

*Beyond 40 GHz:  
Chips to be tested,  
Instruments to measure them*

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## **>40 GHz Measurements: Why now ?**

**Very high frequency instruments have existed for some time.**

**Emerging applications → increased need for instruments**

40 Gb/s (40-50 Gb line rate) optical fiber transmission

60 GHz wireless LANs

rates and bandwidths will get still higher

**Typical circuit / signal parameters**

~40-60 GHz circuit bandwidths

40-50 GHz digital clock rates

~5-8 ps pulse rise times

~150 GHz transistor cutoff frequencies in medium-scale (2000-transistor) ICs

**Instruments needed**

sampling oscilloscopes (waveform measurements)

network analyzer (circuit response, transistor characterization)

**Problems faced**

sampling oscilloscope: timebase stability, connectors, cable loss calibration

network analyzer: cost-effective hardware, precise on-wafer calibration

***types of  
measurements  
and problems***

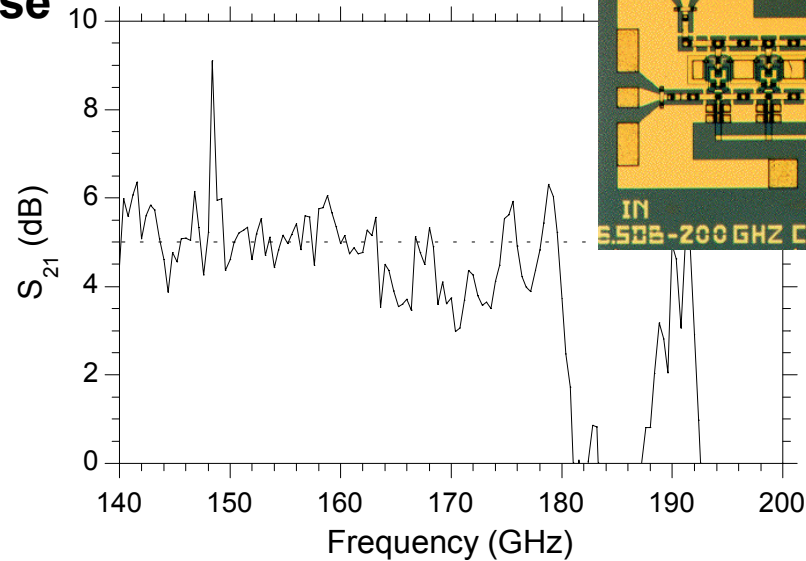
# High-Frequency Measurements: Network Analysis

## Circuit frequency response

No extrapolation

Acceptable errors  
(roughly)

~ 0.25 dB in S21  
30 dB directivity

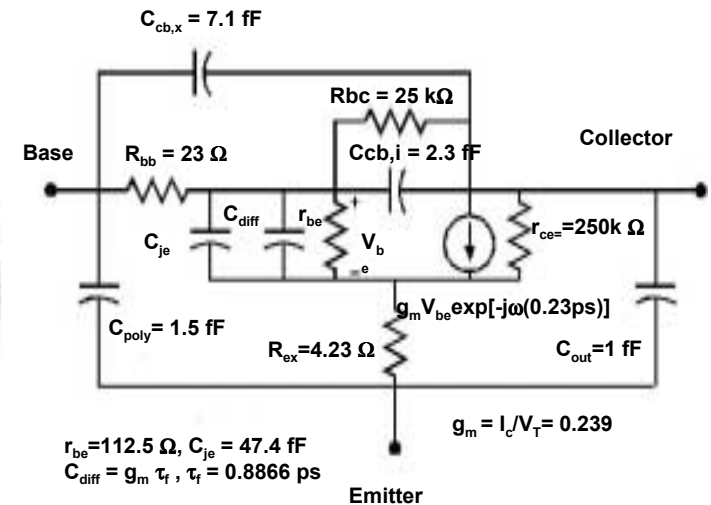
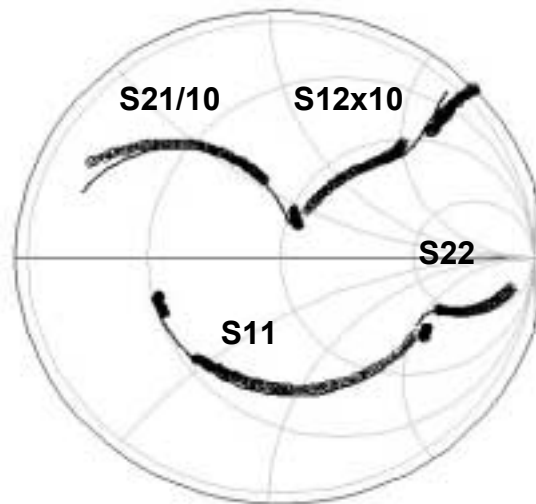


## Device characterization

S-parameters converted  
to  $Y_{ij}$  and  $Z_{ij}$

Frequency variation allows  
device parameter extraction.

Calibration must be  
**very** precise

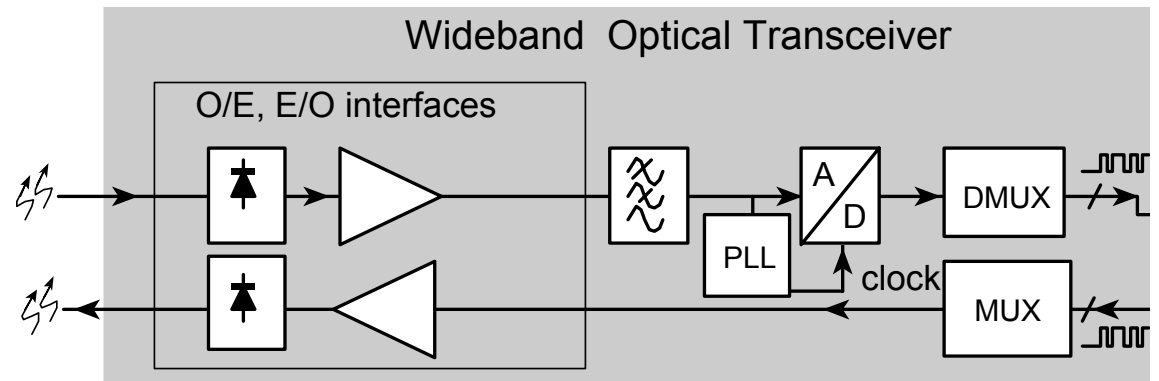
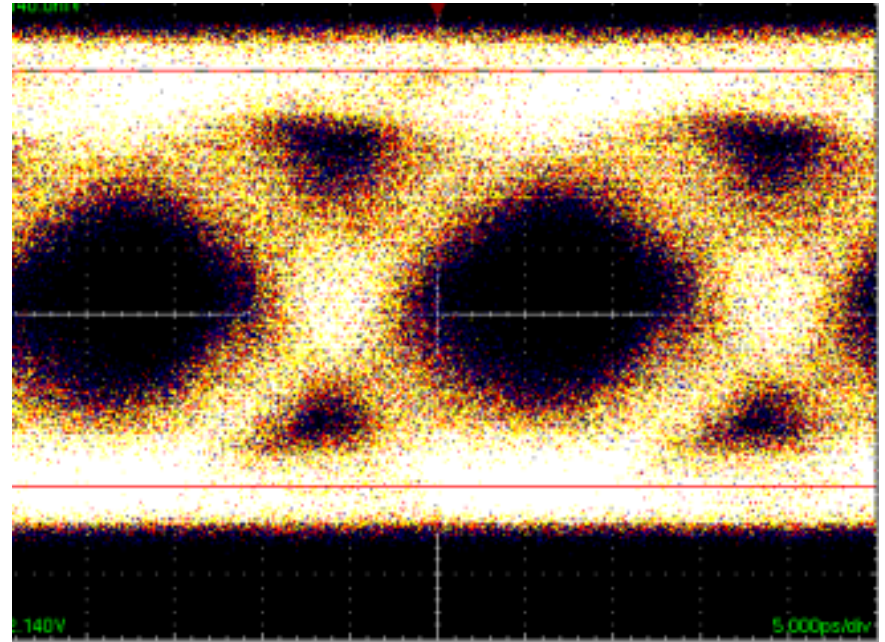


# High-Frequency Measurements: Waveform Measurements

Circuit pulse response  
Circuit or system modulation response  
Functioning system

Measurements in 50 Ohm system  
(Internal node testing not feasible)

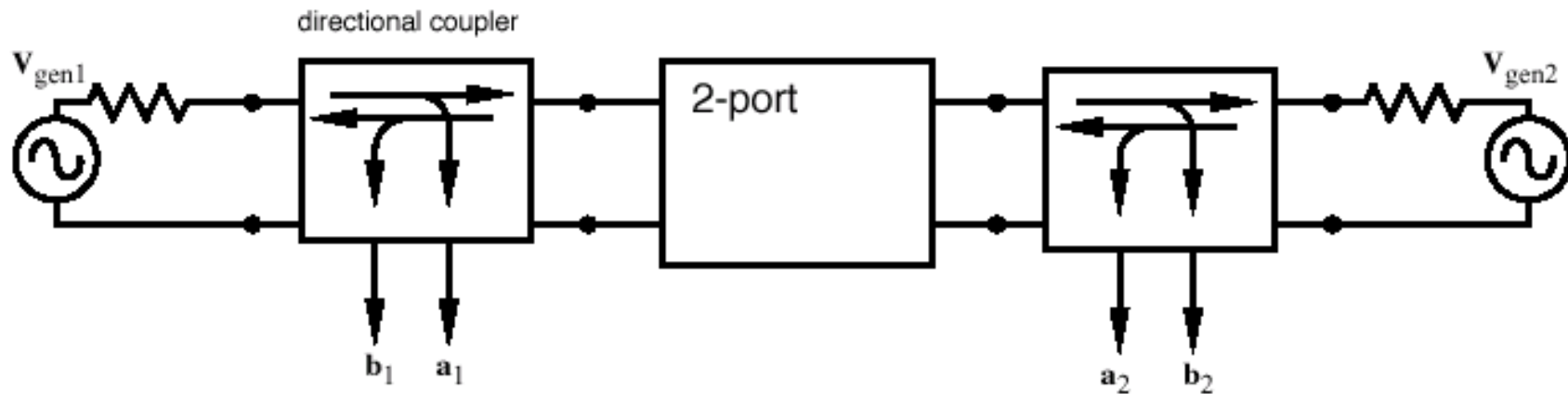
Waveforms may be  
repetitive / periodic  
transient single-shot  
random data (eyes)



***Network  
Analysis:  
system-level***

# microwave network analysis

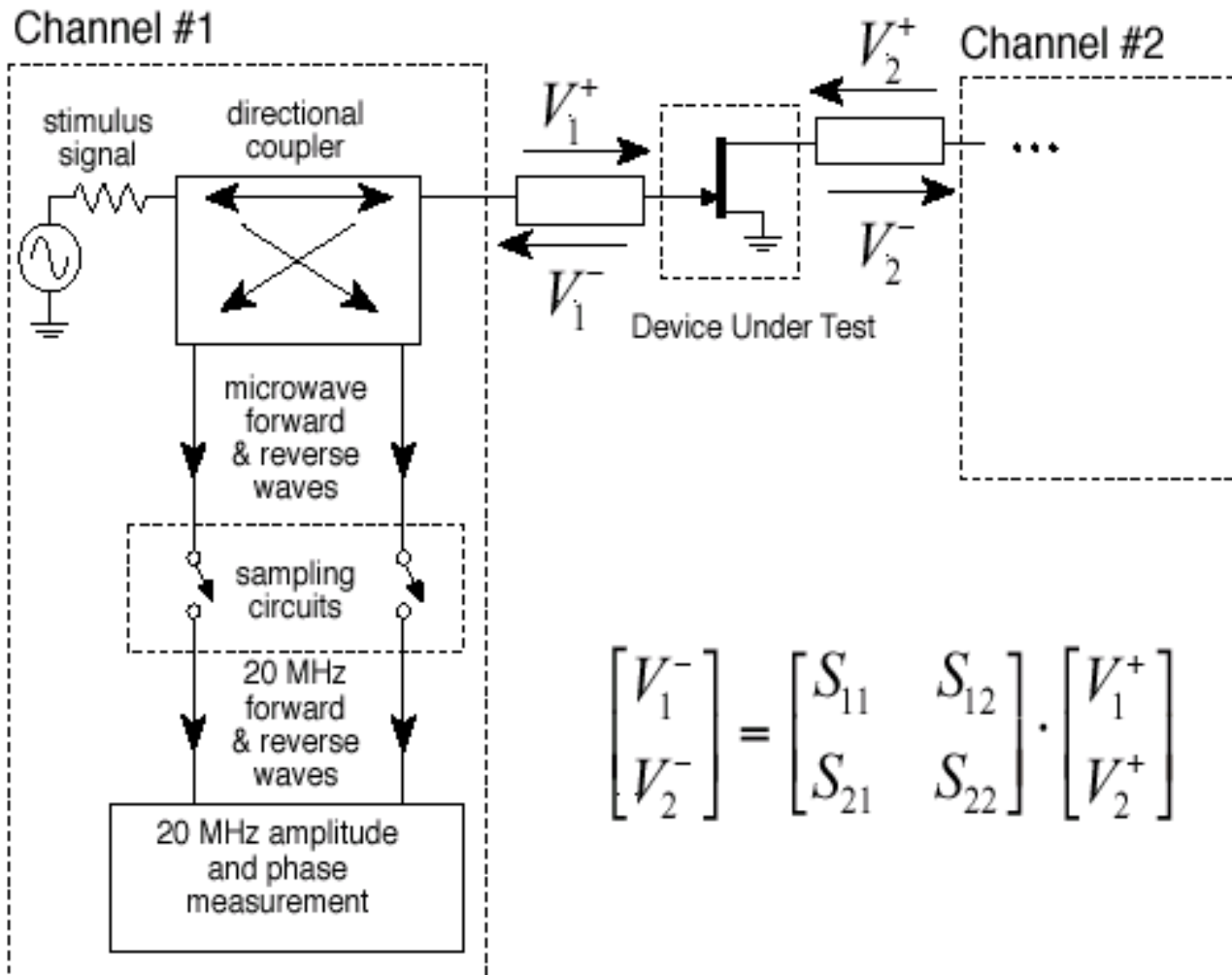
Measurement of (linear / small signal) 2-port network parameters in the frequency domain.



Swept-frequency sources ( $V_{gen1}$  and  $V_{gen2}$ ) are alternately applied to the 2-port input and output, and the incident and emanating waves measured with directional couplers.

Calibration: amplitude/phase contributions of cabling (etc.) between the instrument and the d.u.t. are corrected for by first measuring a series of devices of known characteristics in place of the d.u.t., either  $50\Omega$  load, open, short, and through line, or a series of through lines of differing lengths ("LRL")

# Block Diagram: microwave network analysis



Bandwidth limits include

available connectors and cables,

sampling circuits,

signal source frequency range

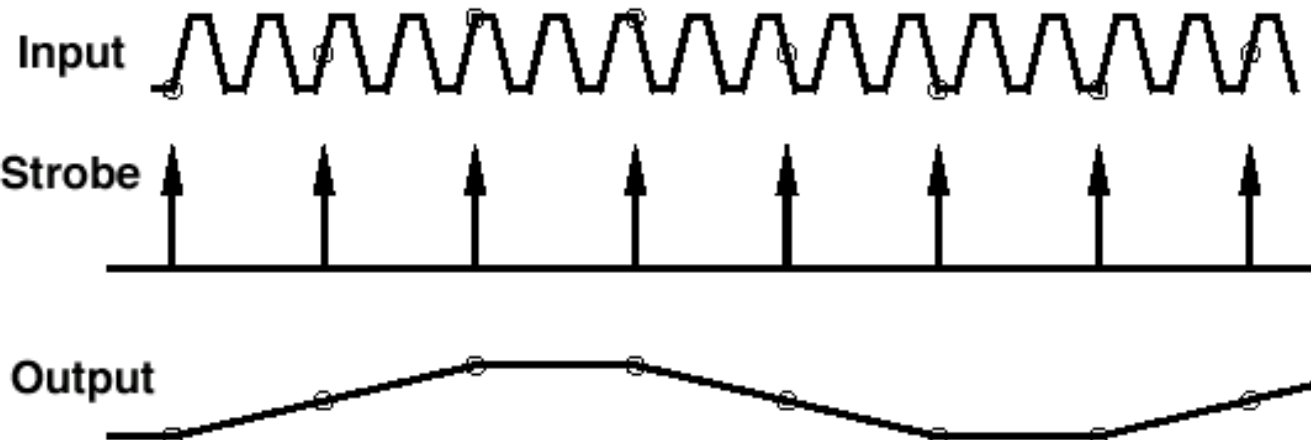
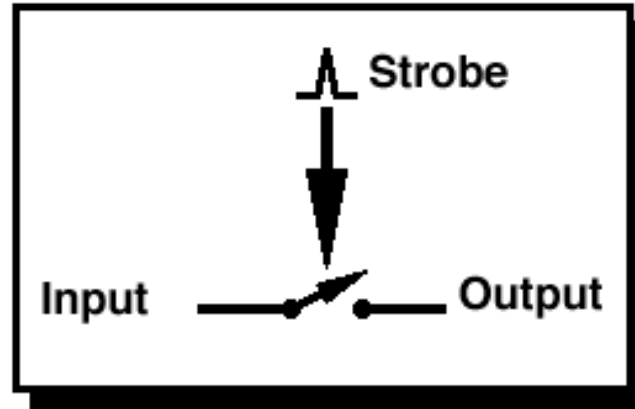
$$\begin{bmatrix} V_1^- \\ V_2^- \end{bmatrix} = \begin{bmatrix} S_{11} & S_{12} \\ S_{21} & S_{22} \end{bmatrix} \cdot \begin{bmatrix} V_1^+ \\ V_2^+ \end{bmatrix}$$



***sampling***  
***oscilloscopes***

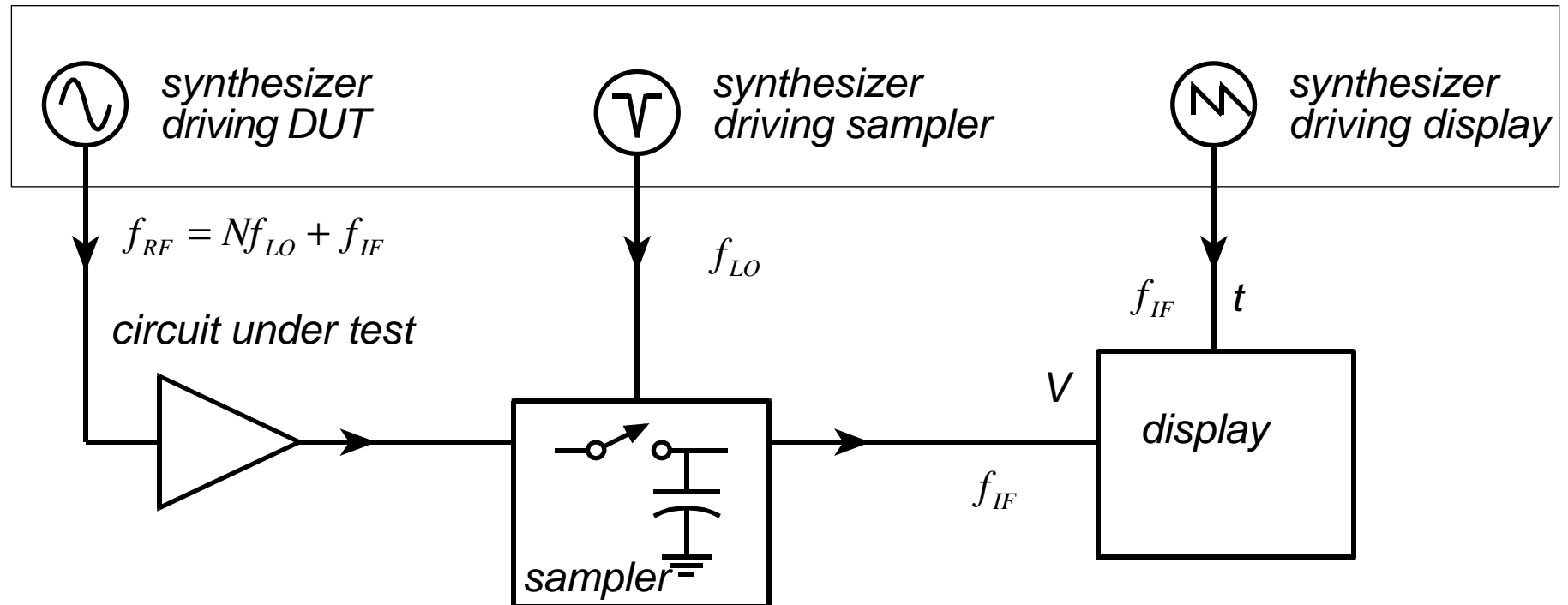
## Sampling

Reducing the repetition frequency (bandwidth) of a signal so that it can be measured with low-frequency instruments



If the strobe signal has repetition frequency  $f_0$  and the input signal has repetition frequency  $nf_0 + \Delta f$ , the sampled output will be at frequency  $\Delta f$ .

