spacing, DC-200 GHz ICs, DC-200 GHz photodiodes

→ **800 Gb/s receiver** = 50 Gb/s QPSK x 16 WDM channels

...**one** LO laser, **one** I/Q optical detector, **one** electrical receiver IC

OPLL can lock to optical pilot → works even with highly dispersive channels

Optical-Domain WDM Receiver



Complex photonic IC.

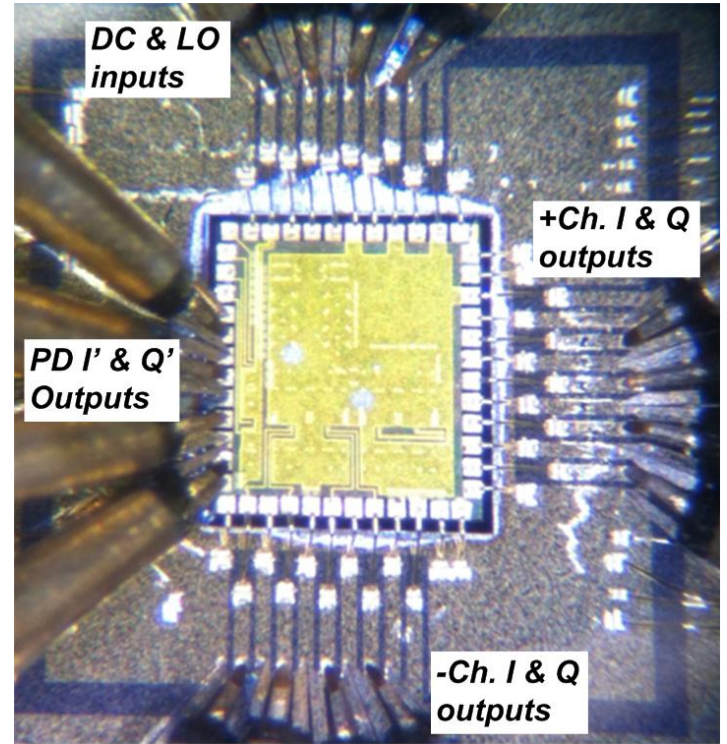
One electrical receiver IC for each wavelength → many in total.

Electrical WDM: 2-Channel Demonstration



Real-time oscilloscope

OMA* as PICs
Free space optics
90° optical hybrid & Balanced PDs



2-channel electrical IC

OMA* blocks

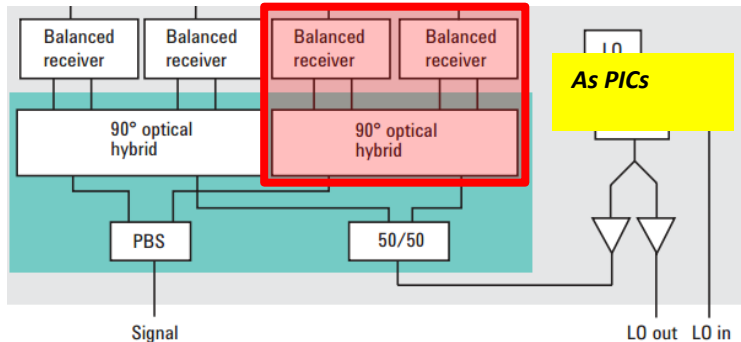
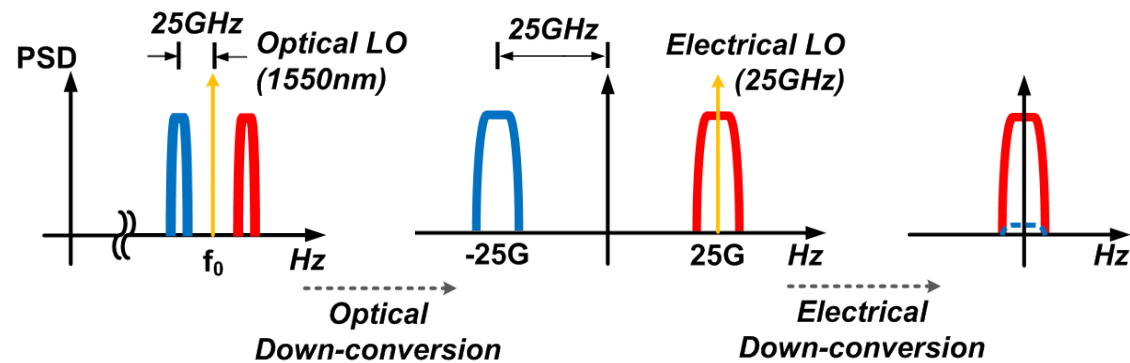
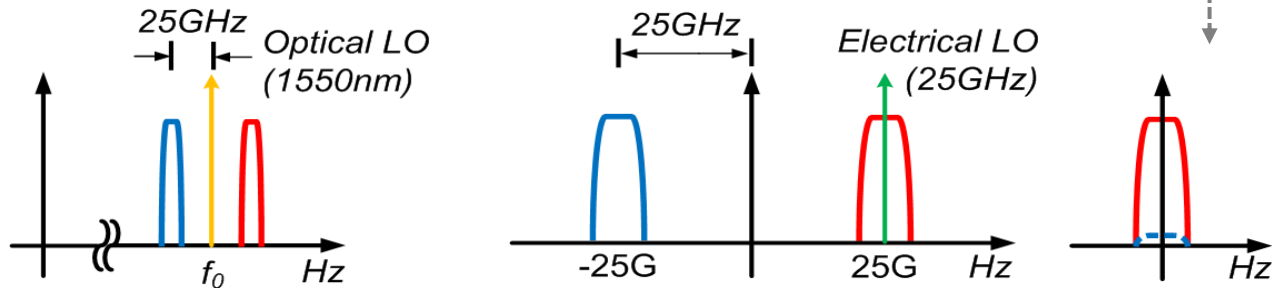
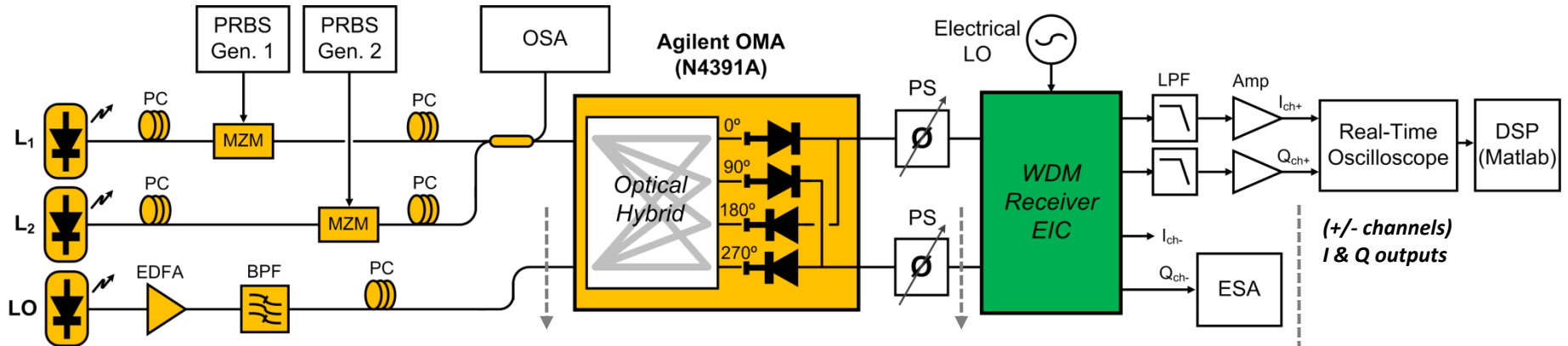


