

Ultrafast Optoelectronics and Electronics

CLEO Short Course, May 1999

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Outline

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 - Photoconductors
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 - Schottky Diodes
 - RTDs
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 - Traveling-Wave RTDs
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Ultrafast Optoelectronics and Electronics: What?

Generation, propagation, and detection of picosecond (and femtosecond) electrical & optical transients

Why?

- **Basic Technology/Science:**
 - Generation and detection of pulse & CW signals in the 100 GHz-10 THz region (the spectral "black hole")
- **Characterization of mm-wave circuits and devices.**
 - Network analysis, waveform measurements, internal probing
- **Measurement of semiconductor material and electron transport properties relevant to devices.**
 - 50 GHz circuit needs \approx 100-200 GHz transistors.
 - 200 GHz transistor needs \approx 1 ps carrier transit times.
- **Optoelectronic, Electronic Devices for High-Speed Applications**
 - fiber and wireless communications at 10 GHz and beyond.

Future High-Frequency Applications:

- 10 / 40 / 100 / 160... GB/sec fiber-optic data transmission
- Wireless microwave & mm-wave digital radio links
capacity for the data explosion
bandwidth is cheap at mm-waves
- mm-wave radar & imaging, car collision avoidance
runway imaging, fly-by-night, military
small antennas, high resolution
- Radio astronomy / Earth remote sensing
THz heterodyne radiometers on Satellites
ozone depletion
- ... & instrumentation for these applications

The Electronic Bottleneck?

The issue:

- Can we make electronics at 100 GHz and above?

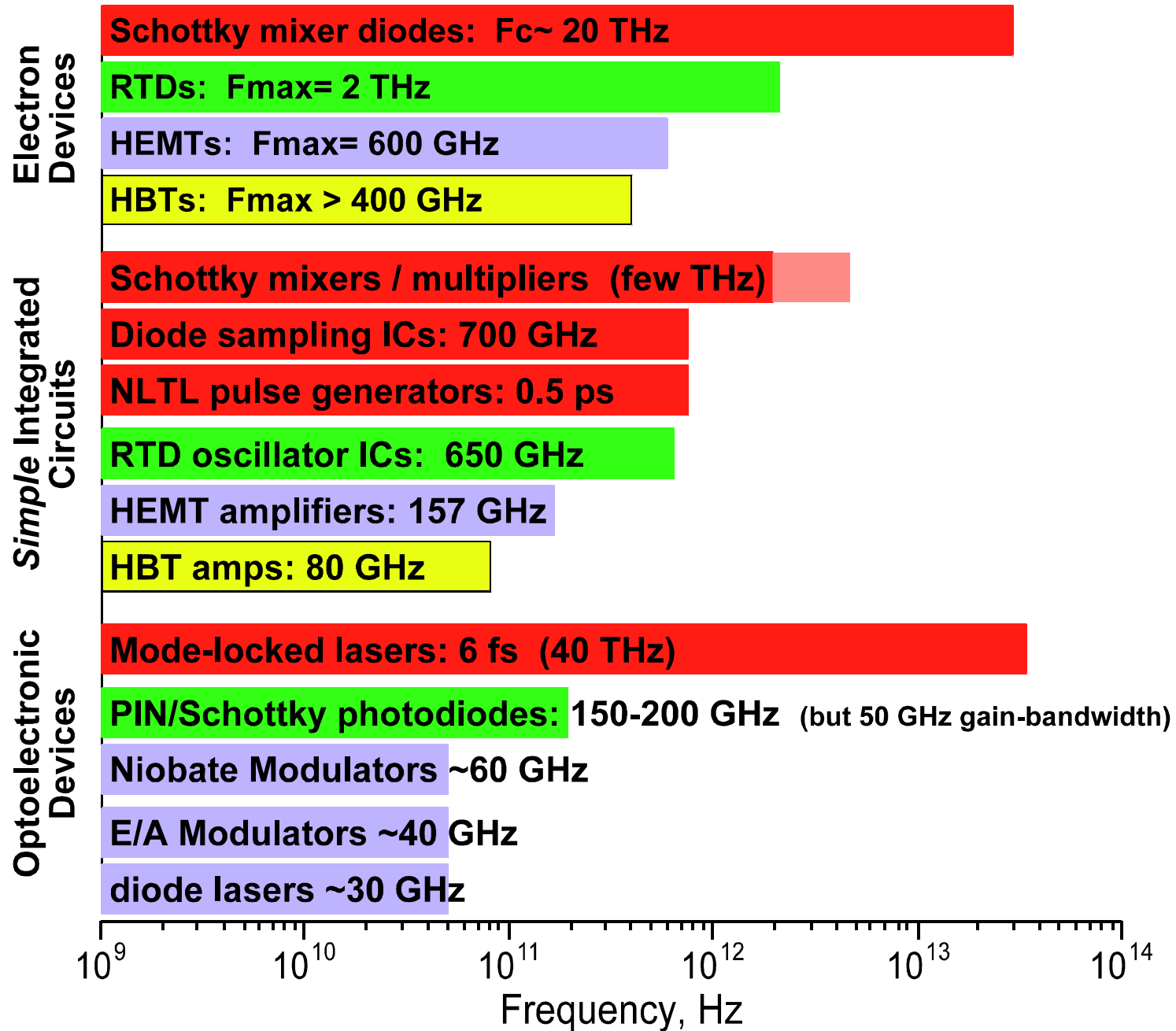
Today's solid-state devices:

- III/V HBTs: $f_{\max} \approx 400$ GHz
- Si/Ge HBTs: $f_{\max} \approx 100$ GHz planar, 180 GHz mesa
- HEMTs: $f_{\max} \approx 500$ GHz
- Schottky Diodes: $f_c \approx 20$ THz

Assertions (research objectives):

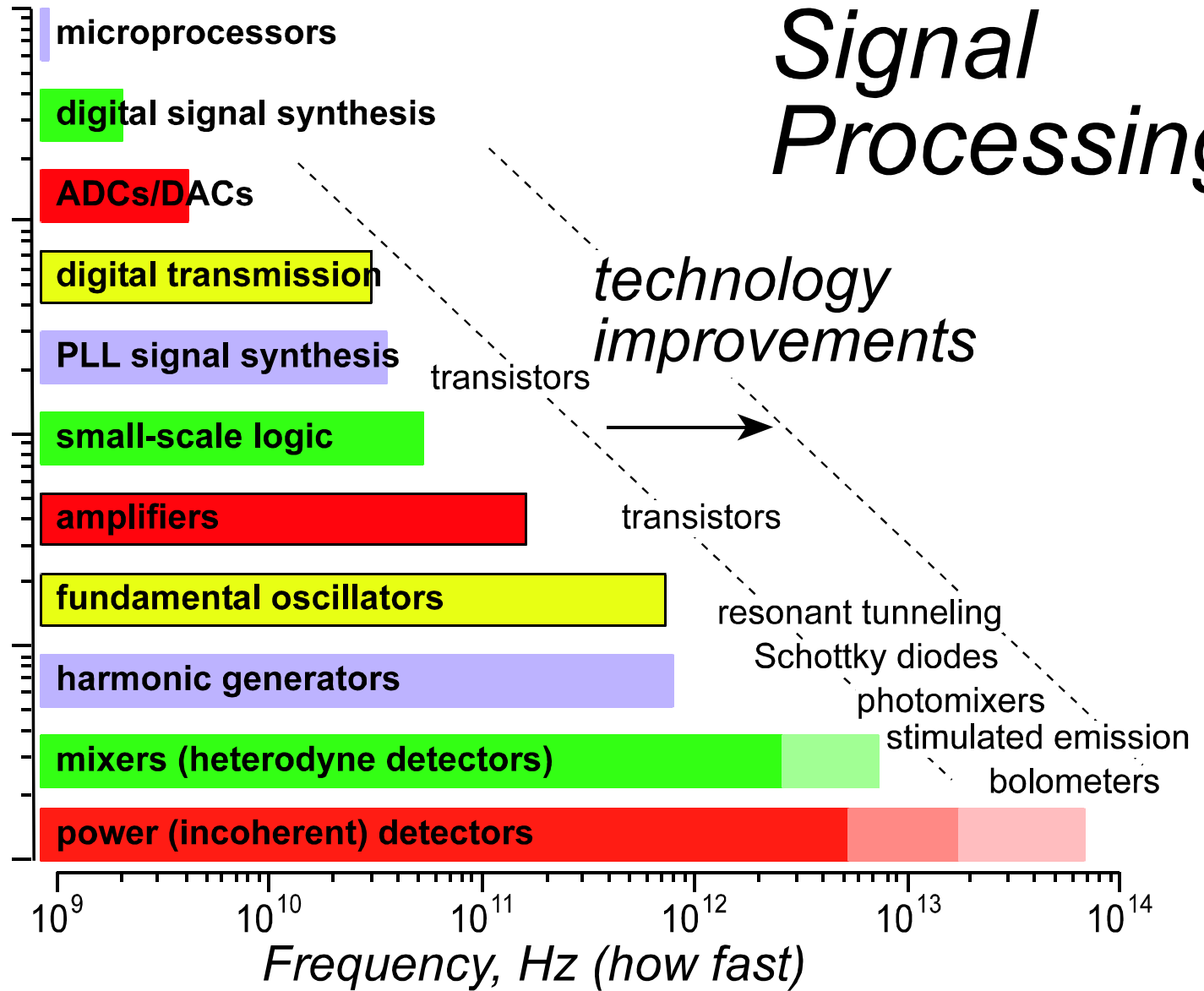
- device f_{\max} can be improved
- at $f_{\max} / 4$ many circuits can be implemented.

Ultra High Frequency Electronics



Signal Processing

Complexity
(how much
/ how well)



High-Speed Optoelectronics: \$\$\$ Realities

- devices, circuits feasible to ≥ 100 GHz.
- expensive technology: small, high-value market
military, instruments, satellites, high-capacity fiber
- mm-waves: bandwidth is cheap, ICs are expensive
- goal: cheap mm-wave ICs for high-volume markets
 - ... lower-cost III-V devices???
 - ... mm-wave silicon ICs???

Feasibility of 100 + GHz electronics is
mainly an economic issue

Bandwidth of Semiconductor Devices

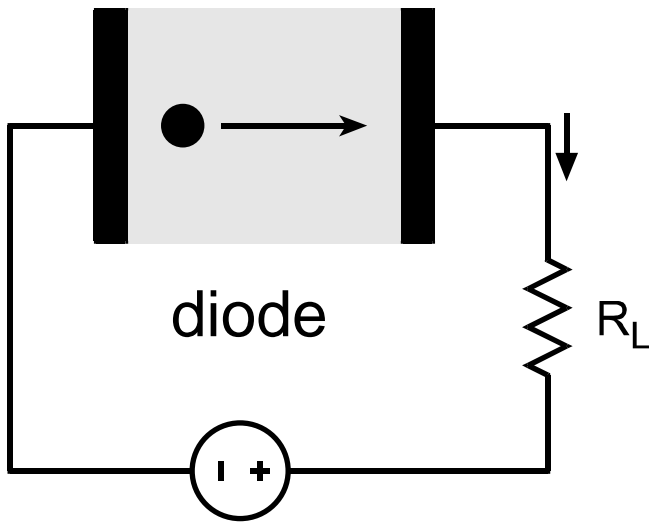
Interaction of transit time
and RC charging time sets bandwidth

Applies to most semiconductor devices

Schottky diodes, photodiodes, RTDs

bipolar transistors, field-effect transistors, ...

How Do Semiconductor Devices Work?



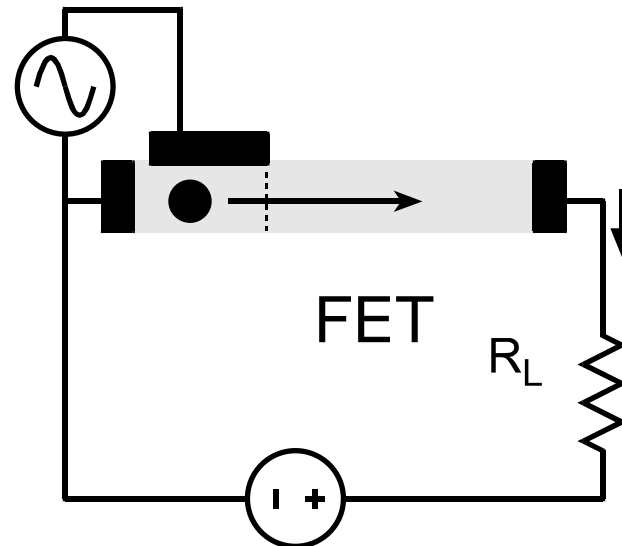
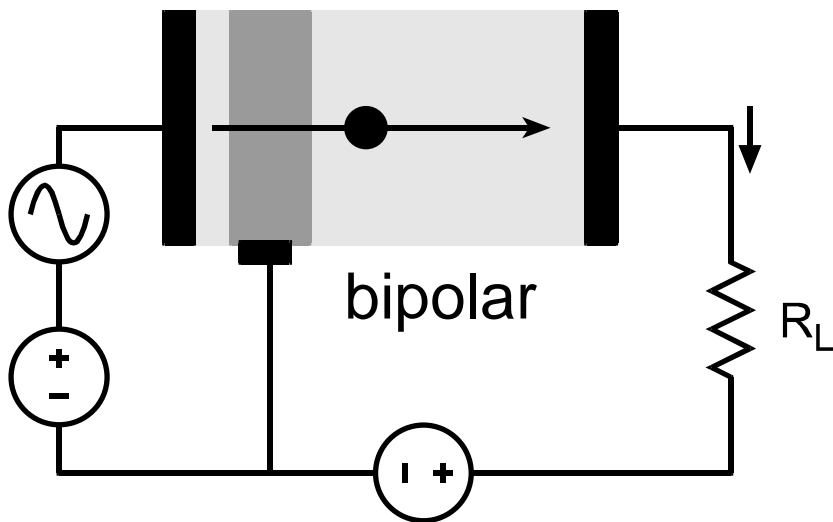
Signal power generated by sweeping carrier through field.