# Synthesizers as sampling scope timebase



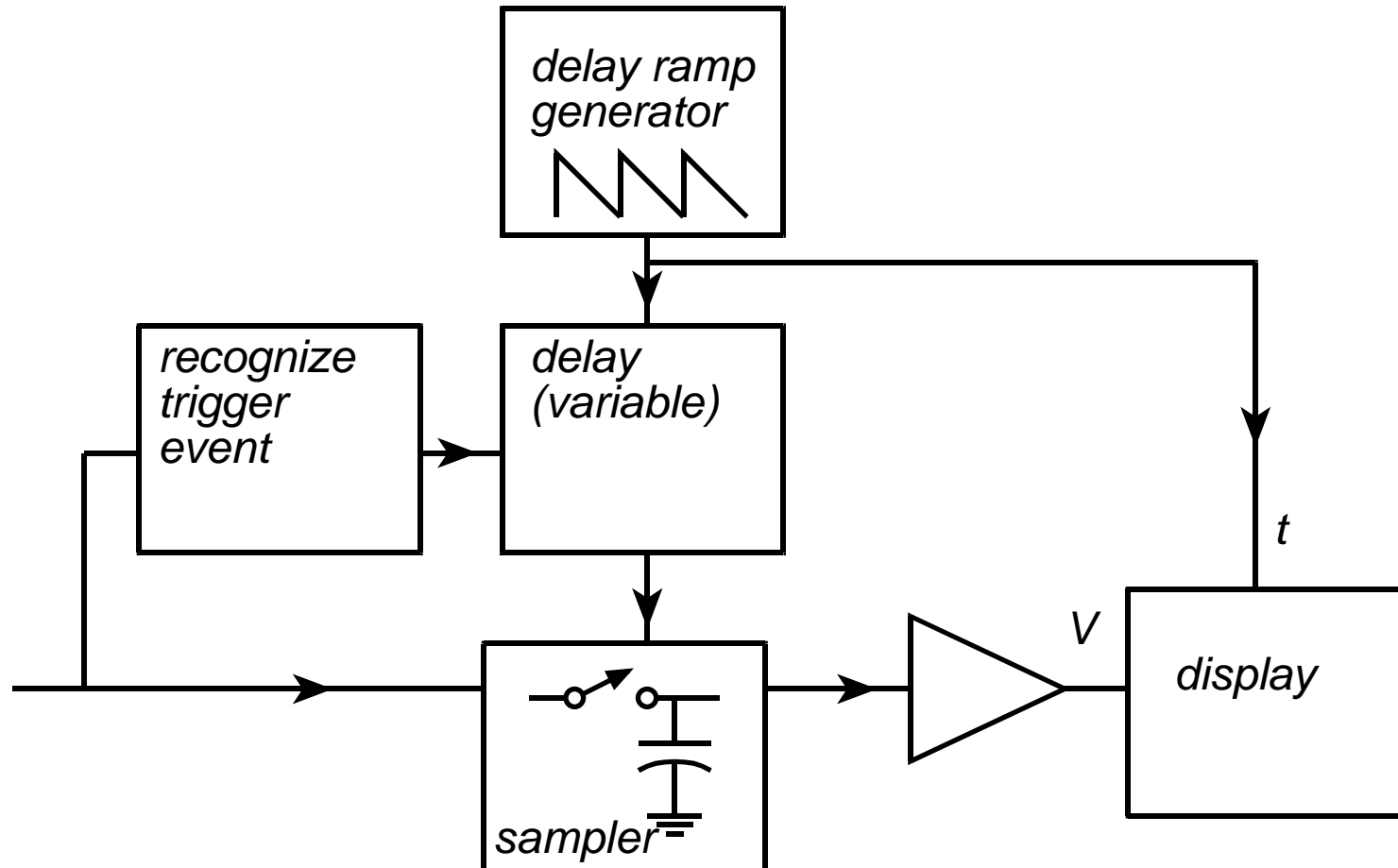
Simple to implement ( we use this at UCSB)

Demands that instruments can control signal stimulus

Extremely good timebase stability

Acceptable for eye patterns, hard for data patterns.

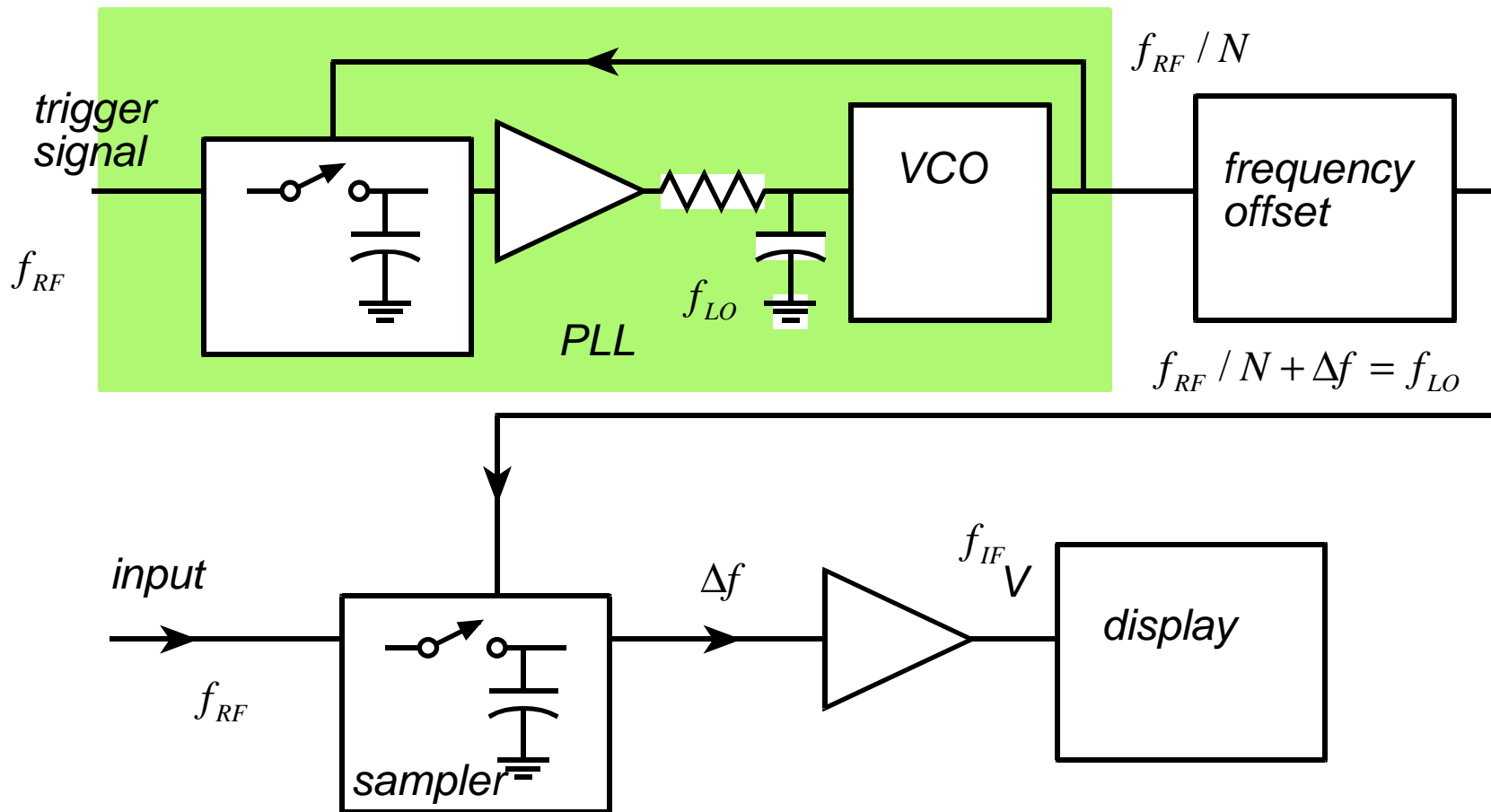
# Common triggered-timebase sampling scope



Good: trigger on ***aperiodic*** repetitive signal

Bad: no band limiting in triggering  $\rightarrow$  trigger jitter

# PLL as sampling scope timebase ?



PLL is narrowband filter: noise suppression of trigger signal → less jitter

Challenge: maintaining LO frequency within acceptable design range

Alternatives:

$\Delta$ - $\Sigma$  frequency synthesis for frequency offset

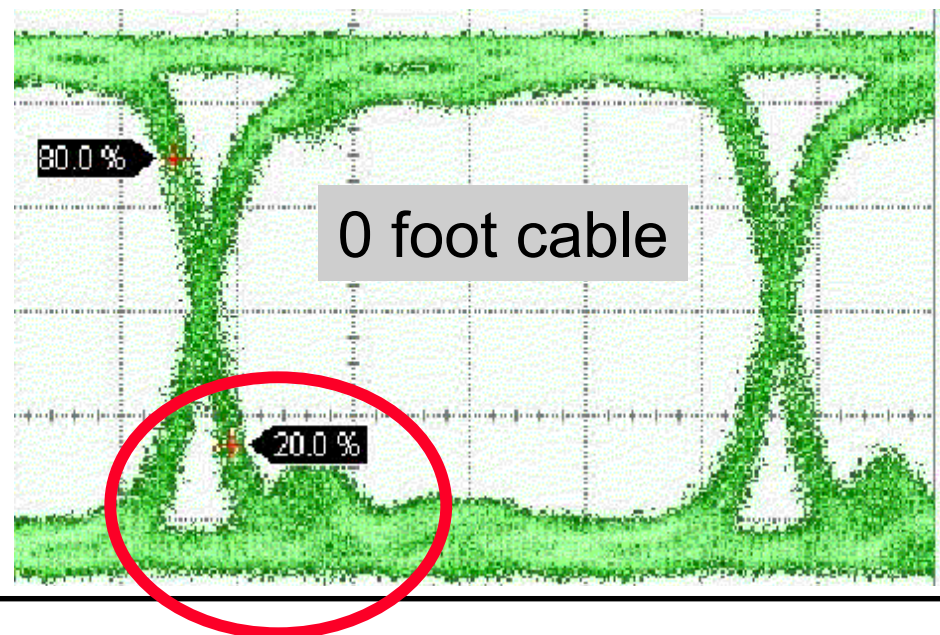
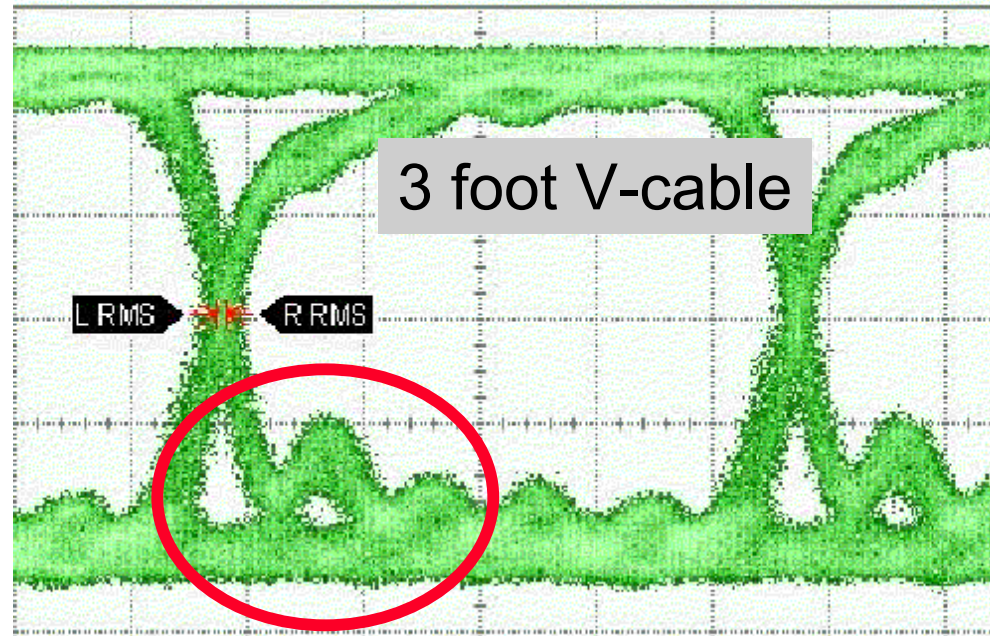
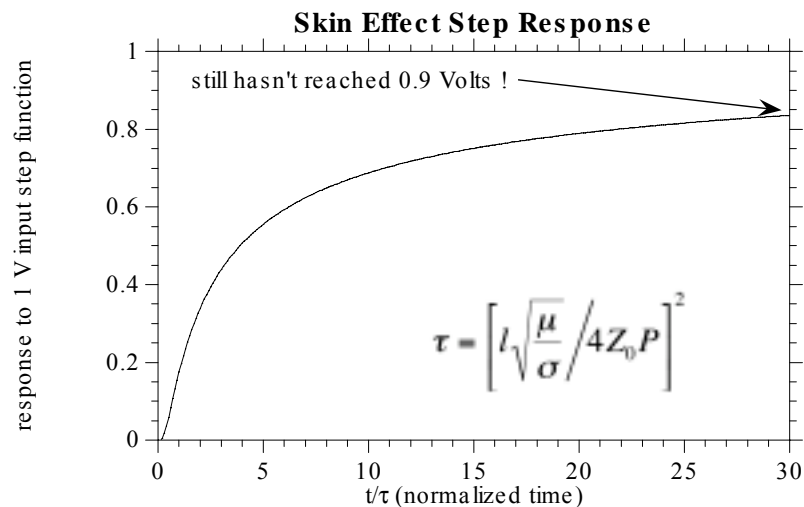
Direct digital frequency synthesis timebase control

# Cable losses with sampling scopes

Present sampling oscilloscopes do not provide calibration correction of cable + connector losses

significant ambiguity in waveform measurement, particularly for on-wafer measurements\*

\*skin losses of wafer probes are high



# Calibration in sampling oscilloscopes ?

Pulse response distortion due to cable loss is becoming major measurement limit.

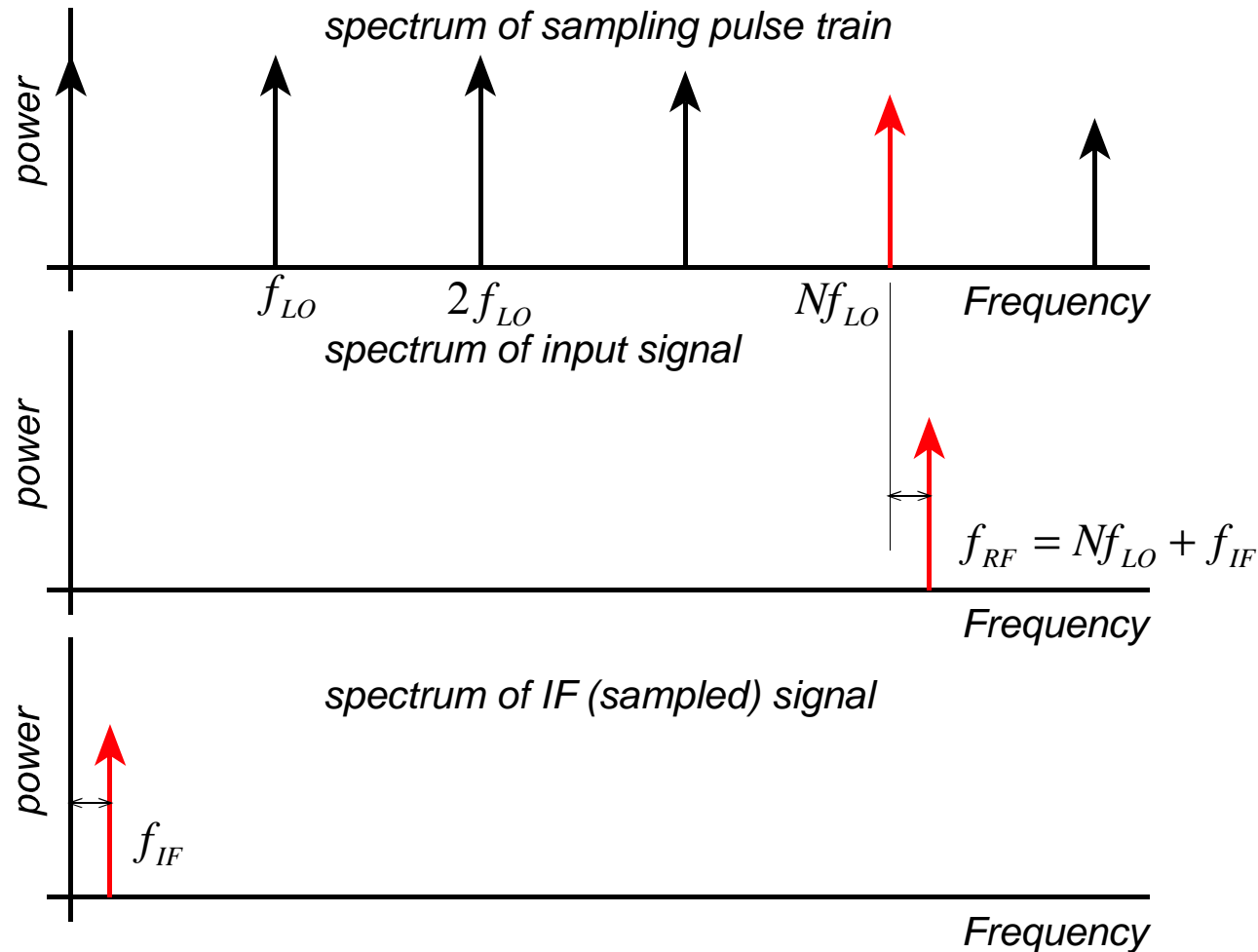
Network analysis removes such artifacts by calibration

Can NWA calibration be extended to sampling oscilloscopes ?

***sampling  
bridges  
and  
harmonic  
mixers***



# Equivalence of sampling and harmonic mixing



Sampling circuits are one type of high-order harmonic mixer.

**Sampling circuits used in oscilloscopes and network analyzers**

## ***Harmonic-mixing order in network analysis***

Noise figure of Sampling Circuit

$F \geq$  harmonic order of conversion (frequency domain description)

$F \geq$  (time off / time on) (time domain description)

Sampling circuits with low high harmonic orders :

inexpensive hardware : LO at low frequency, low LO tuning

degraded dynamic range due to high noise figure

Network analysis with low harmonic orders

moderately expensive hardware : higher LO, more LO tuning

better noise figure

harmonic mixer may be diode pair or sampling bridge

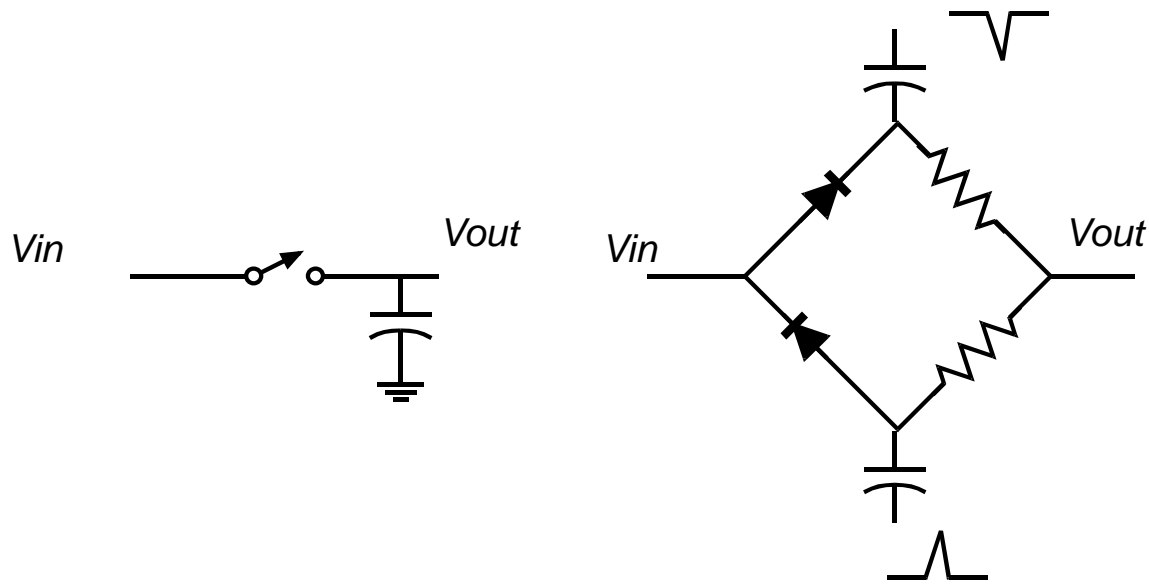
Network analysis with fundamental mixing

expensive hardware

best dynamic range

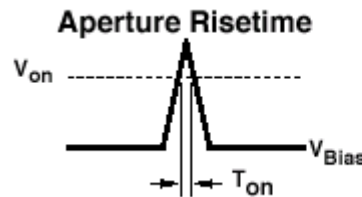
# Diode Sampling Bridges

Used in sampling oscilloscopes and network analyzers



$RC$  risetime at input

$$T_{RC} = 2.2 \frac{Z_o}{2} (2C_{diode})$$



**Total Effective Risetime**

$$T_{EFF} = \sqrt{T_{ON}^2 + T_{RC}^2}$$

Schottky diodes are readily made with  $\ll 5$  fF junction capacitance and  $\gg 2$  THz R-C cutoff frequencies.  
 The primary bandwidth limitation of sampling circuits: duration of the strobe pulse used to gate the diodes.  
 Strobe pulses generated using either Silicon step-recovery diodes, NLTLs, or transistor limiting amplifiers

## The Step Recovery Diode

Under bias, carriers are stored in the intrinsic region

Charge control model:

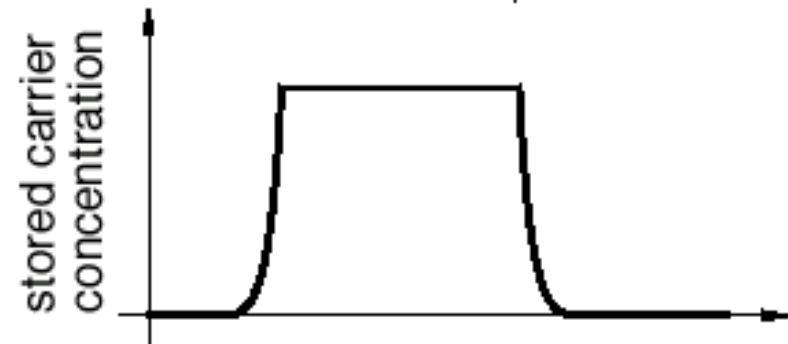
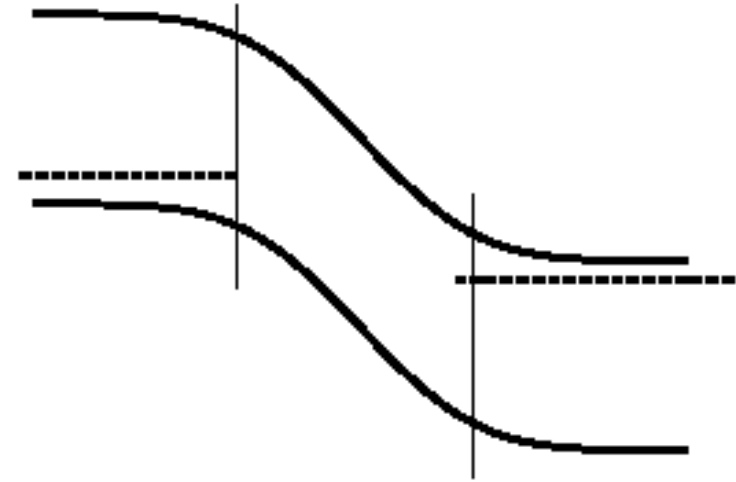
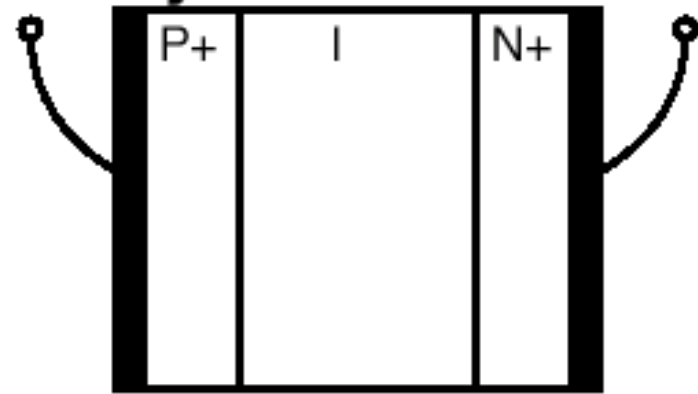
- Stored charge

$$Q_s = Q_0 (\exp(qV / kT) - 1)$$

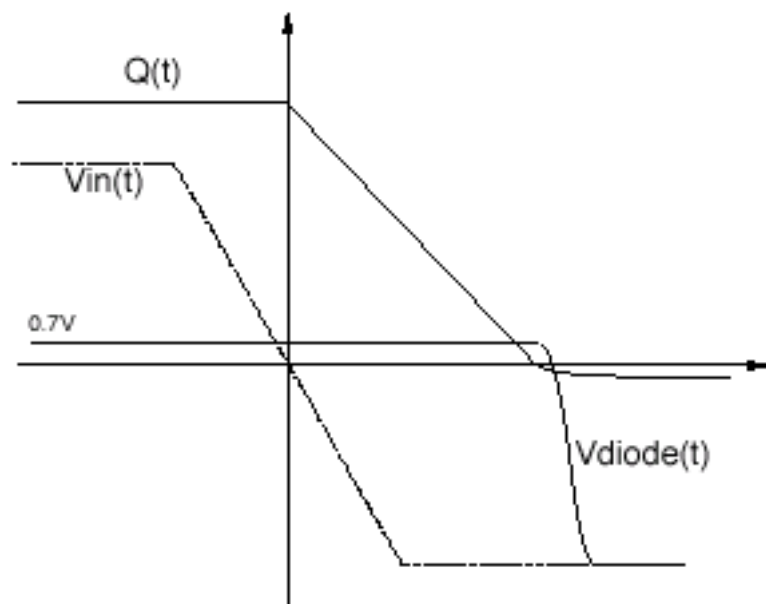
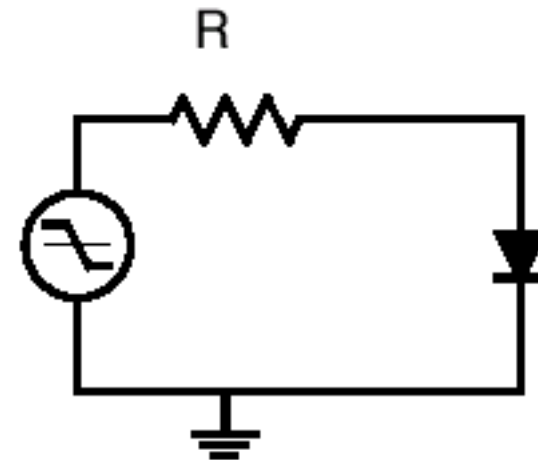
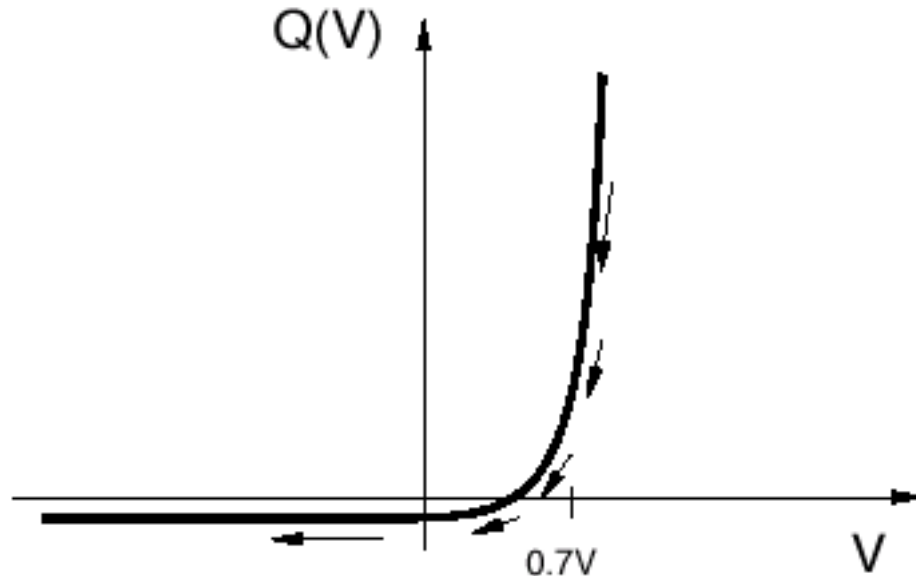
- Diode current

$$I = \frac{dQ_s}{dt} + \frac{Q_s}{\tau}$$

- Widely used as a pulse generator in microwave instruments



# Electrical Faltime Compression with SRD's



$$I = (V_{diode} - V_{in})/R$$
$$dQ/dt \cong -I$$

For a fast-changing input signal, the SRD acts as a nonlinear capacitor.

# Risetime / pulse width limits to SRDs

Depletion Capacitance

$$\tau = Z_o C_{SRD}$$

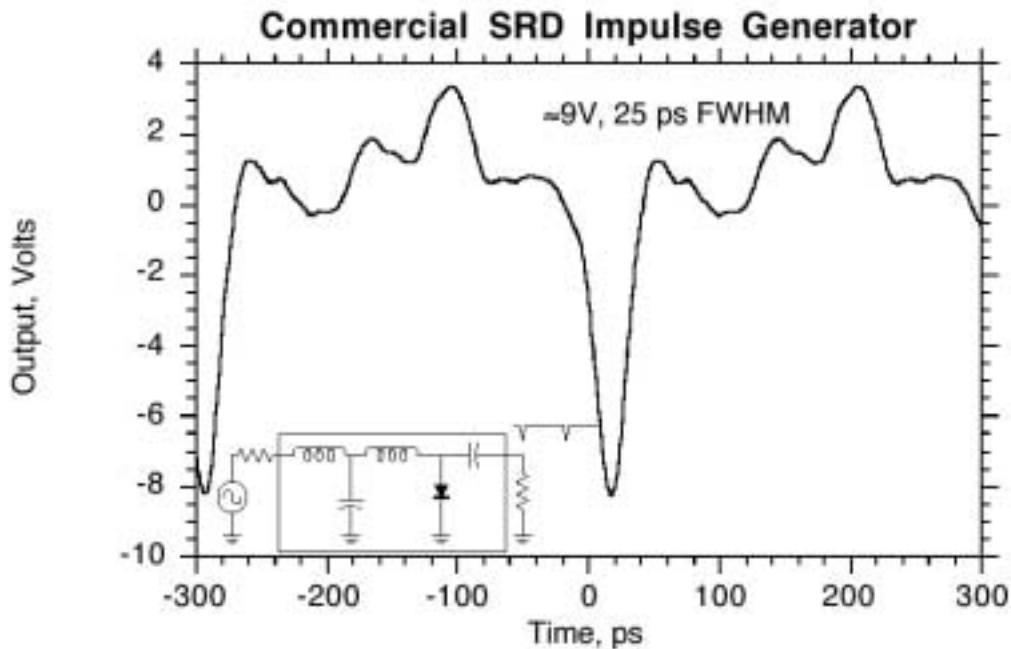
Carrier Diffusion Time

Time in which final carrier collapse arises in depletion region

Moll (1969) estimates this as 10 ps/micron of depletion width

Typical Performance

Best commonly - available devices are 20 - 30 ps



***NLTL***

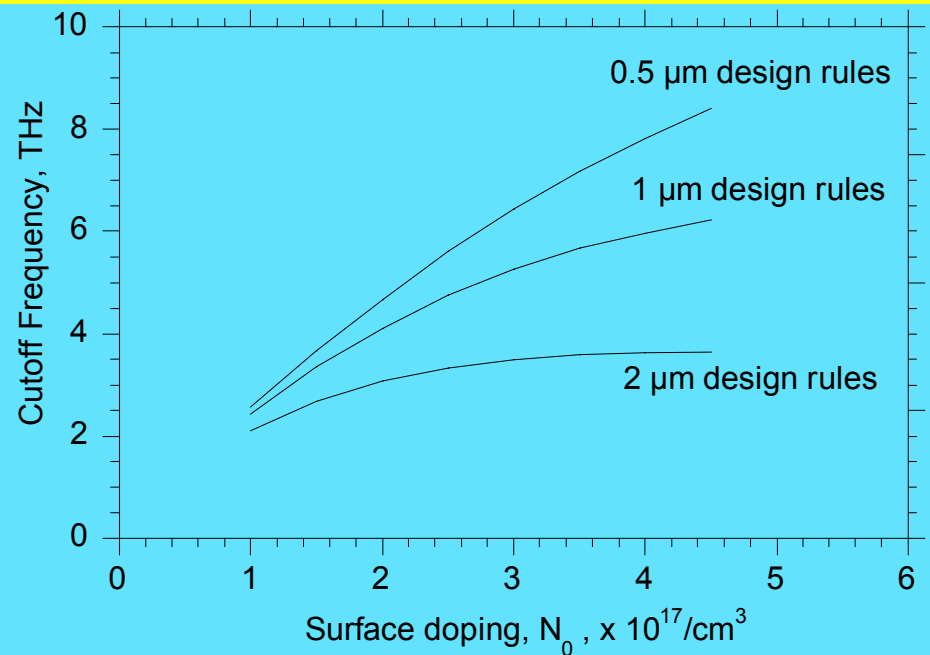
***technology***

# GaAs Schottky diode ICs for mm-wave Instruments

UCSB, Stanford, Hewlett-Packard 1985-1995

**GaAs Nonlinear Transmission Line ICs:  
0.5 ps pulse generators &  
DC-725 GHz sampling circuits**

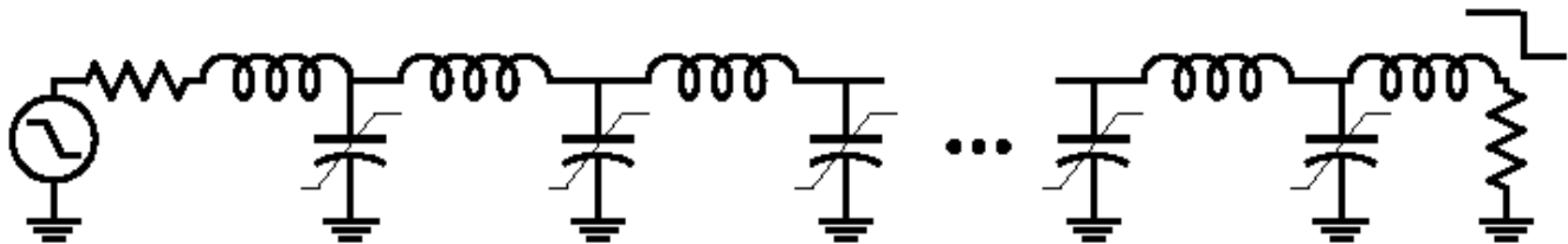
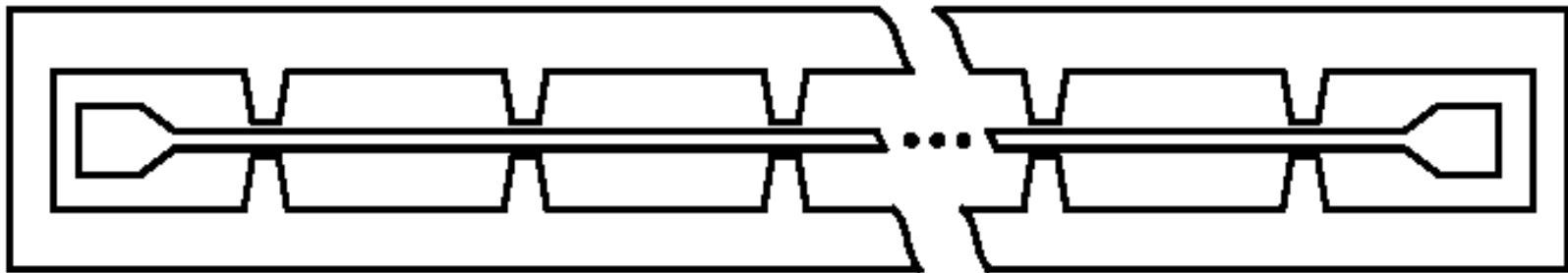
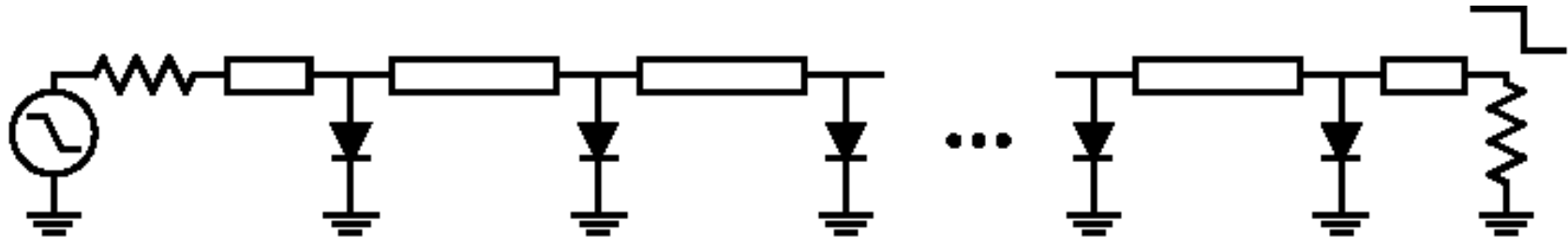
**Semiconductor Technology:  
scaled THz Schottky varactor diodes**



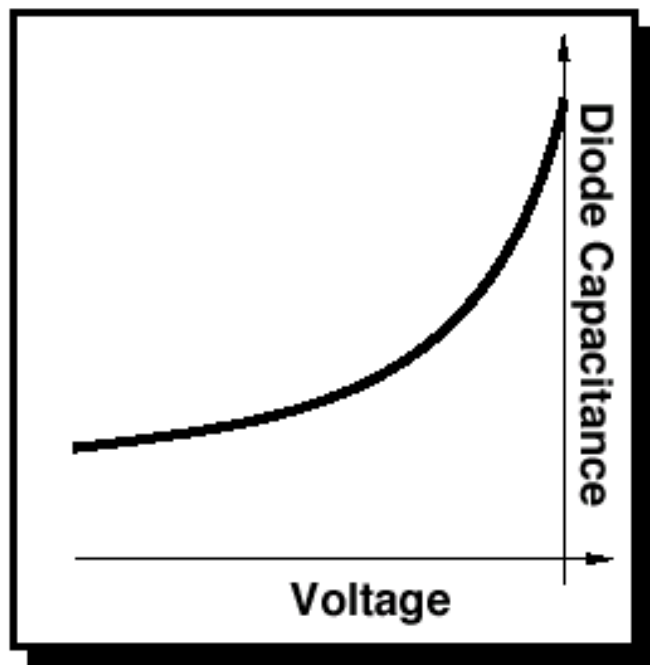
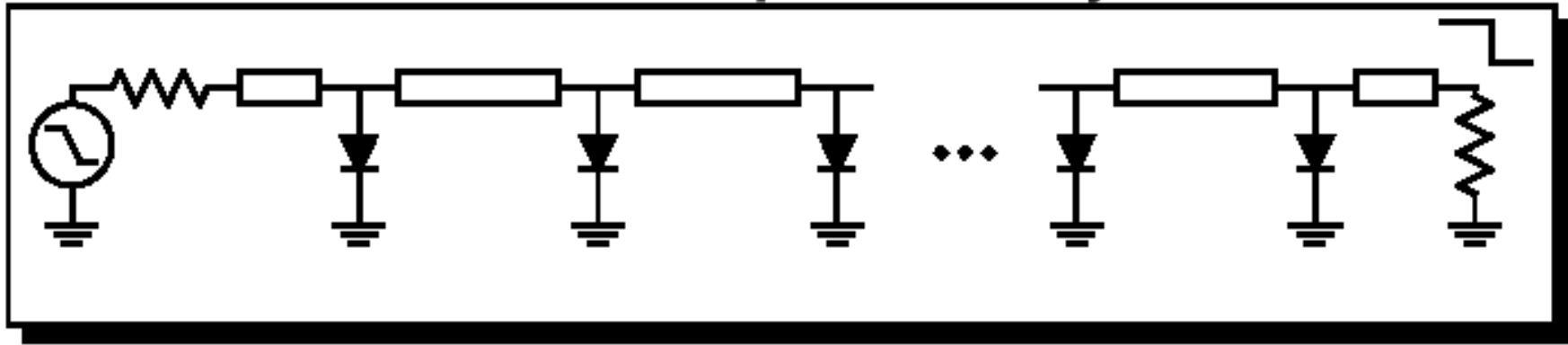
*NLTL technologies can cheaply address emerging needs for 100 GHz instruments.  
Connector and timebase difficulties will dominate cost and accuracy*



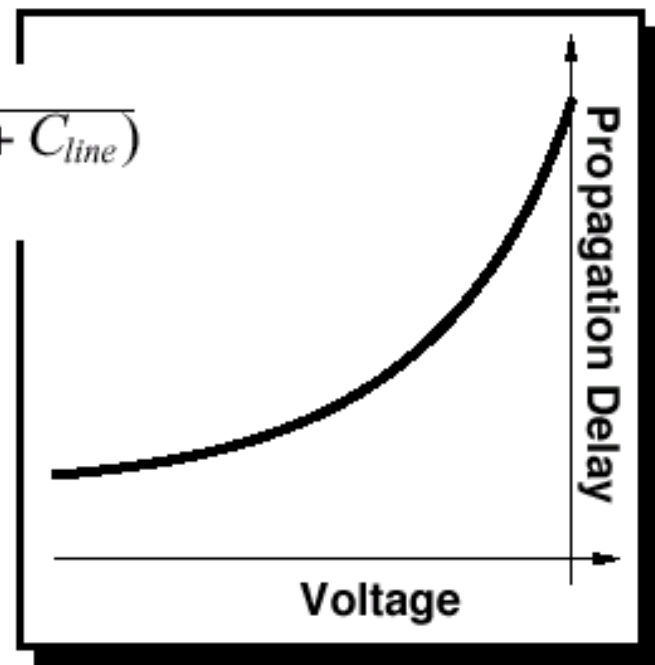
# NLTL Structure and Equivalent Circuit



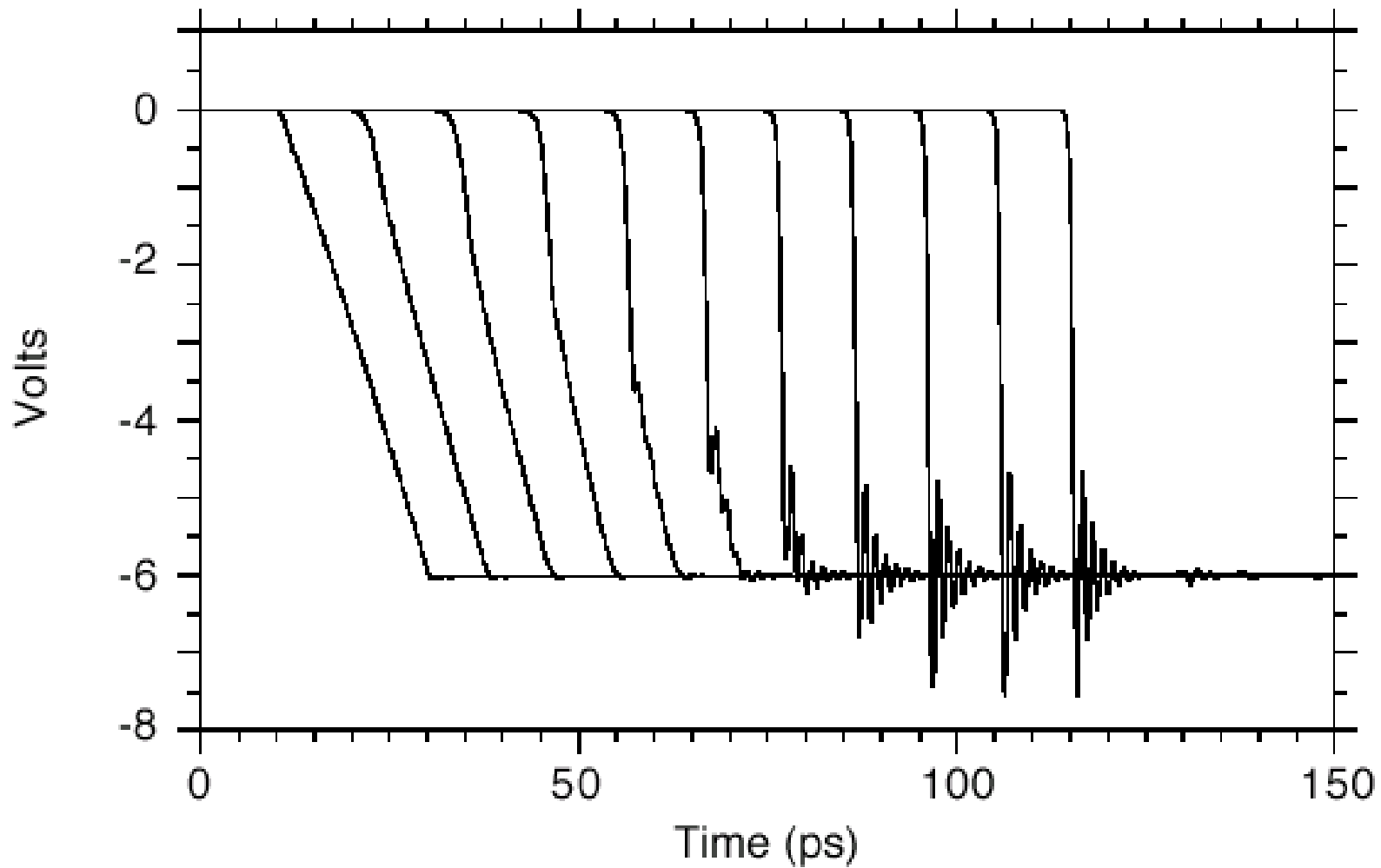
## Wavefront Compression by NLTL



$$T_{delay} = \sqrt{L(C_{diode} + C_{line})}$$



# SPICE Simulation of Shock Formation



# Limits to NLTL Shock-Wave Transition Time

## **Periodic-Network (Bragg) Frequency**

The periodic structure results in a sharp filter cutoff inversely proportional to the diode spacing. Within lithographic limits, this can easily be 1-2 THz.

## **Diode Cutoff Frequency**

The fundamental limit of the technology.

