

*2022 International Symposium on Future Trends of Terahertz Semiconductor Technologies, March 5, Kyoto University*

# IC, Module, and Systems Design for 100-300GHz MIMO Communications



**ComSenTer**  
COMMUNICATIONS SENSING TERAHERTZ

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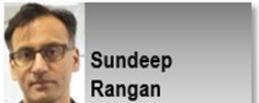
***<sup>1</sup>University of California, Santa Barbara***

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# Acknowledgements

## Systems



Sunddeep  
Rangan

Networks,  
Applications,  
MIMO, Power



Upamanyu  
Madhow  
UC Santa Barbara

MIMO algorithms  
Imaging algorithms  
Compressive imaging



Christoph  
Studer  
Cornell

MIMO algorithms  
VLSI MIMO  
digital beamforming



Andreas  
Molisch  
USC

100-300GHz  
propagation  
measurements



Danijela Cabric  
UCLA

MIMO  
algorithms  
(funding via  
CONIX)

## ICs



Ali Niknejad  
UC Berkeley

mm-wave CMOS:  
hub  
mm-wave arrays  
mm-wave MIMO



James  
Buckwalter  
UC Santa Barbara

efficient PAs  
III-V arrays



Kenneth O  
UT Dallas

140-300GHz  
SiGe ICs



Muhamad  
Bakir  
Georgia Tech

high-  
frequency  
packaging

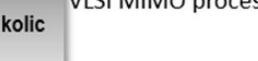


Borivoje Nikolic  
UC Berkeley

VLSI design automation

VLSI MIMO processors

Massive  
MIMO  
demo.



Amin Arbabian  
Stanford

140GHz radar chipsets  
and arrays



Gabriel Rebeiz  
UC San Diego

mm-wave CMOS:  
handset  
mm-wave arrays



Alyosha  
Molnar  
Cornell

N-path mixers  
MIMO ADCs



Elad Alon  
UC Berkeley

design automation  
equalizers



Tim Fisher  
UCLA

advanced  
packaging  
materials



Andrew  
Kummel  
UCSD

advanced  
packaging  
materials

## Transistors



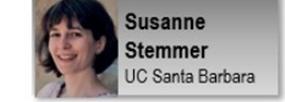
Umesh Mishra  
UC Santa Barbara

N-polar GaN HEMTs  
for 140, 210GHz



Huili (Grace)  
Xing  
Cornell

AlN/GaN HEMTs  
for 140, 210GHz



Susanne  
Stemmer  
UC Santa Barbara

transistors in  
novel materials



Debdeep Jena  
Cornell

GaN HEMTs  
on Si



Srabanti  
Chowdhury  
UC Davis

Diamond cooling  
for GaN



JUMP

ComSenTer  
COMMUNICATIONS SENSING TERAHERTZ

Also:

Kyocera: D. Kim, H. Horikawa, M. Imayoshi.

Samsung: G. Xu, N. Sharma, S. Abu-Surra, W. Choi

Pi-Radio: A. Dhananjay,



EMD  
PERFORMANCE  
MATERIALS

LOCKHEED  
MARTIN



# 100-300GHz Wireless

Wireless networks: exploding demand.

Immediate industry response: 5G.

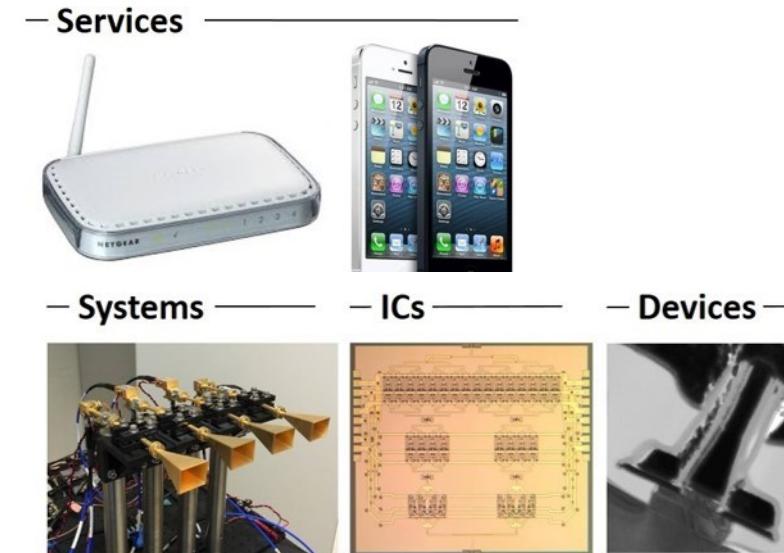
~1~40 GHz ("5G?")

~40~100GHz ("5.5G ?")

increased spectrum, extensive beamforming

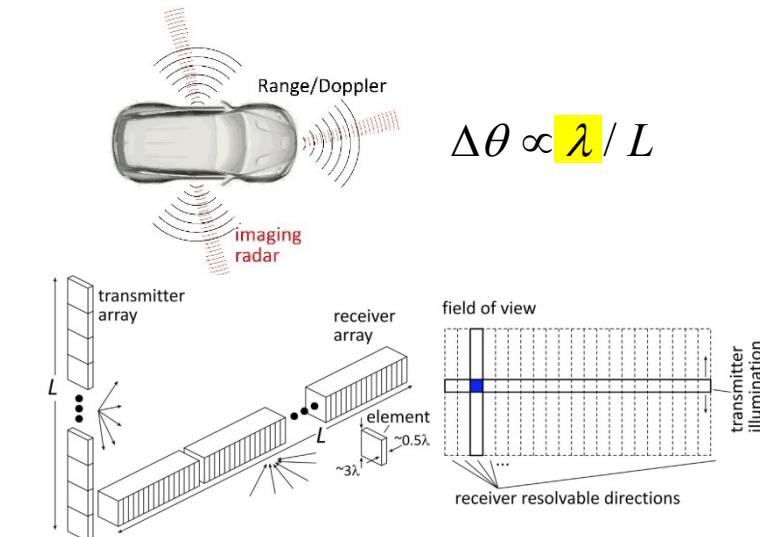
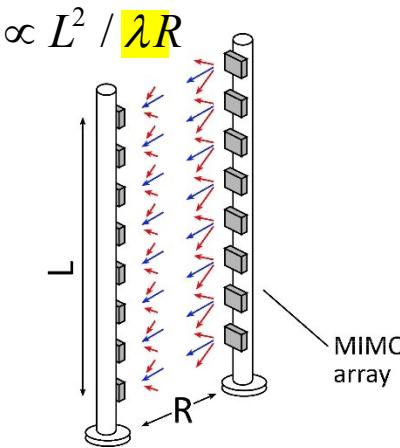
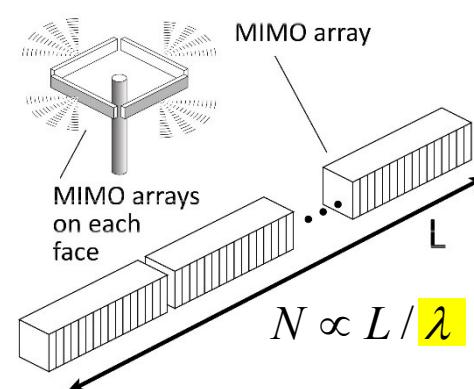
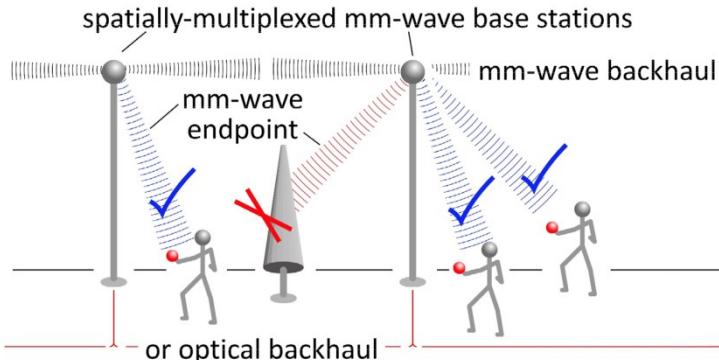
Next generation might be above 100GHz.. (?)

greatly increased spectrum, massive spatial multiplexing



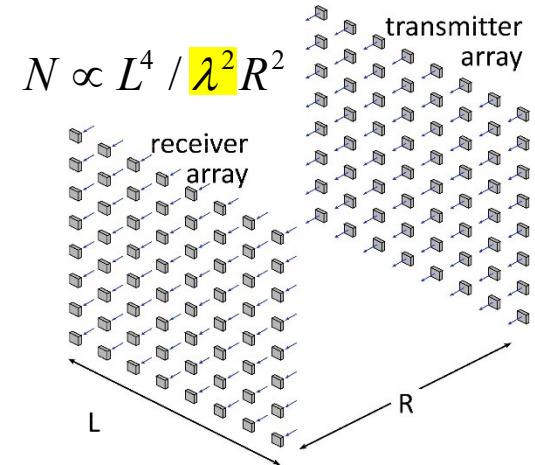
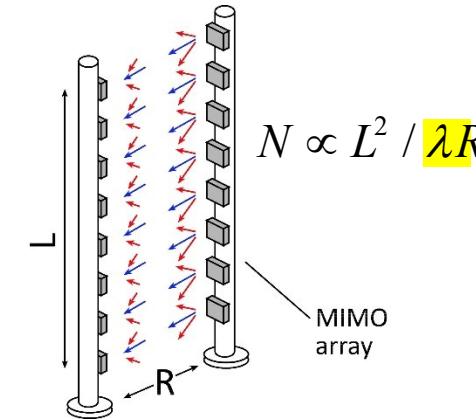
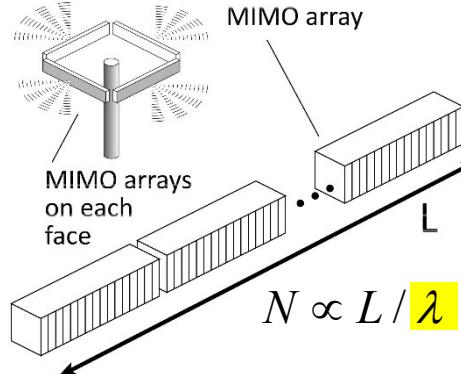
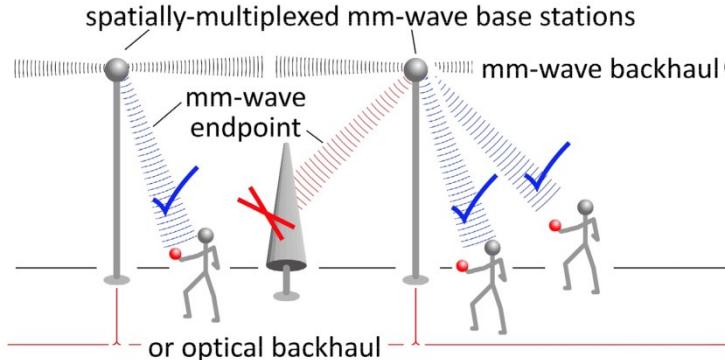
100-300GHz carriers, massive spatial multiplexing