Current independent of output voltage

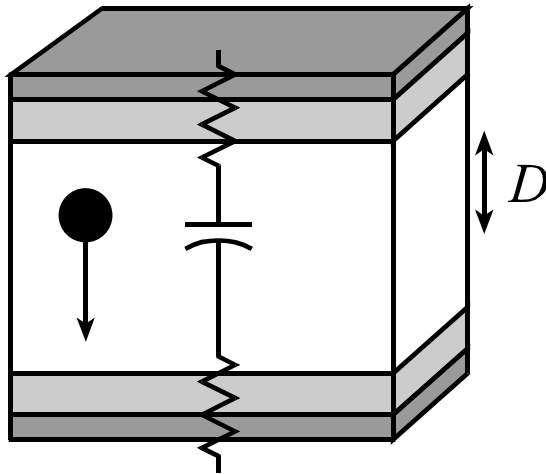
Current controlled by input voltage

Alternative:

negative-resistance devices



Total effective time constant and scaling

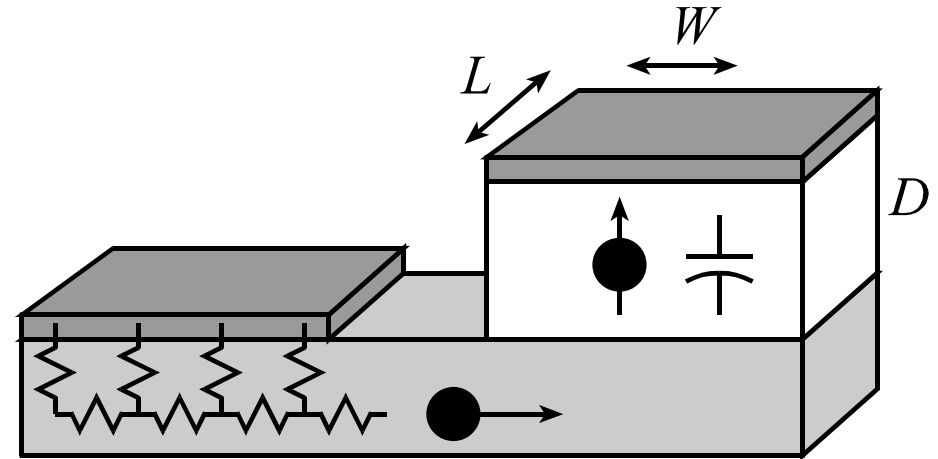


$$R_{contact} C_{depl} = \rho_{contact} \epsilon / D$$

$$\tau_{transit} \propto D / v_{electron}$$

$$T_{effective} \propto \sqrt{\tau_{transit} (RC)} = \sqrt{\frac{\epsilon \rho_{contact}}{v_{electron}}}$$

Vertical Ohmic Contacts



$$R_{cont,horizontal} C_{depl} \propto (1/L)(\epsilon A/D) = \epsilon W/D$$

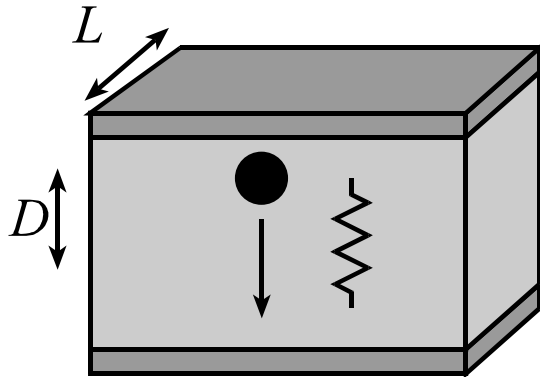
$$\tau_{transit} \propto D / v_{electron}$$

$$T_{effective} \propto \sqrt{\tau_{transit} (RC)} = \sqrt{\frac{\epsilon}{v_{electron}}} \sqrt{\frac{1}{W}}$$

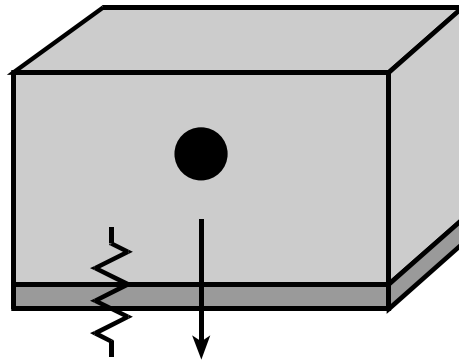
Horizontal Ohmic Contact
Vertical Schottky Contact

- Note the differing scaling laws !

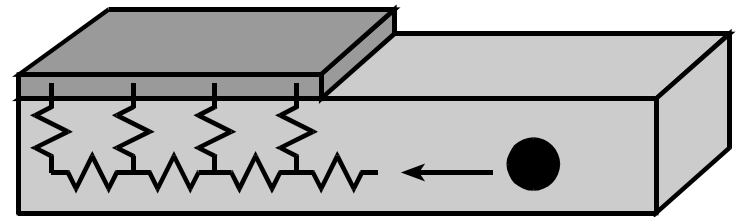
What Limits Semiconductor Device Bandwidth?



$$R_{bulk} = \rho D / A$$



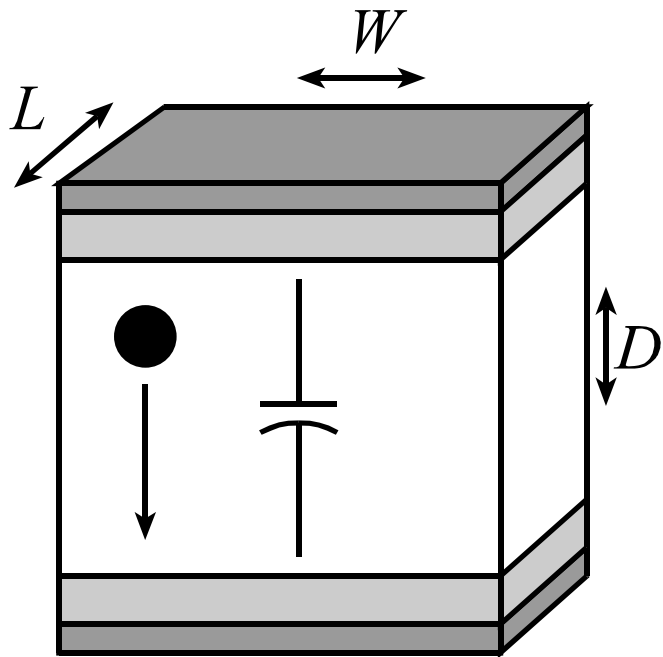
$$R_{contact} = \rho_{contact} / A$$



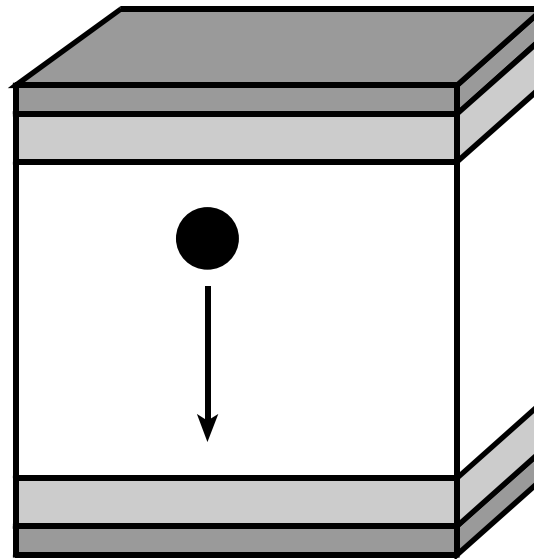
$$R_{cont,horiz} \propto 1 / L$$

- Bulk resistances
- Ohmic contact resistances
- Lateral contact access resistances
- These are for undepleted semiconductor layers

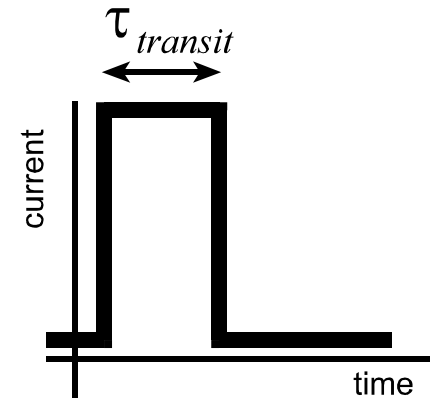
What Limits Semiconductor Device Bandwidth?



$$C_{depl} = \epsilon A / D$$

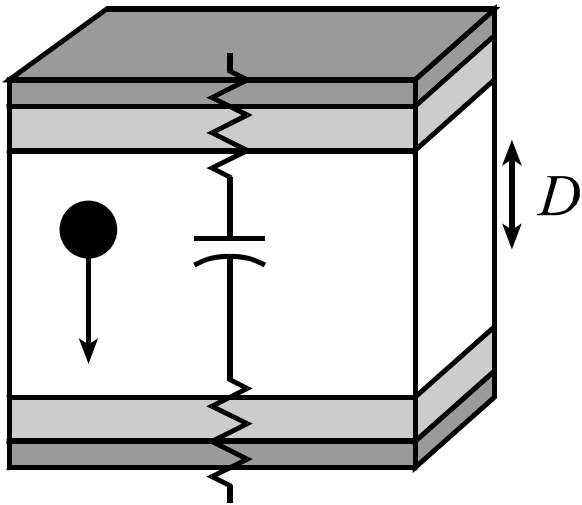


$$\tau_{transit} \propto D / v_{electron}$$



- Depletion layer capacitances
- Depletion layer transit times

RC Charging Times And Scaling

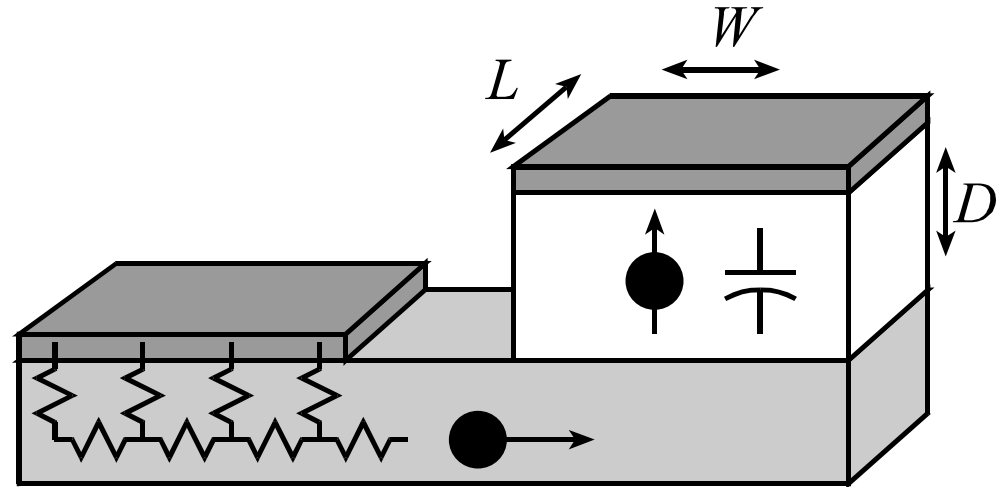


$$C_{depl} = \epsilon A / D$$

$$R_{contact} \propto \rho_{contact} / A$$

$$R_{contact} C_{depl} = \rho_{contact} \epsilon / D$$

Vertical Ohmic Contacts



$$R_{cont,horiz} \propto 1 / L$$

$$C_{depl} = \epsilon A / D$$

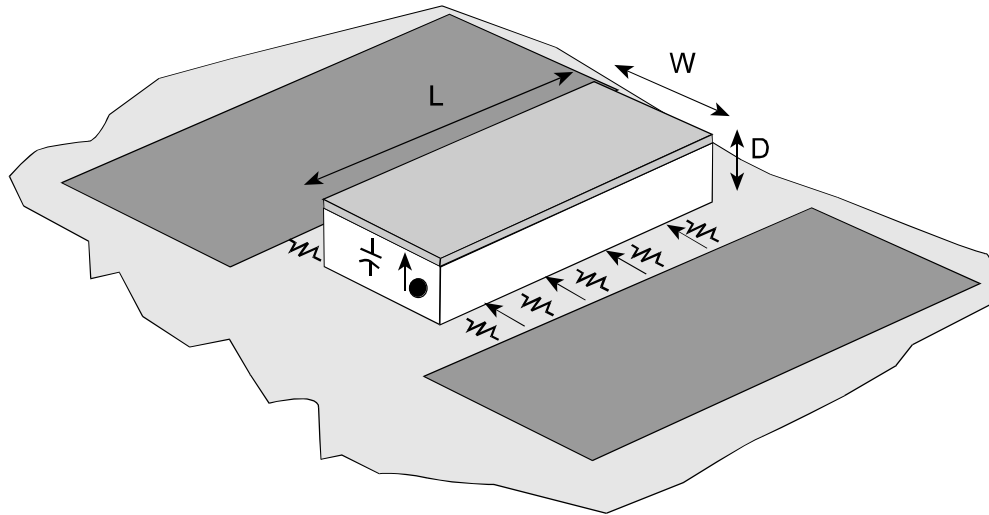
$$R_{cont,horiz} C_{depl} \propto (1 / L)(\epsilon A / D) = \epsilon W / D$$

Horizontal Ohmic Contact
Vertical Schottky Contact

- note the different scaling law

Super-Scaled, THz-Bandwidth Devices

Principle of Scaling



$$C \propto WL/D$$

$$\tau_{\text{transit}} \propto D/v_{\text{electron}}$$

$$R \propto 1/L$$

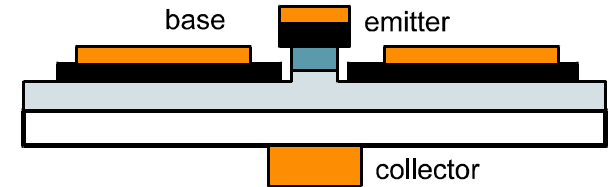
$$\rightarrow RC \propto W/D$$

deep submicron junction stripe widths,

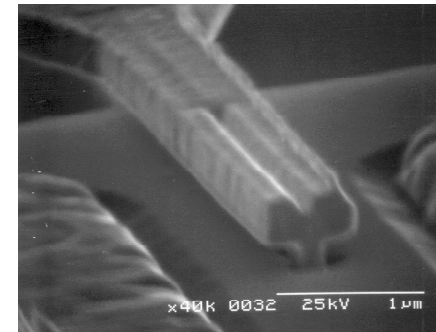
deep submicron epitaxial layer thicknesses