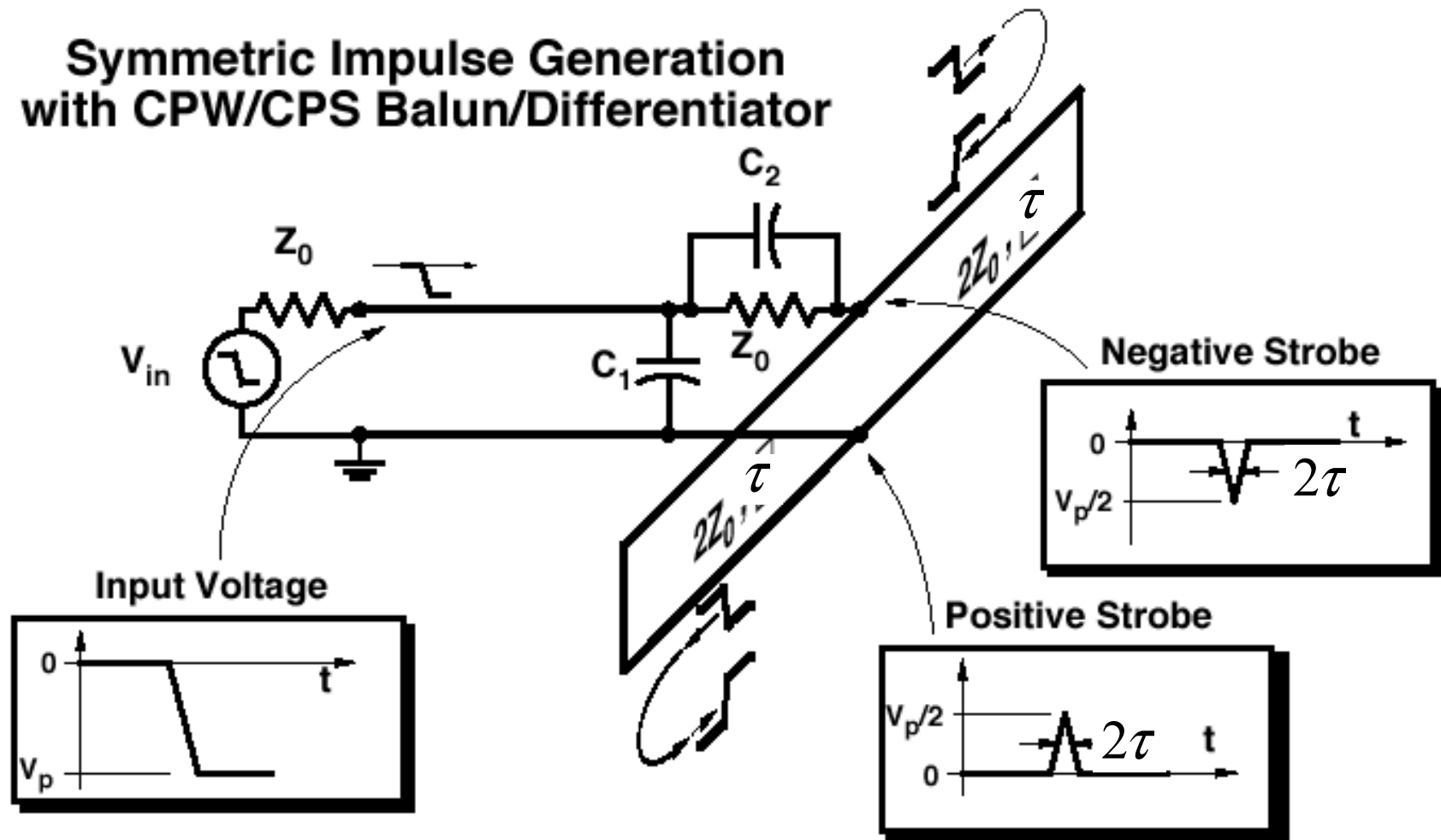
Falltime limited to

$$T_{fall} f_{c,diode} = 0.14 \text{ ps} \bullet \text{THz}$$

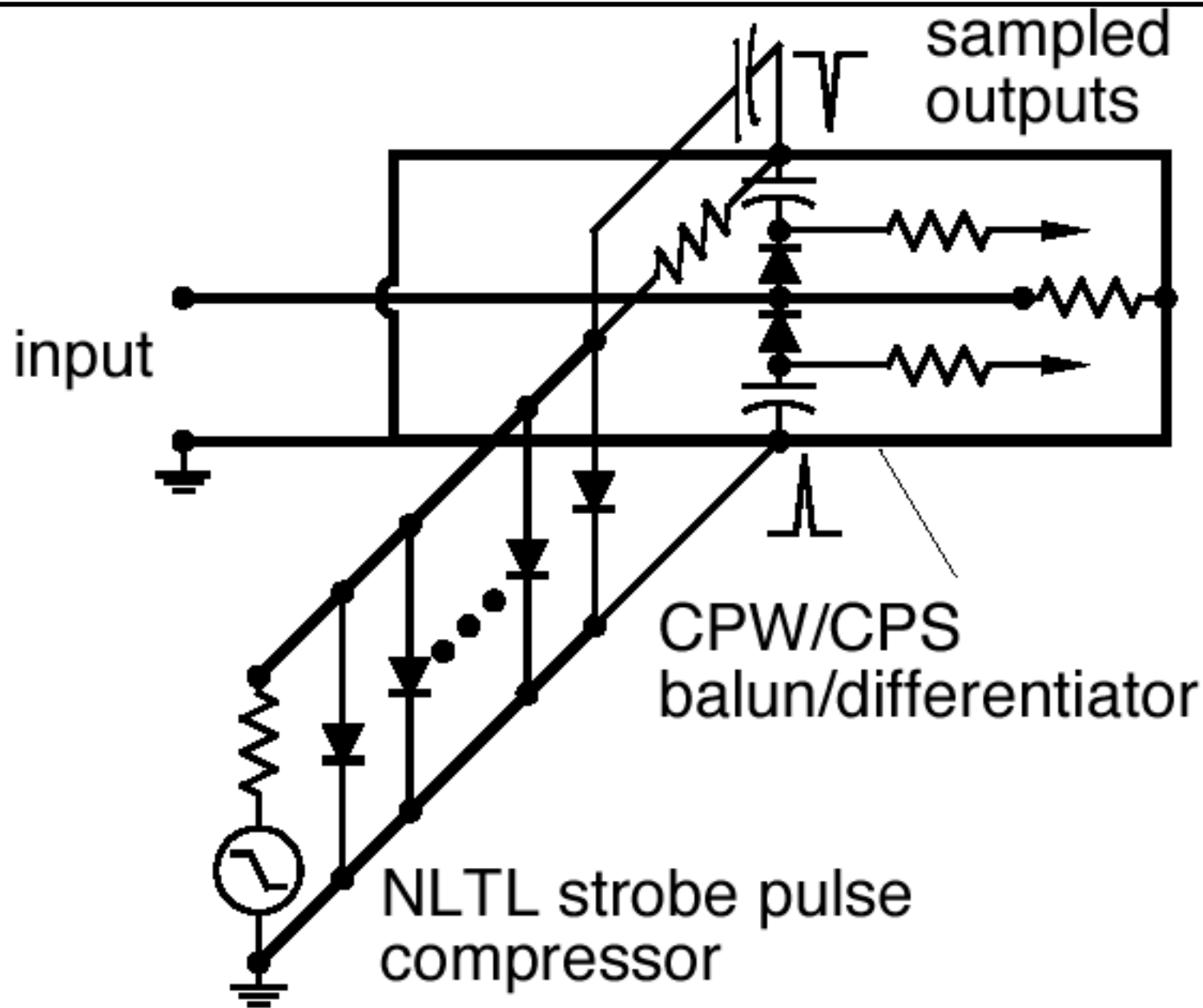
5 THz diode cutoff frequency: 0.28 ps Shock wave

# Shorted-Line Differentiator for Impulse Generation

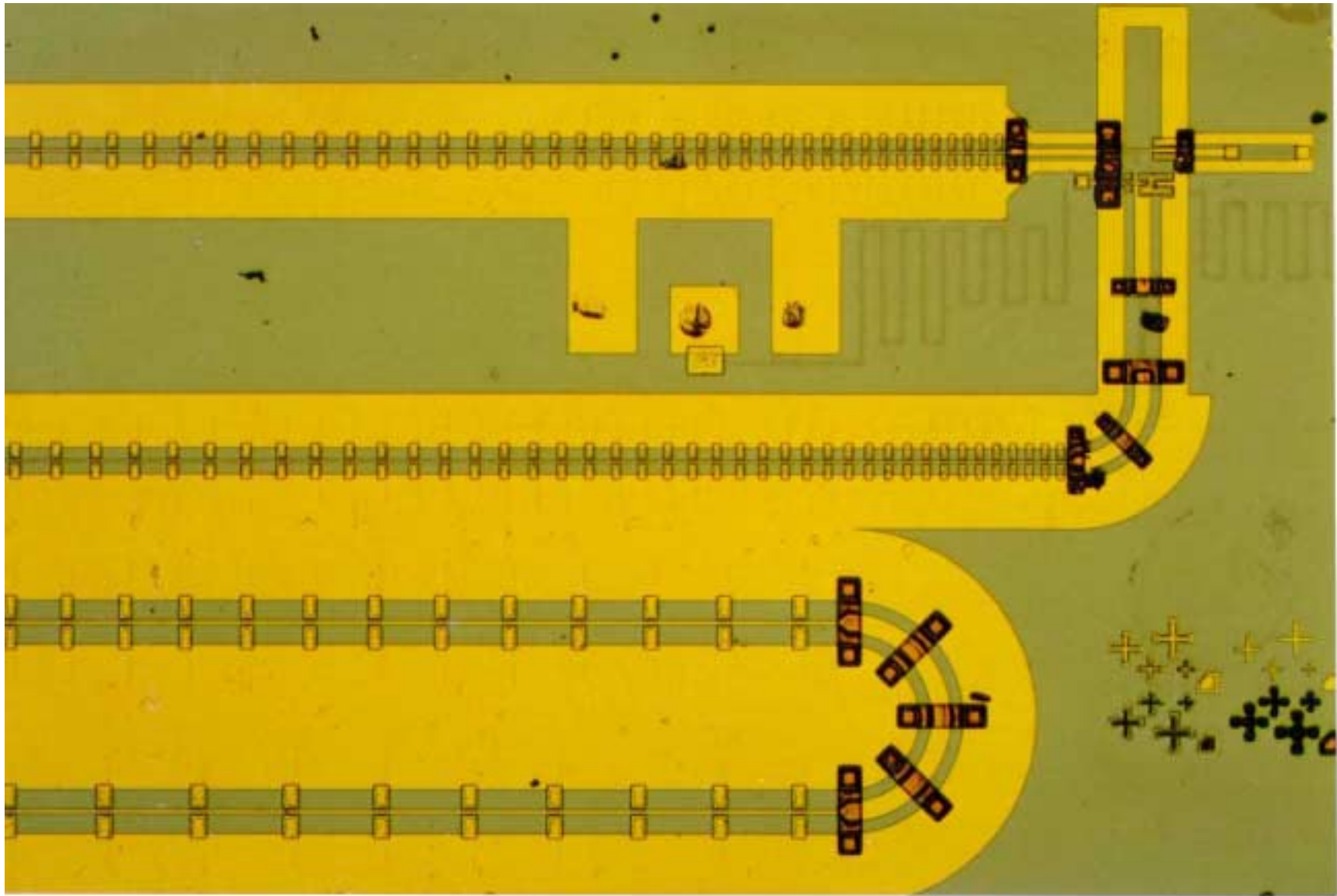
Symmetric Impulse Generation  
with CPW/CPS Balun/Differentiator



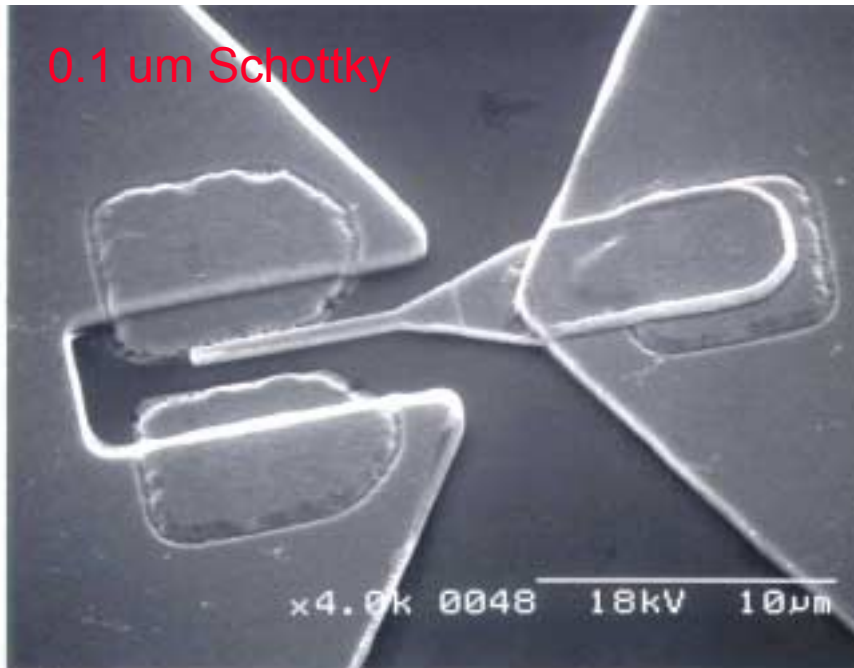
# NLTL-strobed sampling circuit



# NLTL & Sampling Bridge, M. Case ~1992



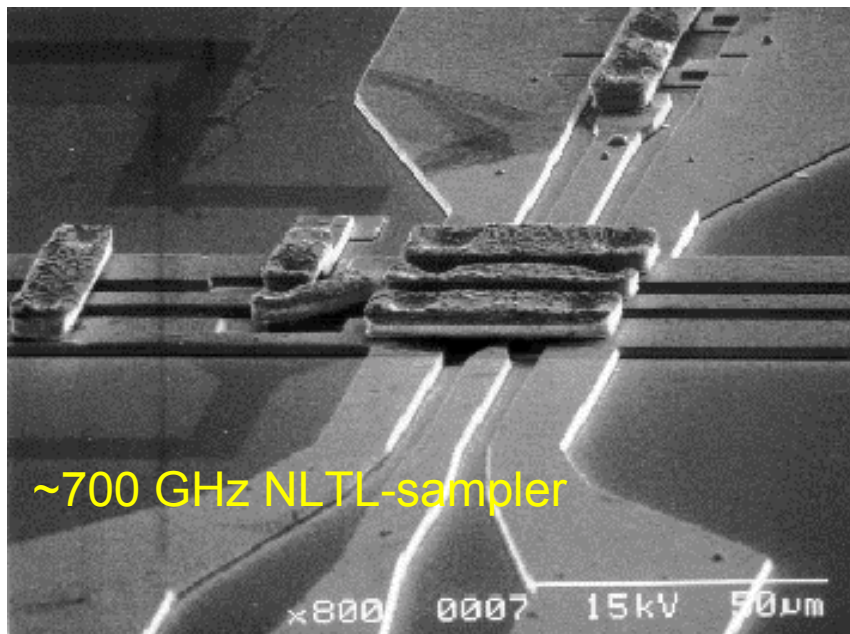
0.1  $\mu\text{m}$  Schottky



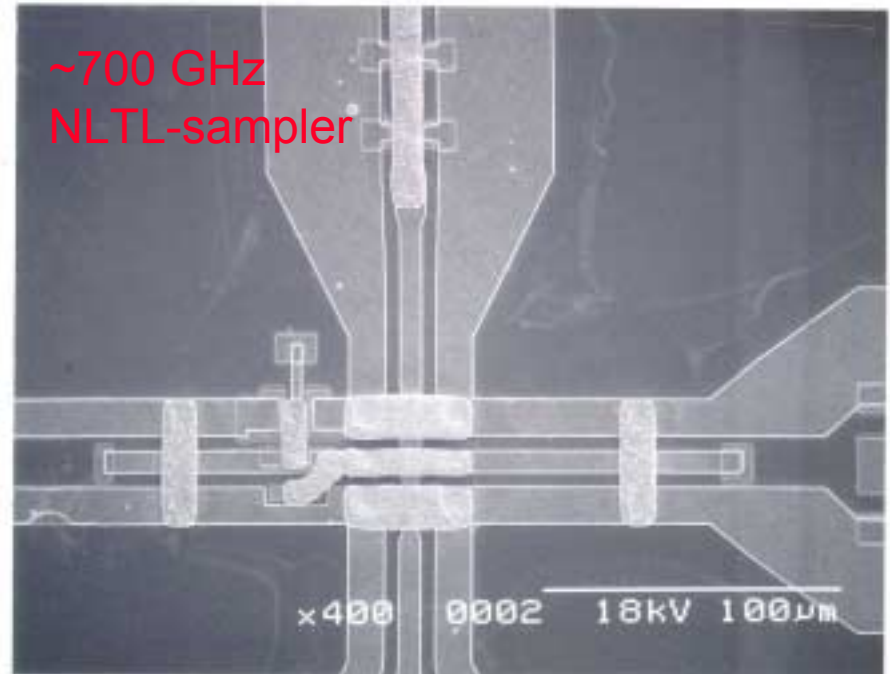
NLTL using low-loss "air CPW"



~700 GHz NLTL-sampler

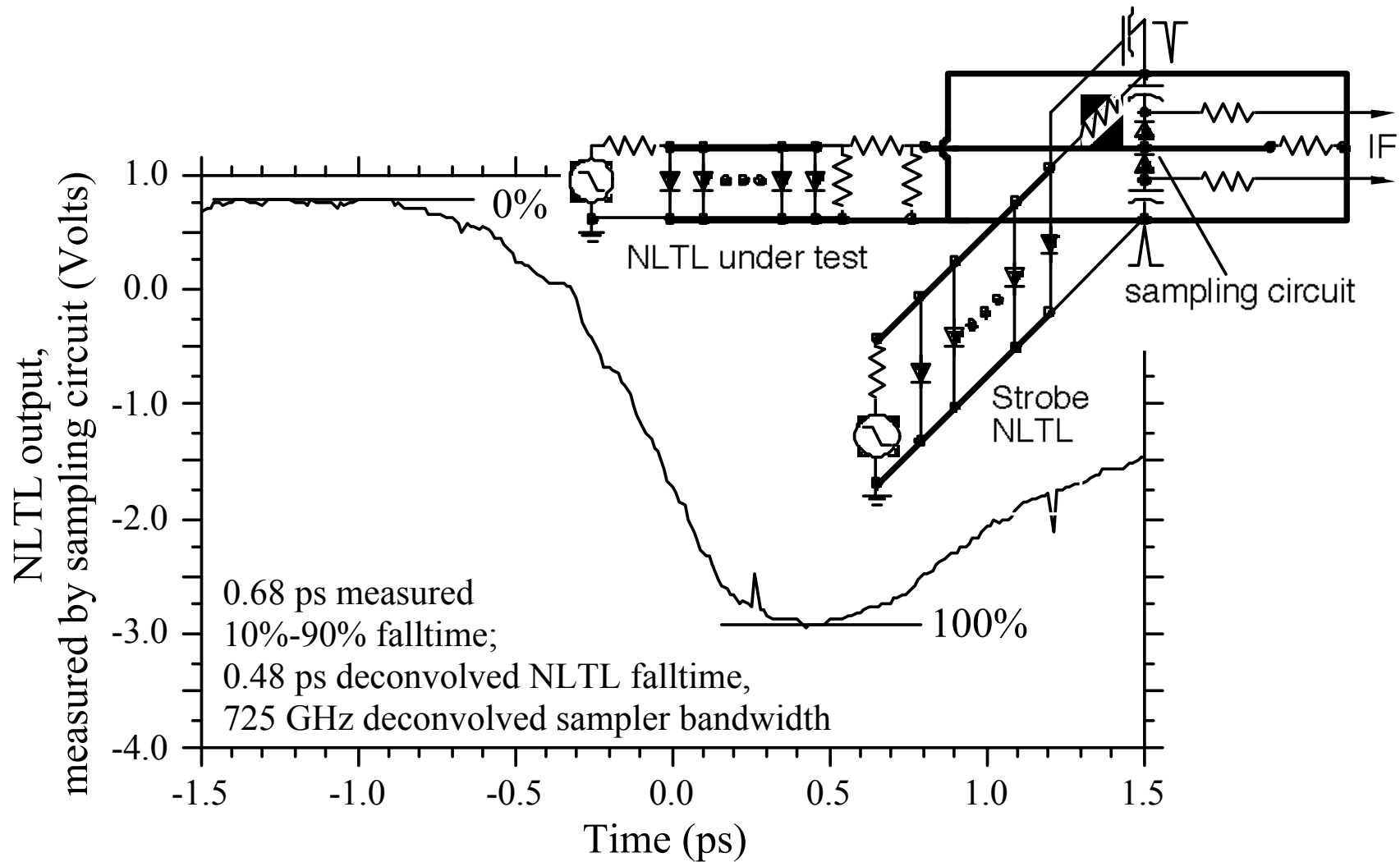


~700 GHz  
NLTL-sampler



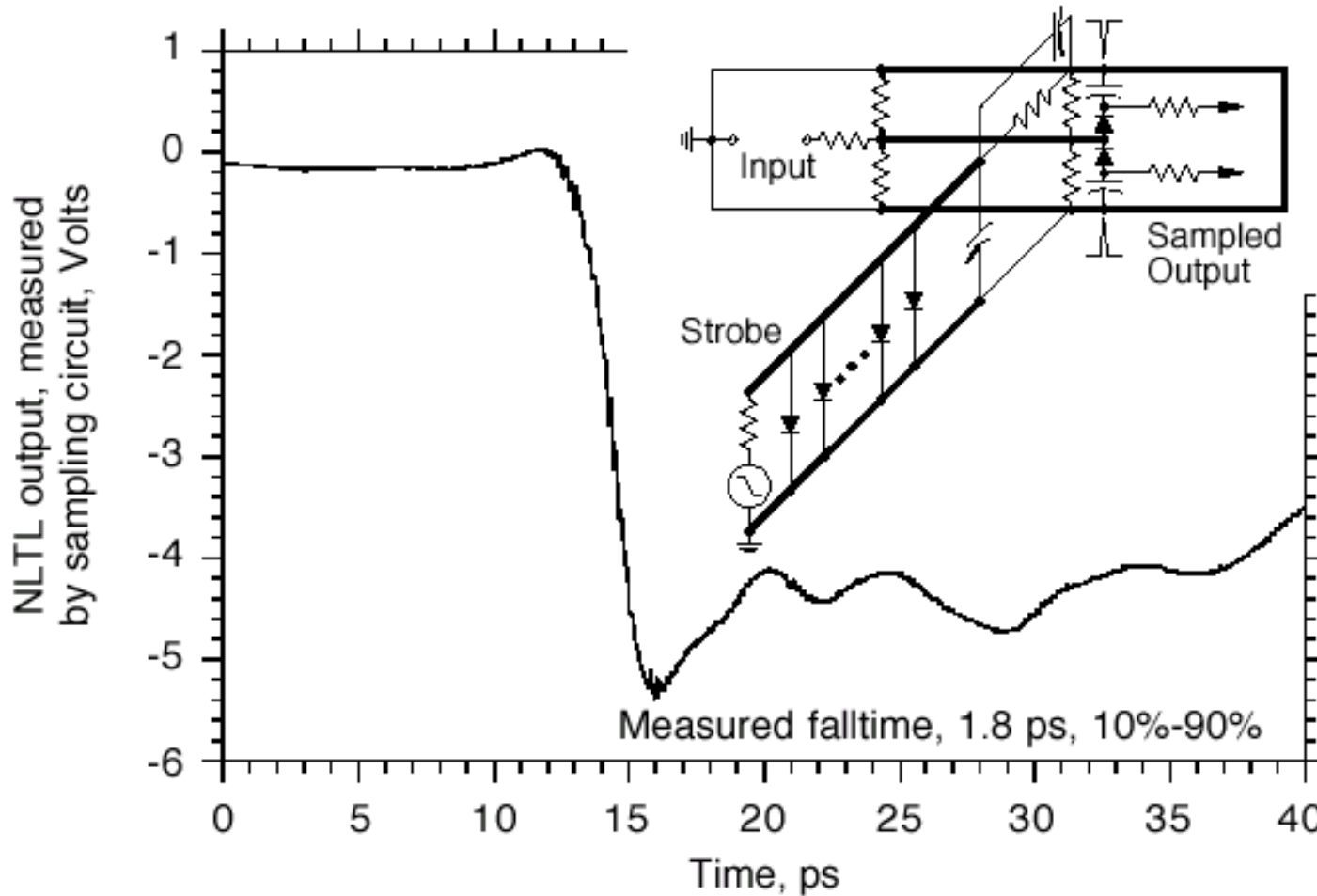


# measurement of NLTL with NLTL-gated sampling IC



Aggressive sampling IC design with  
1  $\mu\text{m}$  diode geometries  
low-loss elevated coplanar waveguide in the NLTLs

# measurement of NLTL with NLTL-gated sampling IC



Very simple sampling IC design using 2-3  $\mu\text{m}$  process minimum feature size  
Sampling bridge bandwidth is approximately 275 GHz  
DC-110 GHz instruments can be realized using simple, low-cost ICs

## ***Prospective for use of NLTLs in Instruments***

**NLTLs have been used commercially since early 1990's**

HP/Agilent 50 GHz sampling oscilloscopes

Microwave transition analyzer

45 MHz -- 50 GHz 8510 network analyzer ?

Recent emergence of higher-frequency markets now driving instruments

**Less Expensive 45 MHz -110 GHz network analysis**

Present systems frequency-combine waveguide-banded systems.