→ Terabit hubs and backhaul links, high-resolution imaging radar

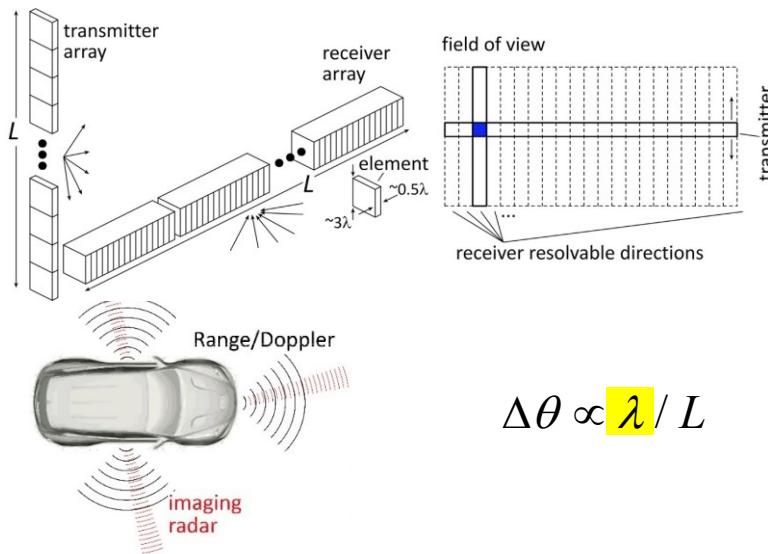


# Benefits of Short Wavelengths

**Communications:** Massive spatial multiplexing, massive # of parallel channels. **Also, more spectrum!**



**Imaging:** very fine angular resolution



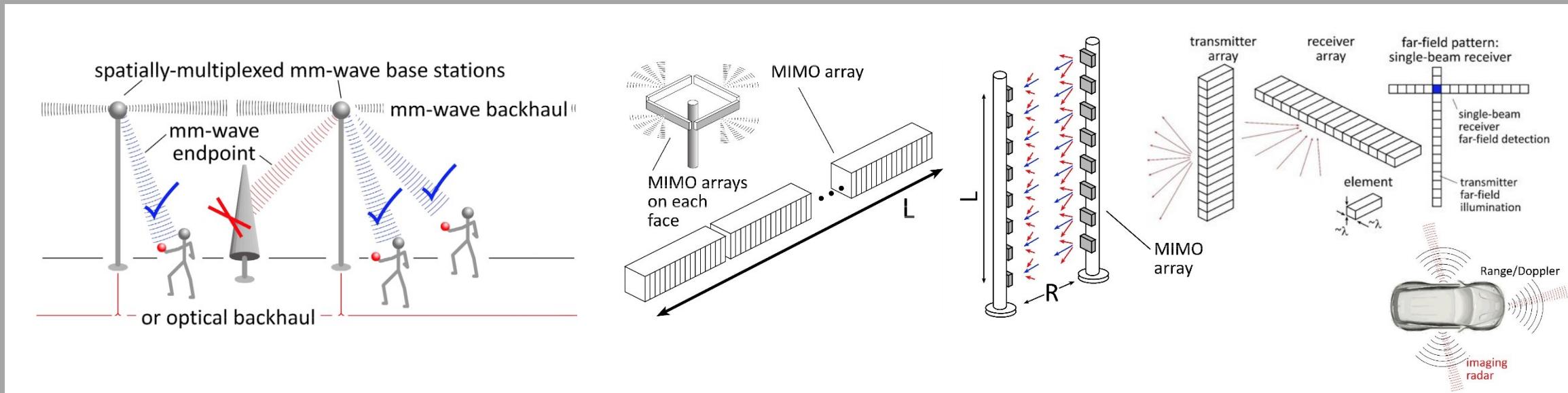
$$\Delta\theta \propto \lambda / L$$

**But:**

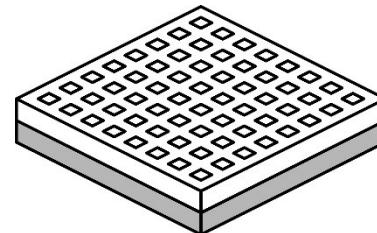
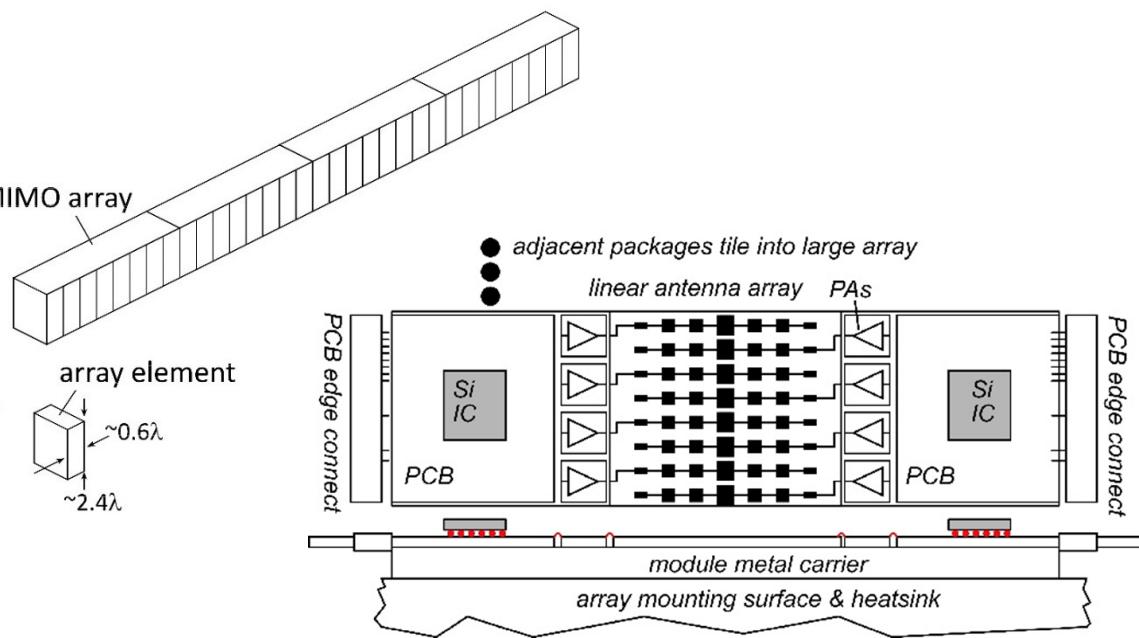
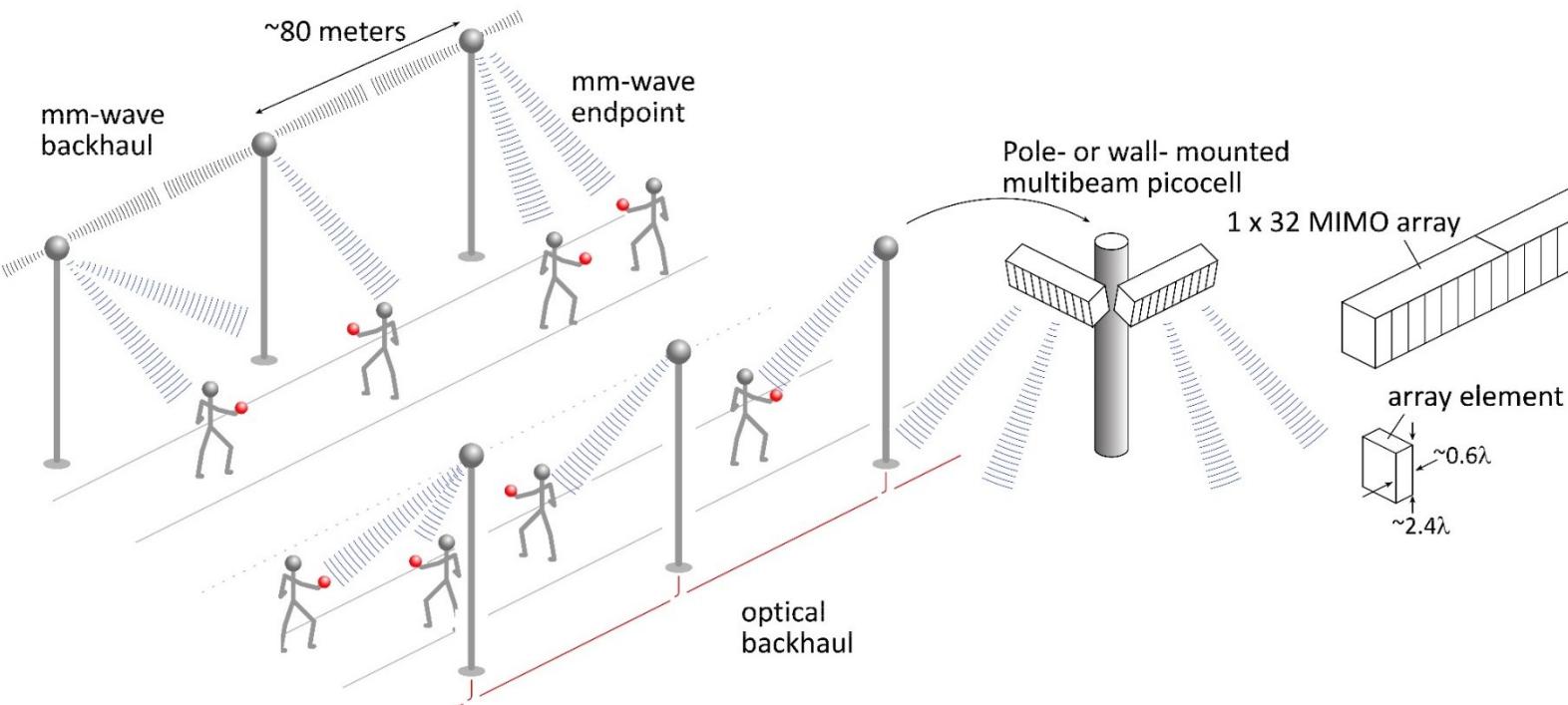
High losses in foul or humid weather.  
High  $\lambda^2/R^2$  path losses.  
ICs: poorer PAs & LNAs.  
Beams easily blocked.

**100-340GHz wireless:  
terabit capacity,  
short range,  
highly intermittent**

# Applications



# 140GHz moderate-MIMO hub



Handset:  
8 × 8 array  
(9×9mm)

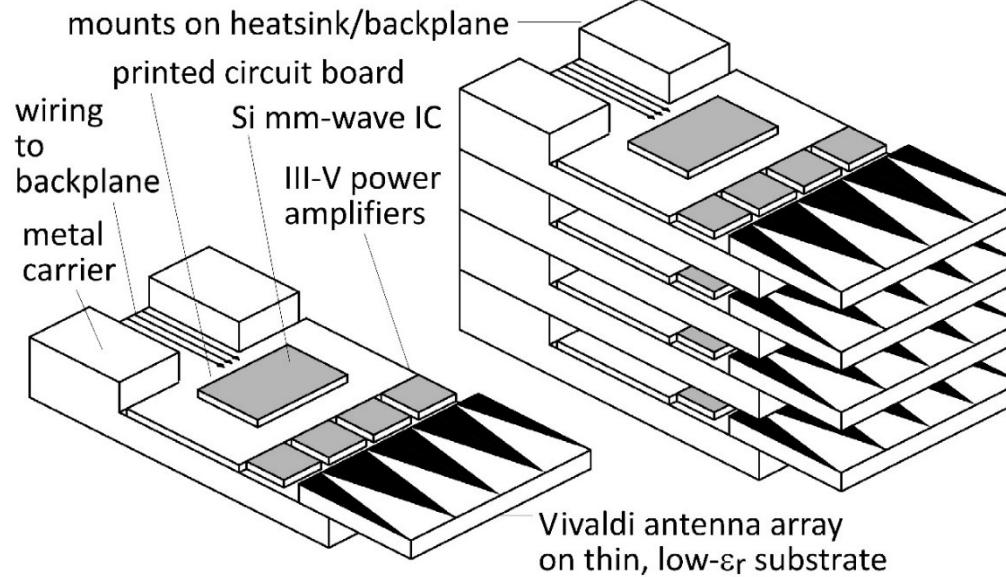
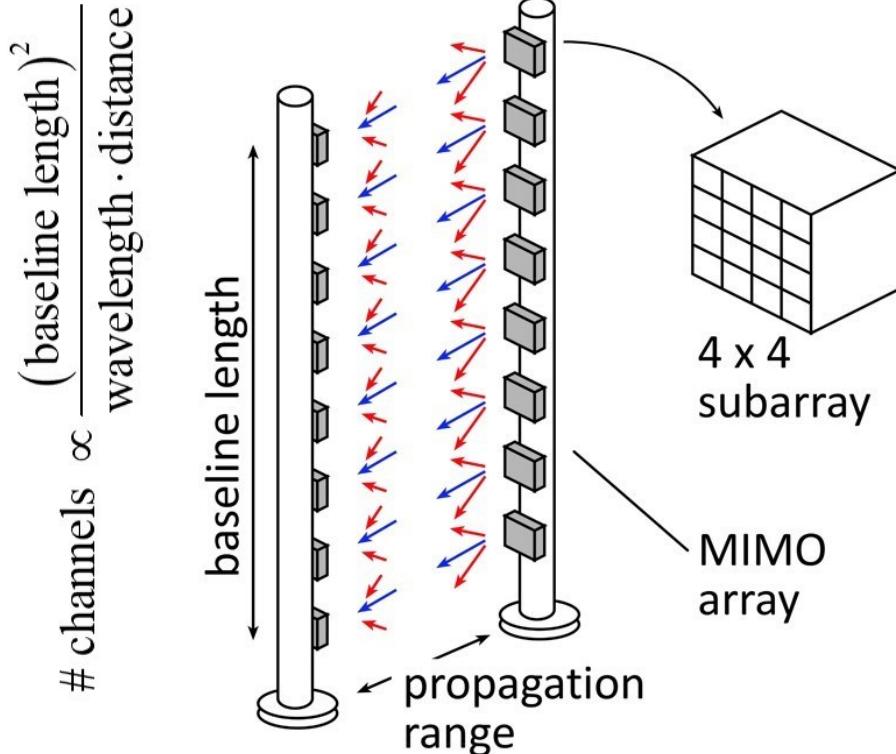
If demo uses 32-element array (four 1×8 modules):

16 users/array.  $P_{1\text{dB}}=21 \text{ dB}_m$  PAs, F=8dB LNAs

1,10 Gb/s/beam → 16, 160 Gb/s total capacity

70, 40 m range in 50mm/hr rain with 17dB total margins

# 210 GHz, 640 Gb/s MIMO Backhaul



## 8-element MIMO array

2.1 m baseline.

80Gb/s/subarray  $\rightarrow$  640Gb/s total

4  $\times$  4 sub-arrays  $\rightarrow$  8 degree beamsteering

## Key link parameters

500 meters range in 50 mm/hr rain; 23 dB/km

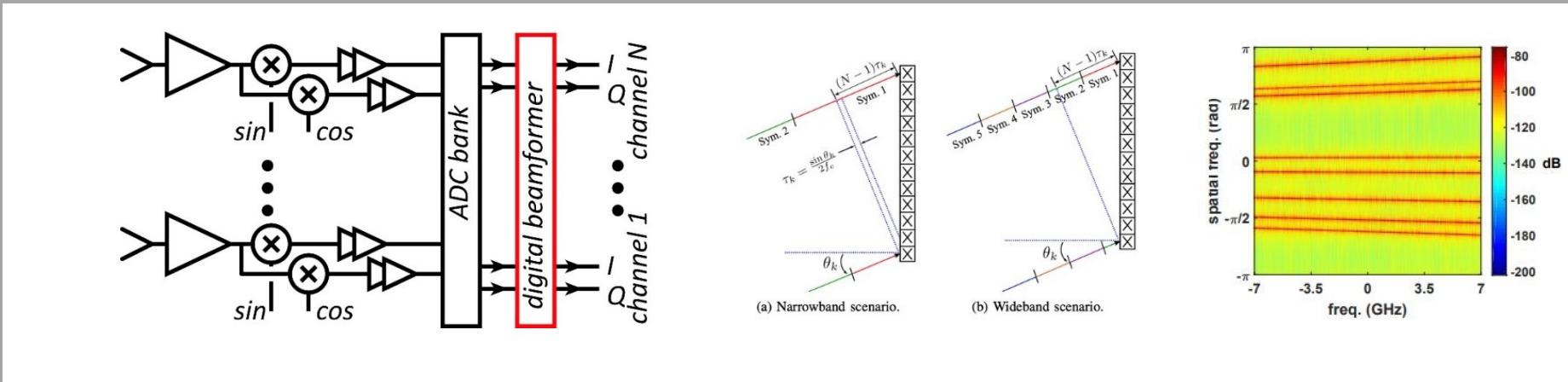
20 dB total margins:

packaging loss, obstruction, operating, design, aging

PAs:  $18\text{dBm} = P_{1\text{dB}}$  (per element)

LNAs: 6dB noise figure

# Systems



# System Design

**ADCs/DACs<sup>1</sup>:** QPSK needs only 3-4 bit ADC/DACs

$N$  ADC bits,  $M$  antennas,  $K$  signals:  $\text{SNR} = 6N + 1.76 + 10 \cdot \log_{10}(M/K)$

3 bits,  $(M/K)=2 \rightarrow \text{SNR}=23$  dB. QPSK needs 9.8 dB.