Figure 30. Block diagram of the optical modulation analyzer.



*OMA – optical modulation analyzer
Agilent N4391A

2-channel Tests: Opposite-sideband Suppression

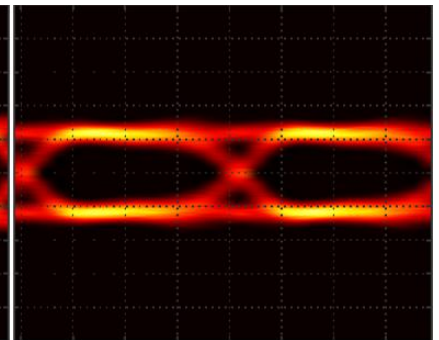
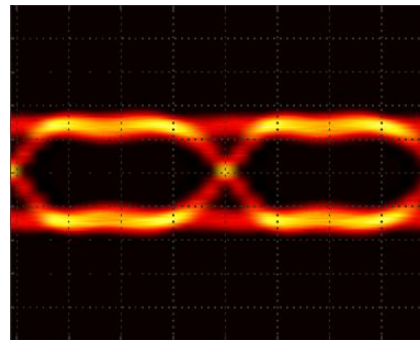
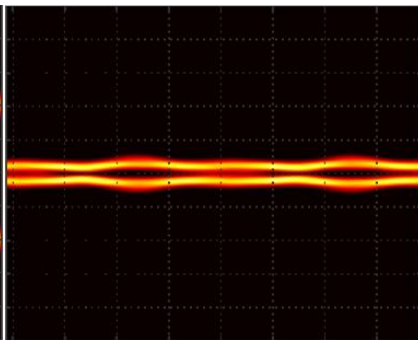
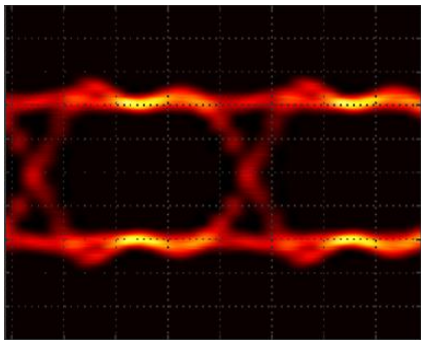


Activated channel

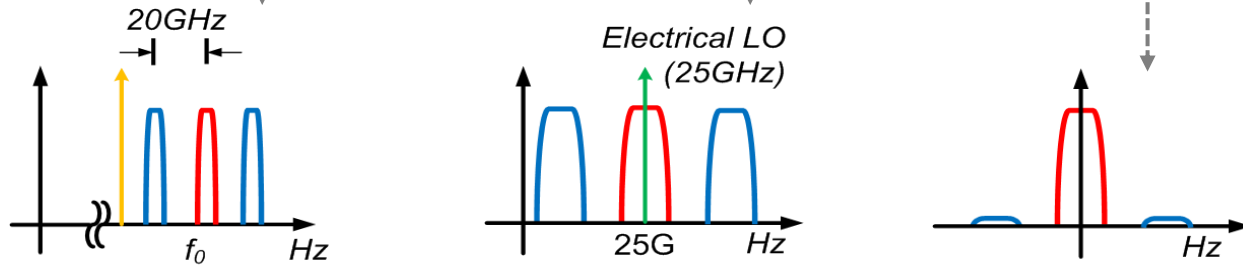
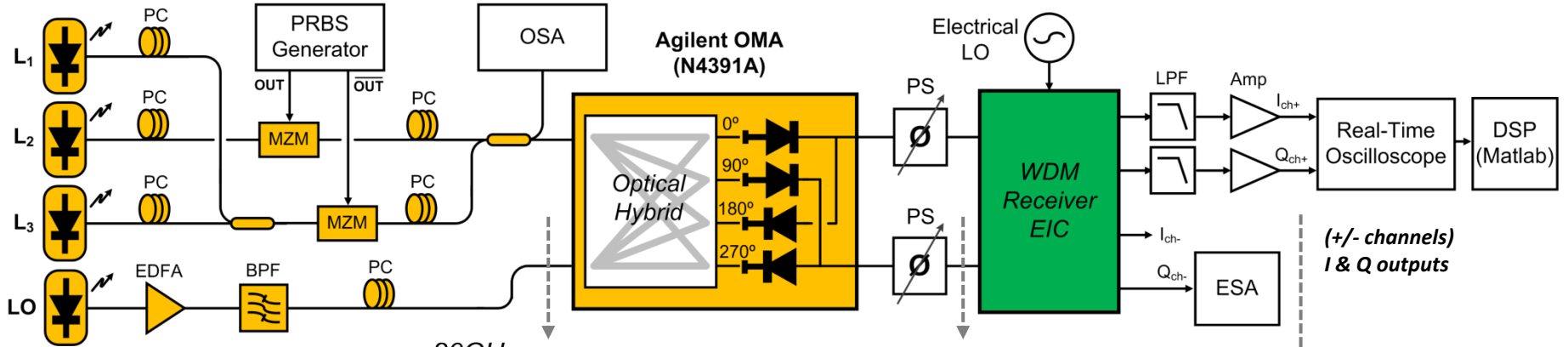
Suppressed channel