These are accurate but expensive.

Reduced-cost instrument could use NLTL-driven sampler for down conversion

NLTL-based sampler can easily down convert DC-200 GHz

Use DC-10 GHz synthesized source for LO

Mixing harmonic order <11 in DC-110 GHz bandwidth: good dynamic range

main design challenge: LO drive interface to NLTL input

**Wider-bandwidth sampling oscilloscopes**

Present instruments are DC-65 GHz, some are NLTL-based.

It is easy to build NLTL-gated samplers far faster than this.

Practical limits to DC-110 GHz oscilloscope development are:

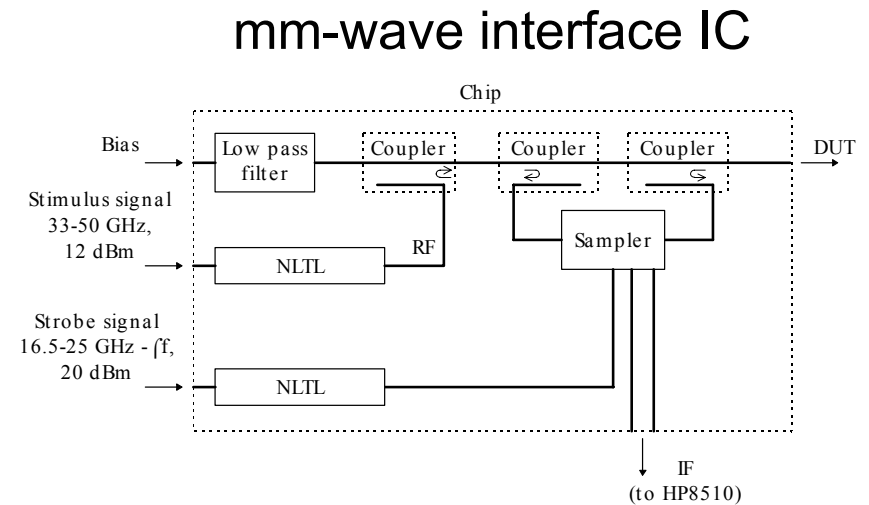
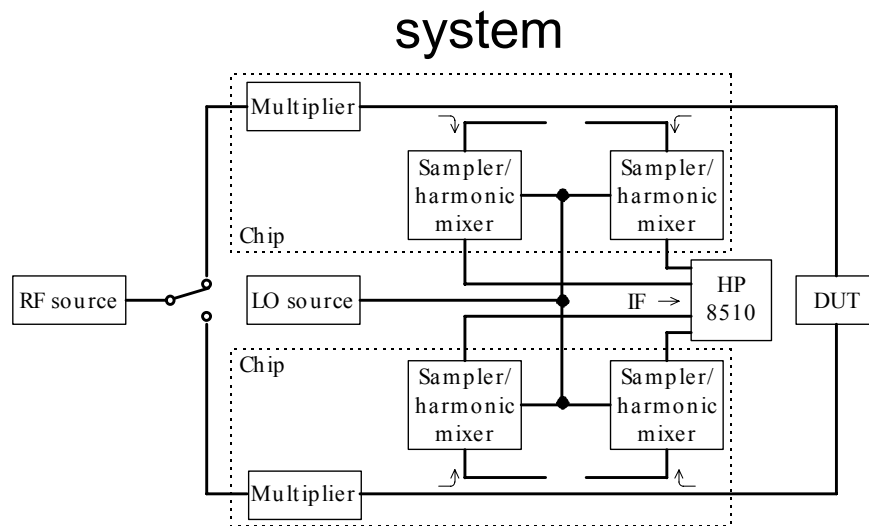
timebase stability (eliminating trigger jitter)

connector bandwidth limit (110 GHz connectors are fragile)

correction of connection (cable, wafer probe) frequency response by calibration.

***High Frequency  
Network  
Analysis***

# Active Probes for On-wafer mm-wave network analysis



IC implementation of samplers, multipliers, & couplers allowed for easy system demonstration

→ proof-of-principle demonstration

Close proximity of all components on IC lead to crosstalk, degraded dynamic range.

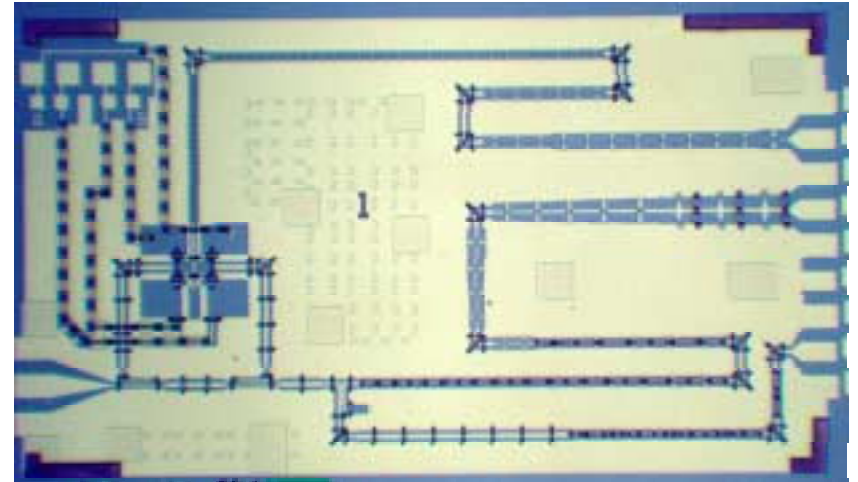
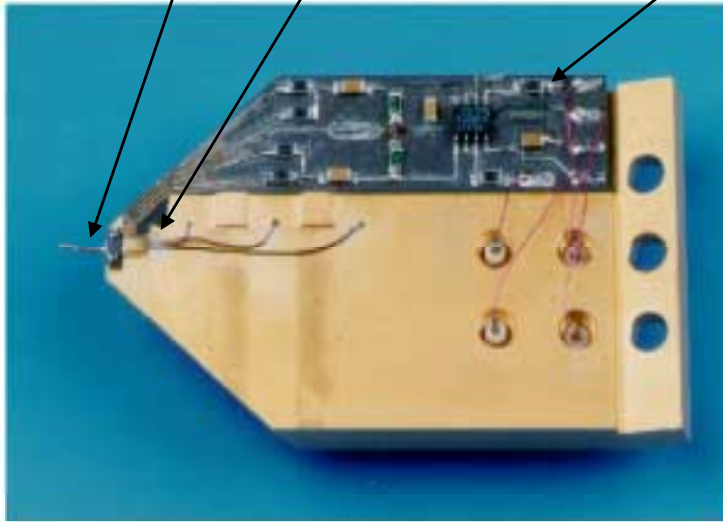
→ less accurate than needed for real instrument

# Fraunhofer / UCSB 70-220 GHz Network Analyzer

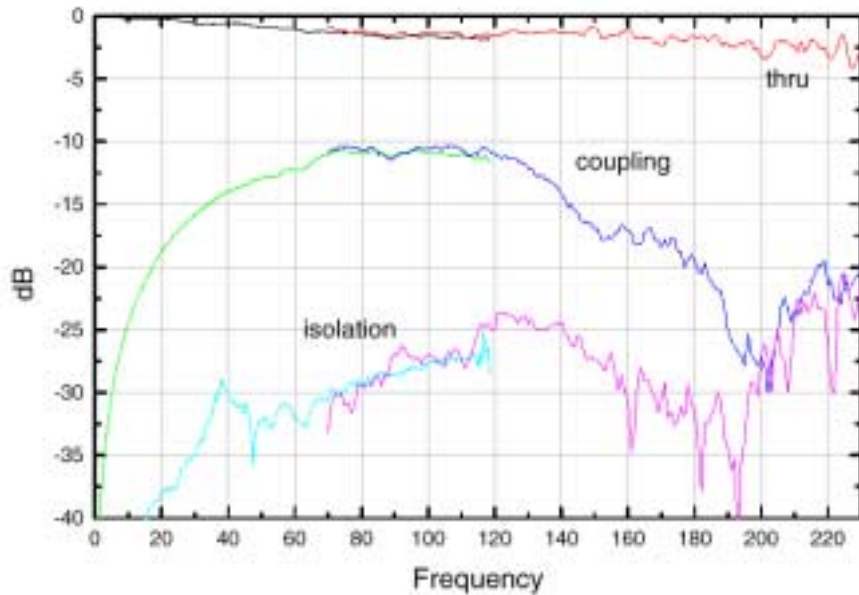
Flexible (GGB)  
probe-tip

Chip

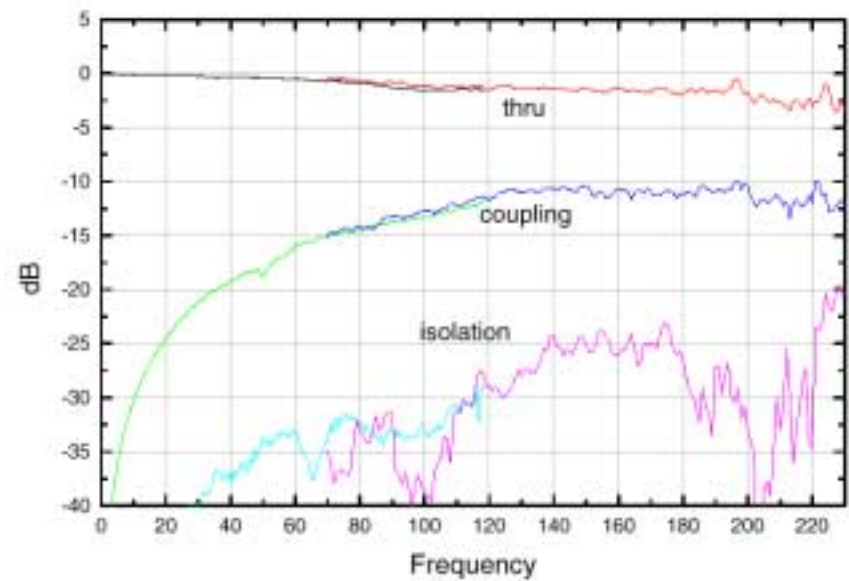
Buffer  
amplifier



10dB Coupler 90GHz

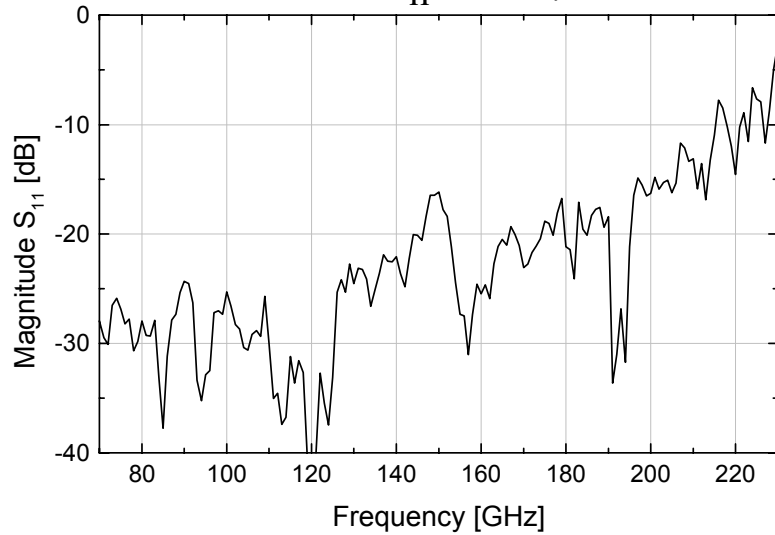


10dB coupler, 180GHz

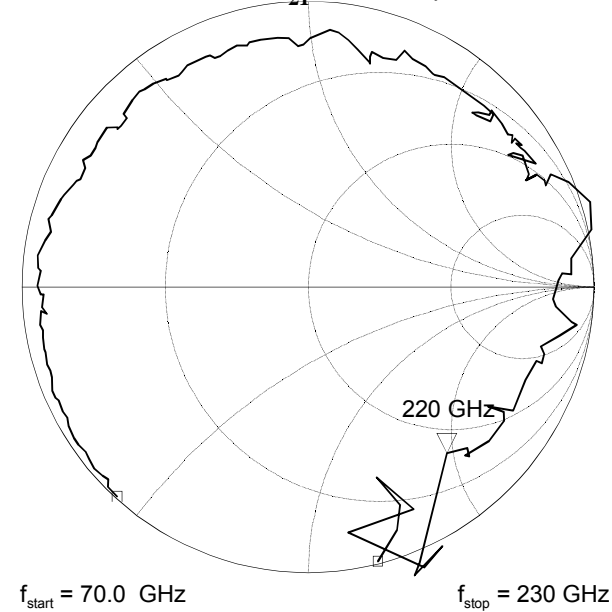


# Fraunhofer / UCSB 70-220 GHz Network Analyzer

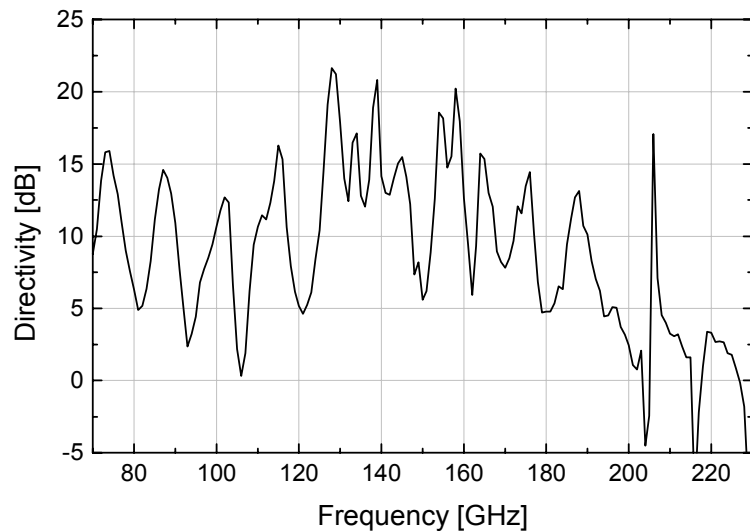
Measurement of  $S_{11}$  of a 900  $\mu\text{m}$  line.



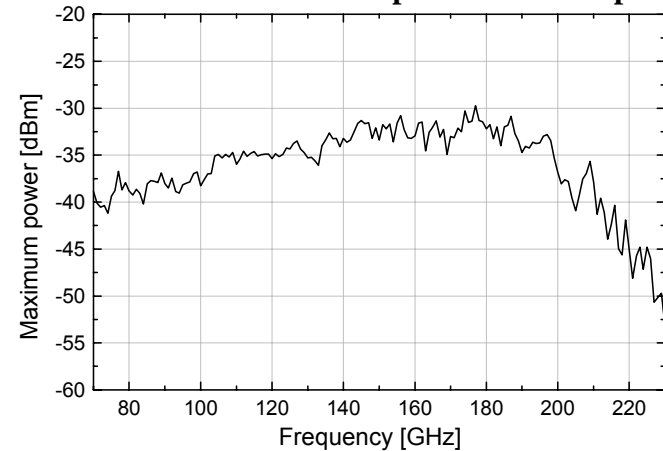
Measurement of  $S_{21}$  of a 900  $\mu\text{m}$  line.



Measured raw directivity of the active probe



Measured maximum power at the IF-ports.



***Precision***

***on-wafer***

***> 40 GHz***

***network analysis***



## ***Network analysis above 40 GHz: commercial tools***

Agilent, Wiltron:

RF → 50 (65) GHz in coax, sampler-based  
higher bands using waveguide and harmonic mixers  
multiplexed together for single-sweep measurements

Oleson Microwave Labs.

frequency extenders for Agilent, Wiltron  
140-220 GHz and 220-330 GHz

credits also to the JPL group (T. Gaier et al)

***Instruments***

Probes with coaxial connectors  
DC-110 GHz, GGB and Cascade

Waveguide coupled probes  
to 110 GHz (Cascade)  
to 220 GHz (GGB)  
to 330 GHz (from GGB soon ?)

***Probes***

### **Picoprobe Model 220 Microwave Probe**

Durable

140 to 220 GHz

Insertion loss 2.0 db typ.

Return loss 15 db typ.

Individually spring loaded contacts

Patented Coaxial Design

Individually Spring-loaded Contacts

Bias-T Option Available



## ***On-wafer mm-wave Network Analysis at UCSB***

### **Applications:**

Measurements of transistor amplifiers to 220 GHz

Precise characterization of transistors (power gains, parameter extraction)

### **45 MHz-50 (40) GHz:**

Agilent 8510 NWA, coaxial cables and probes

### **75-110 GHz:**

Agilent 8510 NWA, waveguide, GGB waveguide-coupled probes

### **140-220 GHz:**

Oleson frequency extenders, waveguide, GGB waveguide-coupled probes

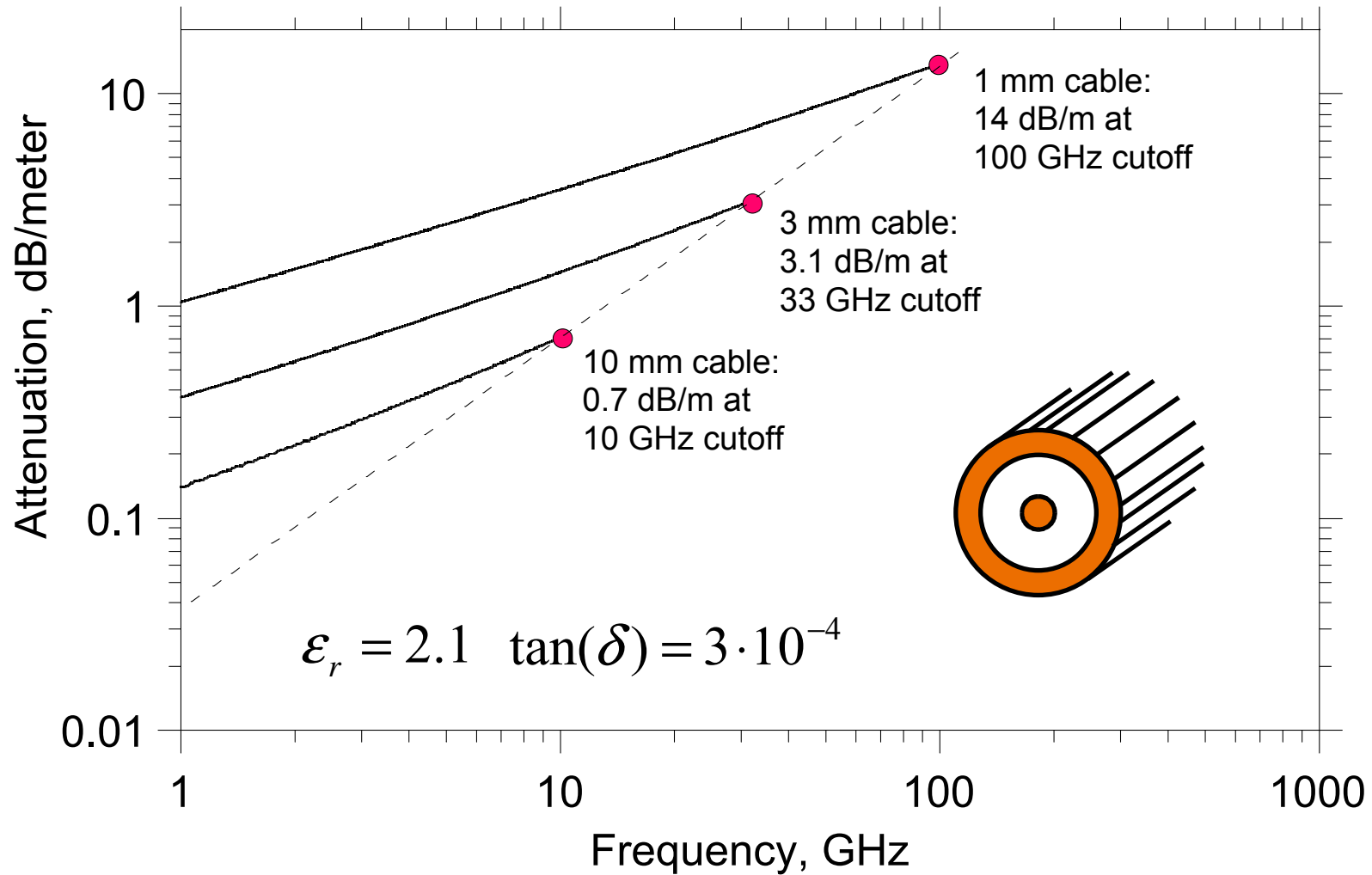
### **Key features for good measurements**

on-wafer LRL microstrip calibration standards with offset reference planes

waveguide instrument-probe connections: less loss, less phase drift.

higher band instruments use low-order mixers → better dynamic range

# Loss of Coaxial Cable



Single - mode propagation requires  $f \leq c \cdot (2 / \pi) \epsilon_r^{-1/2} (D_{inner} + D_{outer})^{-1}$

Skin loss  $\alpha_{skin} \propto f^{1/2} / D_{inner} \longrightarrow \text{Loss } \alpha_{skin} \propto f^{3/2}$

# Why waveguide ?

Loss is much lower than for coax  
(4.5-6 dB / meter in W-band)