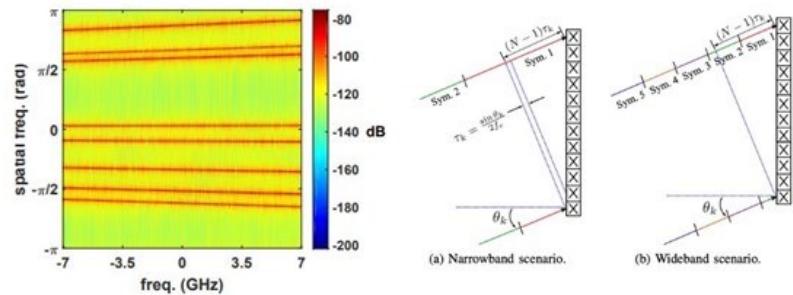
**Linearity<sup>1</sup>:** Amplifier  $P_{1\text{dB}}$  need be only 4 dB above average power

**Phase noise<sup>2,3</sup>:** Requirements same as for SISO

**Efficient digital beamforming<sup>4,5</sup>:** beamspace algorithm=complexity  $\sim N \times \log(N)$

**Efficient VLSI digital beamformer implementation<sup>6</sup>:** low-resolution matrix

**Efficiently beamforming in broadband arrays<sup>7</sup>:** combined spatial & temporal FFTs.



1) M. Abdelghany et al, IEEE Trans. Wireless Comm, Sept. 2021, doi: 10.1109/TWC.2021.3069378.

2) M. E. Rasekh et al, IEEE Trans. Wireless Comm, Oct. 2021, doi: 10.1109/TWC.2021.3074911.

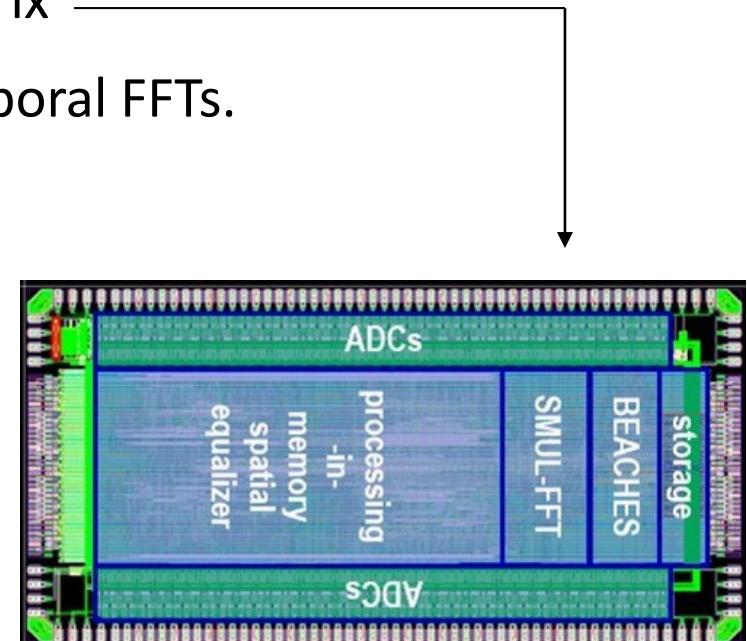
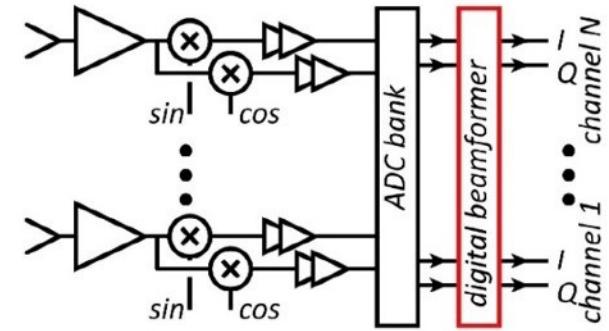
3) A. Puglielli et al, 2016 IEEE ICC, doi: 10.1109/ICC.2016.7511631.

4) M. Abdelghany, et. al., 2019 IEEE SPAWC: doi: 10.1109/SPAWC.2019.8815585

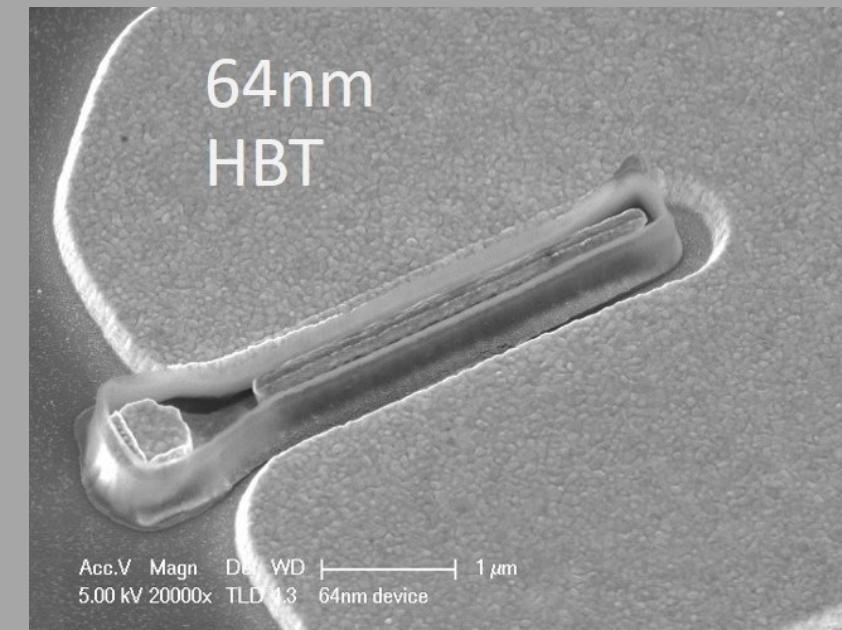
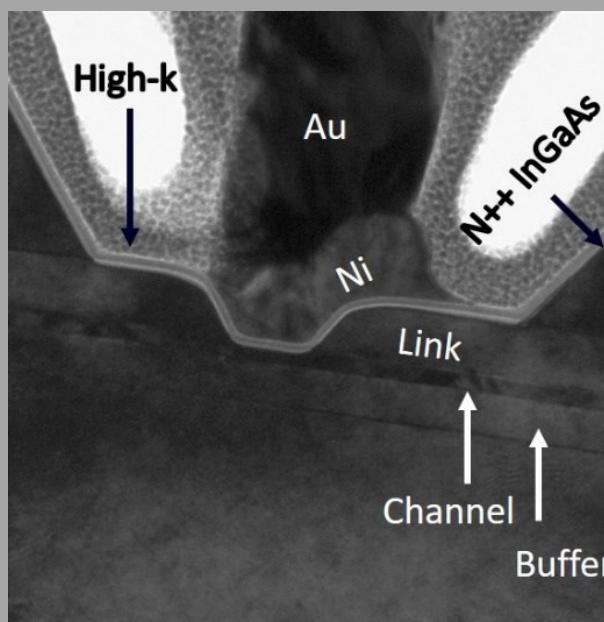
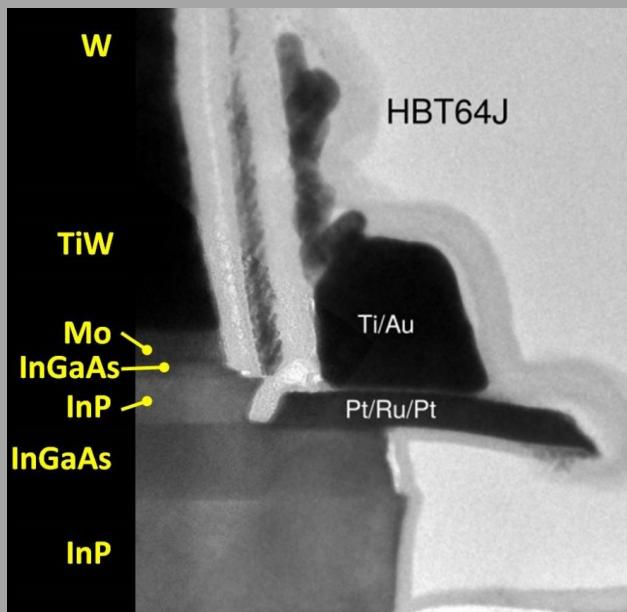
5) S. H. Mirfarshbafan et al, IEEE Trans CAS 1, 2020, doi: 10.1109/TCSI.2020.3023023

6) O Castañeda Fernández et. al, 2021 ESSCIRC

7) M. Abdelghany et al 2019 IEEE GLOBECOM doi: 10.1109/GLOBECOM38437.2019.9013233.



# Transistors



# Transistors for 100-300GHz

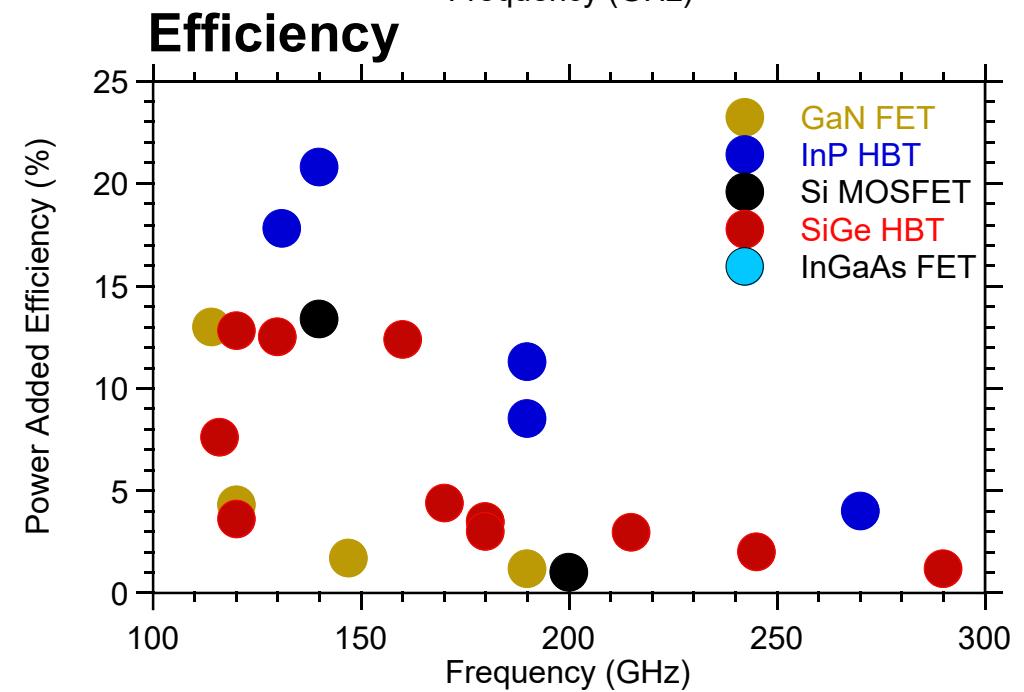
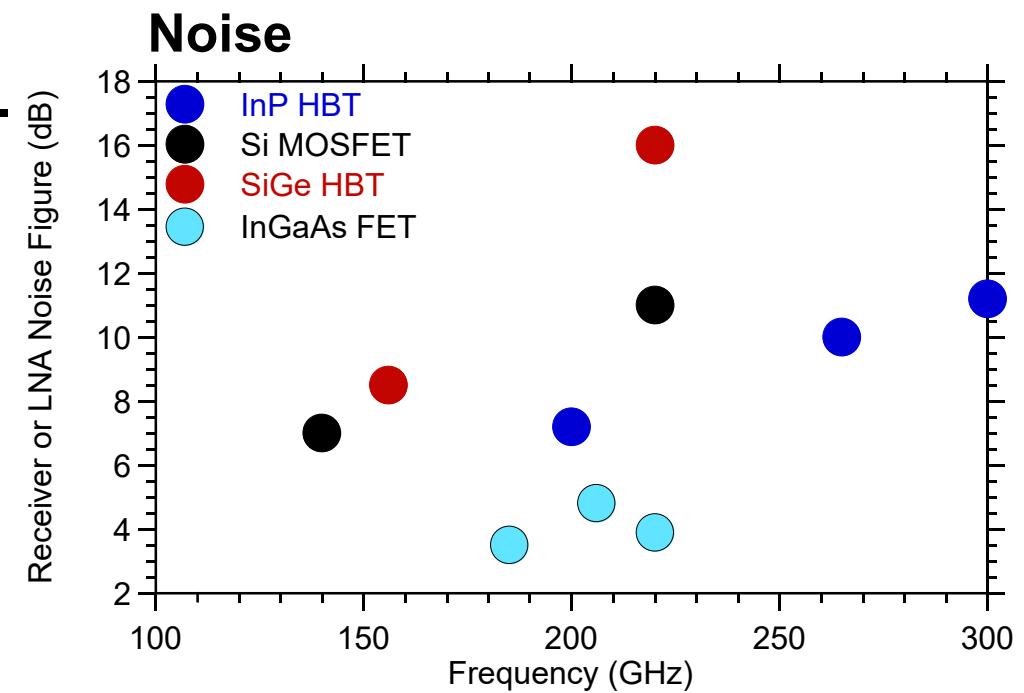
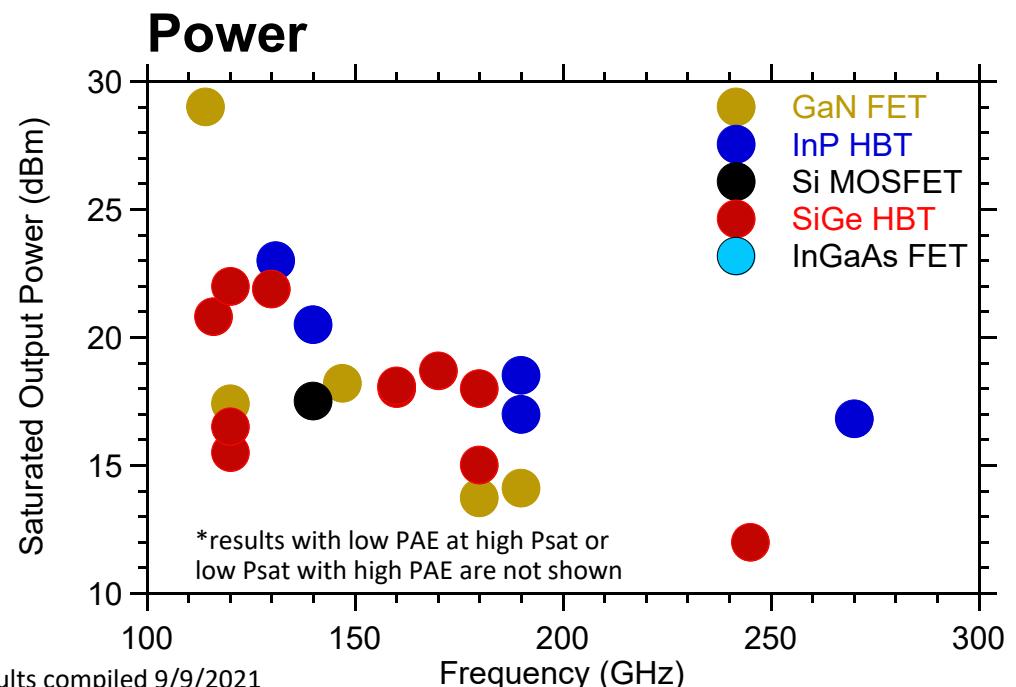
**CMOS:** good power & noise up to  $\sim$ 150GHz. Not much beyond.  
65-22nm nodes are best.