(+) channel

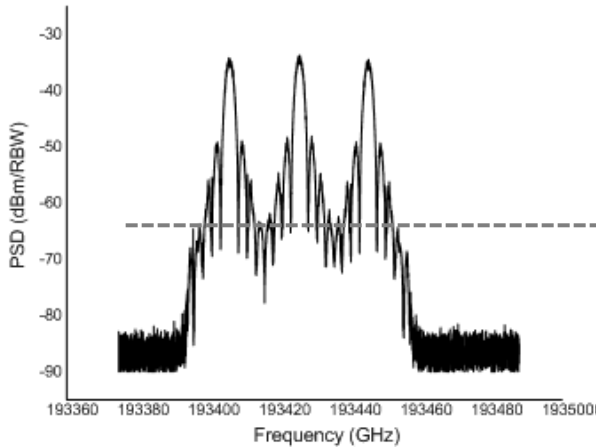
(-) channel



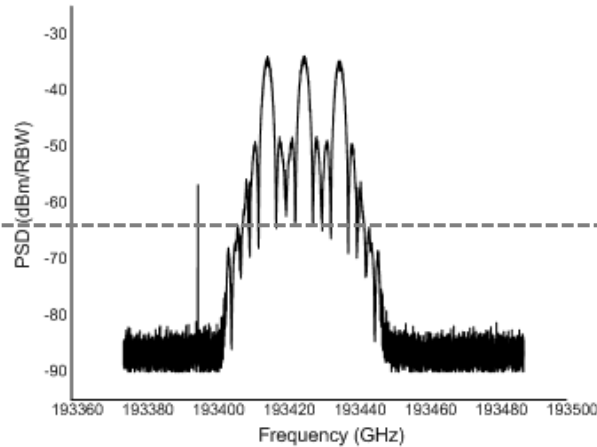
3-channel Test: Adjacent Channel Rejection



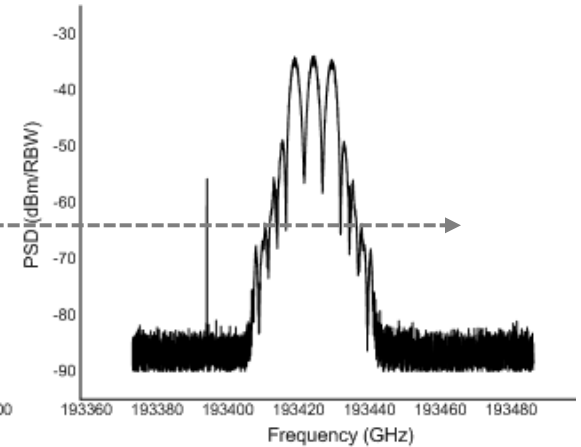
20GHz Spacing



10GHz Spacing



5GHz Spacing (2.5Gb/s BPSK)