--> THz device bandwidths

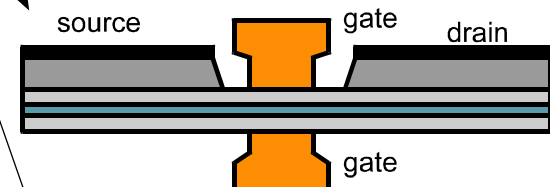
Transferred-Substrate HBT



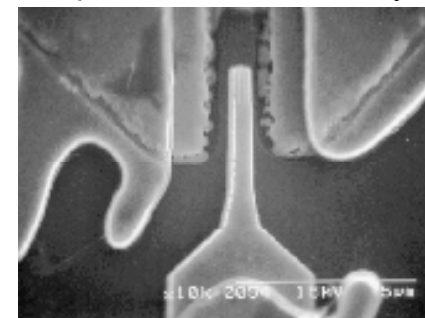
Schottky-collector RTD



Opposed-Gate HEMT



Super-Scaled Schottky



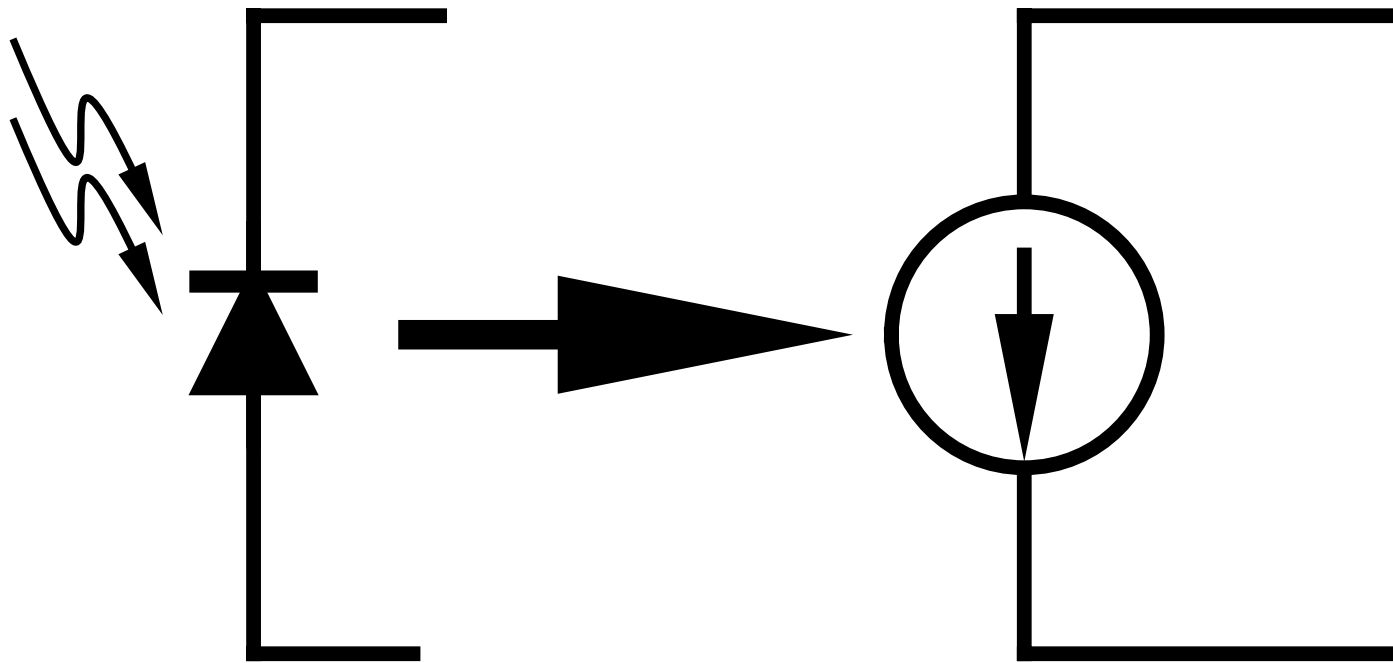
2-Terminal Devices

- Photodiodes & Photconductors
- Schottky Diodes
Resonant Tunnel Diodes

Photodiodes

Photoconductors: light-controlled resistors

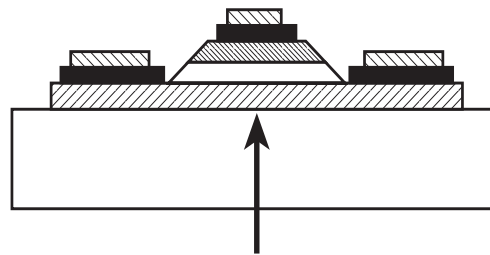
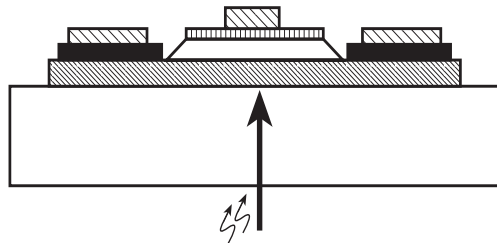
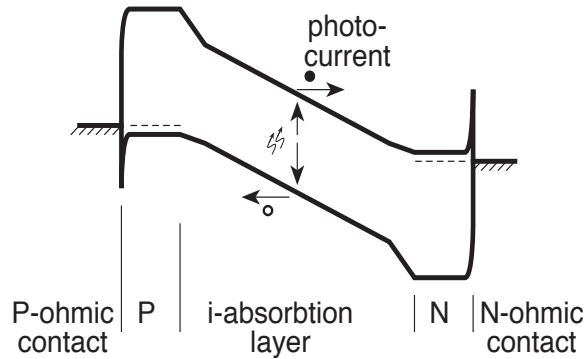
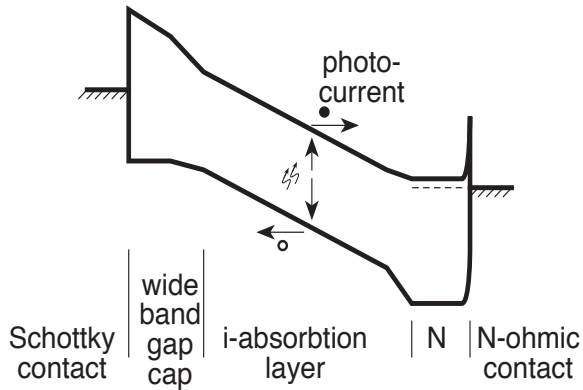
Photodiodes: light-controlled current sources:



Photodiode Structures:

Schottky photodiode

PIN photodiode



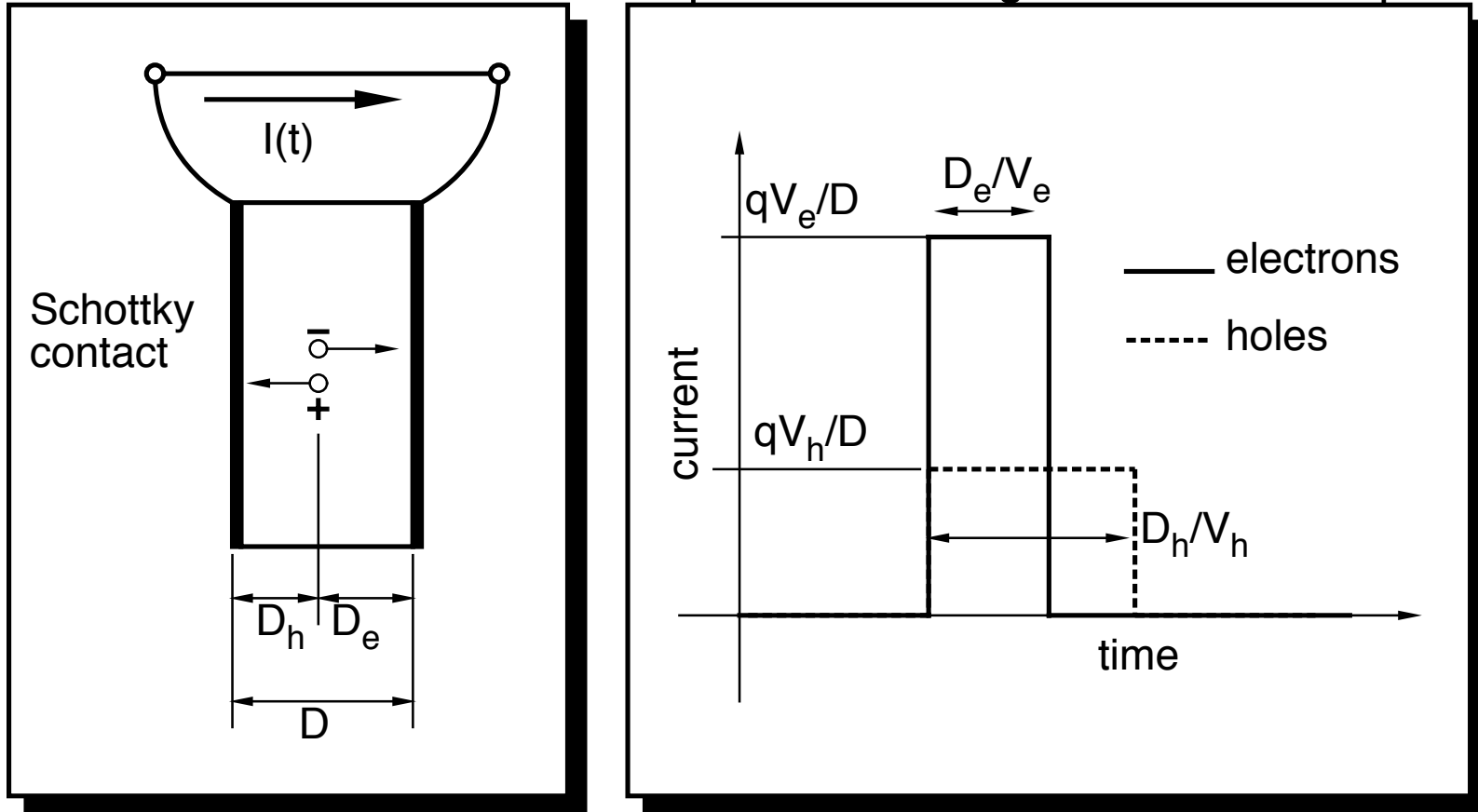
- Ohmic contacts
- ▨ N+ layer
- undoped
- ▤ Schottky/interconnect metals
- ▧ P+ layer
- ▩ wide bandgap cap layer

Schottkys are simpler: smaller, faster but are difficult on many materials: need AlInAs cap on InGaAs Schottky

Narrow band-gap (absorbing) I-region (N+ and P+ regions are wider-bandgap, hence transparent)

Photodiode Carrier Transit-Time

-Short-circuit current response to a single electron-hole pair



GaAs Electron velocity: $\approx 1-2(10^7)$ cm/sec , higher for $D < 1000 \text{ \AA}$
 GaAs Hole velocity: $\approx 6(10^6)$ cm/sec (?), never ballistic

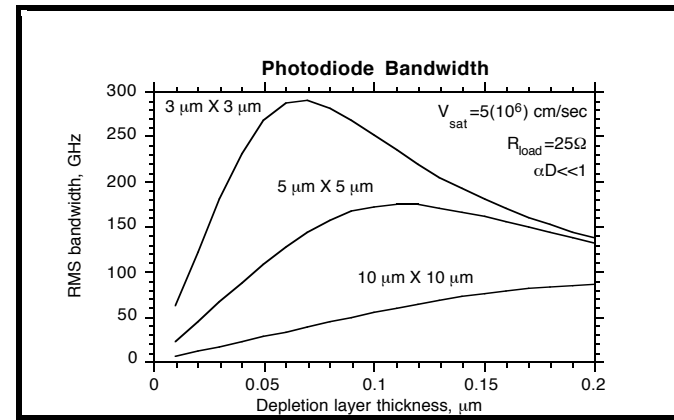
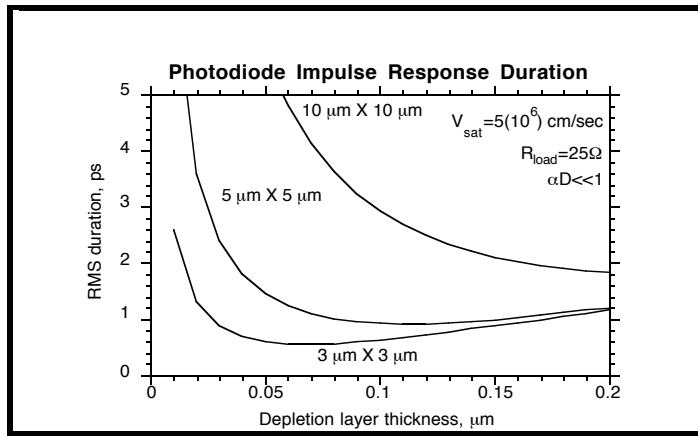
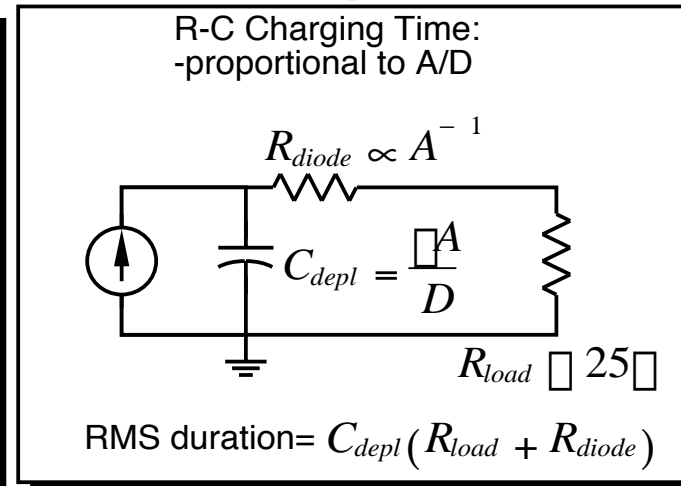
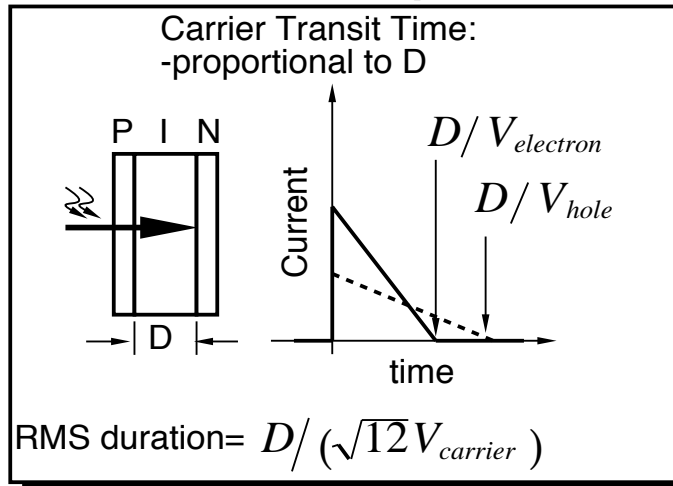
Photodiode Transit Time II