**InP HBT:** record 100-300GHz PAs

**SiGe HBT:** power better than CMOS, worse than InP HBT

**GaN HEMT:** record power below 100GHz. Bandwidth improving

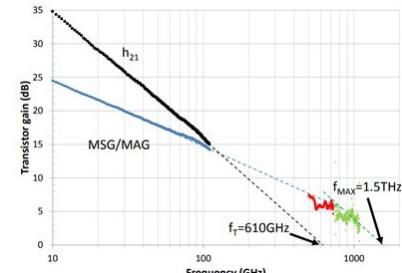
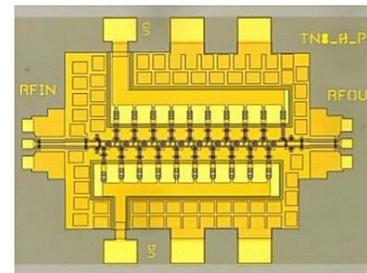
**InGaAs-channel HEMT:** world's best low-noise amplifiers



# InP Transistors and ICs

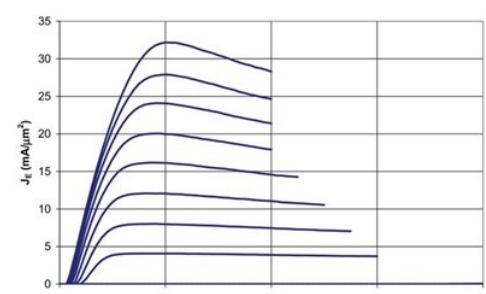
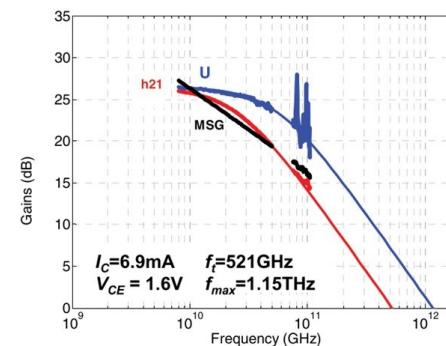
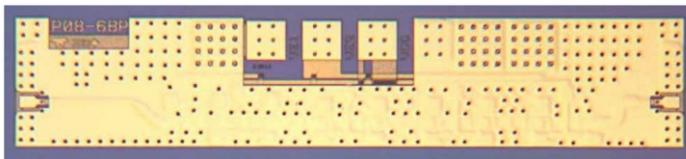
InP HEMTs: 1.5THz  $f_{max}$ , 1.0THz amplifiers

W. Deal et al, 2016 IEDM ([Northrop-Grumman](#))



130nm InP HBTs: 1.1THz  $f_{max}$ , 3.5V. 670 GHz amplifiers

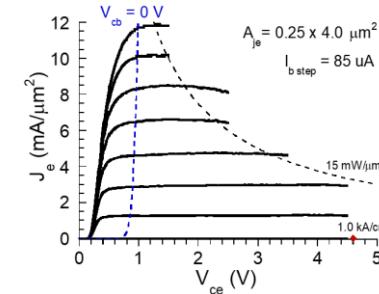
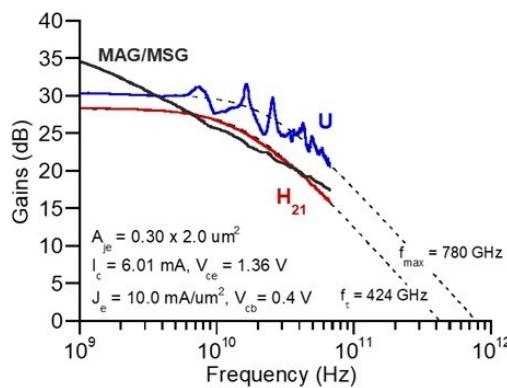
M. Urteaga, et al, IEEE Proceedings June 2017 ([Teledyne](#))



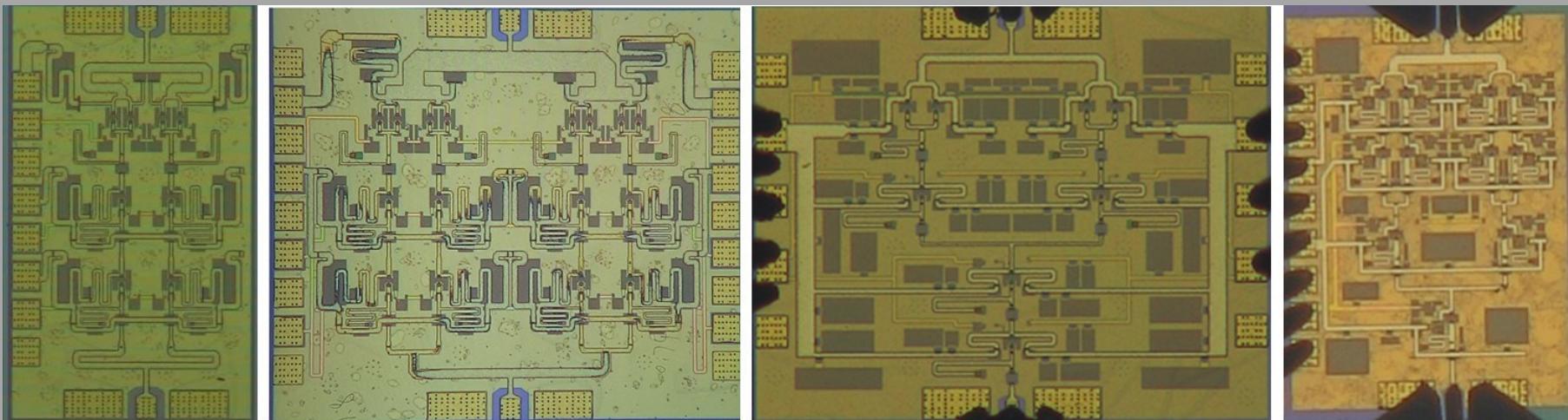
250nm InP HBTs: 650GHz  $f_{max}$ , 4.5V.

Z. Griffith et al, 2007 IPRM conference (UCSB)

M. Urteaga, et al, IEEE Proceedings June 2017 ([Teledyne](#))



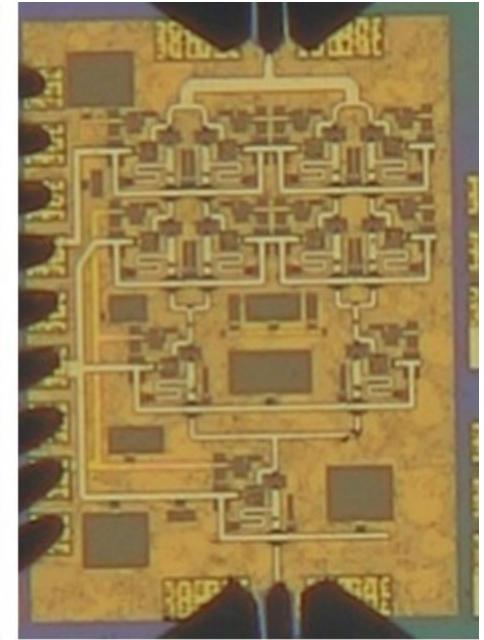
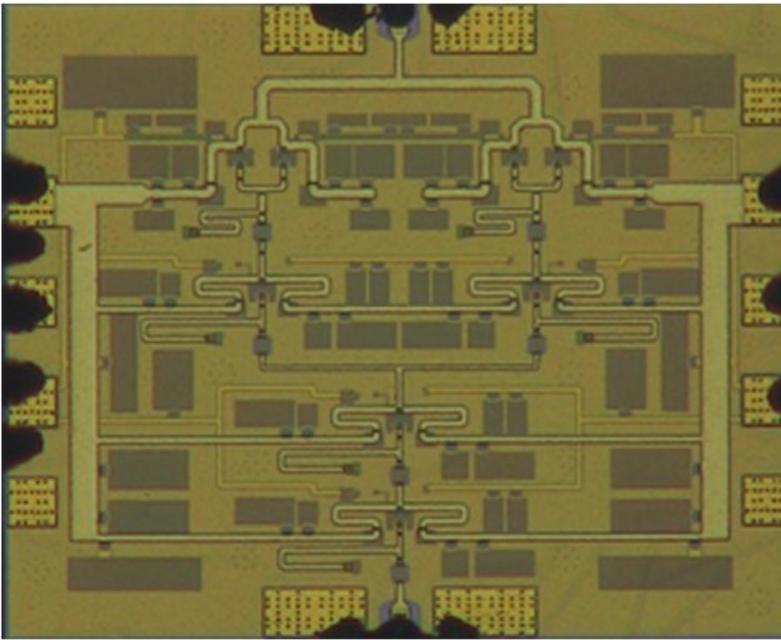
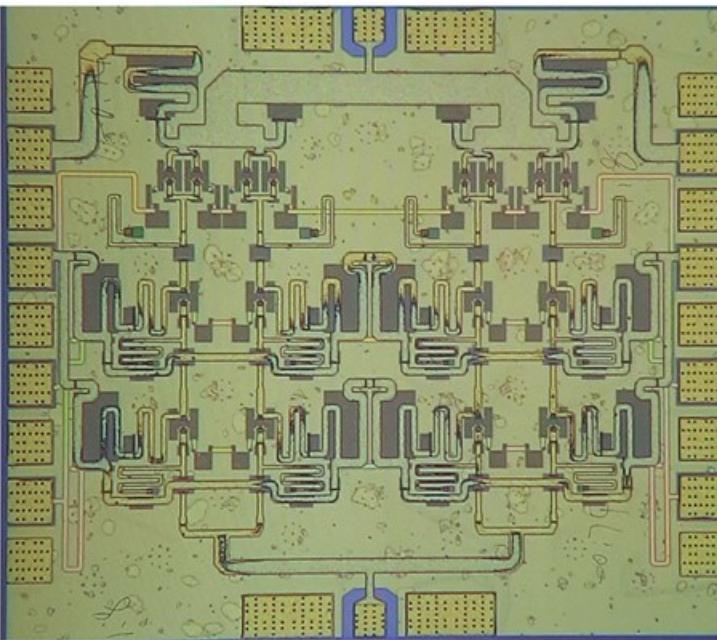
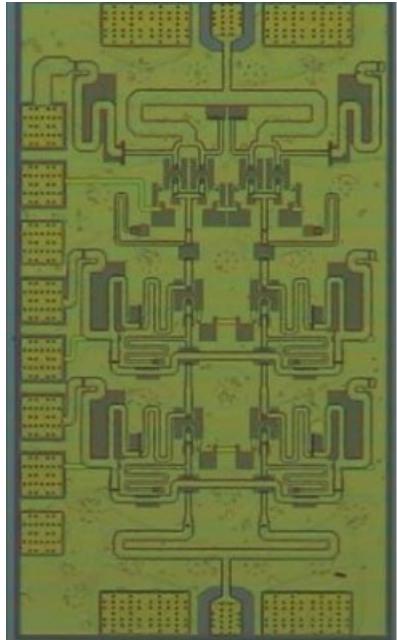
# Power Amplifiers



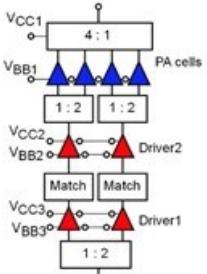
# Recent high-efficiency 100-300GHz PAs

Teledyne 250nm InP HBT technology

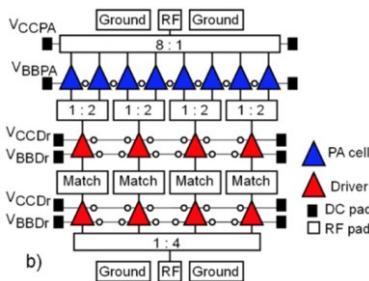
Ahmed et al, 2020 IMS, 2020 EuMIC, 2021 IMS, 2021 RFIC



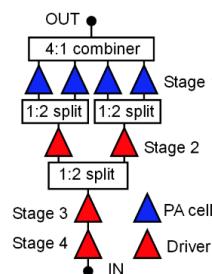
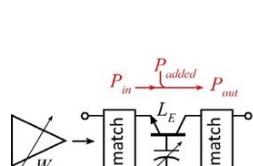
140GHz, 20.5dBm, 20.8% PAE



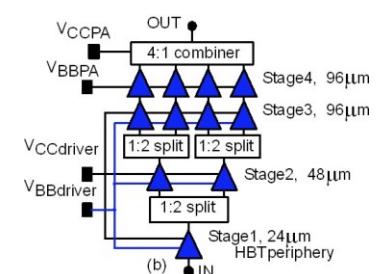
130GHz, 200mW, 17.8% PAE



194GHz, 17.4dBm, 8.5% PAE

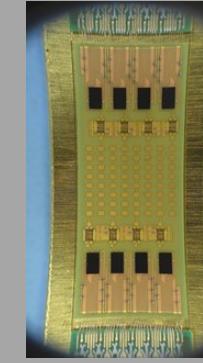
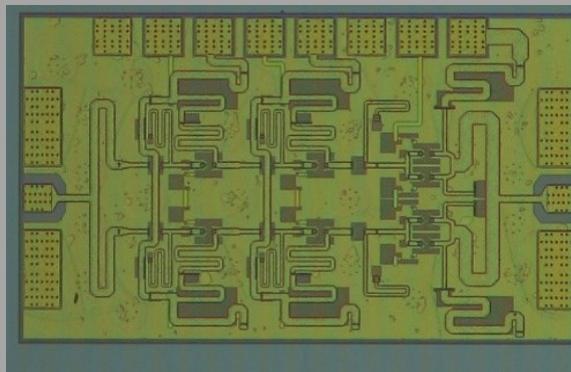


266GHz, 16.8dBm, 4.0% PAE



Mixture of corporate and cascade power-combining.