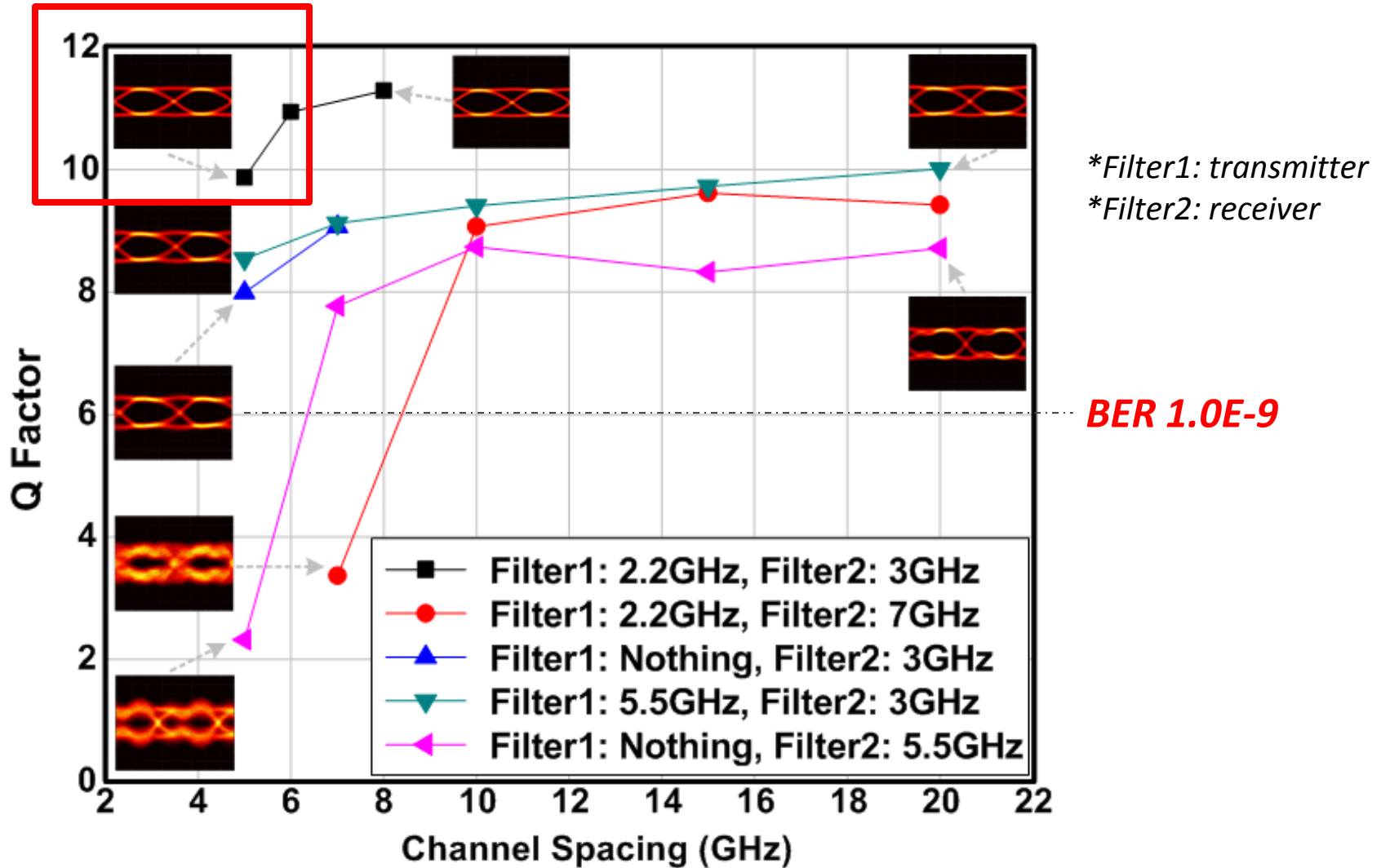


*spectra measured using optical spectrum analyzer

Tested with various channel spacings

3-channel Test: Adjacent Channel Rejection

Eye Quality with Different Transmitter/receiver filter bandwidths

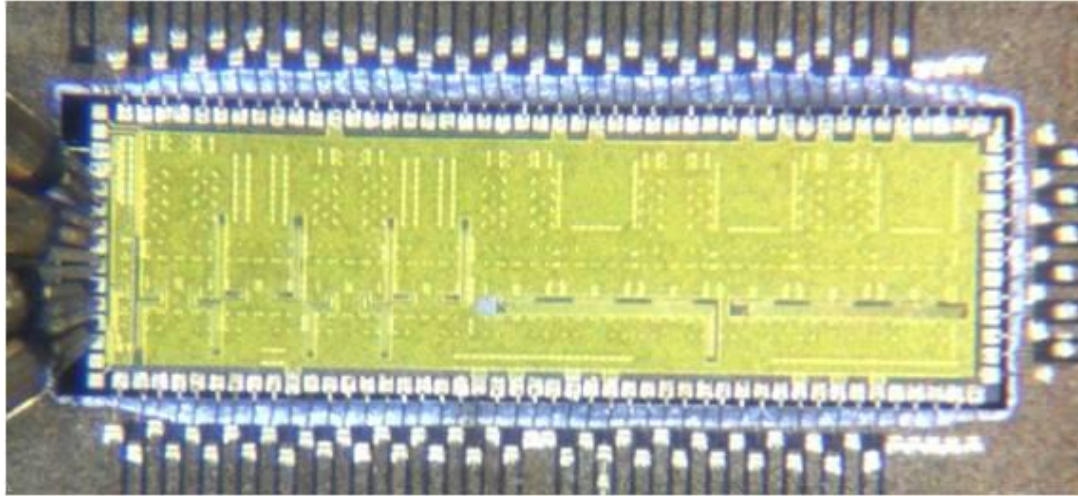


2.5 Gb/s BPSK per channel, 5 GHz channel spacing → minimal interchannel interference

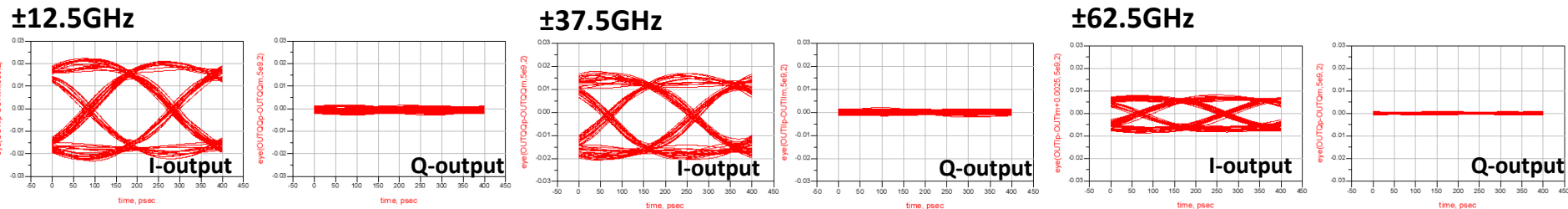
6-channel WDM Receiver Design

Teledyne 500nm InP HBT ($350\text{GHz } f_{\tau}, f_{max}$)

6 channels: +/- 12.5, 37.5, 62.5 GHz



Simulations look fine...