	Carrier Generation Profiles	Carrier Currents; Impulse Response	Total Current; Impulse Response
$\square D \ll 1$			
$\square D \gg 1$			

$$G(z, t) = \frac{(1 - R) E_0 \delta(t)}{h\nu A} \alpha(e^{-\alpha z}) \quad \text{for } P_{incident}(t) = E_0 \delta(T)$$

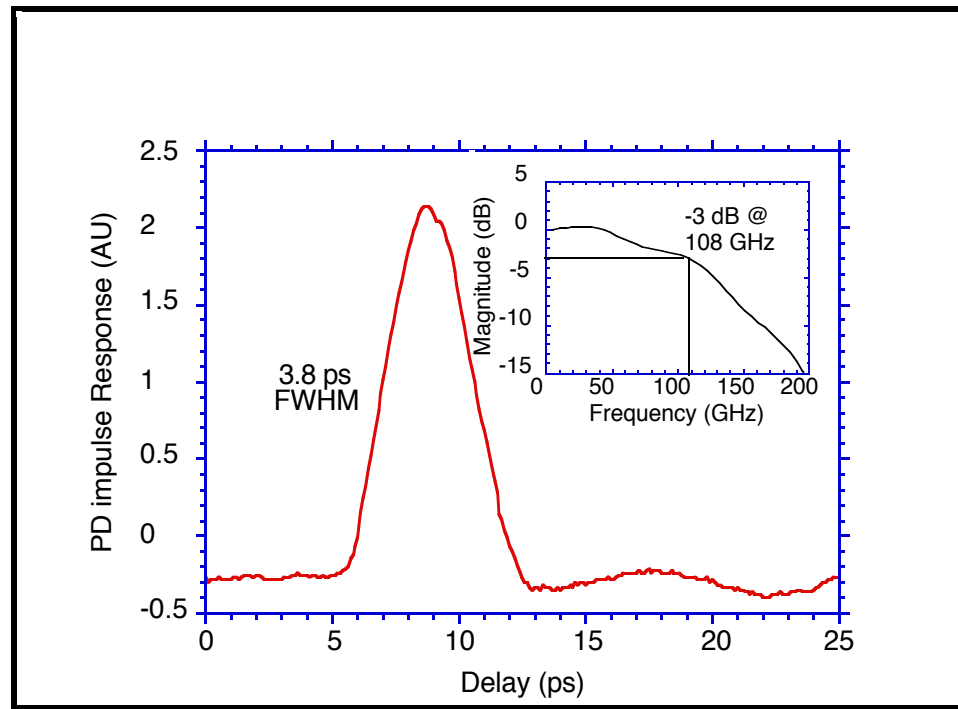
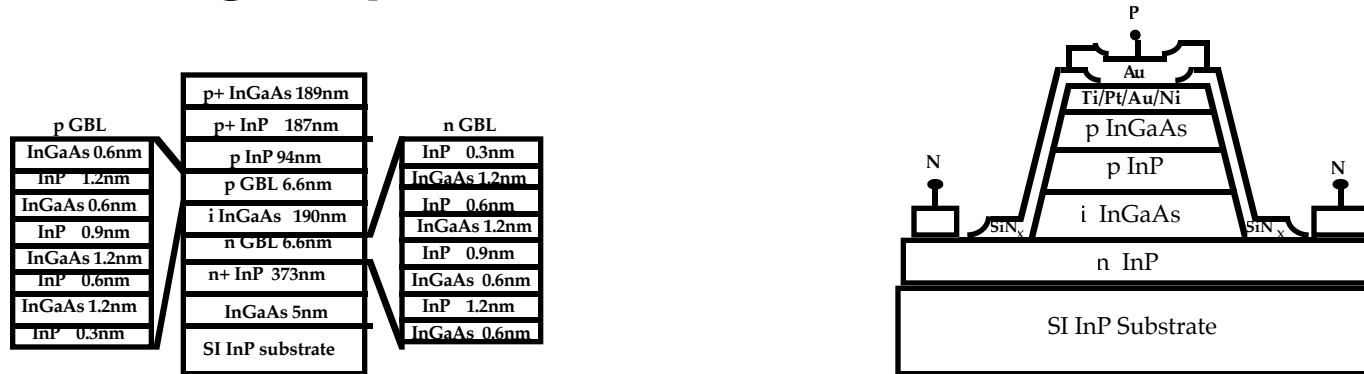
Photodiodes: Optimization of I-layer Thickness

Photodiodes: Optimization of Absorption Layer Thickness



Analysis neglects diode series resistance (process-dependent, significant for smaller diodes). $f_{-3dB} = 0.83 f_{RMS}$ for Gaussian response

High-Speed InGaAs Photodetector



Photodiode Efficiency-Bandwidth Limit

Quantum Efficiency:

$$\eta = (1 - R)(1 - e^{-\alpha D}) \cong \alpha D$$

Assuming:

- Antireflection coating: $R = 0$
- Thin absorption layer: $\alpha D \ll 1$

Bandwidth

$$f_{3dB} = 0.445 V_{carrier} / D$$

Assuming:

- Transit time \gg RC charging time
- Thin absorption layer: $\alpha D \ll 1$

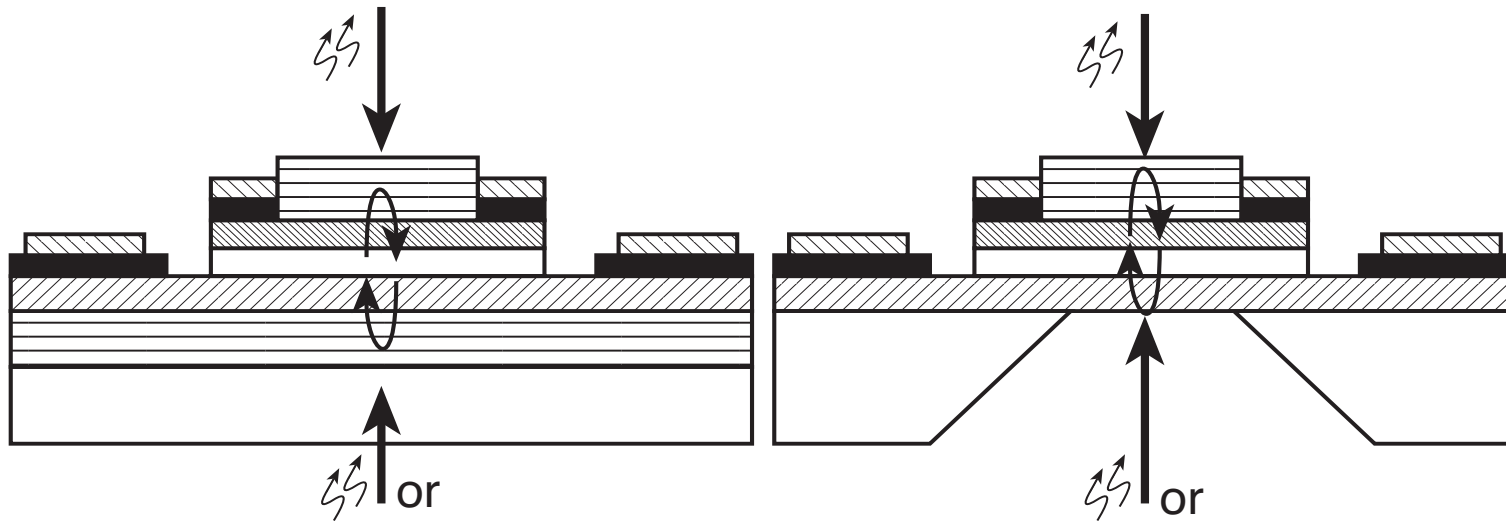
Efficiency-Bandwidth Product

$$f_{3dB} \eta = 0.445 V_{carrier} \alpha$$

strongly wavelength-dependent

Beating the Efficiency Bandwidth Limit 1

PIN RCE photodiodes



- Ohmic contacts
- ▨ N+ layer
- undoped (i-layer, substrate)
- ▨ Schottky/interconnect metals
- ▨ Bragg reflector stack
- ▨ P+ layer
- ▨ P- cap layer

- Mirrors result in multiple transits of absorbing region by incident light
- Optical impedance-matching problem (use Smith Chart!)
- Thin absorbing layers (fast device) and high efficiency both possible

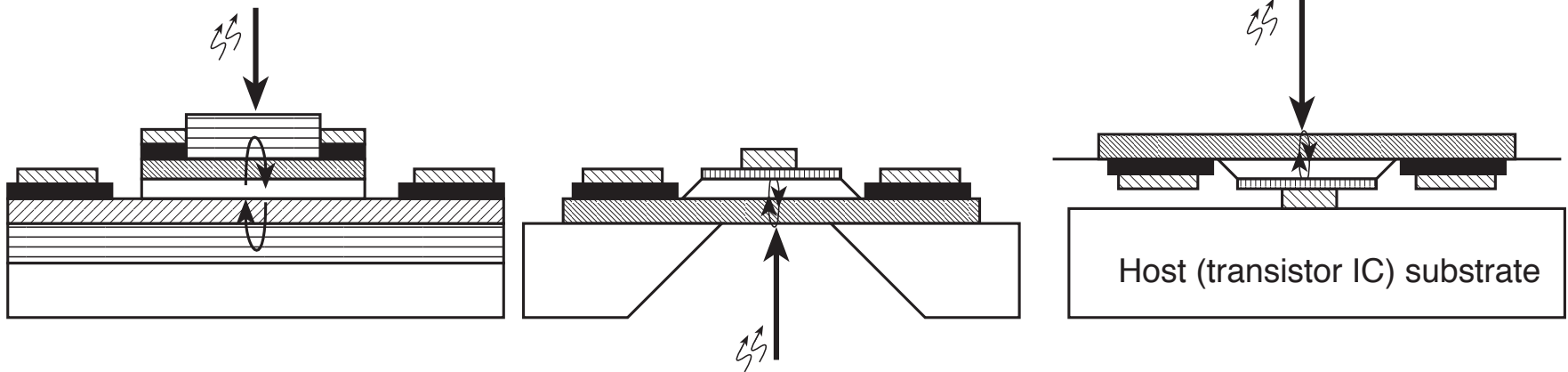
Other RCE detector configurations

PIN RCE photodiode

Schottky RCE photodiodes

via-etched

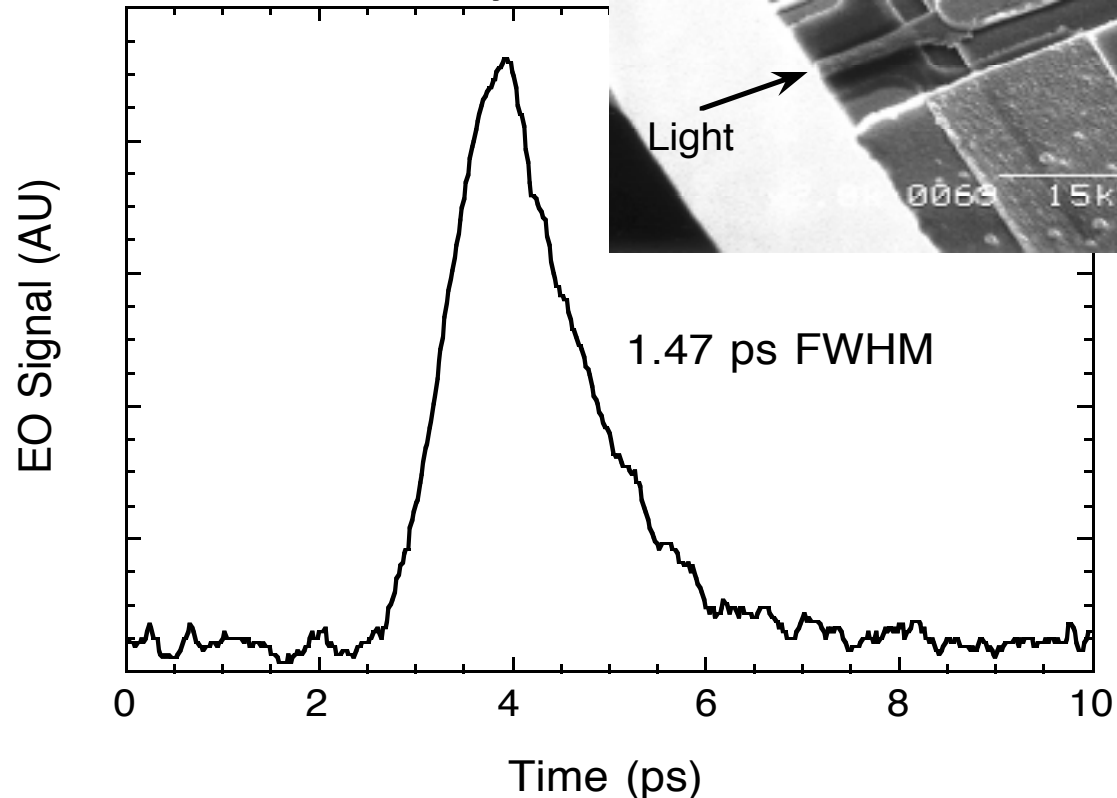
flip-chip



- Ohmic contacts
- ▨ N+ layer
- undoped (i-layer, substrate)
- ▧ Schottky/interconnect metals
- ▤ Bragg reflector stack
- ▩ P+ layer
- ▦ wide band-gap cap layer

Beating the Efficiency Bandwidth Limit 2

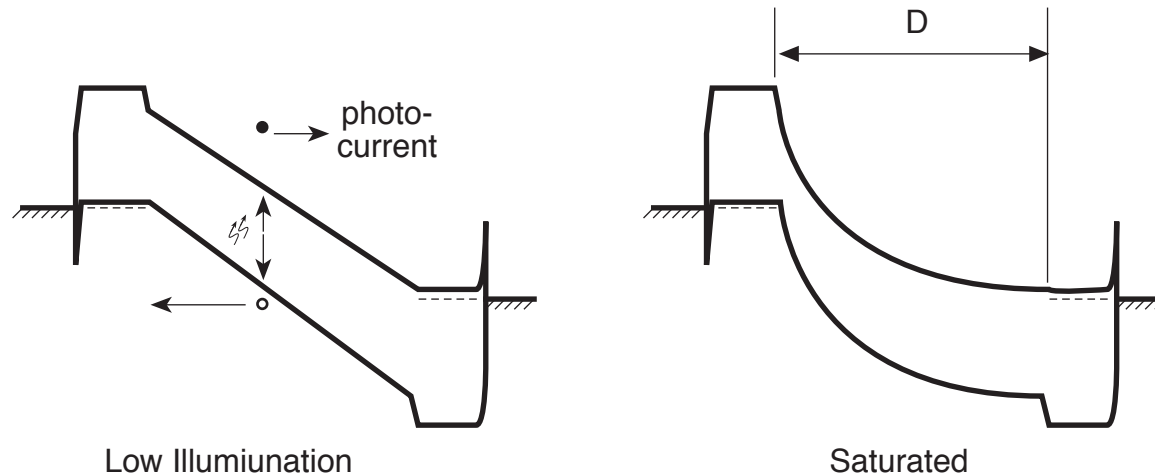
Waveguide Photodetector
with 172 GHz Bandwidth and
76 GHz Bandwidth-Efficiency Product



Waveguide (and traveling-wave) photodiodes.