# 140 GHz Array Modules

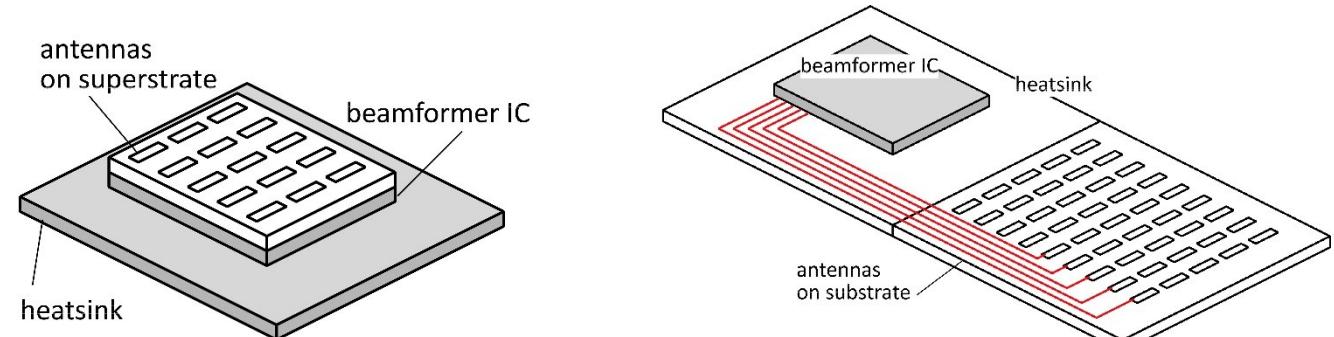


# The mm-wave module design problem

How to make the IC electronics fit ?

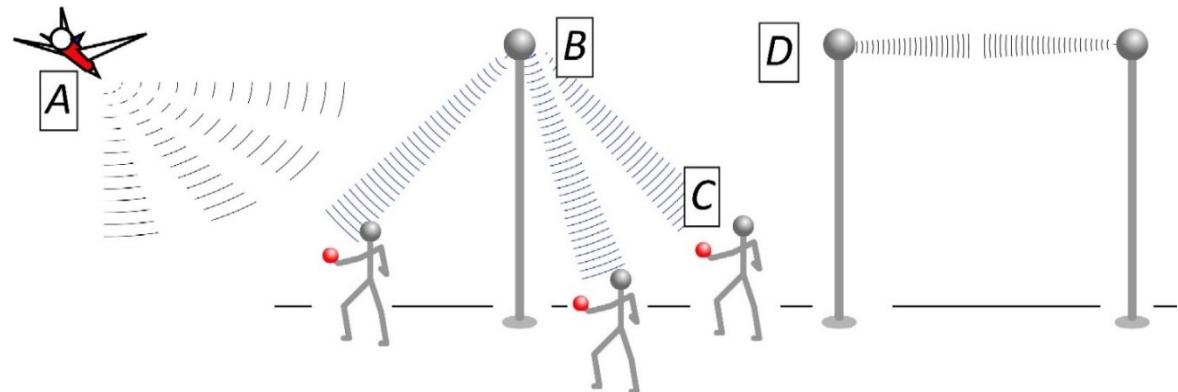
How to avoid catastrophic signal losses ?

How to remove the heat ?



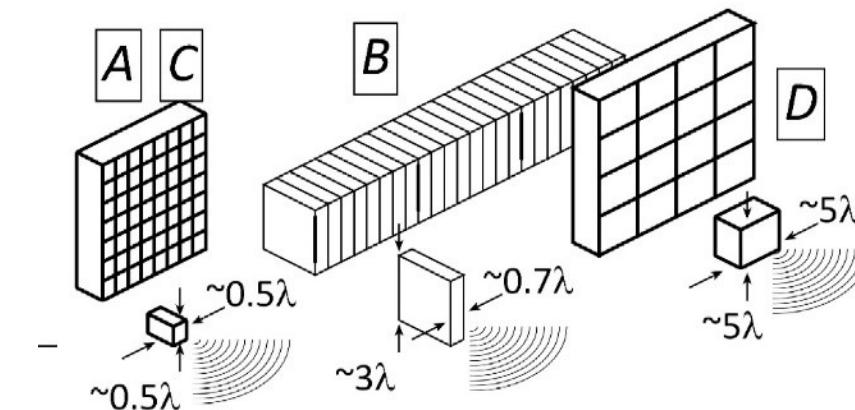
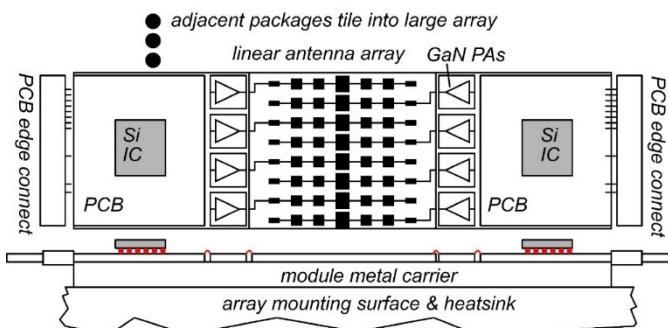
Not all systems steer in two planes...

...some steer in only one.



Not all systems steer over 180 degrees...

...some steer a smaller angular range

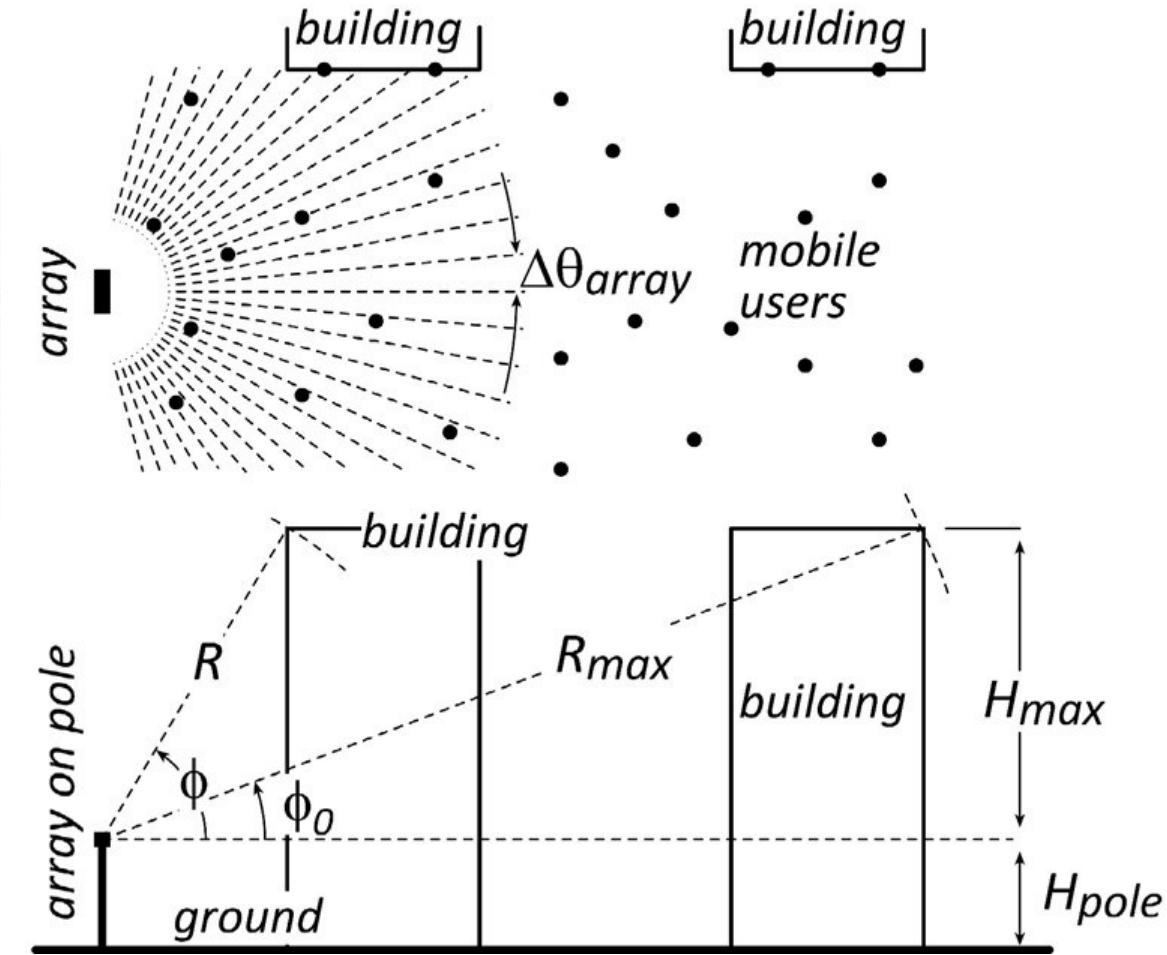
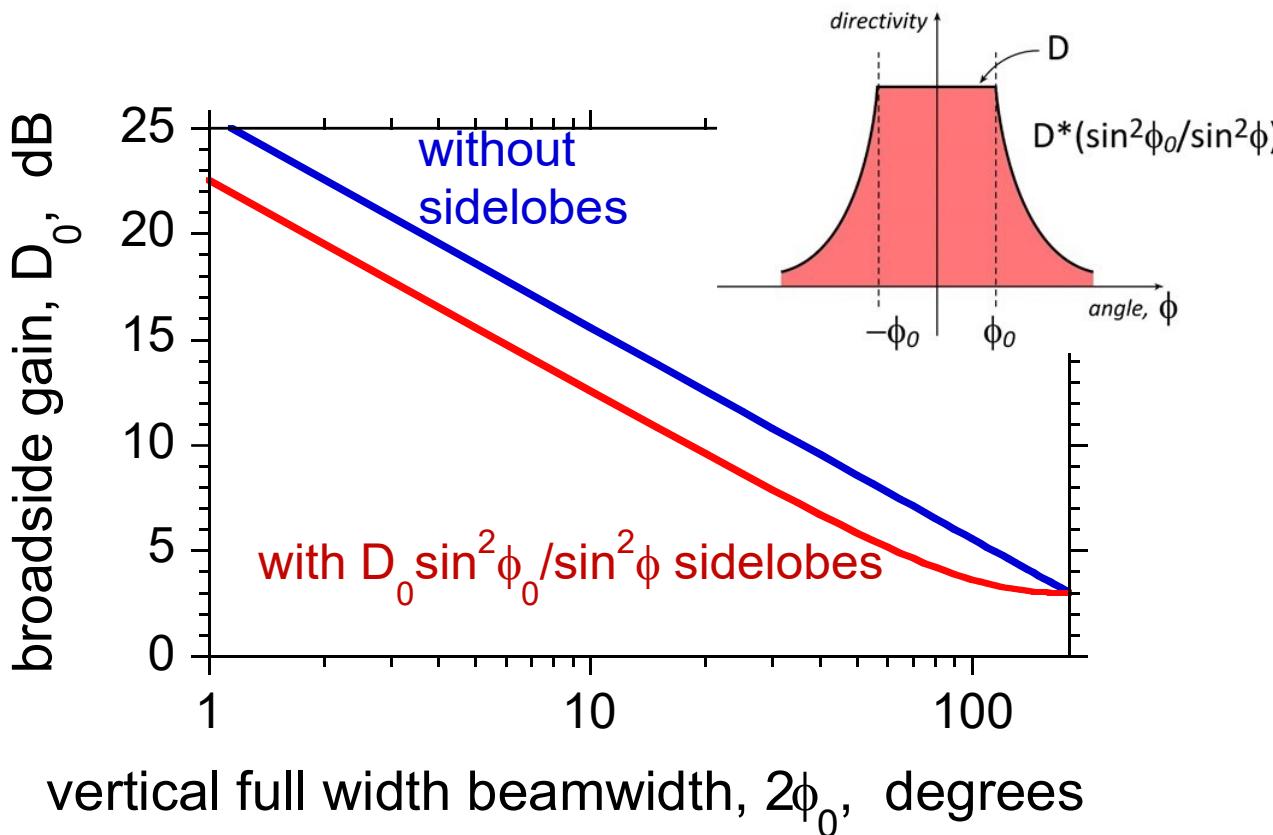


# Do we need 2D arrays ? 1D steering might be fine.

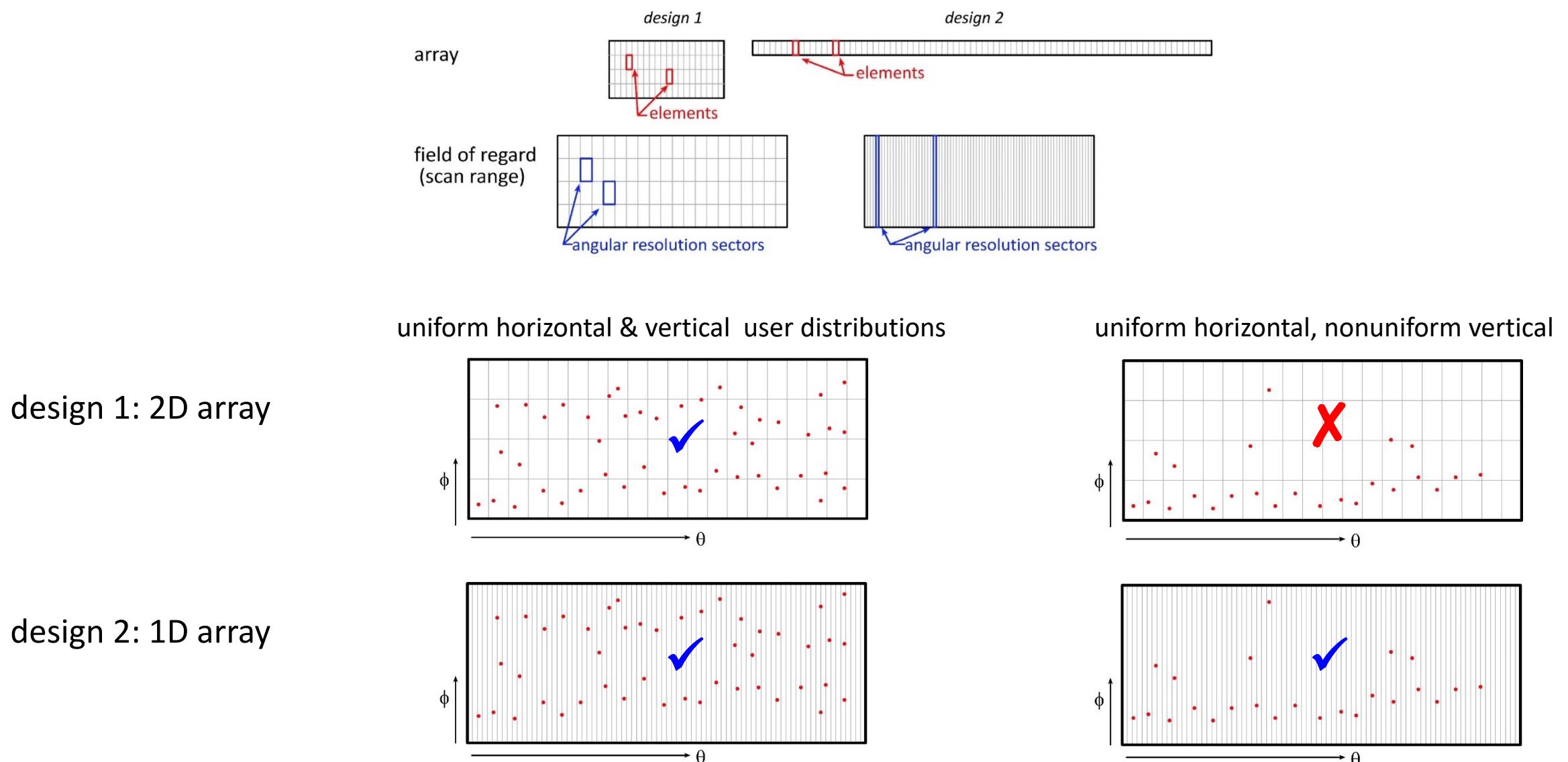
$1/\sin^2\phi$  sidelobes provide strong signals to tall buildings.