> 110 GHz connectors available

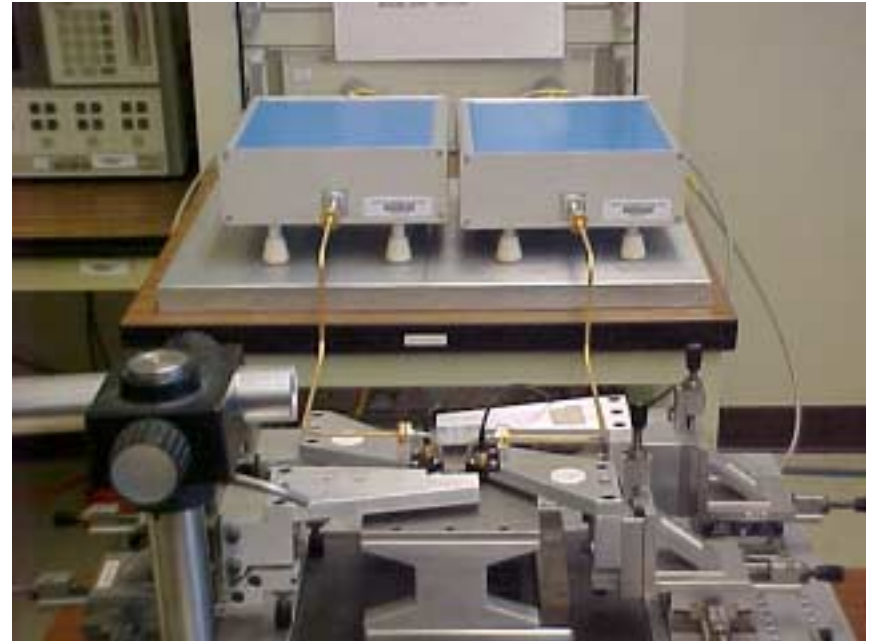
No phase drift from Teflon  
mechanical creep.

| MILLIMETER WAVE                                    |                     |         |                        |                  |                   |                      |
|--|---------------------|---------|------------------------|------------------|-------------------|----------------------|
| RECTANGULAR TE <sub>10</sub> WAVEGUIDE INFORMATION |                     |         |                        |                  |                   | MI                   |
| EIA  | FREQUENCIES IN GHz. |         | WAVEGUIDE DIM.         |                  |                   |                      |
| WR #   | WAVEGUIDE BANDWIDTH | CUT OFF | LOSS dB/FT. LOW - HIGH | INSIDE "a" - "b" | OUTSIDE "A" - "B" | MI MI                |
| 42   | 18.00 - 26.50       | 14.08   | .26 - .20              | .420 - .170      | .500 - .250       | /6<br>/8<br>/6<br>/6 |
| 28   | 26.50 - 40.00       | 21.07   | .44 - .30              | .280 - .140      | .360 - .220       | /6<br>/6<br>/6<br>/6 |
| 22   | 33.00 - 50.00       | 26.34   | .62 - .42              | .224 - .112      | .304 - .192       | /6<br>/6<br>/6<br>/6 |
| 19   | 40.00 - 60.00       | 31.41   | .77 - .54              | .188 - .094      | .268 - .174       | /6<br>/6<br>/6<br>/6 |
| 15   | 50.00 - 75.00       | 39.86   | 1.0 - .80              | .148 - .074      | .228 - .154       | /67                  |
| 12   | 60.00 - 90.00       | 48.35   | 1.8 - 1.0              | .122 - .061      | .302 - .141       | /67                  |
| 10   | 75.00 - 110.0       | 59.05   | 2.0 - 1.4              | .100 - .050      | .180 - .130       | /67                  |
| 08   | 90.00 - 140.0       | 73.84   | 3.0 - 2.0              | .080 - .040      | .160 - .120       | /67<br>/74           |
| 06   | 110.0 - 170.0       | 90.84   | 3.2 - 2.7              | .0650 - .0325    | .145 - .112       | /67<br>/74           |
| 05   | 140.0 - 220.0       | 115.75  | 6.1 - 3.8              | .0510 - .0255    | .131 - .105       | /67<br>/74           |
| 04   | 170.0 - 260.0       | 137.52  | 7.7 - 5.0              | .0430 - .0215    | .123 - .101       | /67<br>/74           |
| 03   | 220.0 - 325.0       | 173.28  | 10.0 - 7.0             | .0340 - .0170    | .114 - .097       | /67<br>/74           |

Aerowave inc.

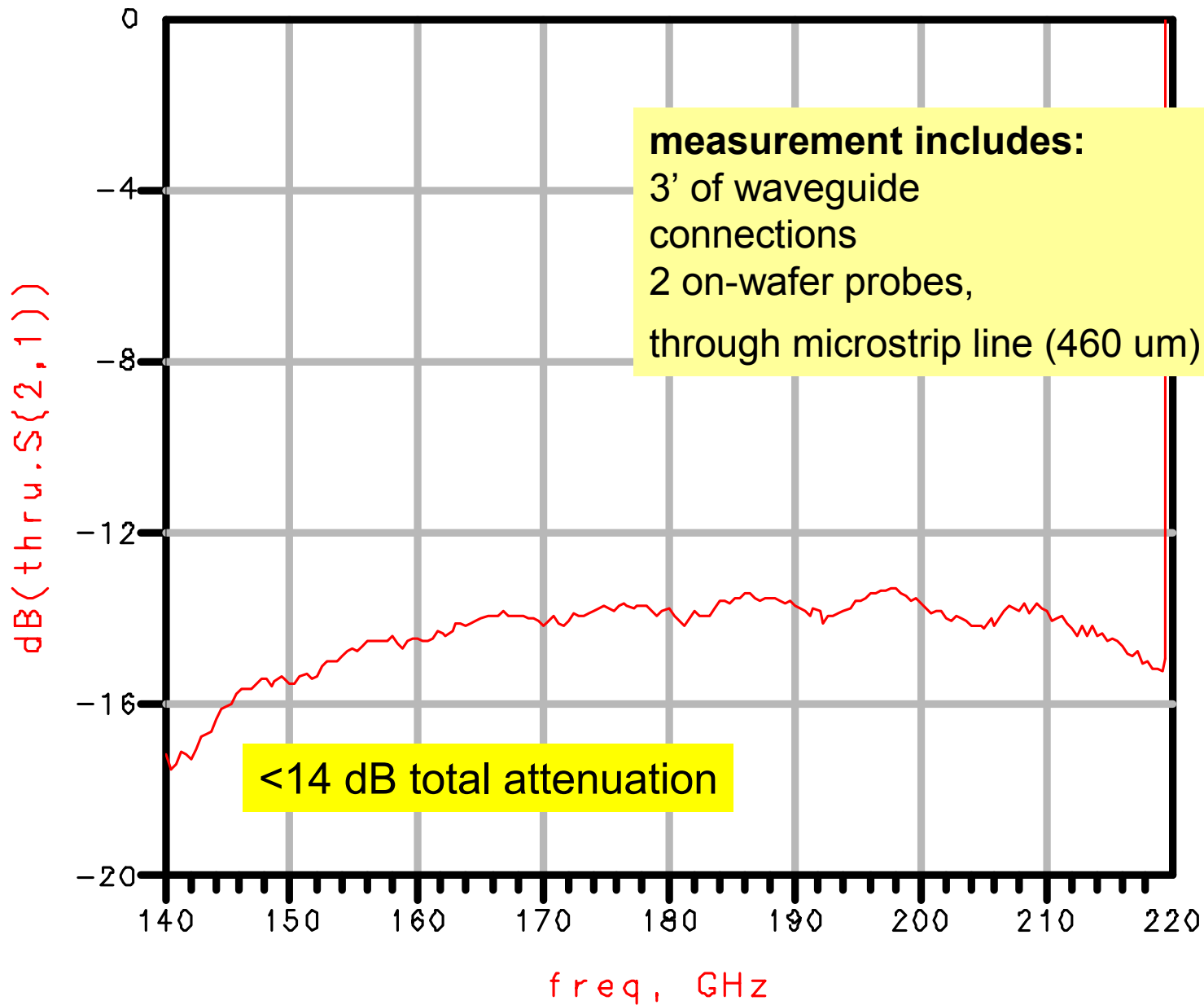
# 140-220 GHz On-Wafer Network Analysis

- HP8510C VNA,  
*Oleson Microwave Lab* mm-wave  
Extenders
- *GGB Industries* coplanar wafer  
probes
- connection via short length of WR-5  
waveguide
- Internal bias Tee's in probes for  
biasing active devices
- 75-110 GHz set-up is similar



**UCSB 140-220 GHz VNA Measurement Set-up**

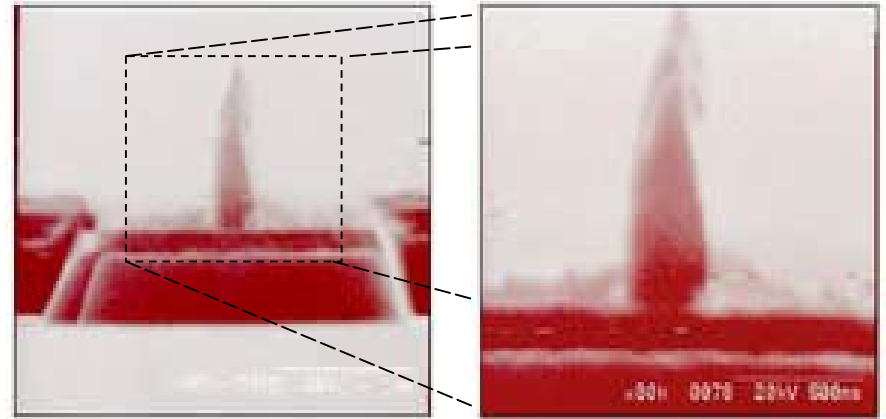
# Insertion Loss of Measurement Set-up



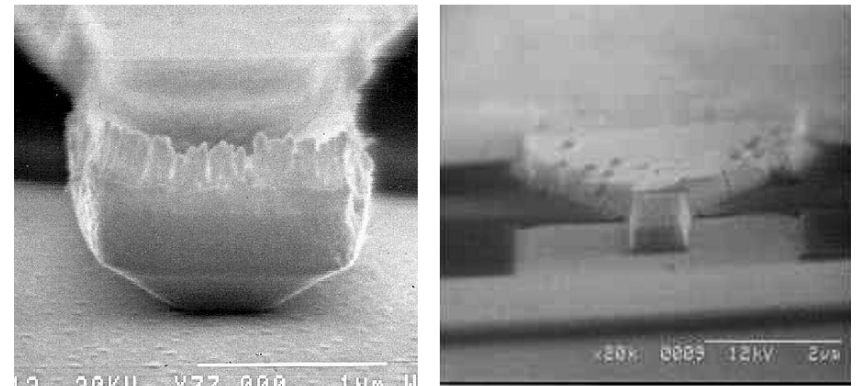
# Application: Characterizing mm-wave bipolar transistors (HBTs)

- Electron beam lithography used to define submicron emitters and collectors
- Minimum feature sizes
  - ⇒ 0.2  $\mu\text{m}$  emitter stripe widths
  - ⇒ 0.3  $\mu\text{m}$  collector stripe widths
- Improved collector-to-emitter alignment using local alignment marks
- Aggressive scaling of transistor dimensions predicts progressive improvement of  $f_{max}$

As we scale HBT to  $<0.4 \mu\text{m}$ ,  $f_{max}$  keeps increasing, measurements become **very** difficult



0.3  $\mu\text{m}$  Emitter before polyimide planarization



Submicron Collector Stripes  
(typical: 0.7  $\mu\text{m}$  collector)

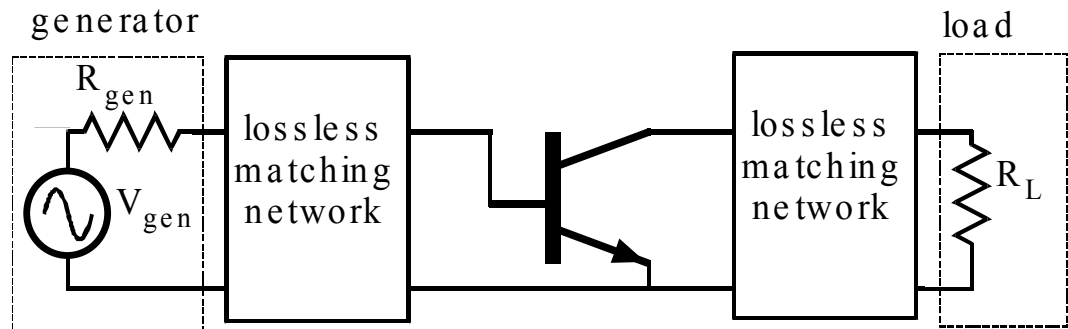
# How do we measure $f_{max}$ ?

## Maximum Available Gain

Simultaneously match input and output of device

$$\text{MAG} = \frac{|S_{21}|}{|S_{12}|} \left( K - \sqrt{K^2 - 1} \right)$$

$K$  = Rollet stability factor



Transistor must be unconditionally stable or MAG does not exist

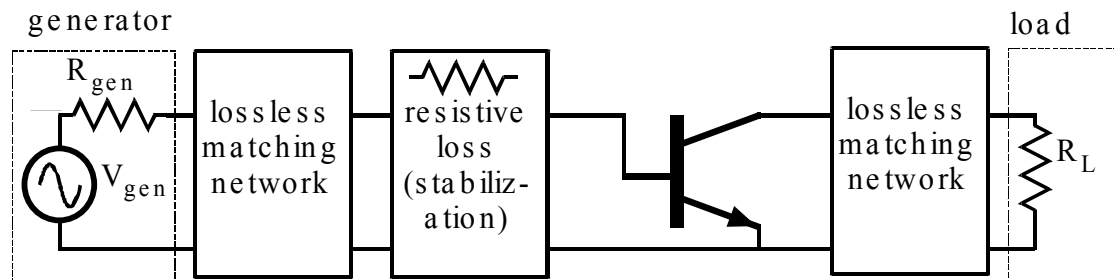
## Maximum Stable Gain

Stabilize transistor and simultaneously match input and output of device

$$\text{MSG} = \frac{|S_{21}|}{|S_{12}|} = \frac{|Y_{21}|}{|Y_{12}|} \approx \frac{1}{\omega C_{cb} \left( R_{ex} + \frac{kT}{qI_c} \right)}$$

Approximate value for hybrid- $\pi$  model

To first order MSG does not depend on  $f_\tau$  or  $R_{bb}$



For Hybrid- $\pi$  model, MSG rolls off at 10 dB/decade, MAG has no fixed slope  
**CANNOT** be used to accurately extrapolate  $f_{max}$



# Unilateral Power Gain

## Mason's Unilateral Power Gain

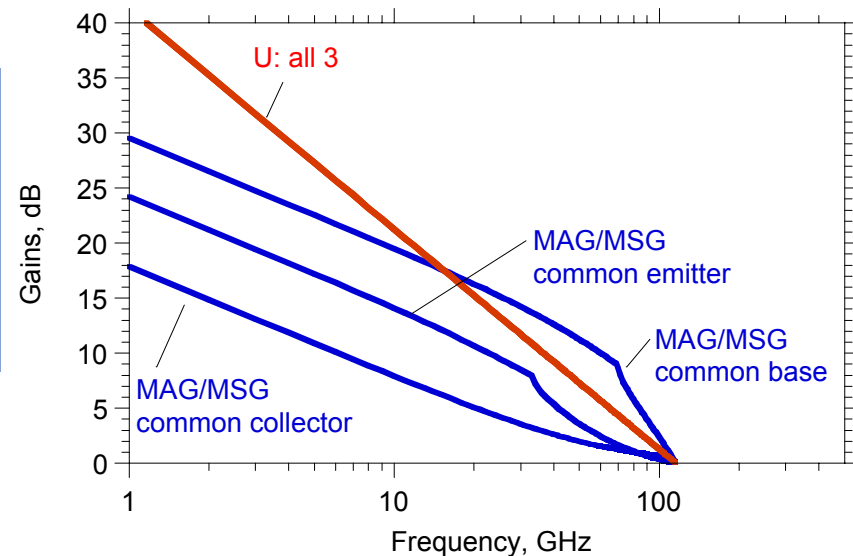
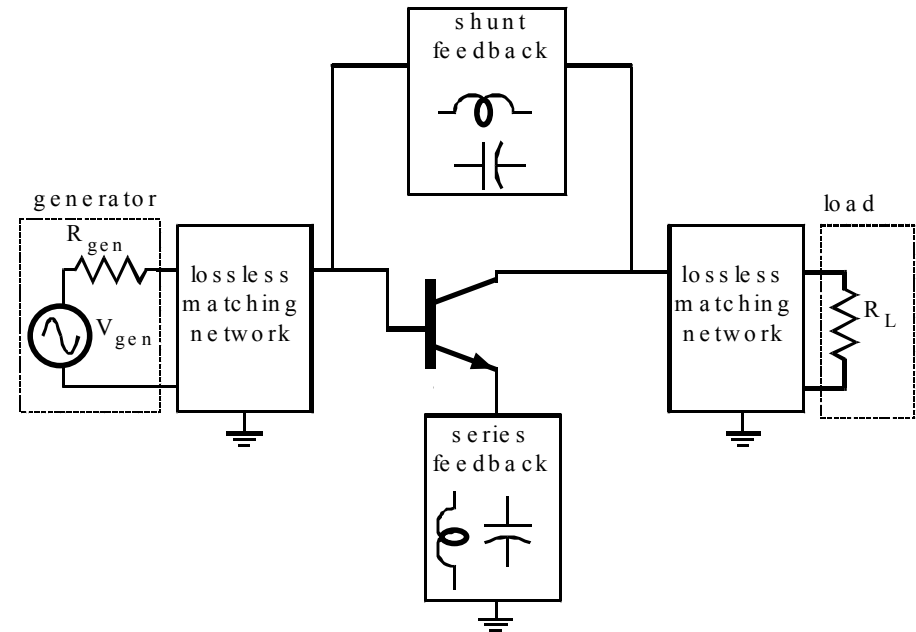
Use lossless reactive feedback to cancel device feedback and stabilize the device, then match input/output.

$$U = \frac{|Y_{21} - Y_{12}|^2}{4(G_{11}G_{22} - G_{21}G_{12})}$$

U is not changed by pad reactances

**For Hybrid- $\pi$  model,  
U rolls off at 20 dB/decade**

**ALL Power Gains must be unity at  $f_{max}$**



***On-wafer NWA:***

***calibration***

***problems***

# Accurate Transistor Measurements Are Not Easy

- Submicron HBTs have **very low**  $C_{cb}$  ( $< 3$  fF)
- Characterization requires accurate measure of very small S12
- Standard 12-term VNA calibrations do not correct S12 background error due to probe-to-probe coupling

## Solution

Embed transistors in sufficient length of transmission line to reduce coupling

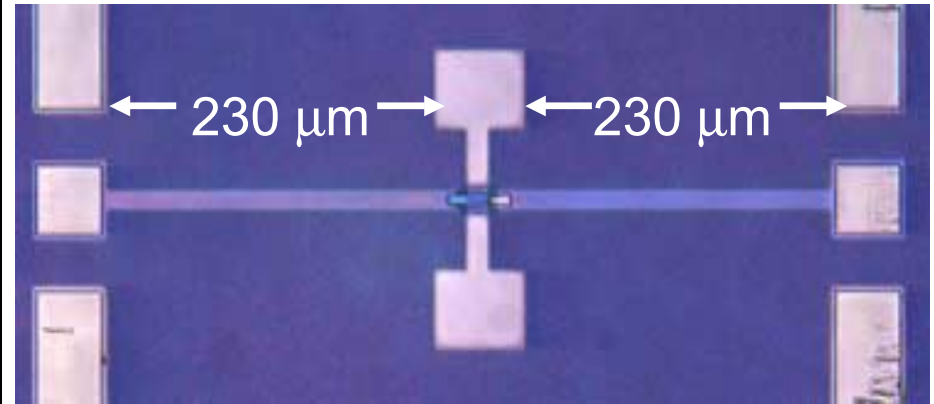
Place calibration reference planes at transistor terminals

## Line-Reflect-Line Calibration

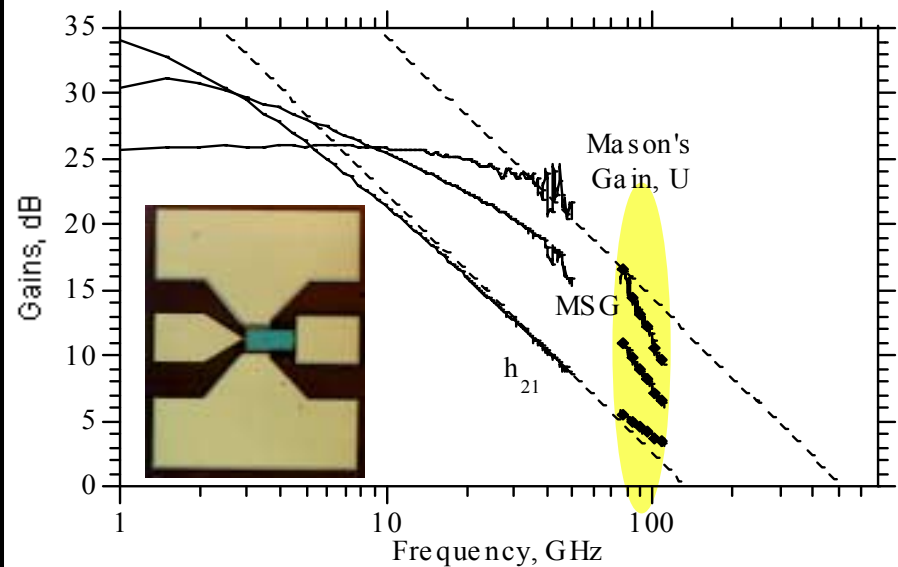
Standards easily realized on-wafer

Does not require accurate characterization of reflect standards

Characteristics of Line Standards are well controlled in transferred-substrate microstrip wiring environment