- Optical Illumination perpendicular to electron transport- independent dimensions
- Very small optical aperture....coupling can be hard

Saturation in Photodiodes: Field-Screening



back-of-envelope calculation (skipping integrals)

Electron velocity \gg Hole velocity \rightarrow hole stored charge dominates

$$Q_{hole} \approx J\tau_{hole} = JD / v_{hole}$$

$$\Delta E \approx Q_{hole} / \epsilon = JD / v_{hole} \epsilon$$

$$\Delta V \approx \Delta E \cdot D \approx JD^2 / v_{hole} \epsilon$$

$$V_{bias} + \phi \approx JD^2 / v_{hole} \epsilon$$

$$\longrightarrow \boxed{J_{max} \approx (V_{bias} + \phi) \frac{\epsilon v_{hole}}{D^2}}$$

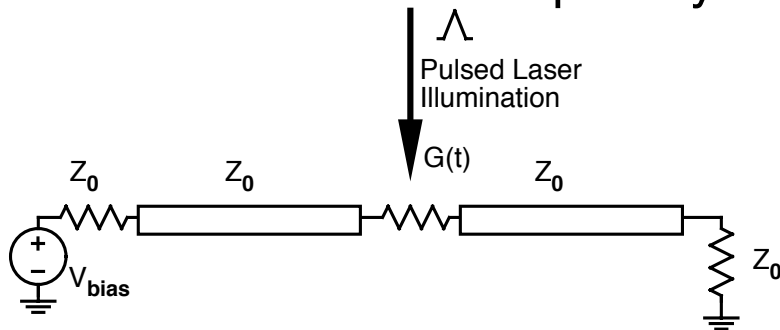
$$\frac{D^2}{\epsilon v_{hole}}$$

is called the space-charge resistance

Vbias is limited by breakdown

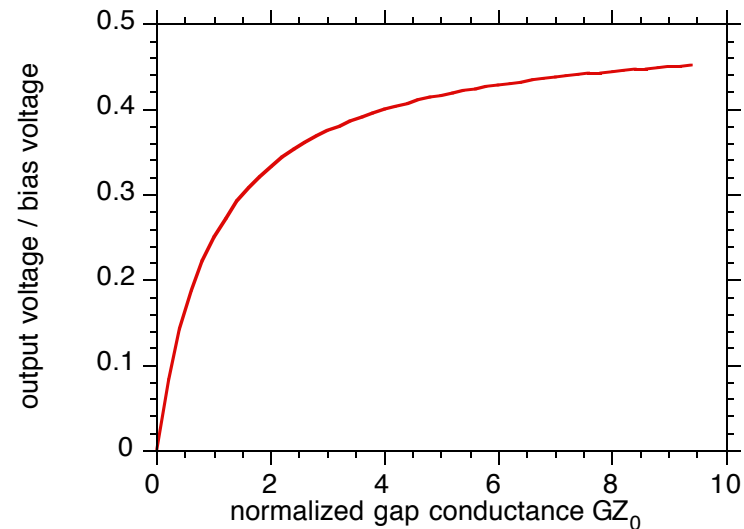
Photoconductor: Fast Optical-Electrical Converter

Photoconductors are optically-controlled resistors



$$\frac{V_{out}}{V_{bias}} = \frac{1}{2} \left(\frac{Z_0}{Z_0 + 1/G} \right)$$

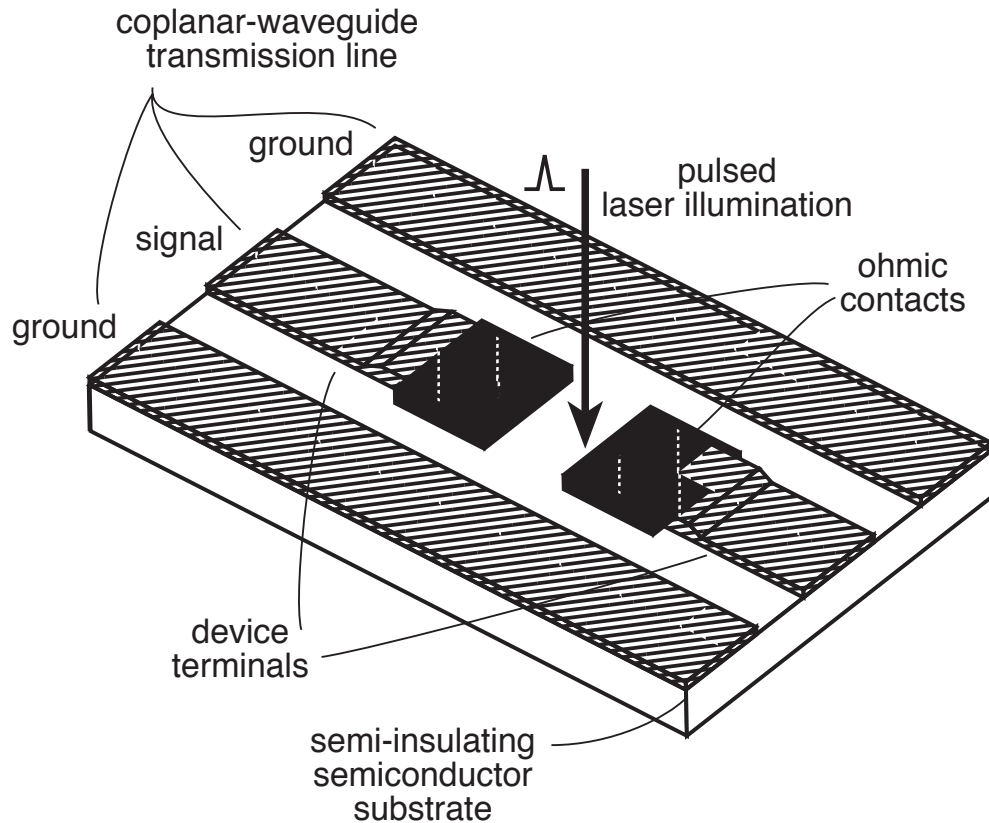
Photoconductor DC transfer characteristics



- $GZ_0 \ll 1$: linear photodetector
- For $GZ_0 \gg 1$, laser-gated switch

- Subpicosecond optical-electrical converters
- Subpicosecond electrical sampling gates

Photoconductive Detectors: DC Characteristics



R is the reflectivity,
 tau is the carrier lifetime,
 L is the gap length,
 W the gap width

Responsivity varies as $1 / L^2$

$$G(t) \propto (W/L)(thickness)(\mu_n n + \mu_p p)$$

$$n \cdot (thickness) = p \cdot (thickness) = (1 - R) \frac{q}{h\nu} \frac{P_{optical}}{WL} \tau$$

Photoconductor Impulse Response (bandwidth)

Carrier recombination time

$$n(t) = n_o e^{-t/\tau}, \quad p(t) = p_o e^{-t/\tau}$$

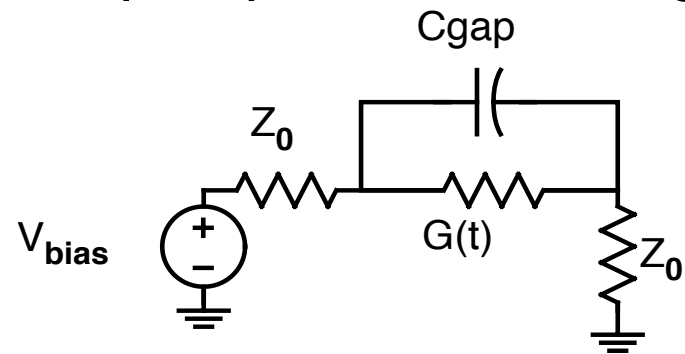
Radiation damaged GaAs

reduces τ and μ : increases bandwidth, decreases sensitivity.

Low-Temperature-Growth GaAs:

reduces τ but maintains good mobility, hence good sensitivity.
(Arsenic clusters as recombination centers?)

Gap capacitance charging time



Small-signal ($GZ_0 \ll 1$):

$$\tau = 2Z_0 C_{\text{gap}}$$

Photodiodes vs Photoconductors

Photodiode

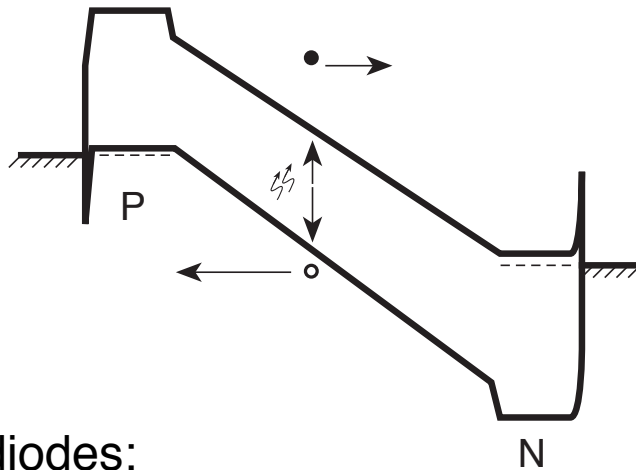
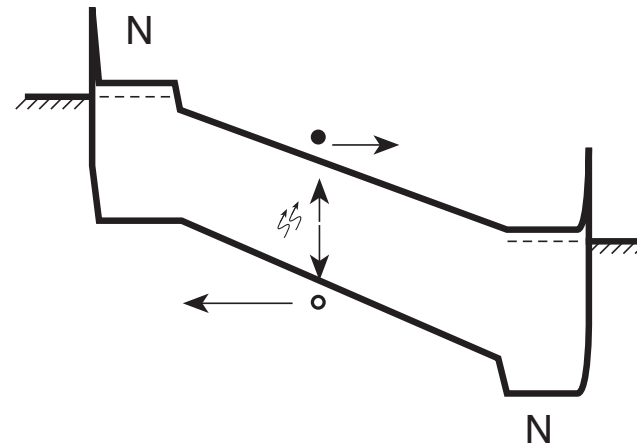


Photo-conductor



Photodiodes:

contacts block carrier entry into drift region

total charge collected is exactly # photons absorbed

current duration is electron + hole transit times

N-I-N photoconductor (vice-versa for P-I-P photoconductor)

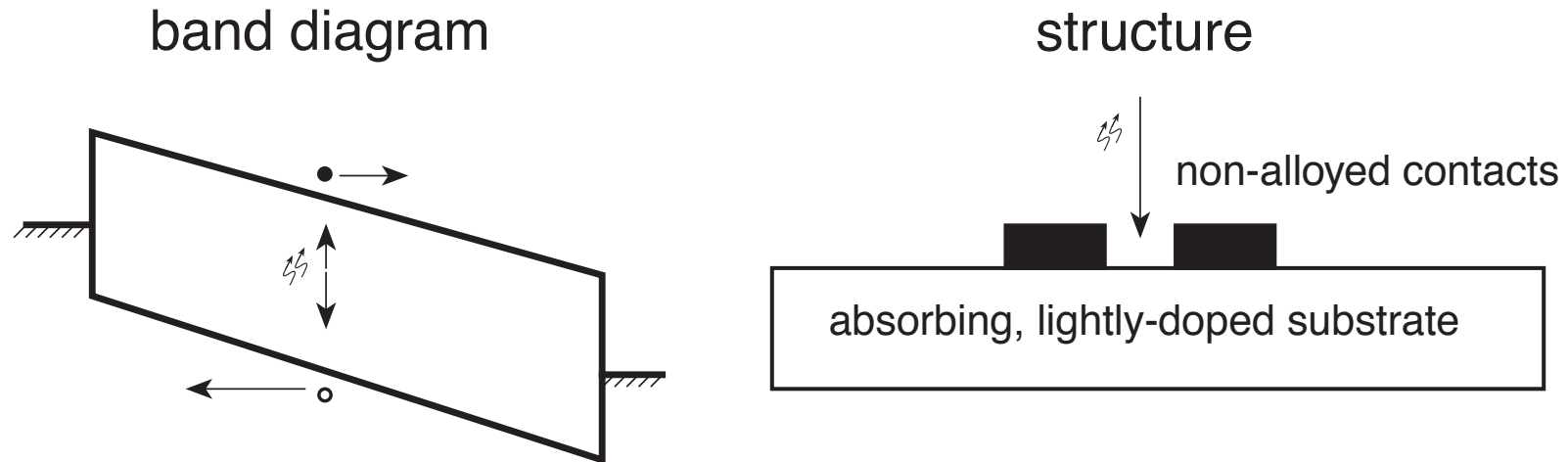
N contacts block hole entry, do not block electron entry

by charge neutrality, more electrons enter from cathode as holes leave

current pulse duration is hole recombination or sweep-out time

current gain is (hole lifetime)/(electron lifetime)

Metal-Semiconductor-Metal Photodetectors



Unless Ohmic contacts are used, MSMs are photodiodes, not photoconductors

Published Ultrafast MSM detectors show no photoconductive gain

Observed change in responsivity with bias due to increased depletion depth ?