Providing sidelobes reduces broadside gain by less than 3dB.

→ Don't need 2D arrays to serve tall buildings

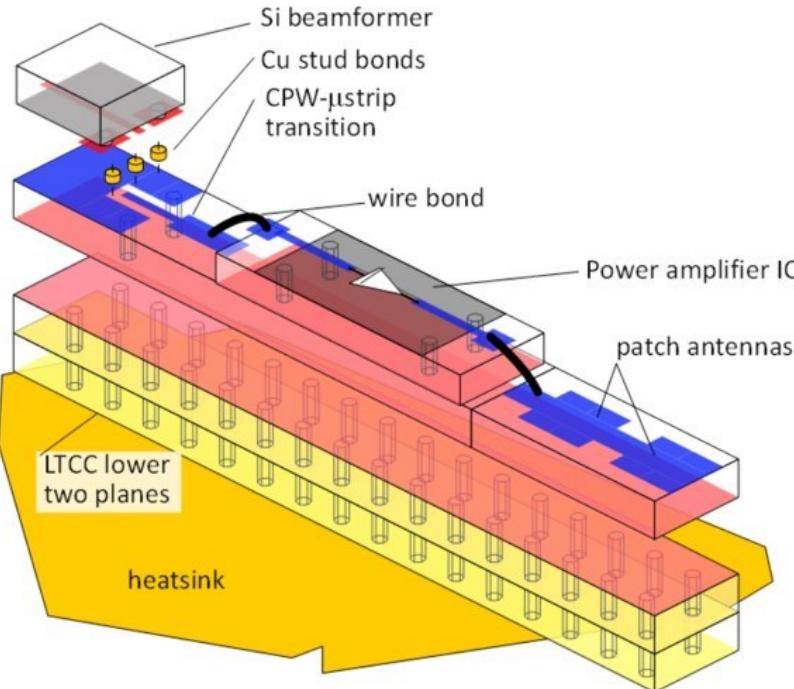


# 2D vs. 1D: user spatial distribution



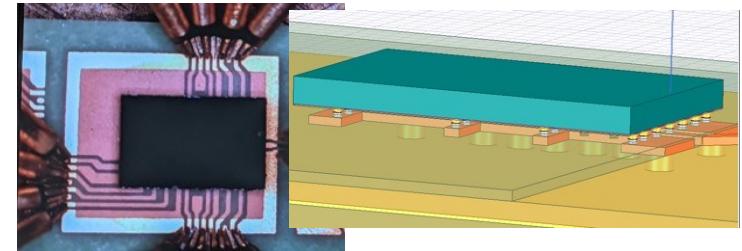
Spatial distribution of users, and of scattering objects, guides choice of array geometry.

# 140GHz hub: packaging challenges



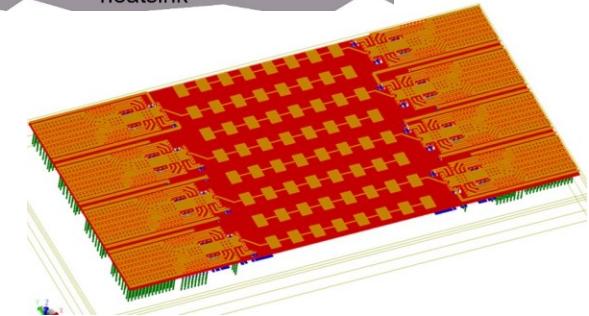
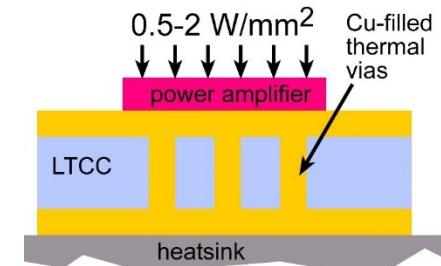
## IC-package interconnects

Difficult at > 100 GHz



## Removing heat

Thermal vias are marginal



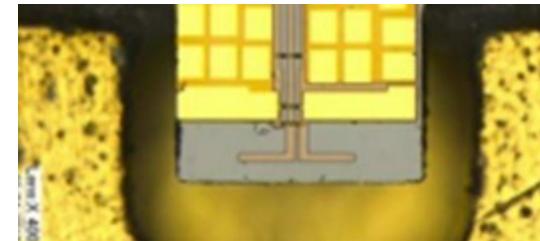
## Interconnect density

Dense wiring for DC, LO, IF, control.  
Hard to fit these all in.

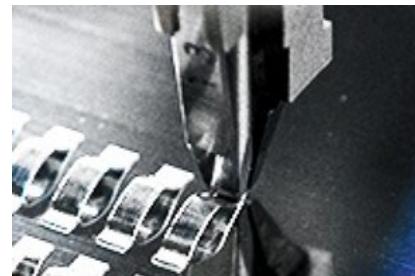
## Economies of scale

Advanced packaging standards require sophisticated tools  
High-volume orders only  
Hard for small-volume orders (research, universities)  
Packaging industry is moving offshore

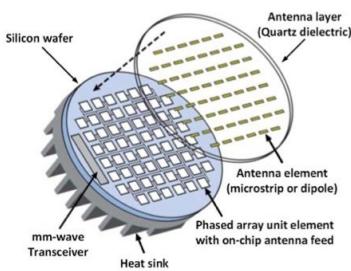
# 100-300GHz IC-package connections



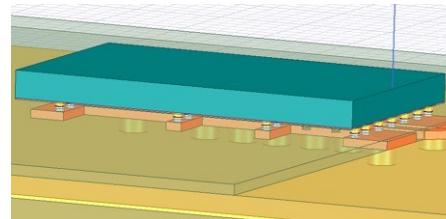
type	Frequency	technology	cost	heatsinking
micromachined waveguide interface	1000 GHz	Research. Cheap one day ?	high <b>X</b>	good



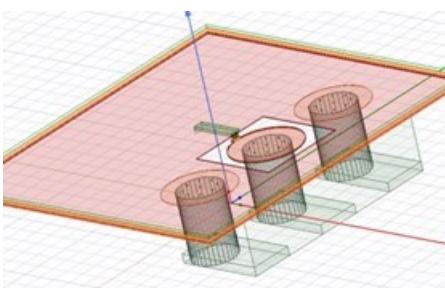
ribbon, mesh bond	200 GHz	Handcrafted.	high <b>X</b>	good
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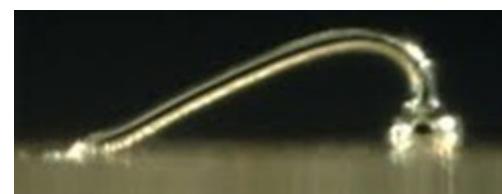
patch antennas on superstrate	1000 GHz	Straightforward	low	good
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Cu stud flip-chip	>200 GHz	Industry standard	low	ok, <b>marginal for PA</b> <b>X</b>
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hot vias	200 GHz	Development	low ?	good
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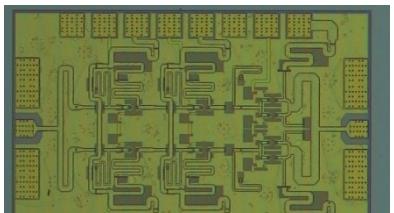
(ball) wirebonds	100 GHz <b>X</b>	Industry standard	low	good
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# 135GHz 8-channel MIMO hub array tile modules

**140GHz MIMO hub receiver array modules,**  
4-element, 8-element  
MIMO beamforming  
Data transmission up to 1.9Gb/s

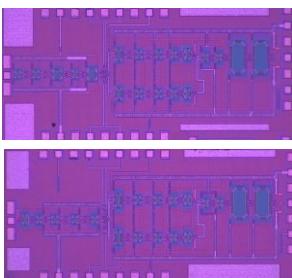
**140GHz MIMO hub transmitter array modules,**  
8-element  
results in review

110mW InP PA  
20.8% PAE

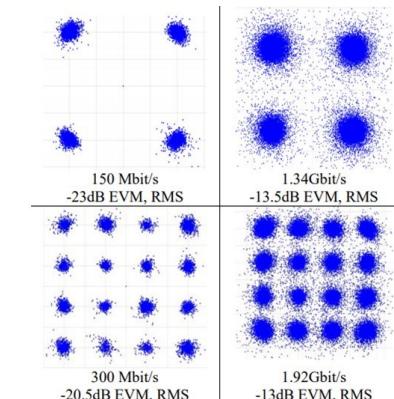
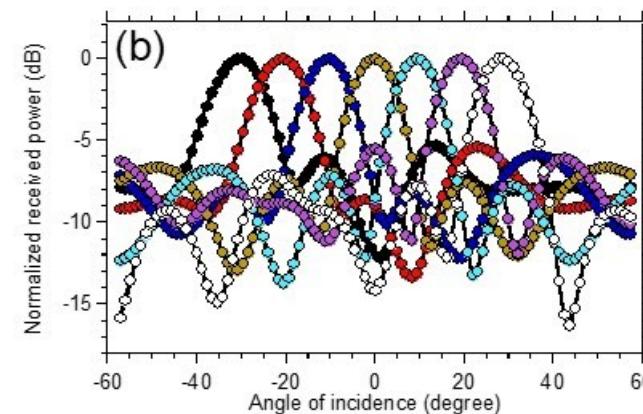
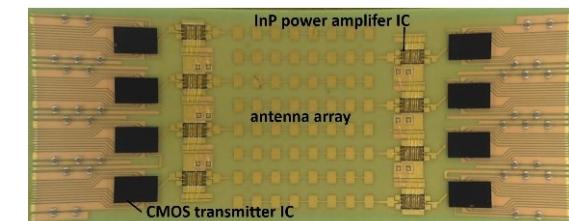
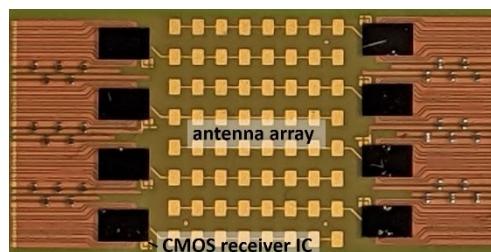
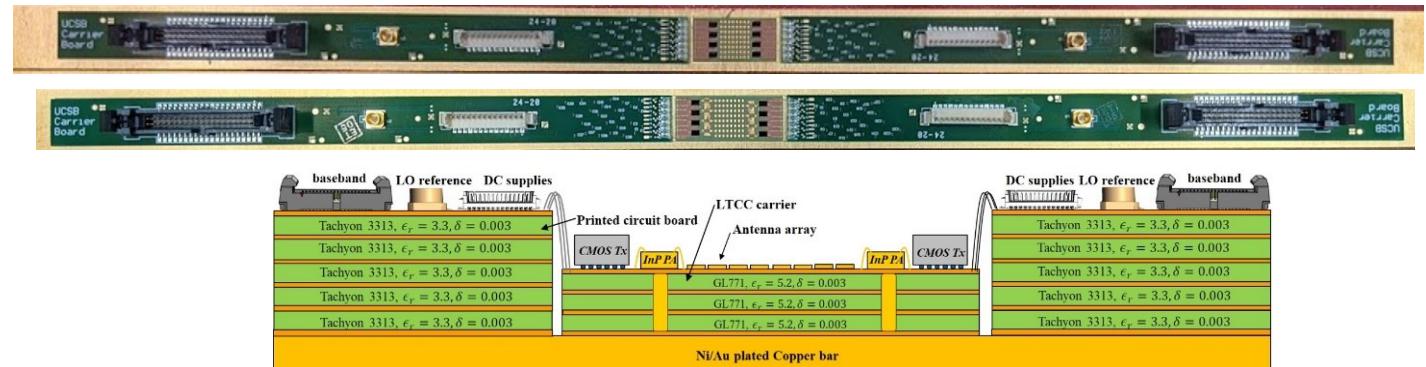


Teledyne 250nm InP HBT

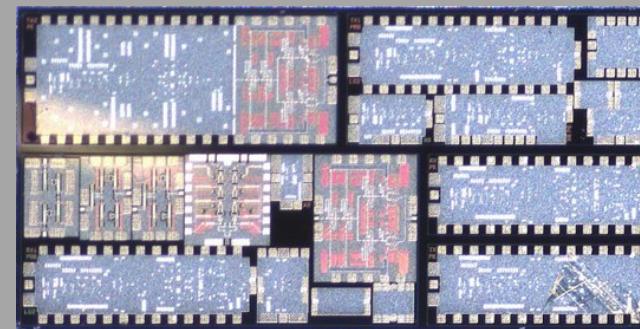
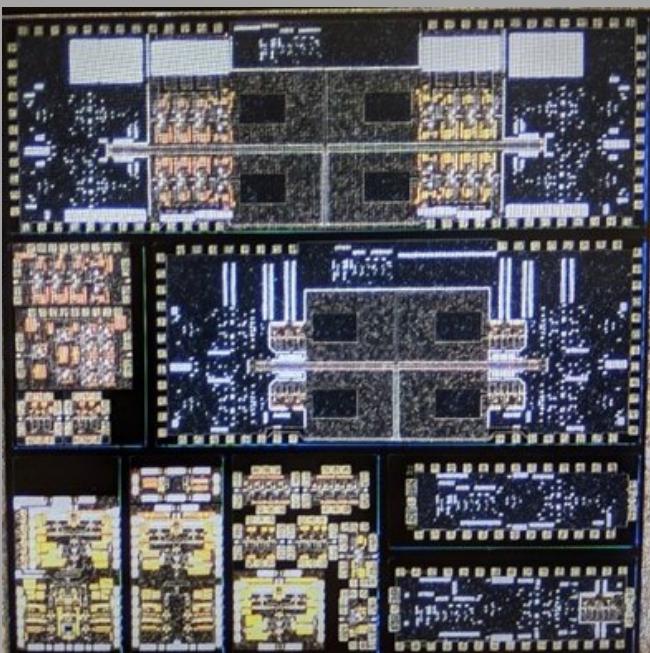
CMOS TX, RX ICs  
GlobalFoundries  
22nm SOI CMOS.