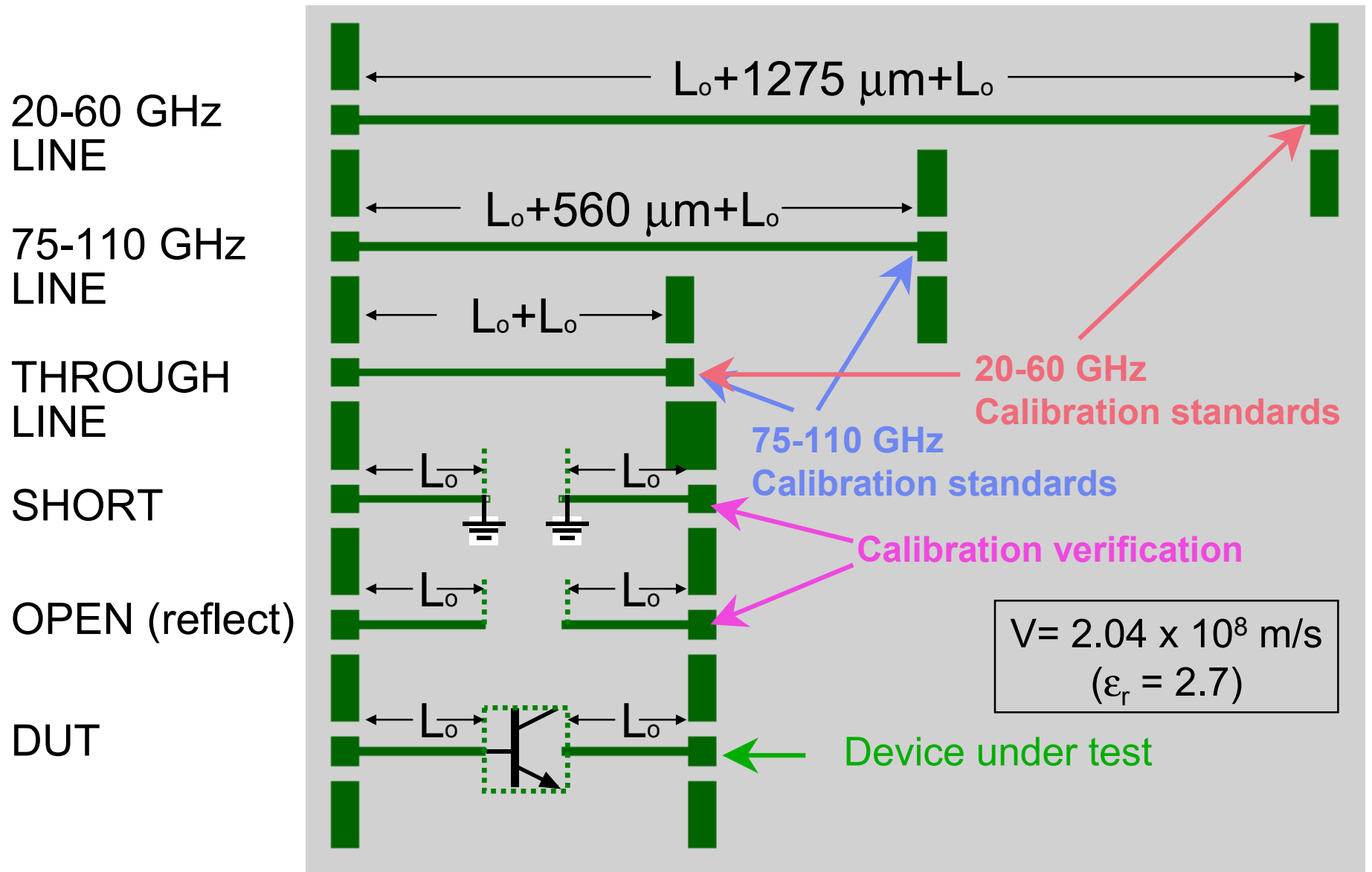


Transistor Embedded in LRL Test Structure



Corrupted 75-110 GHz measurements due to excessive probe-to-probe coupling

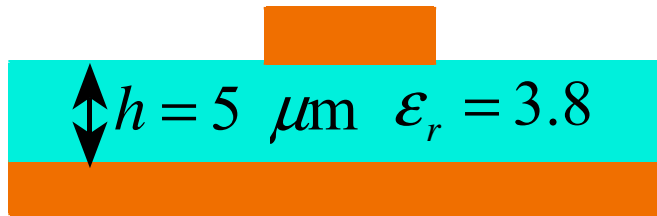
# Line-reflect-line on-wafer cal. standards



Note that calibration is to line  $Z_o$  : line  $Z_o$  is complex at lower frequencies, and must be determined

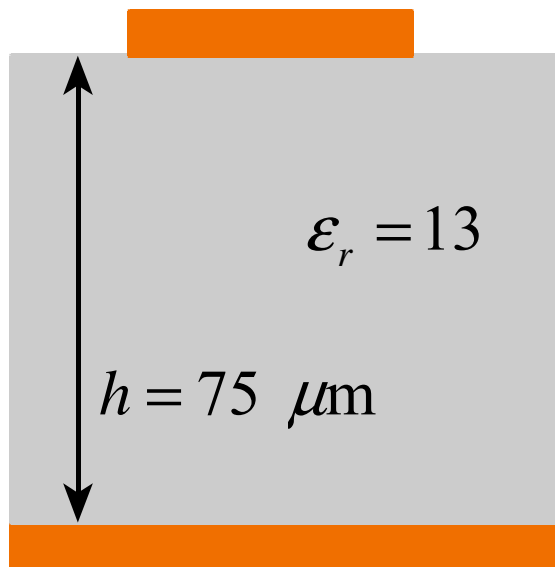
# On-wafer transmission-line wiring environment: impact on LRL calibration

## Thin-film microstrip



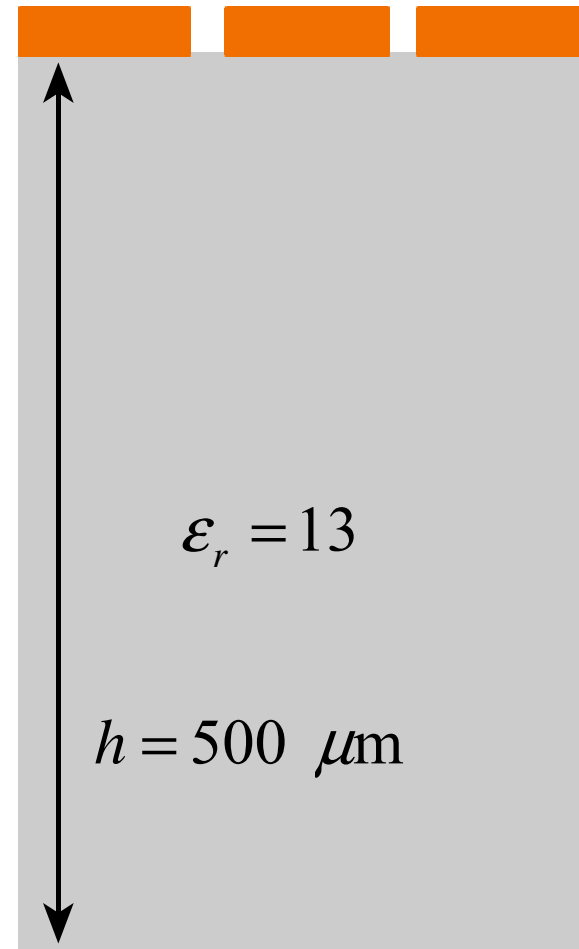
precise LRL calibration

## microstrip



might be OK: watch for substrate modes

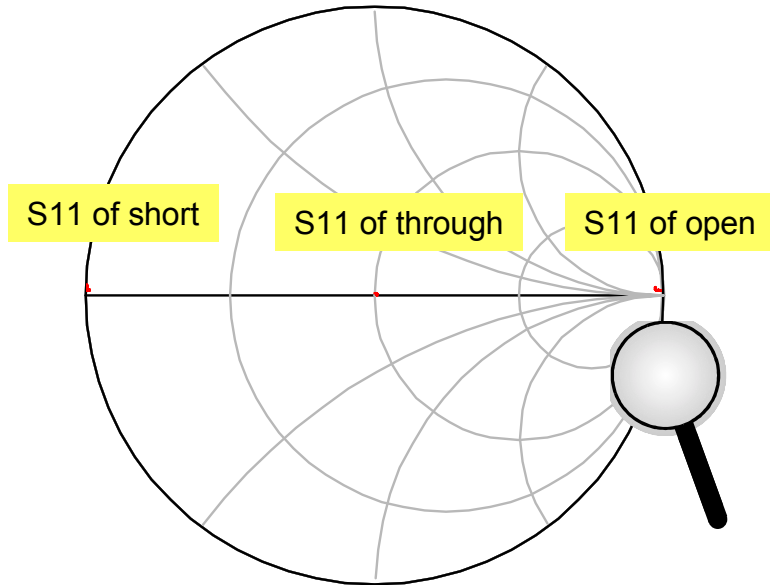
## CPW



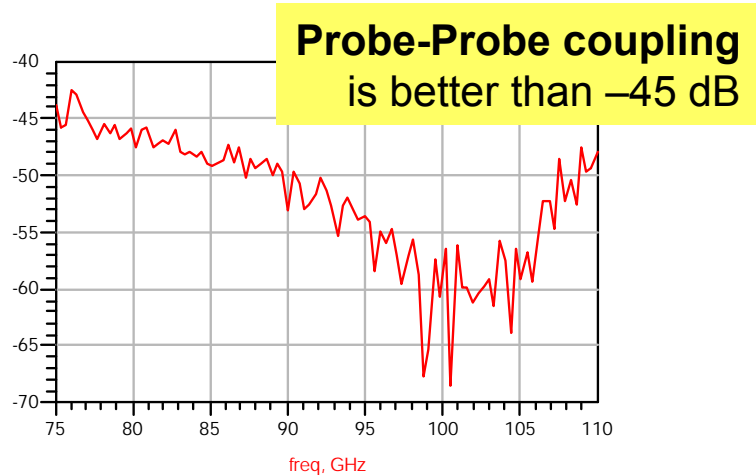
problem with substrate modes  
thin wafer ? absorber ?

# How good is the calibration ?

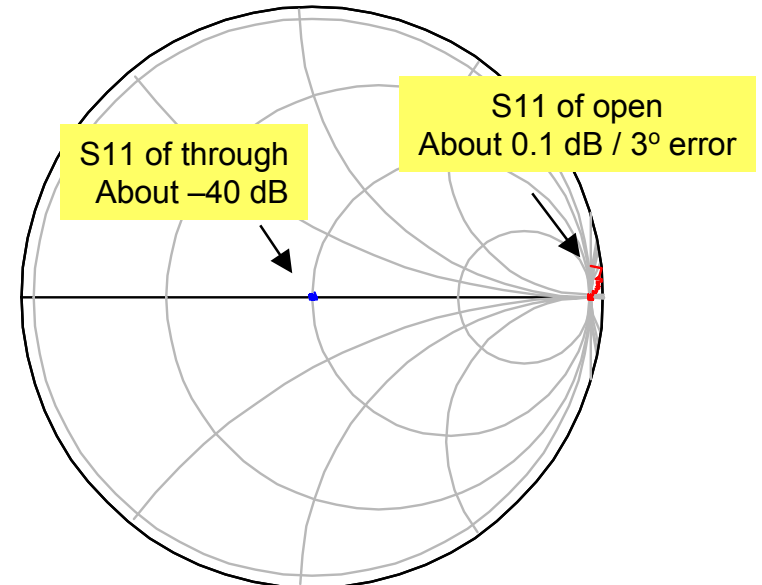
75-110 GHz calibration looks **Great**



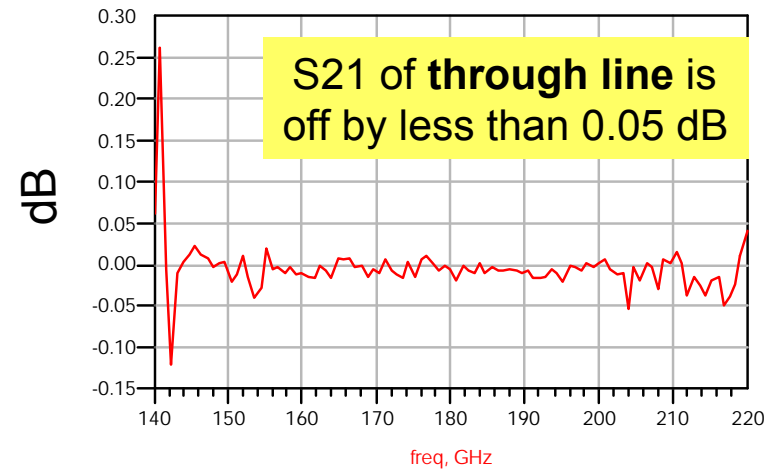
freq (75.00GHz to 110.0GHz)



140-220 GHz calibration looks OK

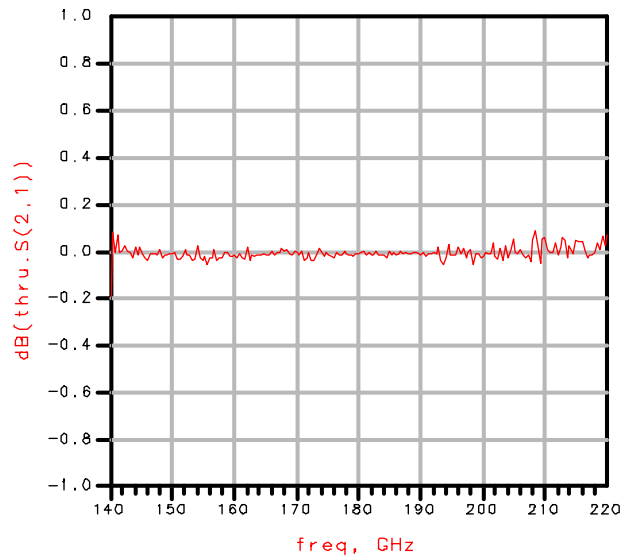


freq (140.0GHz to 220.0GHz)

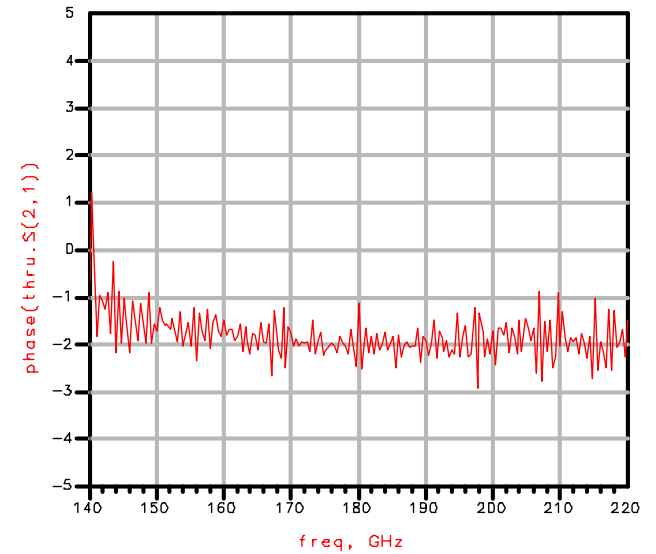


# Measurement of Thru Line after Calibration

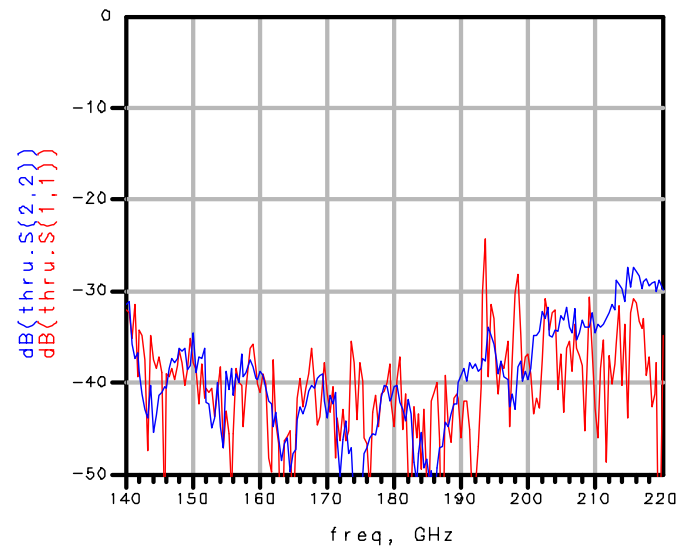
Magnitude S21 (dB)



Phase S21 (degrees)

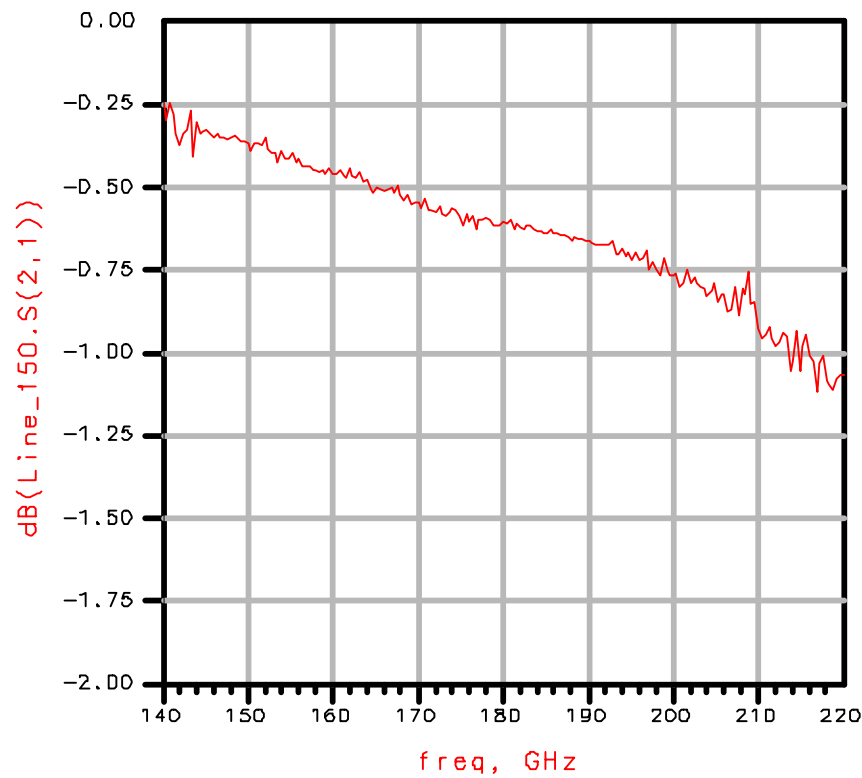


S11, S22 (dB)

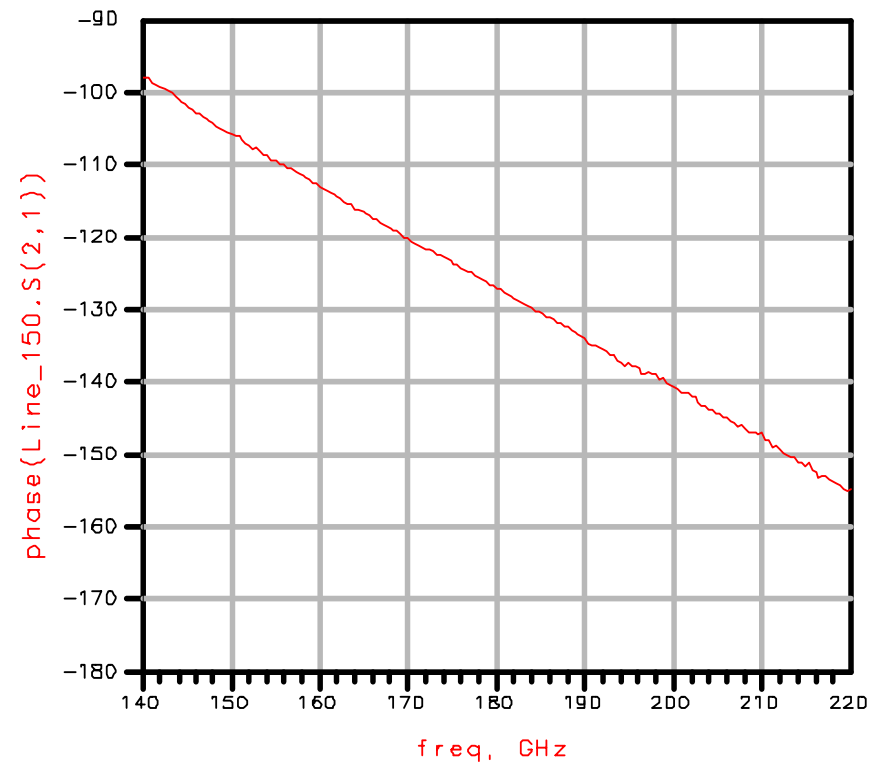


# Measurement of Line Standard after Calibration

Magnitude S21 (dB)

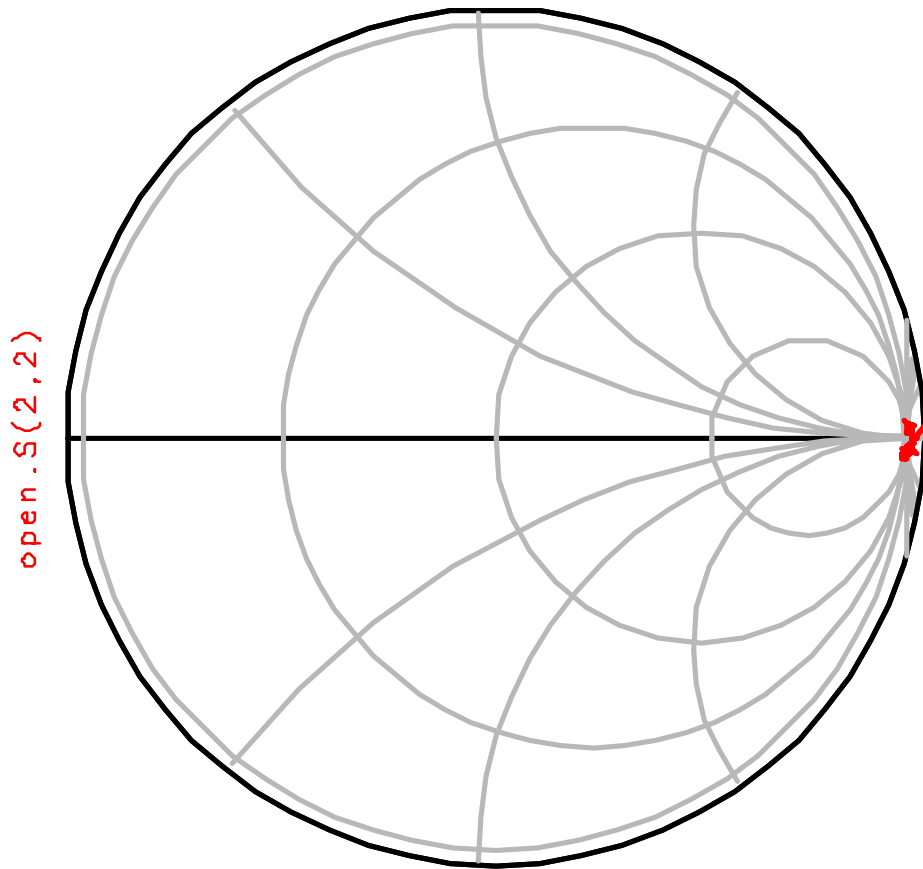


Phase S21 (degrees)