The Step Recovery Diode

Under bias, carriers are stored in the intrinsic region

Charge control model:

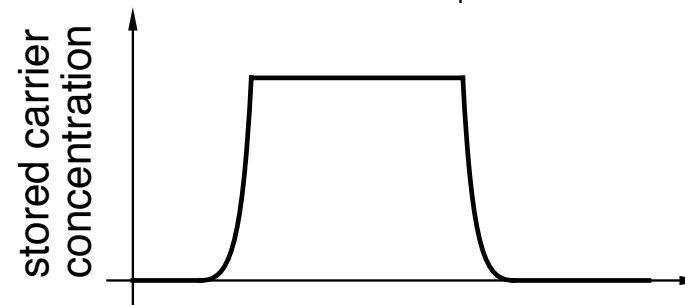
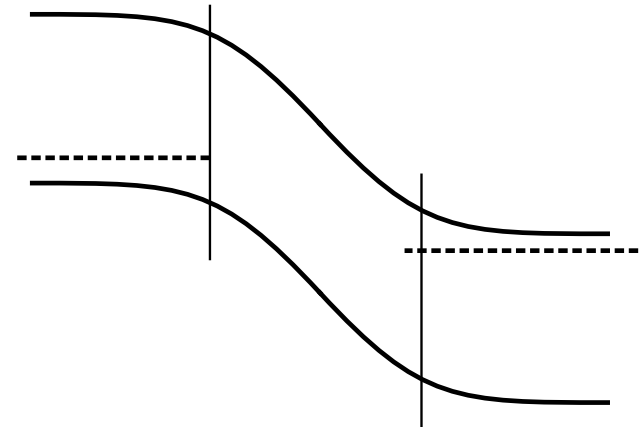
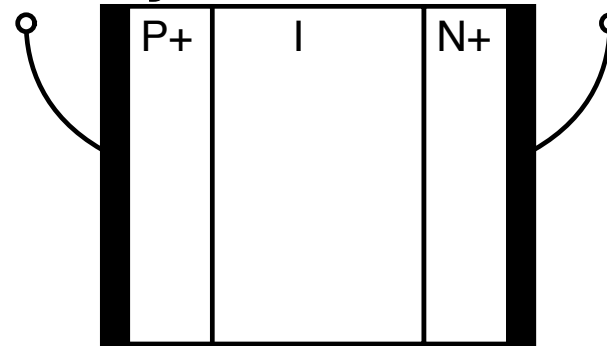
- Stored charge

$$Q_s = Q_0 (\exp(qV / kT) - 1)$$

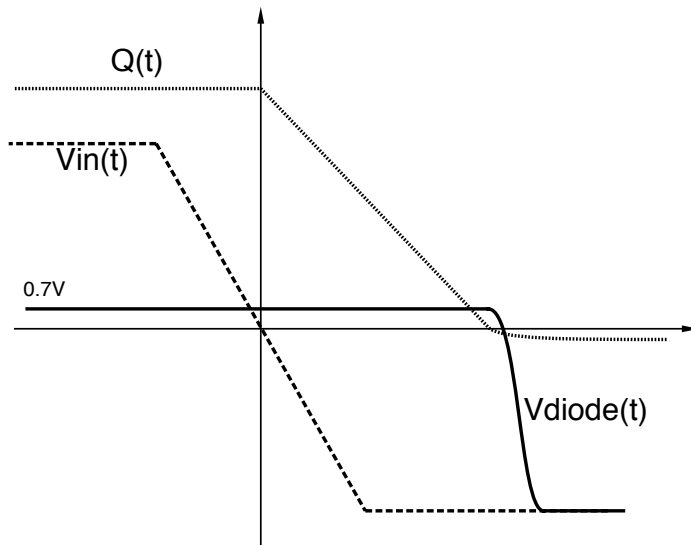
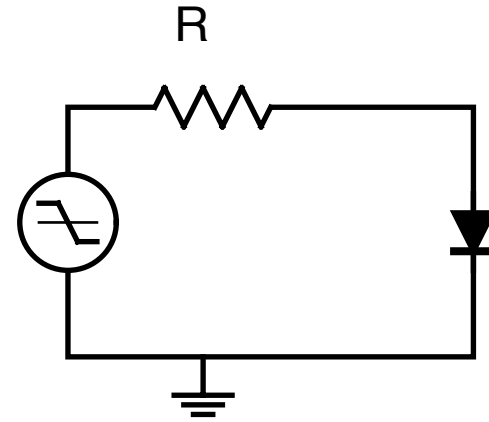
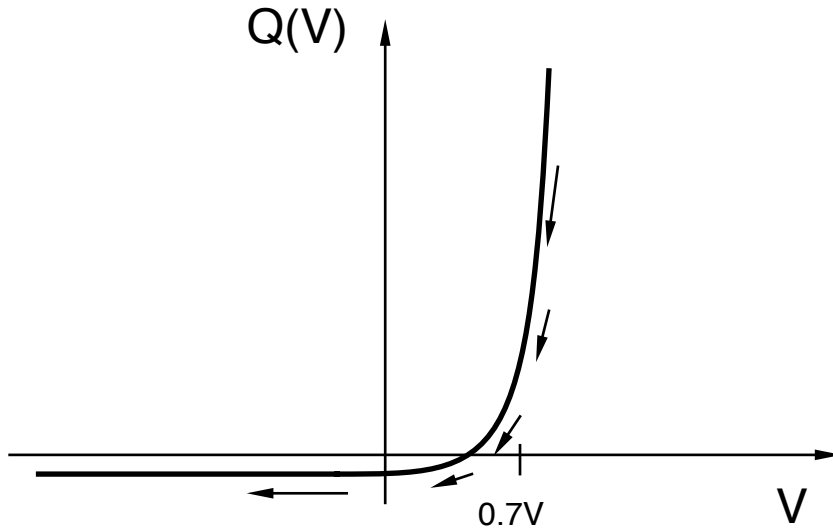
- Diode current

$$I = \frac{dQ_s}{dt} + \frac{Q_s}{\tau}$$

- Widely used as a pulse generator in microwave instruments



Electrical Faltime Compression with SRD's



$$I = (V_{diode} - V_{in}) / R$$

$$dQ/dt \cong -I$$

For a fast-changing input signal, the SRD acts as a nonlinear capacitor.

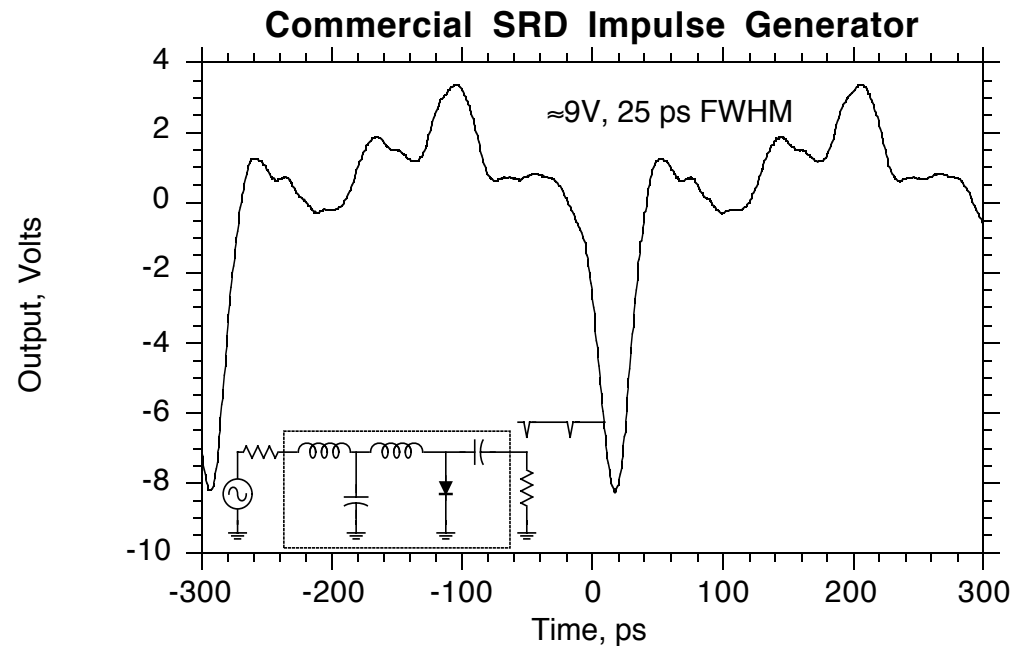
Transition time limits of SRD's

Depletion Capacitance:

$$\tau = R_{load} C_{SRD}$$

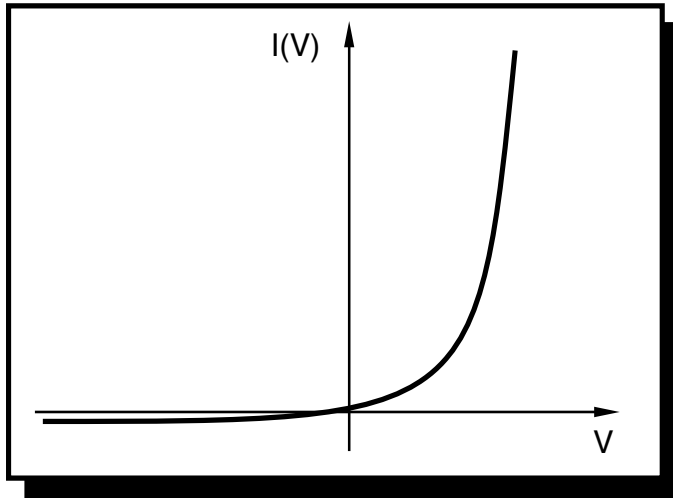
Carrier Diffusion Time

Time in which minority carriers are swept from the intrinsic region. No simple closed-form expression. Moll (1969) estimates ≈ 10 ps/ μm of intrinsic layer thickness.

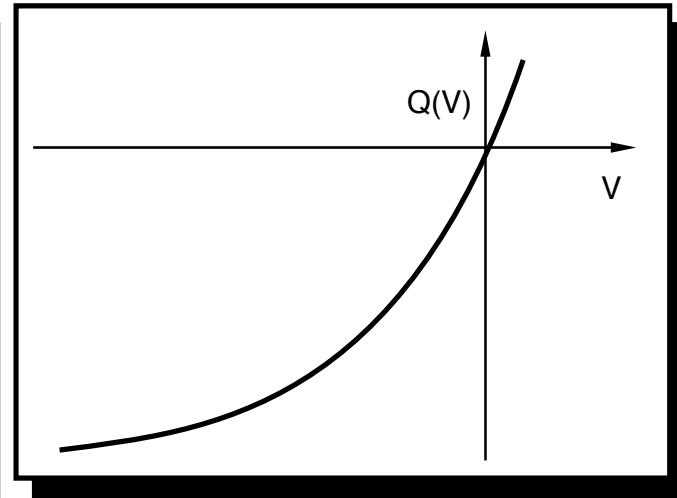


Schottky Diodes

Forward bias: nonlinear conductance



Reverse Bias:
Nonlinear Charge Storage Element

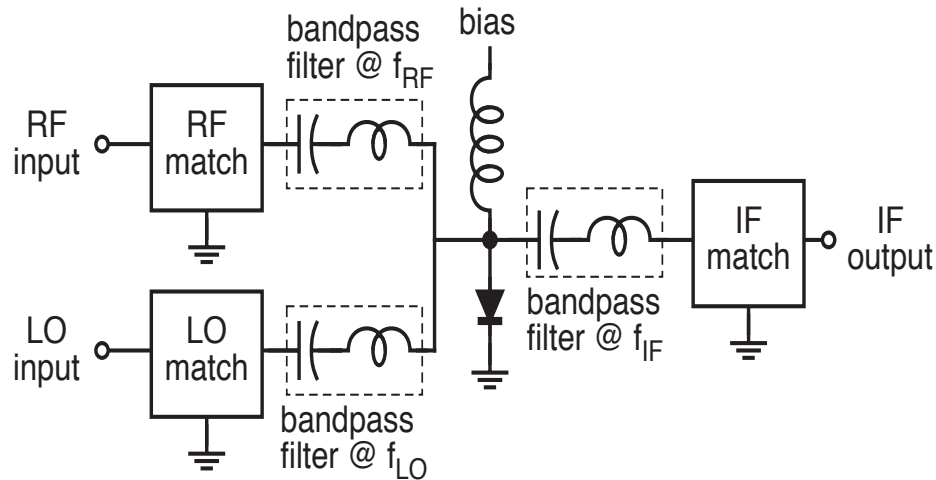


$$I(V) = I_s \left(e^{qV/kT} - 1 \right) \quad Q(V) = C_0 V + C_1 V^2 + \dots$$

so if $v(t) = v_1 e^{j\omega_1 t} + v_1 e^{j\omega_2 t}$, then, $I(t) = \sum_{l,m} I_{l,m} e^{j(l\omega_1 + m\omega_2)t}$

- Sum and difference-frequency generation ("mixing"), frequency multiplication.
- Schottky diodes are fastest semiconductor devices, perform as mixers to $\approx 10\text{-}20$ THz

Schottky Diode Millimeter-Wave Circuits

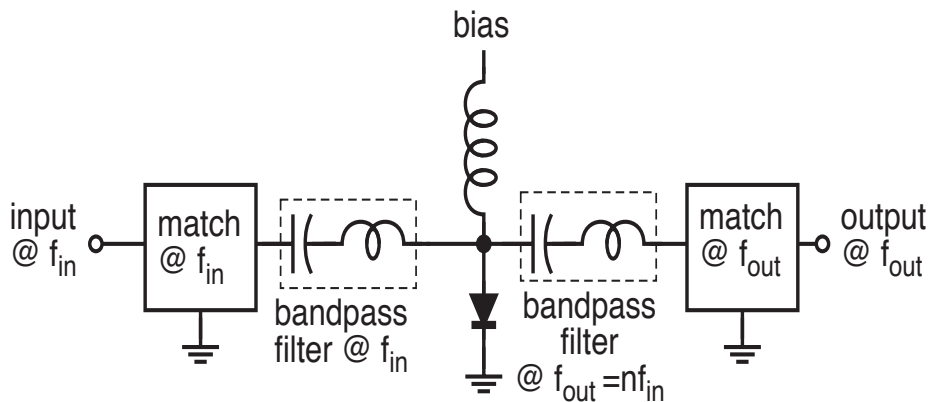


"Canonical" mixer and frequency multiplier are shown.

Millimeter-wave implementations in waveguide or on-wafer, submillimeter-wave versions in quasi-optical form.

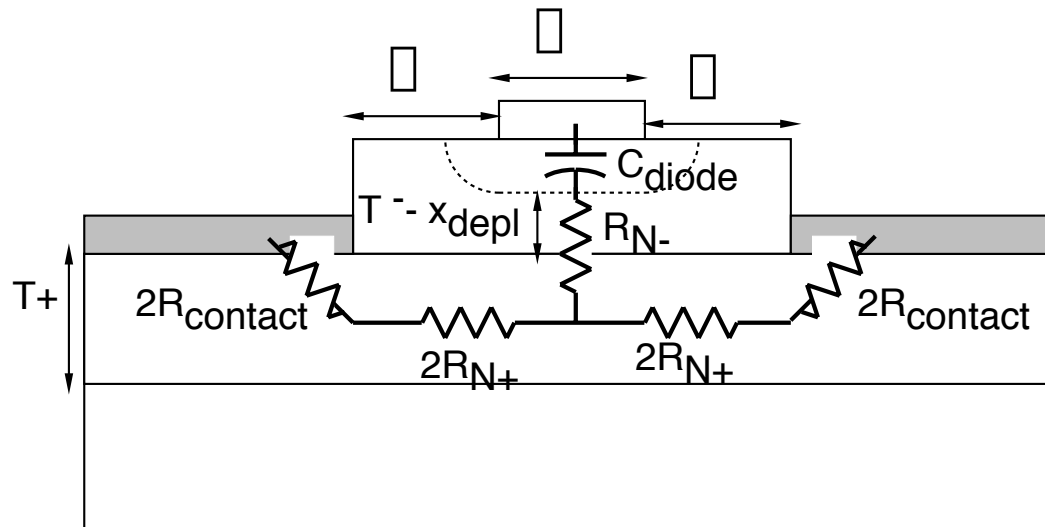
Mixing and harmonic generation at low THz frequencies.