But problems:

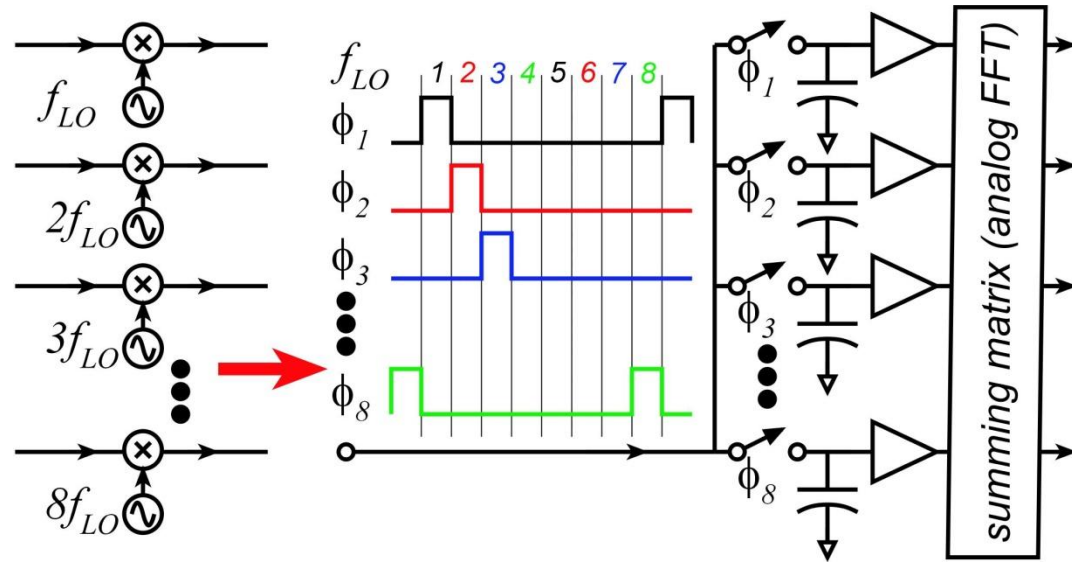
(1) very high DC power consumption (>10W)

(2) low IC yield...all ICs have at least one broken receive channel

Next steps ?

Electrical-Domain WDM Receiver: Reducing Power

Replace mixer array
with analog FFT

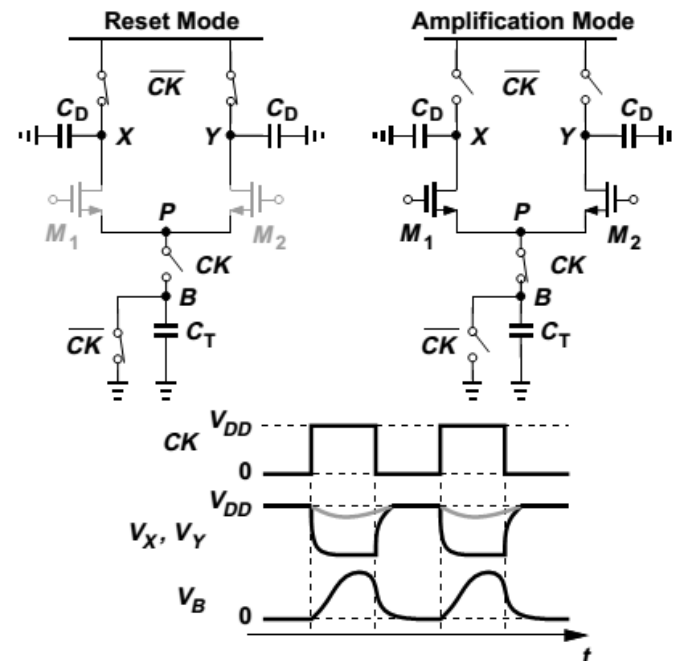


Use charge-domain
CMOS logic

Razavi, IEEE Custom IC
Conference, Sept. 2013.

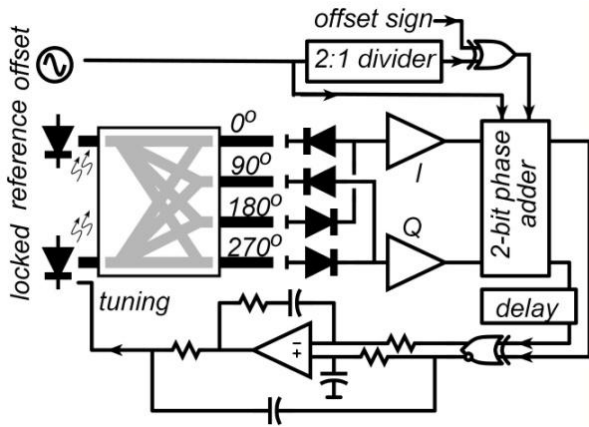
"Employing charge steering in 65-nm CMOS
technology, a 25-Gb/s CDR/deserializer
consumes 5 mW"