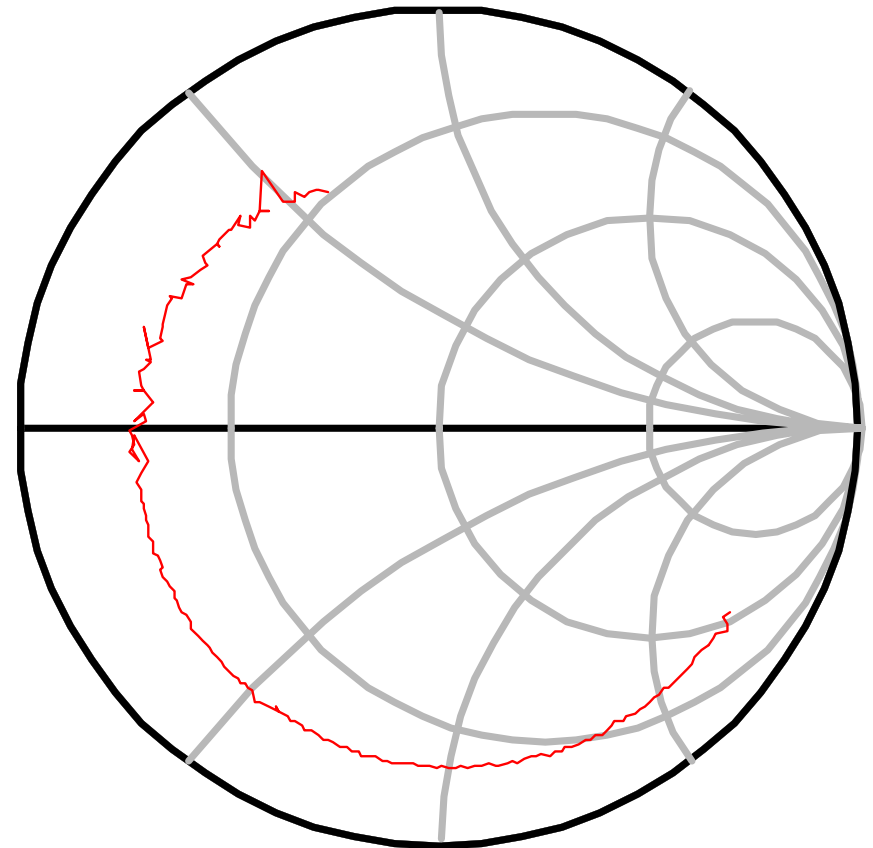
# Measurement after Calibration



`open.S(2,2)`

`freq (140.0GHz to 1.000 Hz)`

Open Standard



`Line_150_open.S(2,2)`

`freq (140.0GHz to 220.0GHz)`

Line Standard with  
Open Termination at Second Port

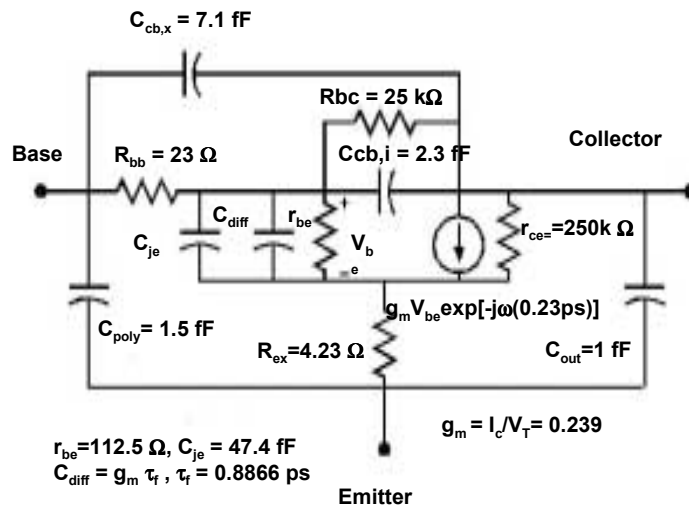
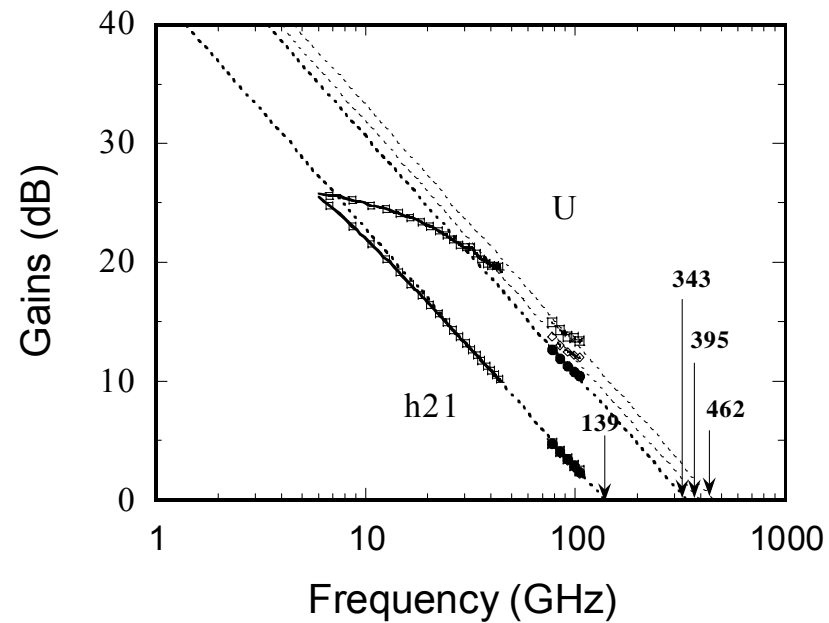
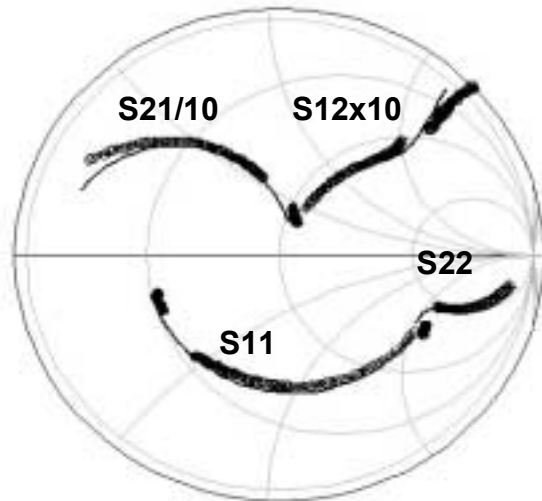
***On-wafer NWA:***

***results with***

***good LRL***

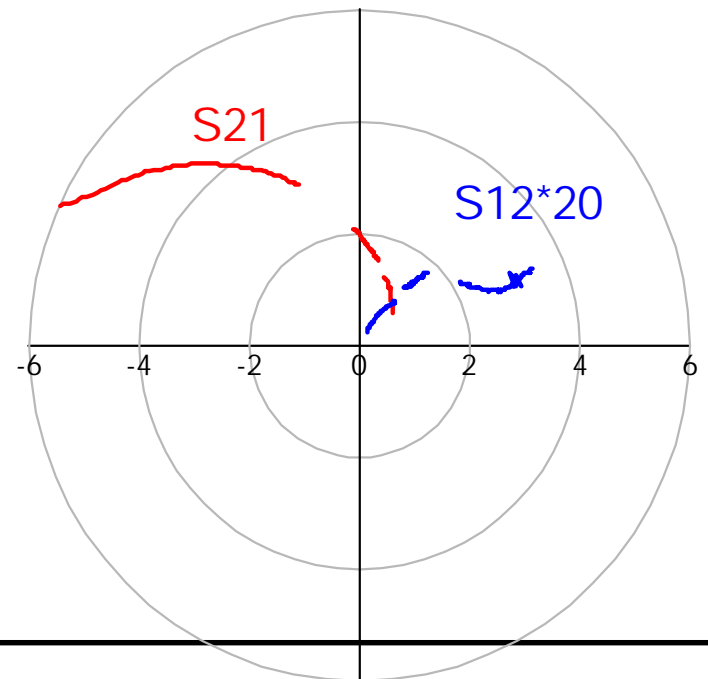
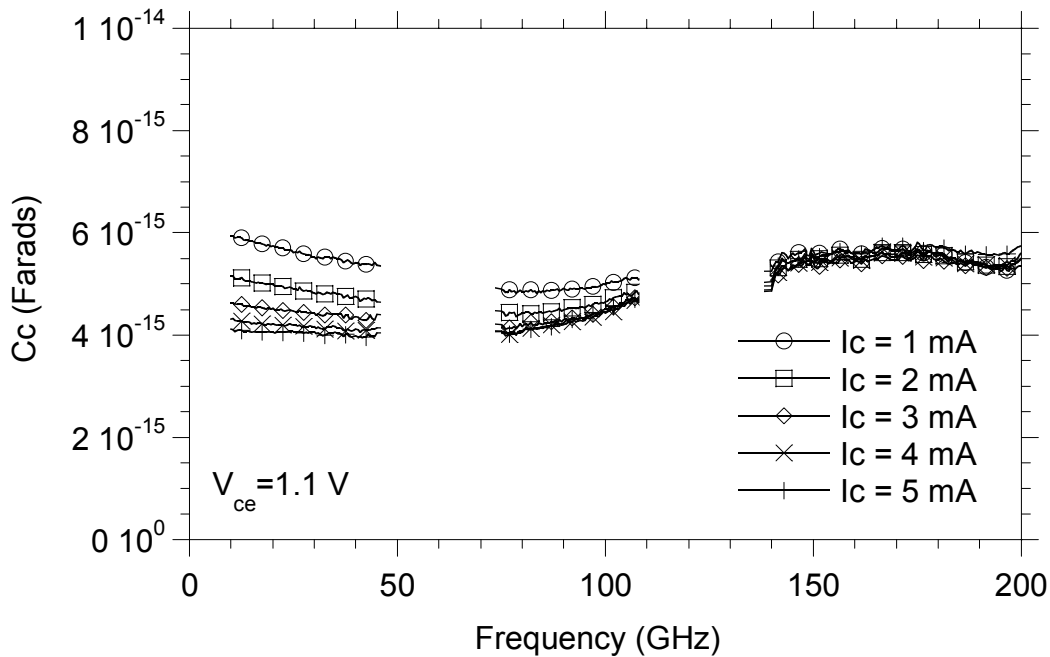
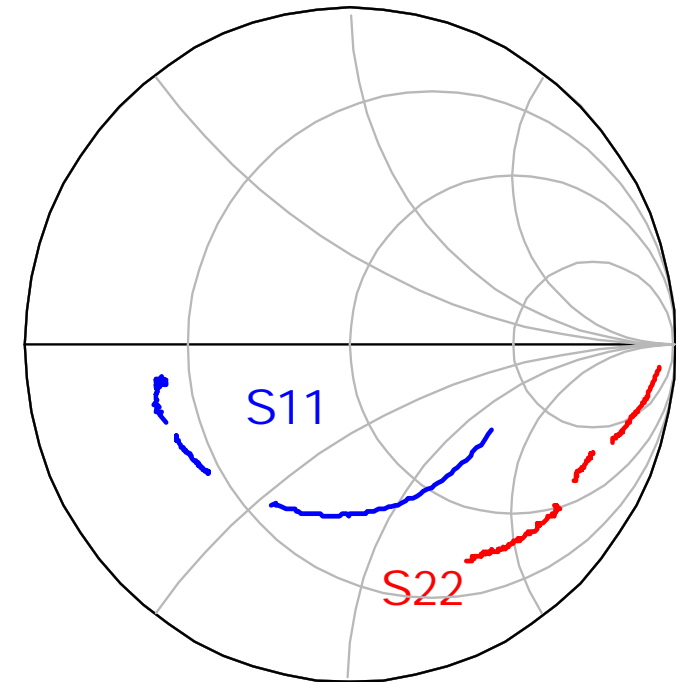
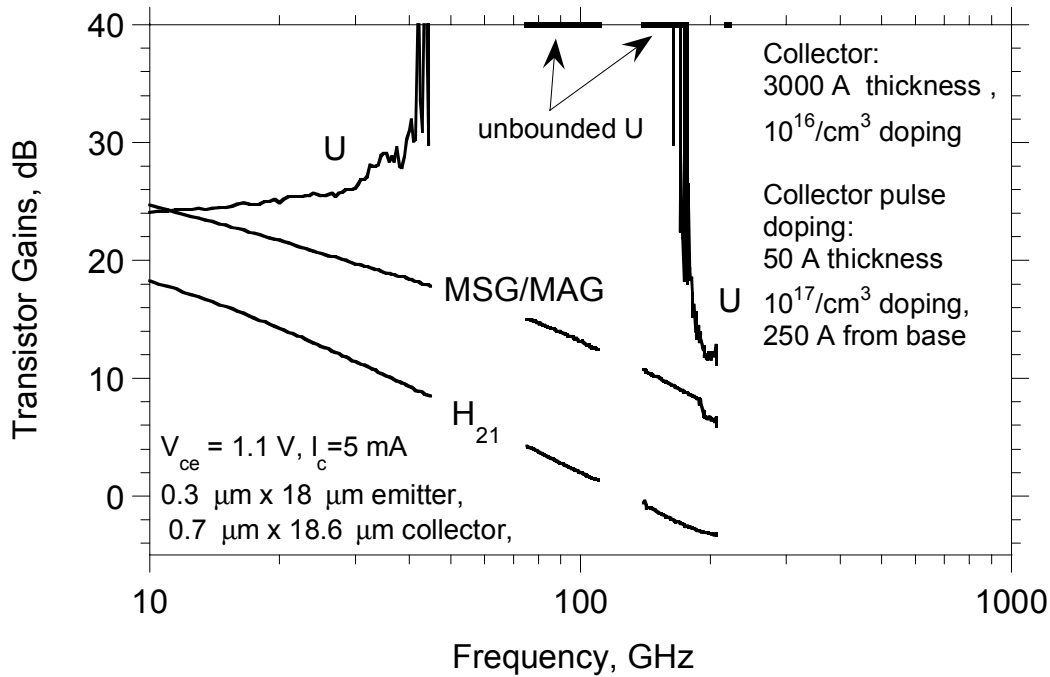
***calibration***

# characterization results, DC-40 and W-band

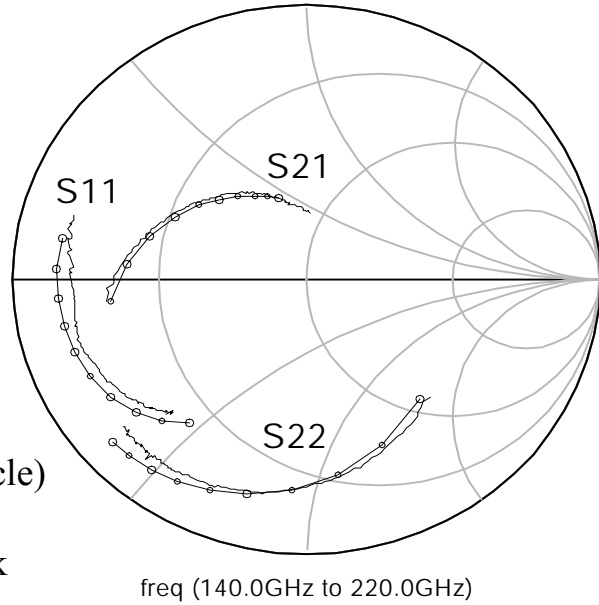


**Measurements are**  
smooth  
resonance-free  
consistent across bands  
consistent with known R's and C's

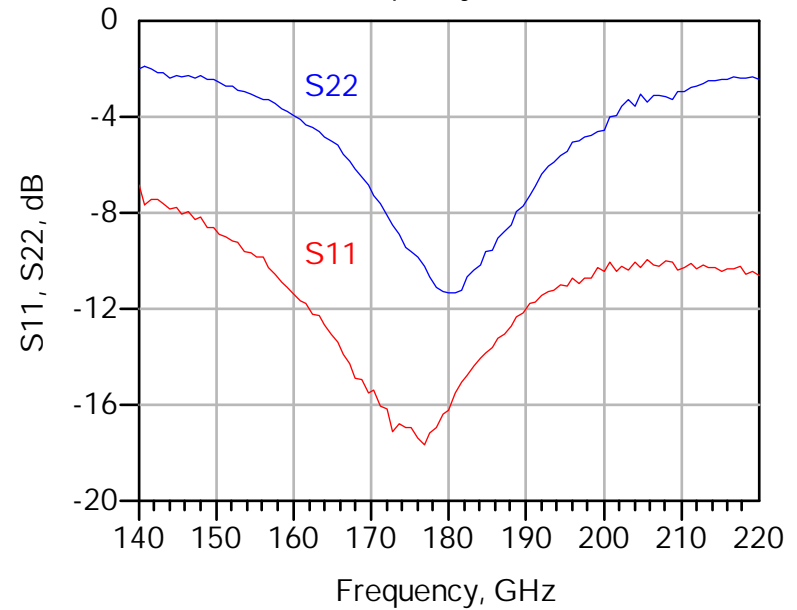
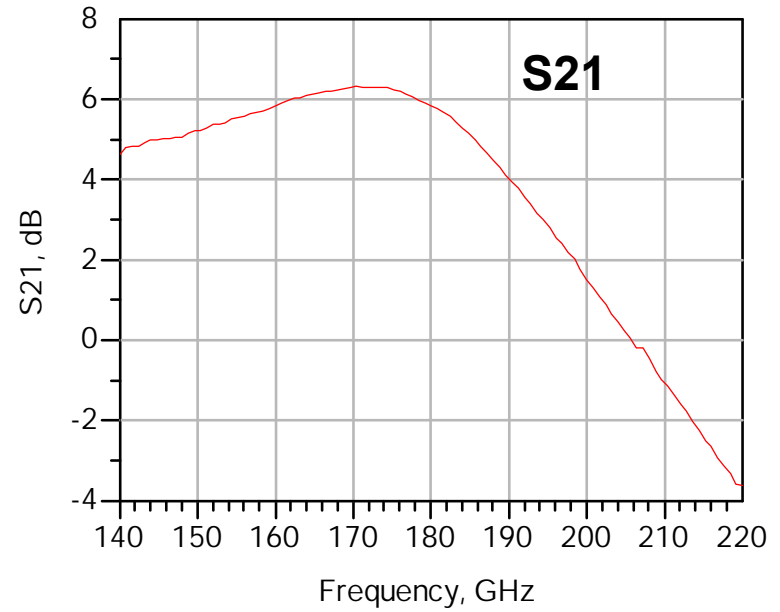
# Measurements of Wideband HBTs to 220 GHz



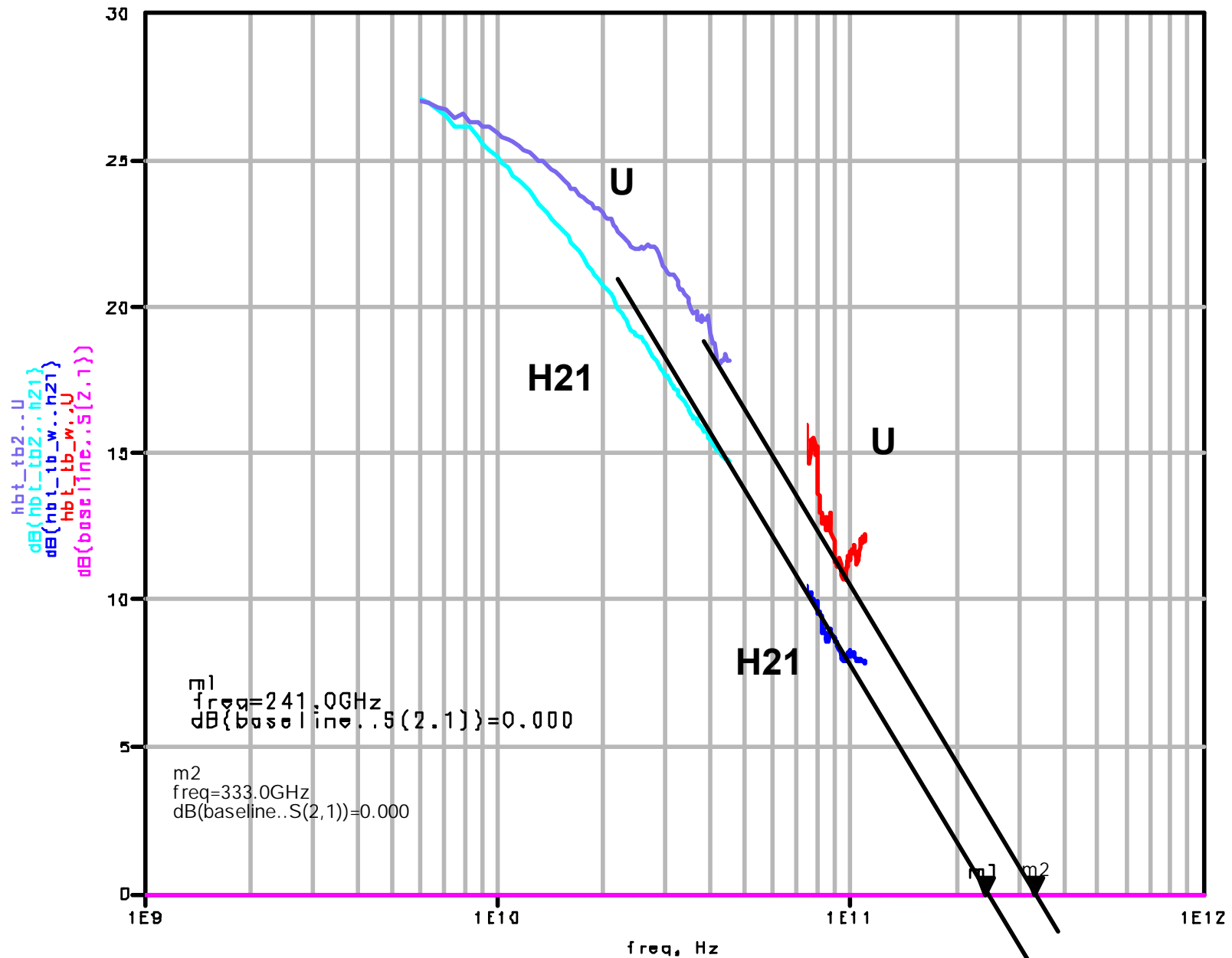
# 174 GHz Single-HBT Amplifier



Measured (solid) and modeled (circle) S-parameters of matching network test structure



# Poorer quality of an on-wafer LRL calibration using CPW



# ***High Frequency Instruments***

## **Needs:**

100 GHz sampling oscilloscopes for 40 Gb fiber transmission, ...  
Accurate and affordable 60 GHz (100 GHz ?) network analyzers

## **Easy to Address:**

sampling (harmonic down conversion) is easy and cheap over DC-200+ GHz  
other problems are relevant

## **Sampling Oscilloscopes**

timebase stability and flexibility in triggering: conflicting requirements !  
better time bases: 3-synthesizer, PLL, or DDFS  
choose timebase appropriate for application  
cable losses are major source of error  
network-analyzer-like calibration procedures should be developed

## **Network Analysis**

combined accuracy, frequency coverage, and cost  
good solution (?): moderate-order harmonic conversion with sampler for 40-110 GHz  
better calibration methods needed for testing  $> 300$  GHz  $f_t$  and  $f_{max}$  transistors