Circuits shown are narrowband.

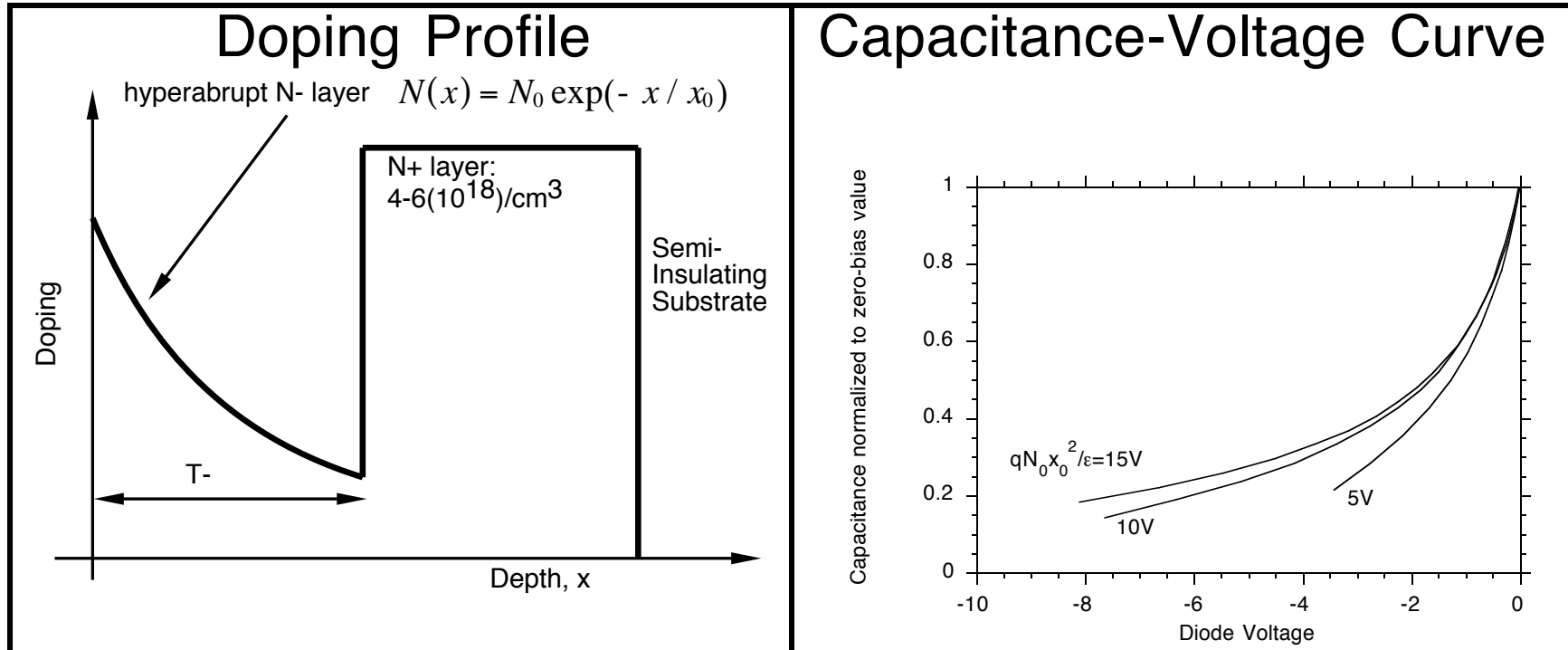


Schottky Diode Structure and Parasitics

$$f_{diode} = \frac{1}{2\pi C_{diode} (R_{N-} + R_{N+} + R_{contact})}$$



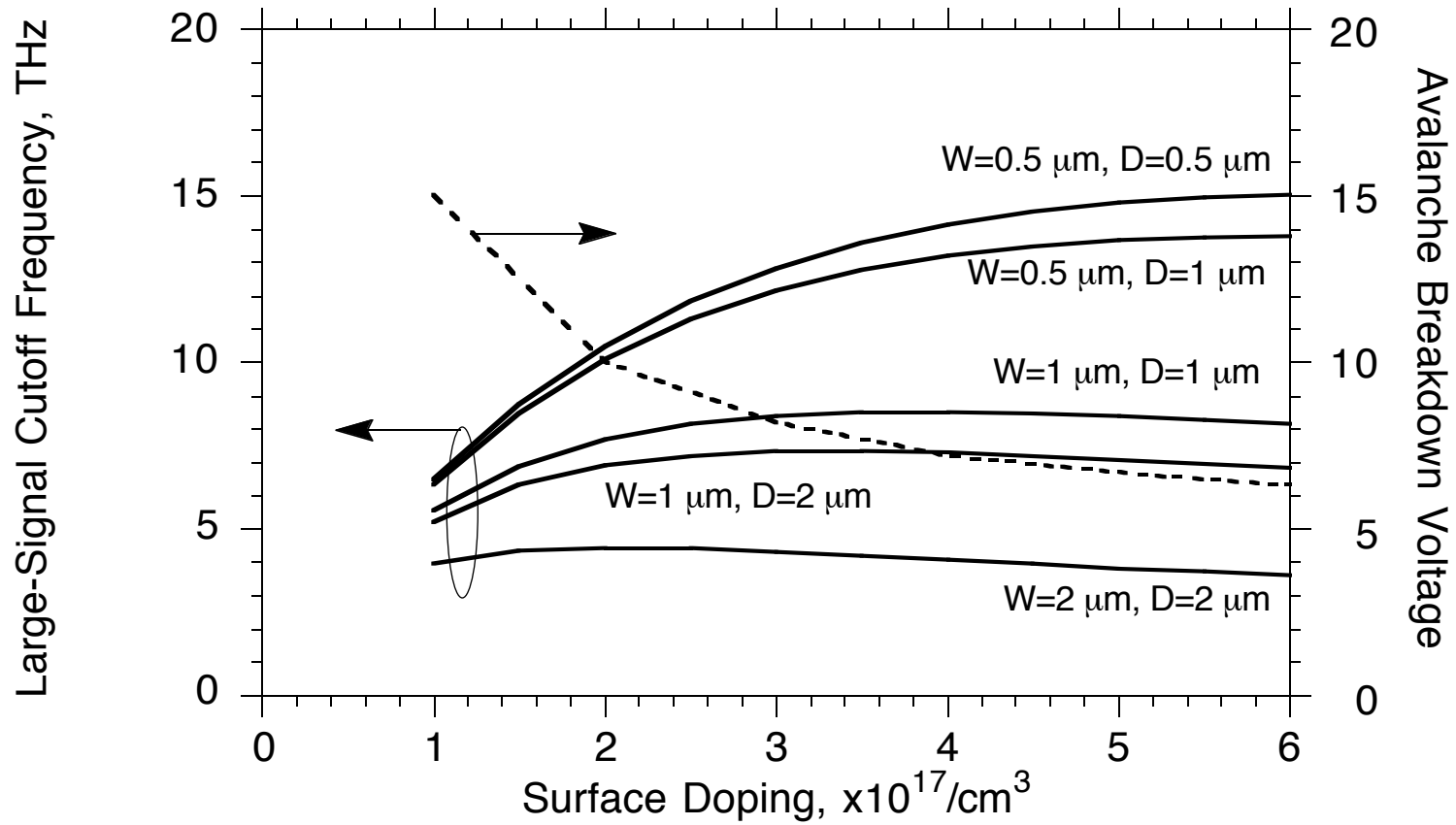
Exponential Hyperabrupt Varactor Diodes



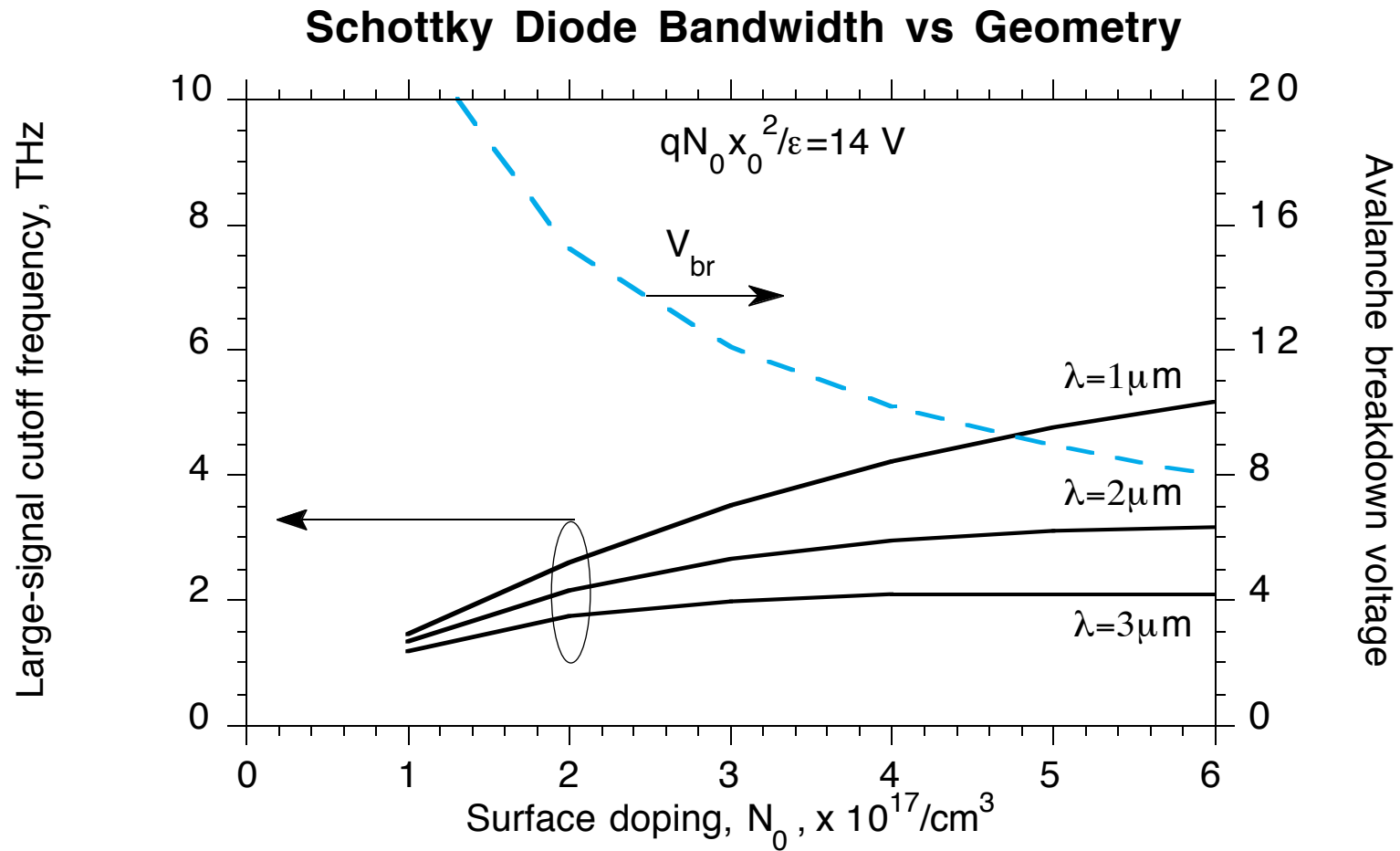
$$V_{reverse} + \phi = \int_0^{x_{depletion}} (qN_d(x)/\epsilon) x dx \quad , \quad C = \epsilon A / x_{depletion}$$

- Hyperabrupt profiles increase capacitive change but decrease cutoff frequency and reverse breakdown voltage.

Bandwidth of Uniform Varactors vs Geometry



Bandwidth of Hyperabrupt Varactors vs Geometry



THz Mixing and Detection with Schottky Diodes

Fetterman et al.: Submillimeter detection and mixing

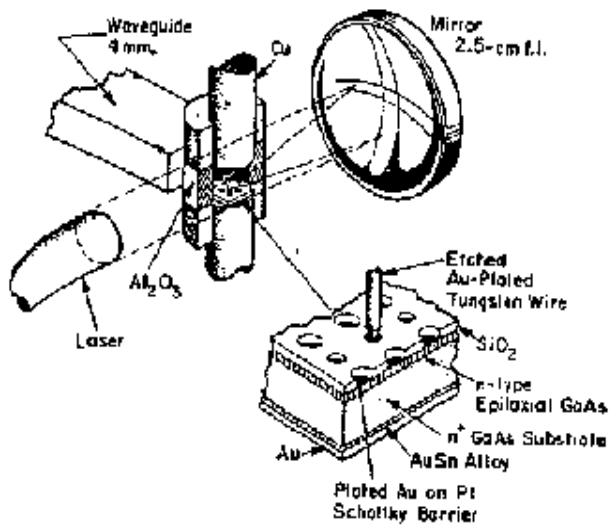


Fig. 1. Quasioptical configuration for harmonic mixing of submillimeter lasers with a V-band klystron. The dashed line indicates the laser beam coupled into the Schottky diode.