→0.2 pJ/bit

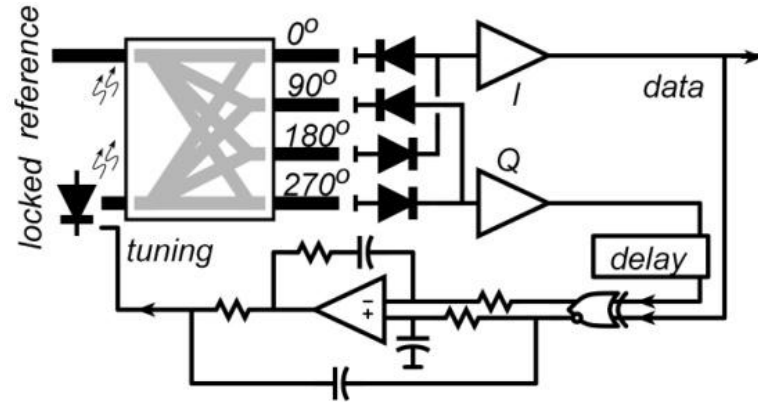


Optical Phase-Locked-Loops: Applications

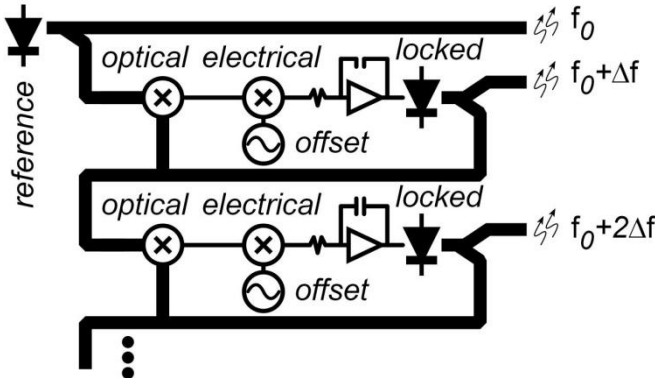
Wavelength synthesis



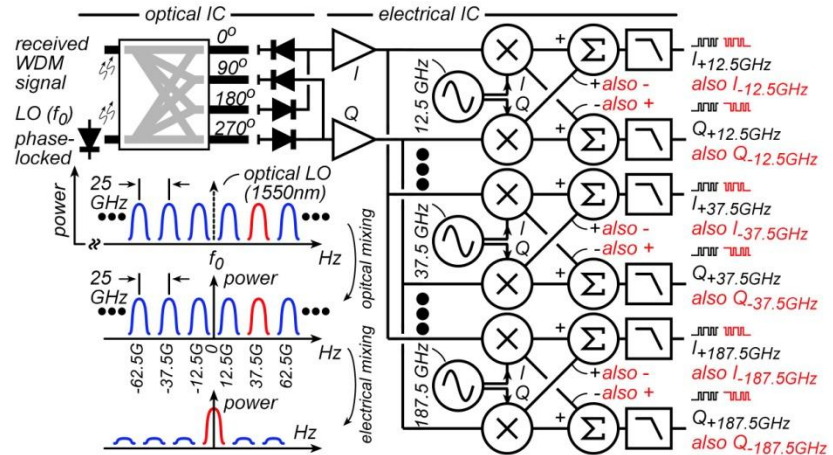
Phase-locked coherent receivers



Zero-guardband WDM generation



Single-chip Electrical WDM receivers



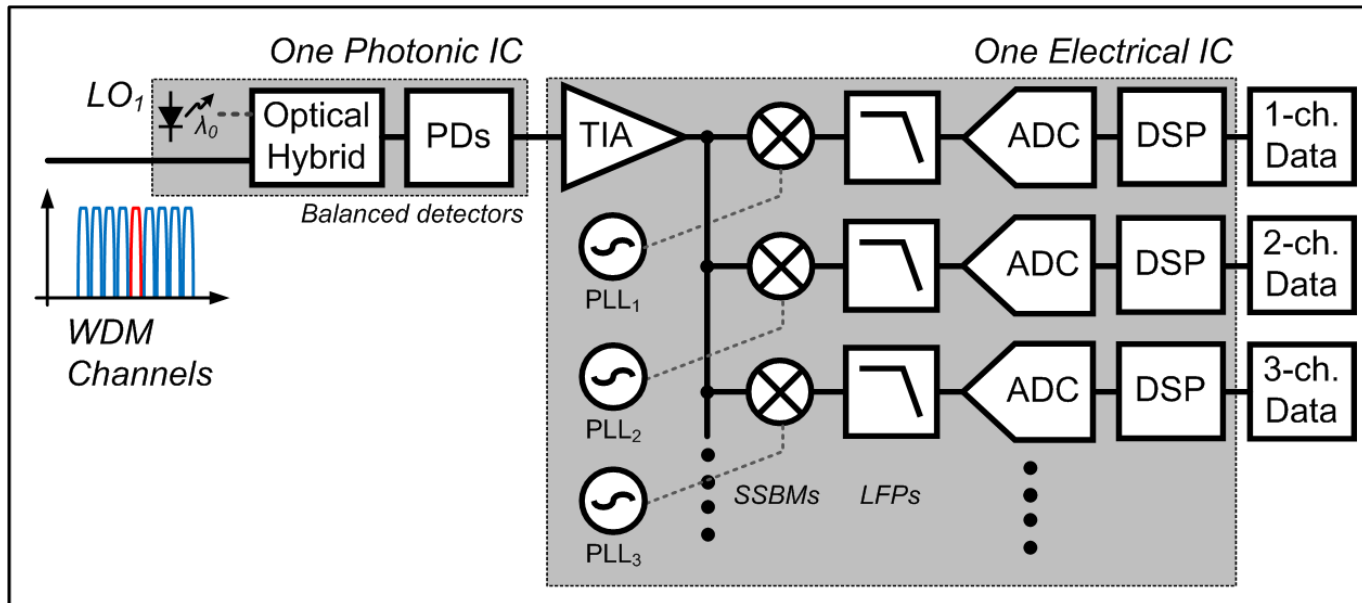
Electronic polarization DMUX ?

Analog polarization compensation ?