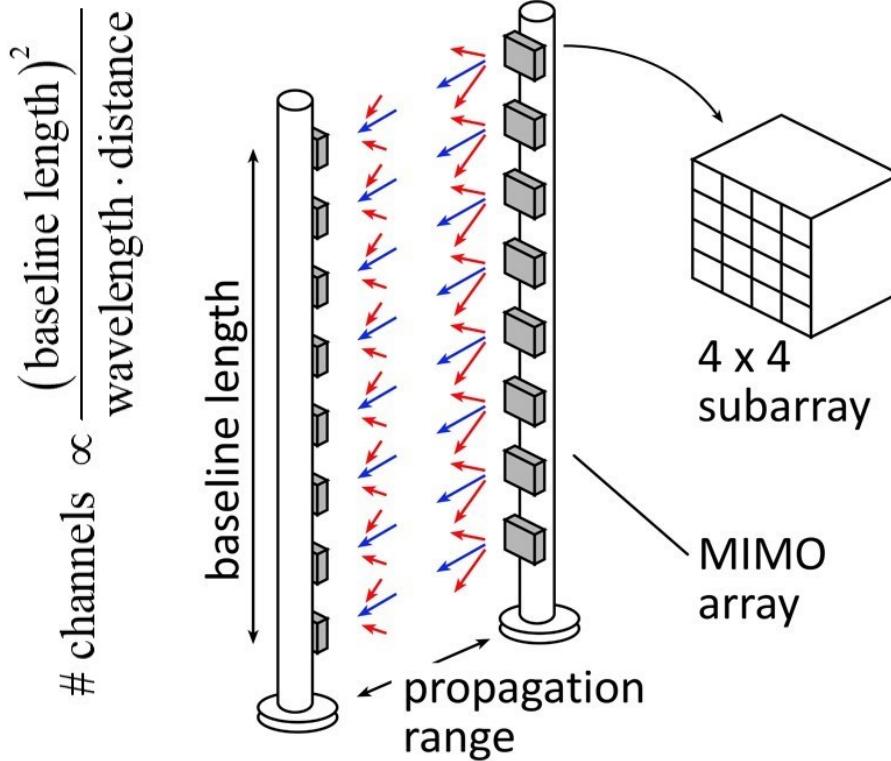
Receiver: A. Farid, 2021 BCICTS; Transmitter: in review



# 210 GHz and 280 GHz Array Modules



# 210 GHz MIMO backhaul demo

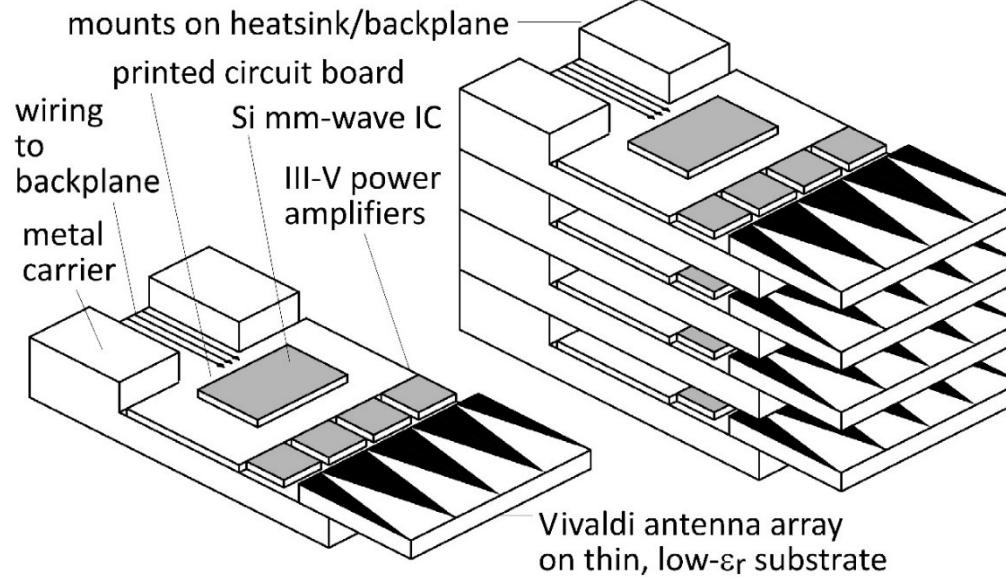


## 8-element MIMO array

3.1 m baseline for 500m link.

80Gb/s/subarray  $\rightarrow$  640Gb/s total

4  $\times$  4 sub-arrays  $\rightarrow$  8 degree beamsteering



## Key link parameters

500 meters range in 50 mm/hr rain; 23 dB/km

20 dB total margins:

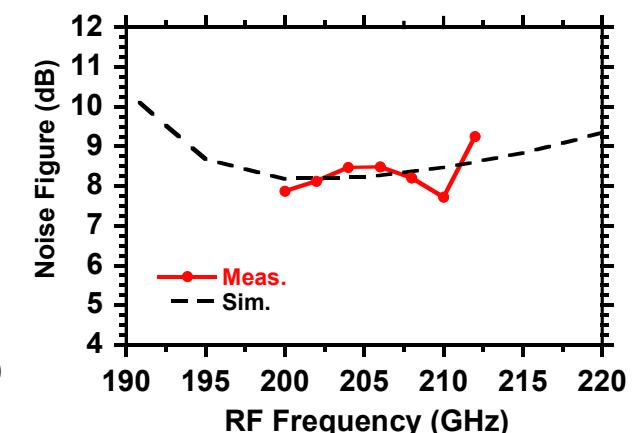
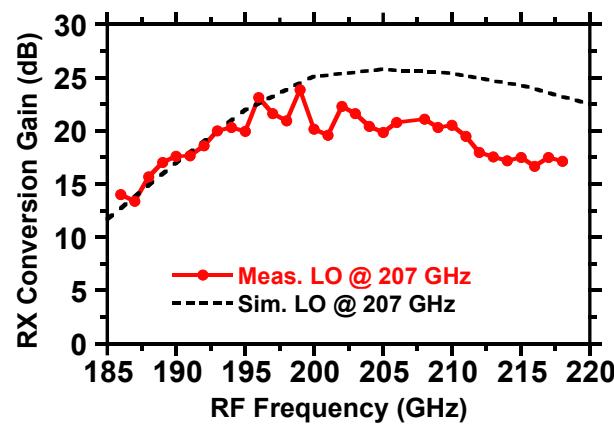
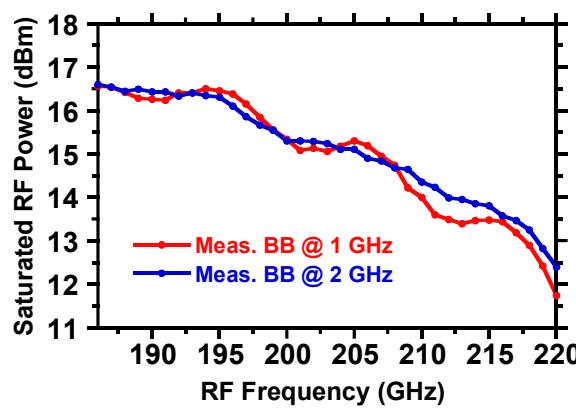
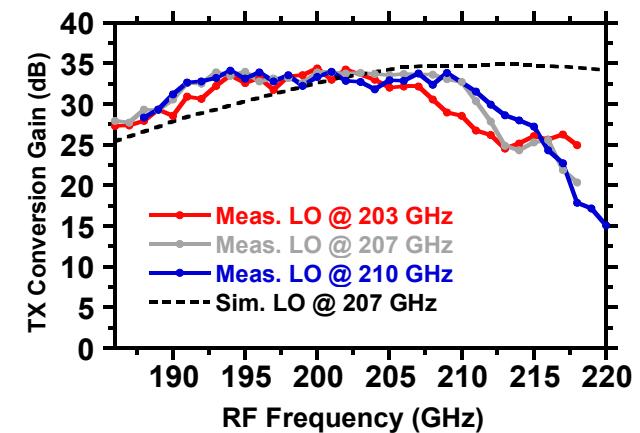
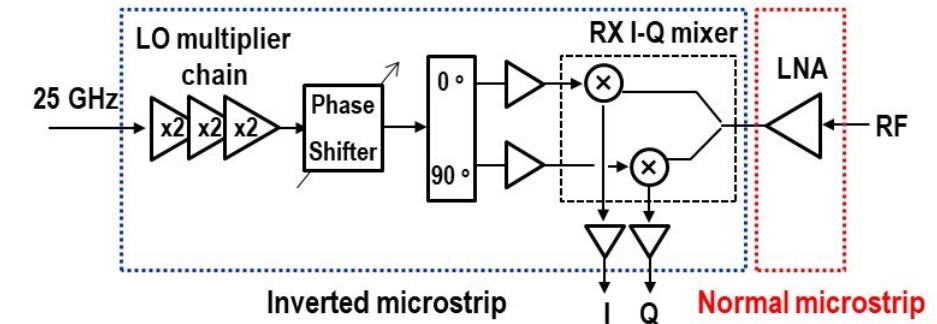
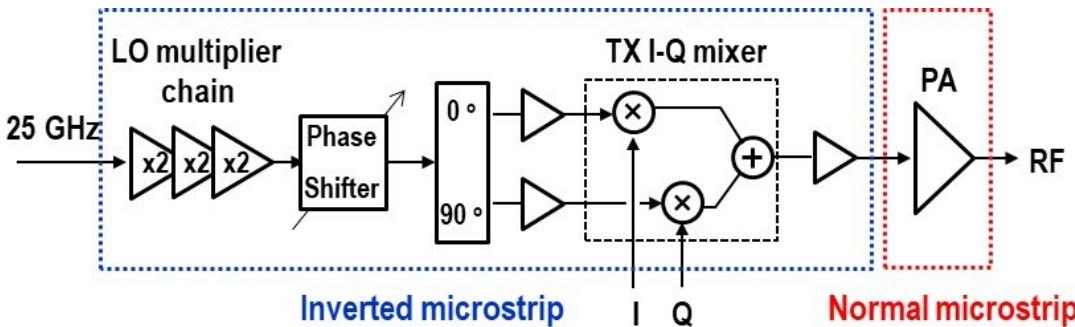
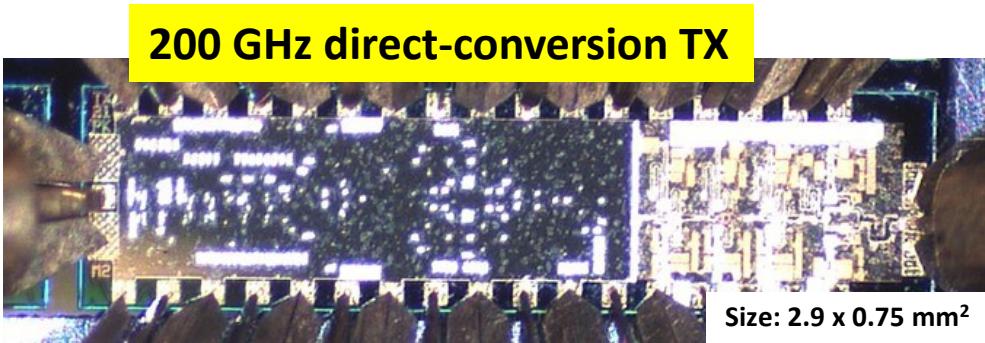
packaging loss, obstruction, operating, design, aging

PAs:  $63\text{mW} = P_{1\text{dB}}$  (per element)