(end)

Backups

Electrical-Domain WDM Receiver



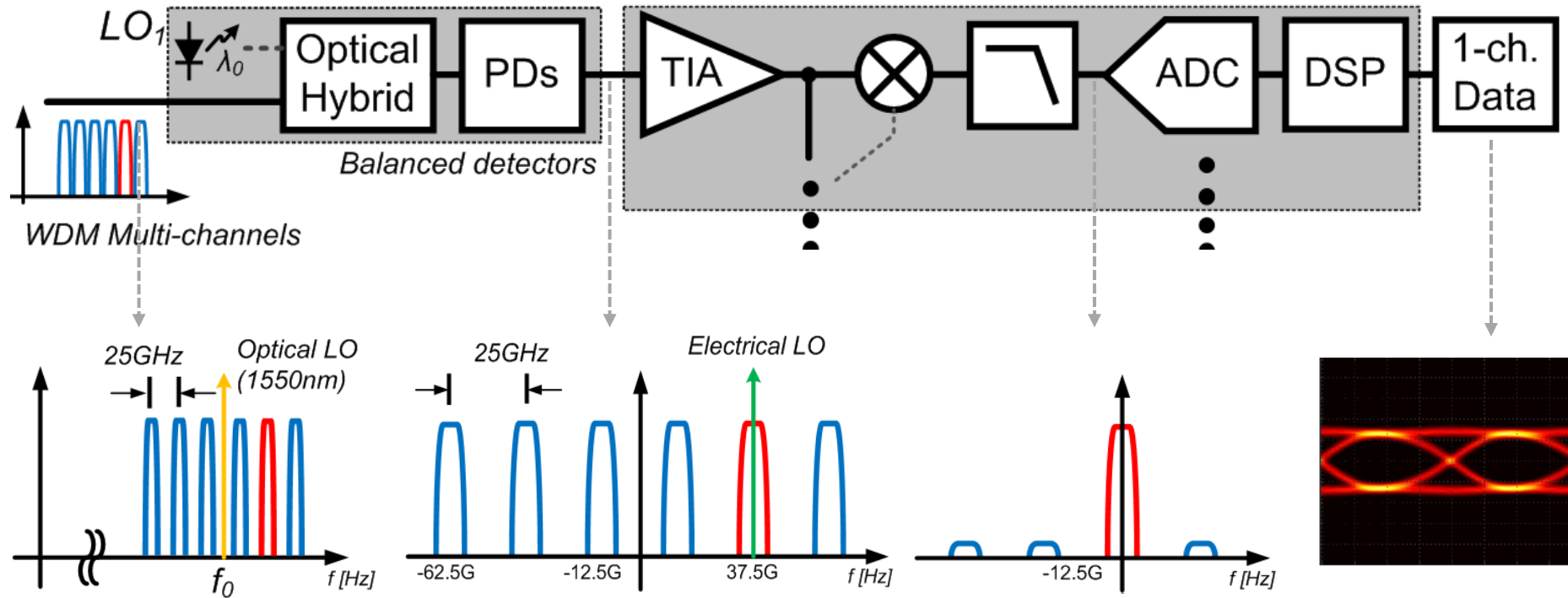
Small and simple photonic IC.

One electrical receiver IC covers all wavelengths.

IC might be complex;

can we design it for low power & low complexity ?

2-Stage Down-Conversion: Optical, Electrical



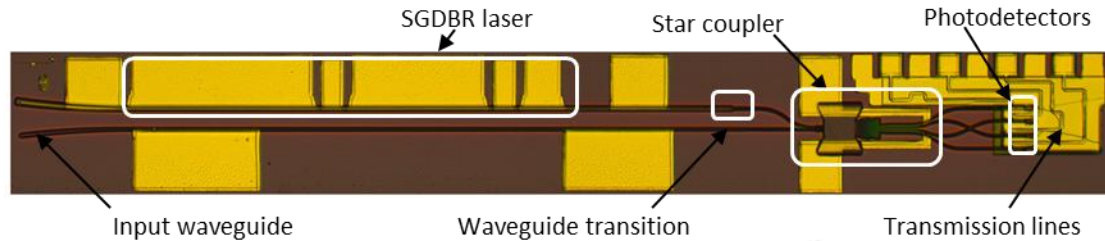
Phase-locked LO down-converts all WDM channels to RF @ 25 GHz spacing

Electrical receiver down-converts each channel separately to baseband

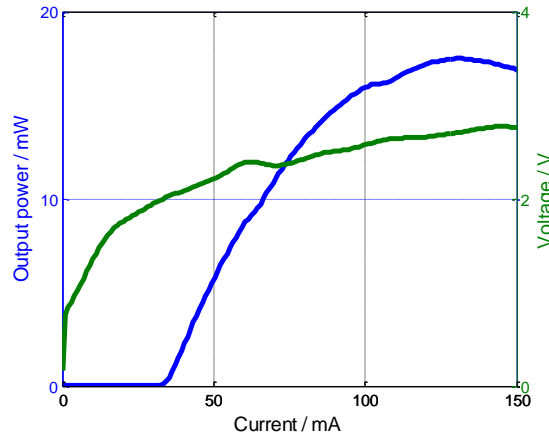
Note: OPLL can lock to narrow-spaced optical pilot tone

→ phase-locked receiver even with highly dispersive channels

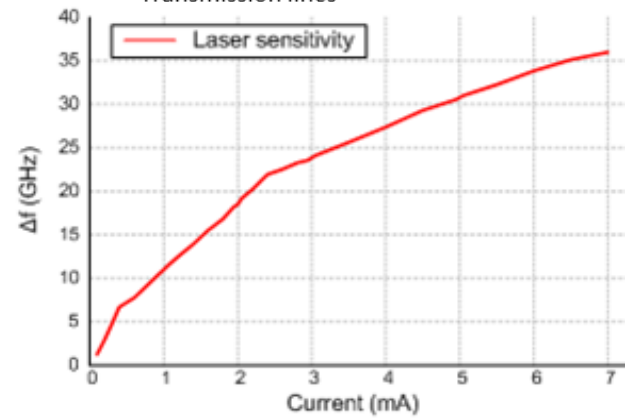
Technology Details



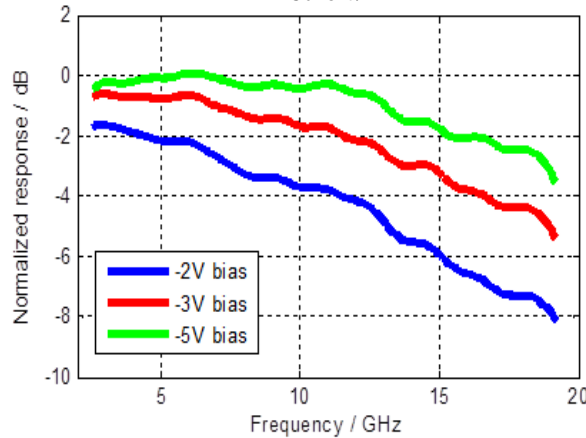
Laser LIV curve



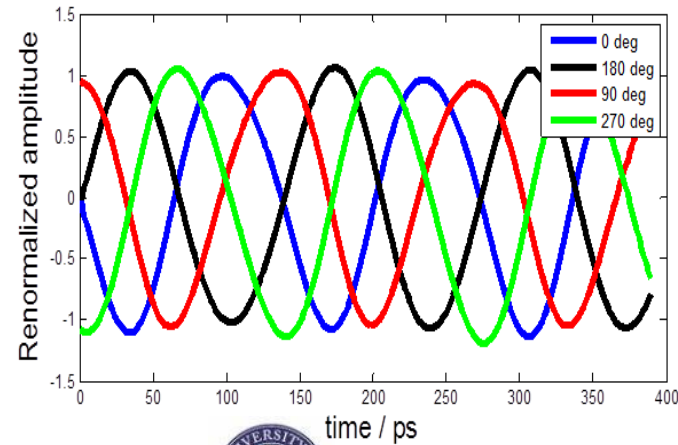
Laser phase pad tuning

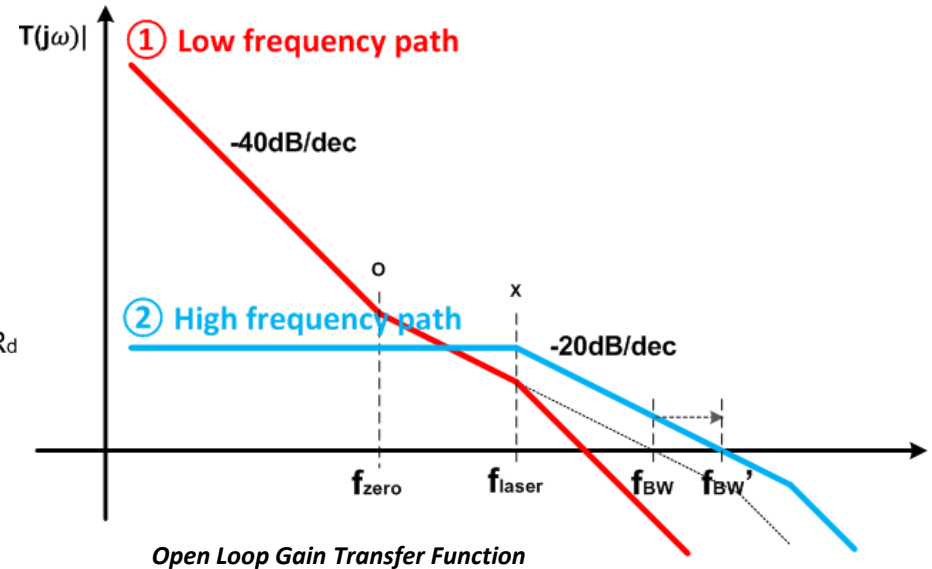
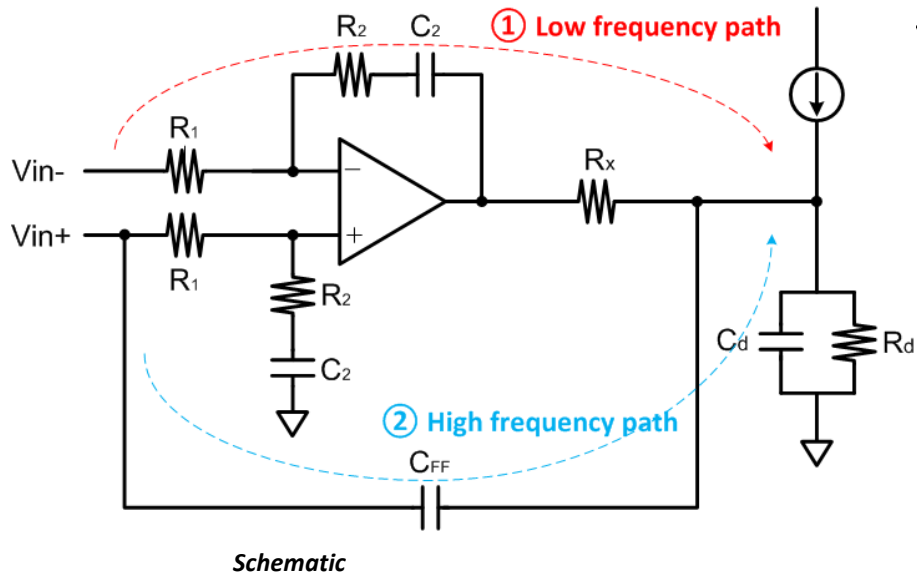


PD bandwidth



90° hybrid output





Loop needs high gain at DC \rightarrow op-amp needed.

Commercial op-amps too slow to support needed ~ 500 MHz loop bandwidth

Solution: feedforward loop filter

low frequencies: op-amp for high gain

high frequencies: passive filter for low excess phase shift