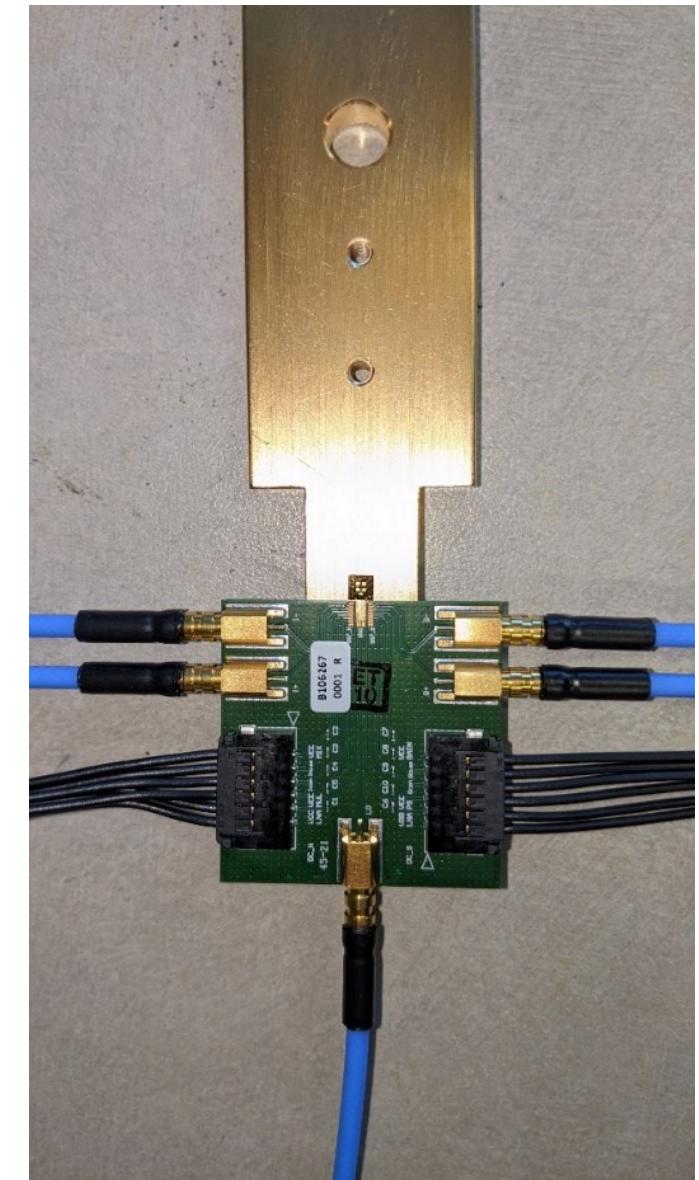
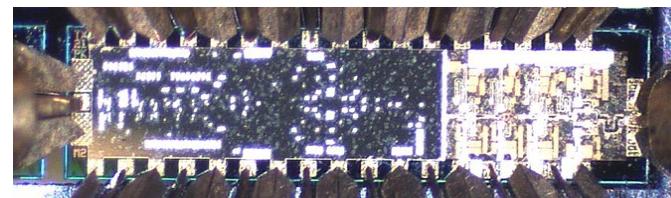
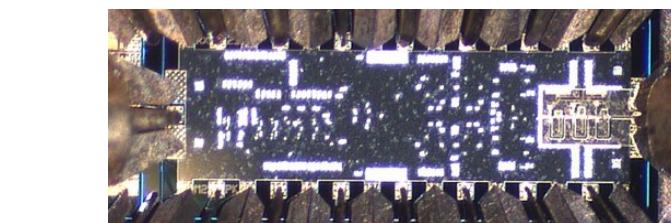
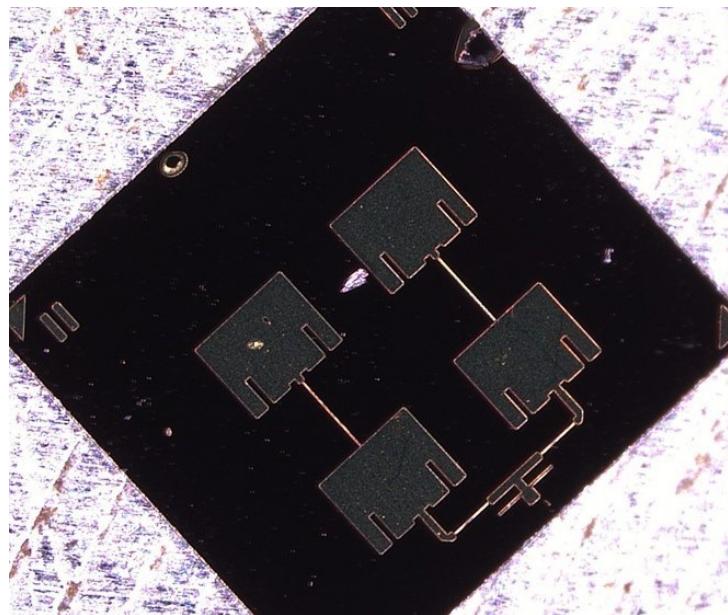
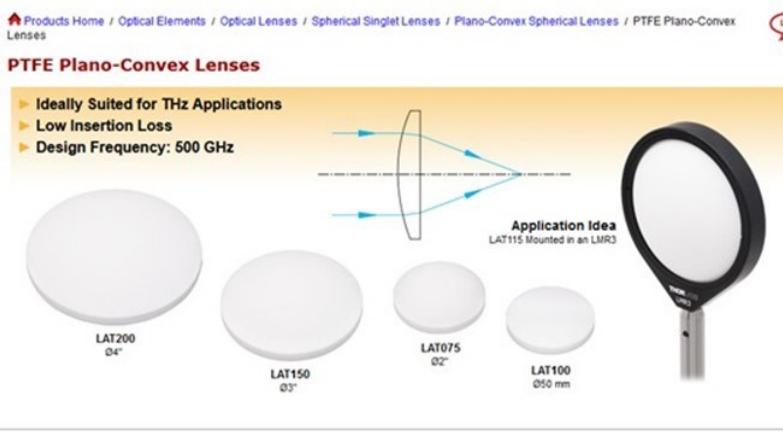
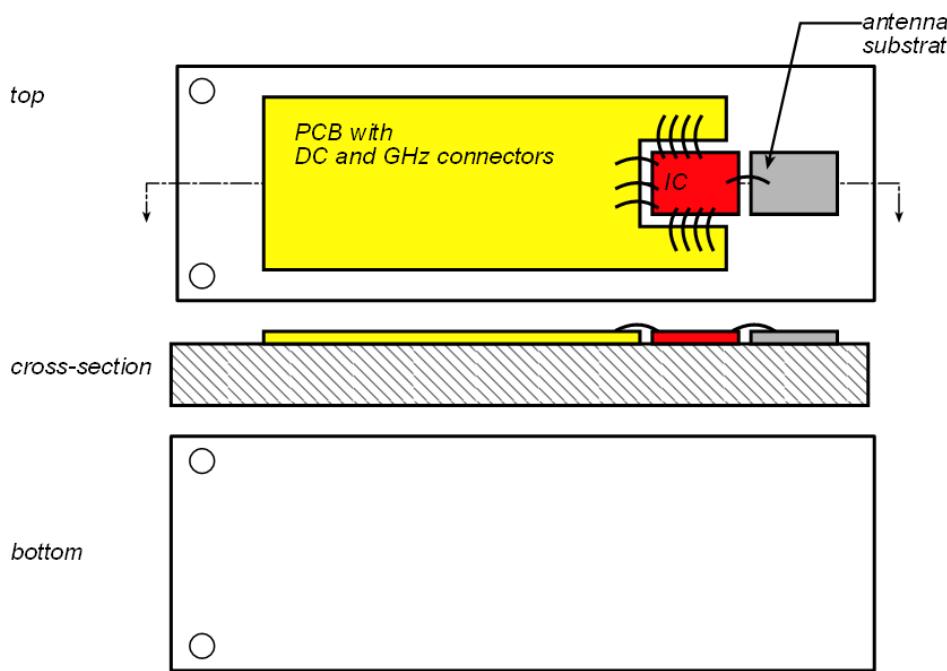
LNAs: 6dB noise figure

# 210 GHz Transmitter and Receiver ICs

M. Seo et al, 2021 IMS; Teledyne 250nm InP HBT



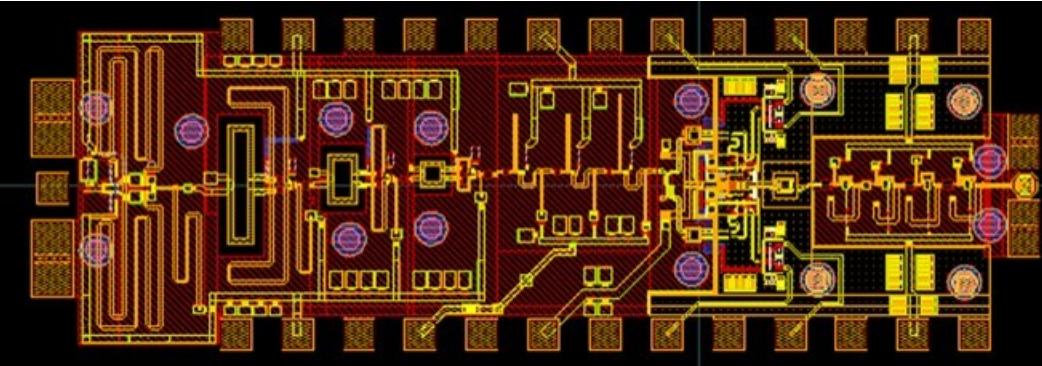
# 210 GHz MIMO transceiver modules: in development



# 280GHz transmitter and receiver IC designs

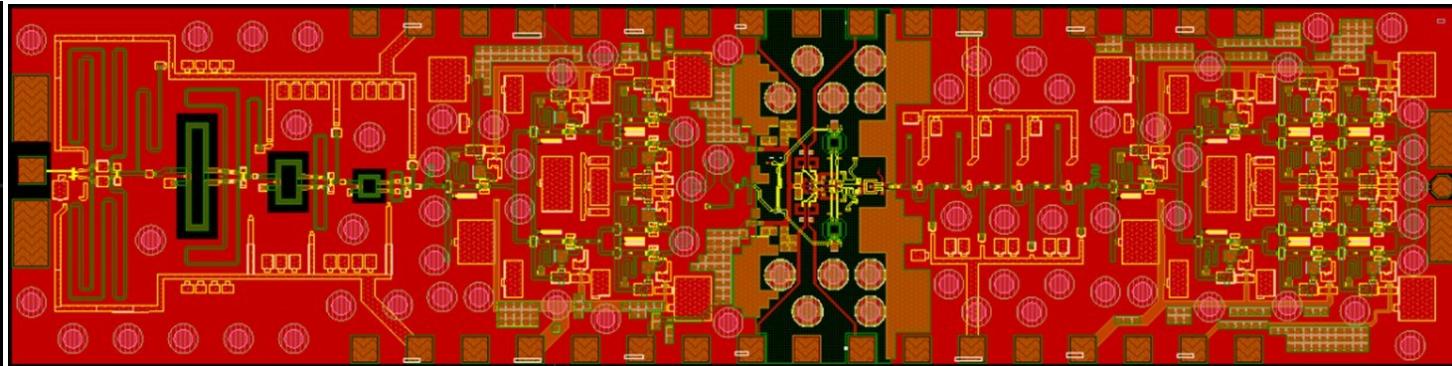
Solyu, Alz, Ahmed, Seo; UCSB/Sungkyunkwan  
Teledyne 250nm InP HBT technology

**Receiver**



simulations: 11dB noise figure, 40GHz bandwidth

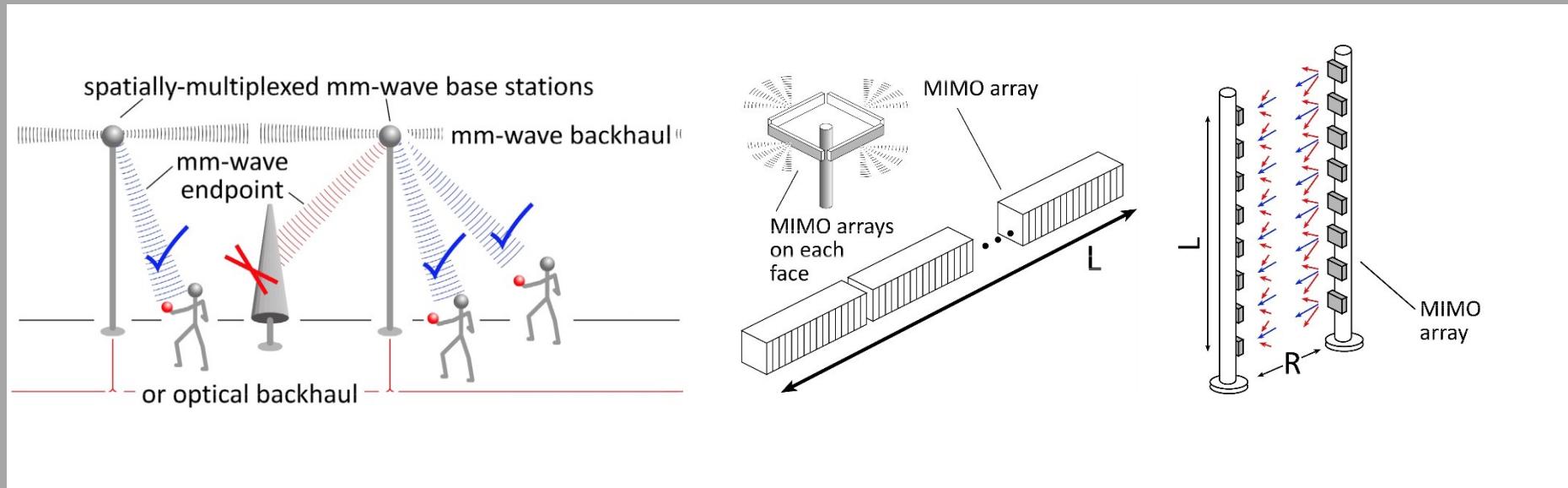
**Transmitter**



simulations: 17dB saturated output power.

**Application: point-point MIMO backhaul links**

# 100-300GHz Wireless



# Wireless above 100 GHz

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## **Massive capacities**

large available bandwidths

massive spatial multiplexing in base stations and point-point links

## **Very short range: few 100 meters**

short wavelength, high atmospheric losses. Easily-blocked beams.

## **IC Technology**

All-silicon for short ranges below 200 GHz.

SiGe or III-V LNAs and PAs for longer-range links. Just like cell phones today

III-V frequency extenders for 340GHz and beyond

## **The challenges**

computational complexity

packaging: fitting signal channels in very small areas

mesh networking to accommodate beam blockage

driving the technologies to low cost

(backup files follow)

# 70 GHz spatially multiplexed base station

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If we use instead a 70GHz carrier,  
the range increases to **70 meters** (vs. **40 meters**)  
but the handset becomes **16mm×16mm** (vs. **8mm×8mm**),  
and the hub array becomes **19mm×612mm** (vs. **10mm×328mm**)

Or, use a **4×4 (8mm×8mm)** handset array,  
and the range becomes ..**about 40 meters**.

Same handset area (more handset elements) → same link budget  
Easier to obtain license for  $140 \pm 2.5$ GHz than  $70 \pm 2.5$ GHz

# 75 GHz, 640 Gb/s MIMO backhaul (16QAM)

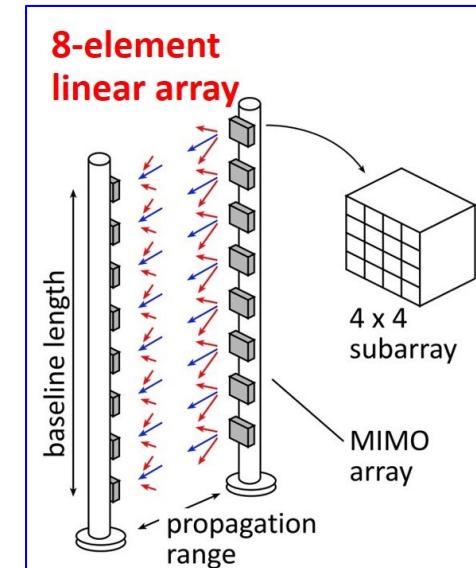
Why not use a lower-frequency carrier, e.g. 75 GHz ?

Must use at least 16QAM, given 80Gb/s/channel...

8-element 640Gb/s linear array:

requires  $16\text{dB}_m$  transmit power/element ( $P_{\text{out}}$ )

requires  $3.5\text{m}$  linear array



**Similar RF power output, physically larger**