Whisker contact as antenna coupling to Schottky diode

VOLUME 17, NUMBER 1 APPLIED PHYSICS LETTERS

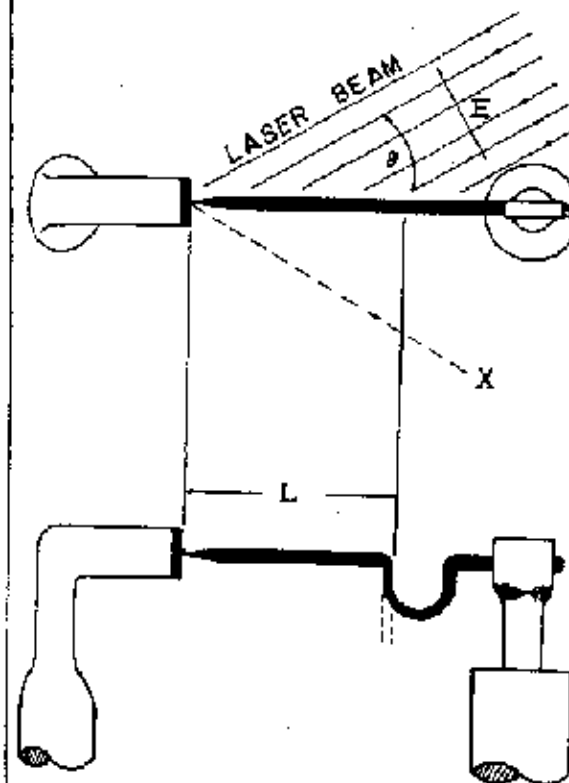
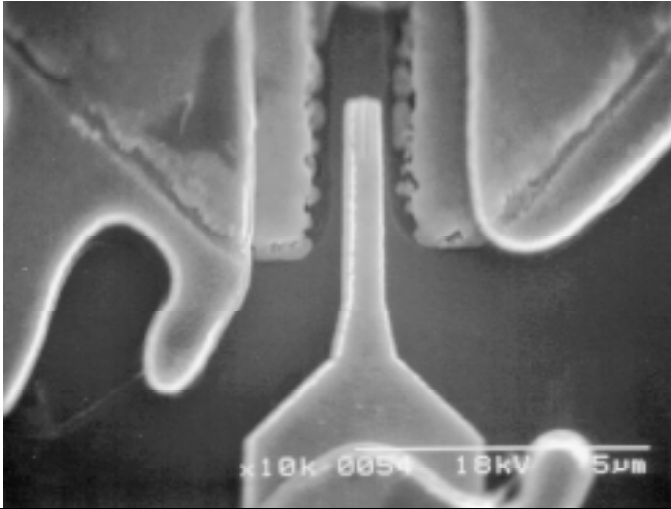


Fig. 1. Whisker diode geometry (not to scale). Top view is in plane of polarization of the laser beam. Dashed lines in lower view are for alternate whisker construction (see text). X marks possible location of reflector.

Mixing of 33rd harmonic of 74 GHz Klystron (2.44 THz) with 119 μm laser radiation

Fetterman et. al., Applied Physics Letters, Vol 24., No 2, 15 January 1974

Antenna-Coupled Mott Mixer Diodes



Objectives

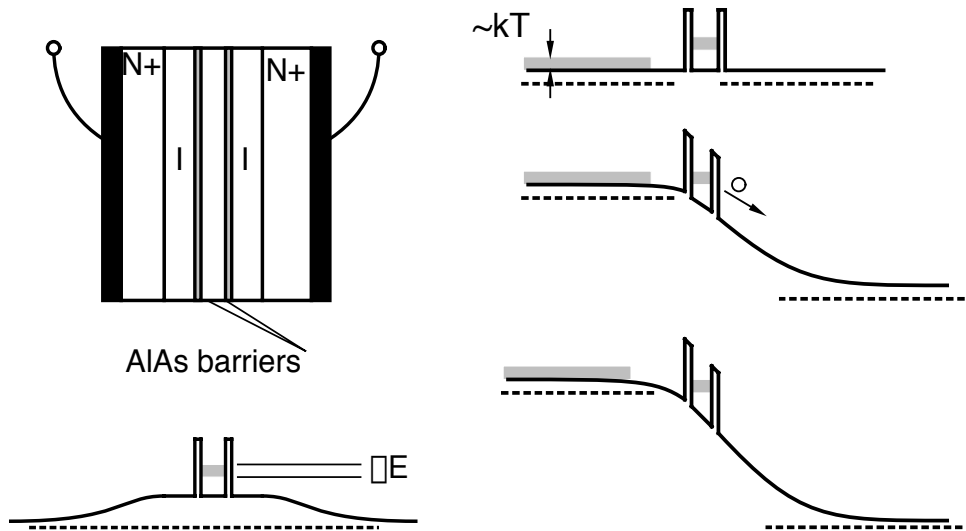
- Low-noise focal-plane array receiver/downconverters for 184/203/2500 GHz radiometers
- Advanced mixer diode technology integrable with diode LO frequency multipliers

Approach

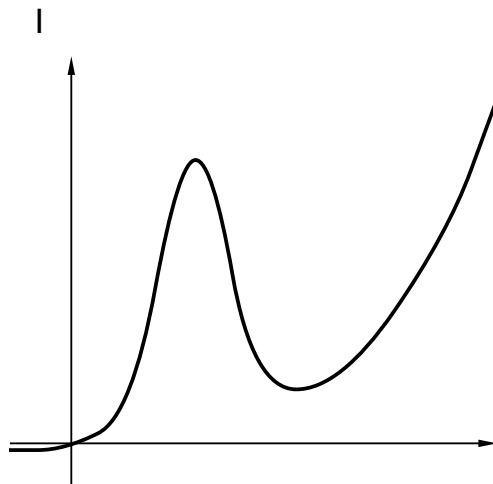
- Antenna-coupled diode SMMIC
- Low capacitance: $0.05 \mu\text{m}^2$ contact
- Low transit time: 150\AA depletion layer
- Low resistance: N++ / I / metal diode
- 12 THz circuit bandwidth
- ≈ 20 THz diode bandwidth

Resonant Tunneling Diodes

(Sollner, MIT Lincoln Labs)
(Esaki)

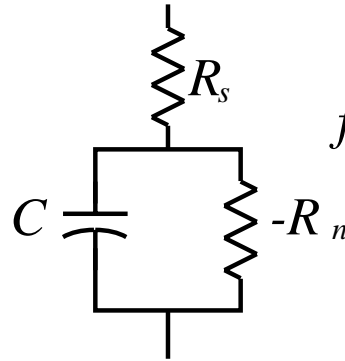
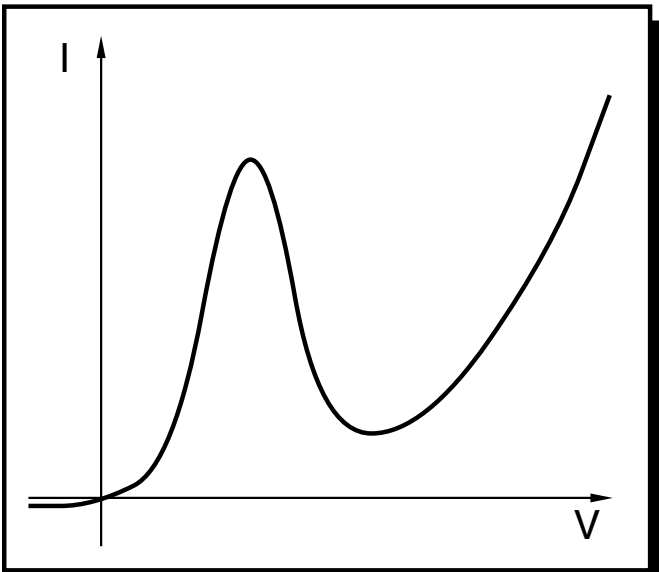
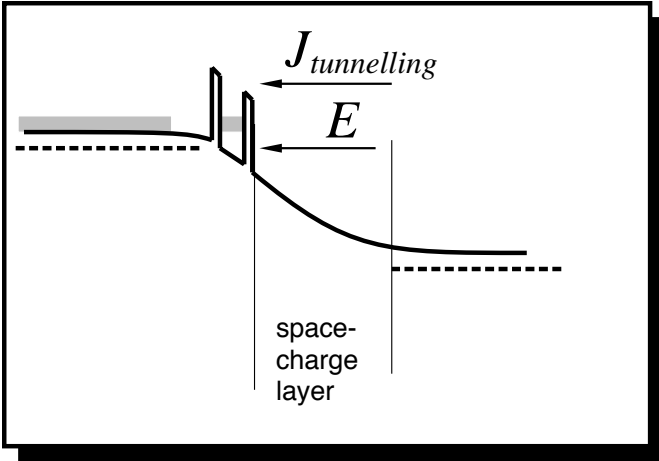


Strong current flow when electron reservoir and confined state are aligned in energy.



Narrower AlAs barriers decrease the electron trapping time, increasing ΔE , and increasing the peak current density. Increasing the emitter doping increases the electron supply and therefore also increases the current density.

Parameters Determining RTD Fmax

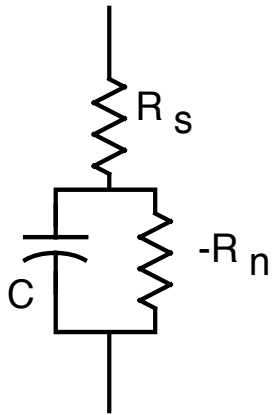
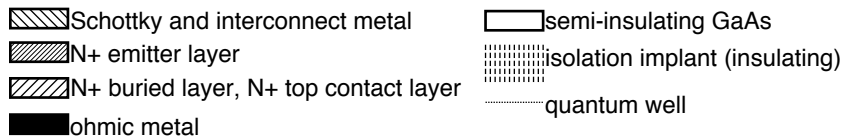
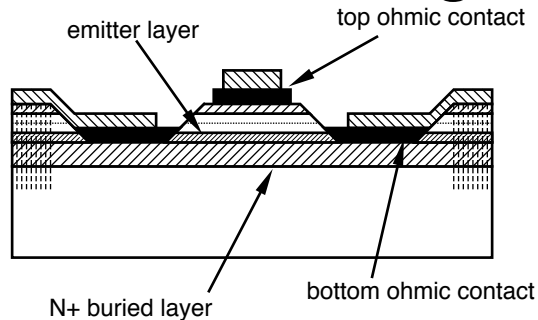


$$f_{\max} = \frac{1}{2\pi R_n C} \sqrt{\frac{R_n - R_s}{R_s}}$$

$$\frac{1}{R_n C} = \frac{1}{\square} \frac{dJ}{dE} \quad \text{-Maximized by designing for high current density (reliability limits)}$$

- R_s then limits f_{\max} :
 InAs/AlSb RTD's have very low ($10^{-7} \square\text{-cm}^2$) contact resistance, & have attained $f_{\max}=1.3$ THz.

RTDs as negative-resistance oscillators



Coupled to the conjugate impedance $-jX(\omega)$, the RTD will oscillate if $R(\omega) < 0$

$$Z(\omega) = R_s + \frac{-R_n}{1 - j\omega R_n C}$$

$$= R(\omega) + jX(\omega)$$

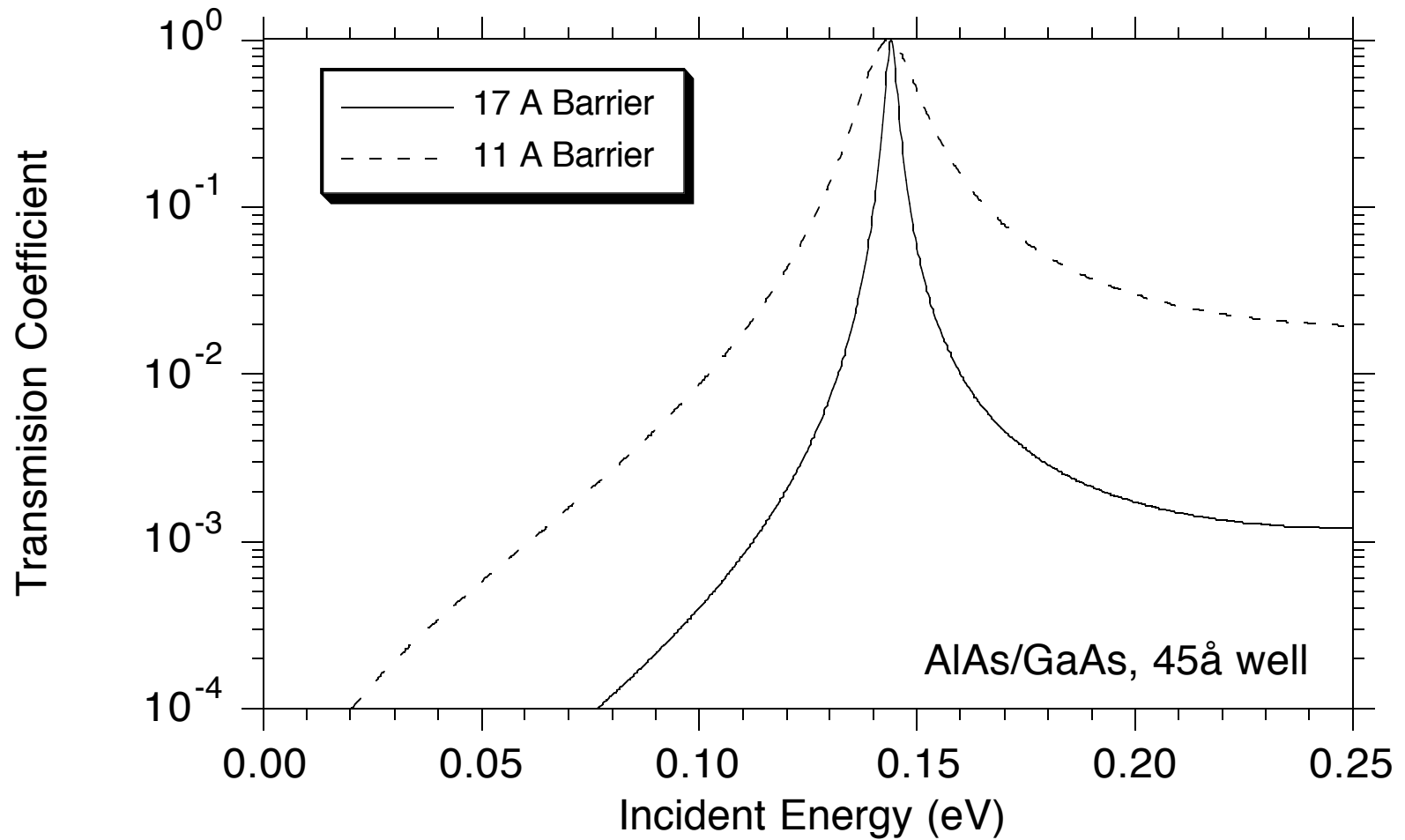
$$R(\omega) = R_s - \frac{R_n}{1 + \omega^2 R_n^2 C^2}$$

$$R(\omega_{\max}) = 0$$

$$\omega_{\max} = \frac{1}{R_n C} \sqrt{\frac{R_n - R_s}{R_s}}$$

RTD oscillators have been demonstrated to 712 GHz

Well Transmission Probability vs Energy



Quantum Well Inductance

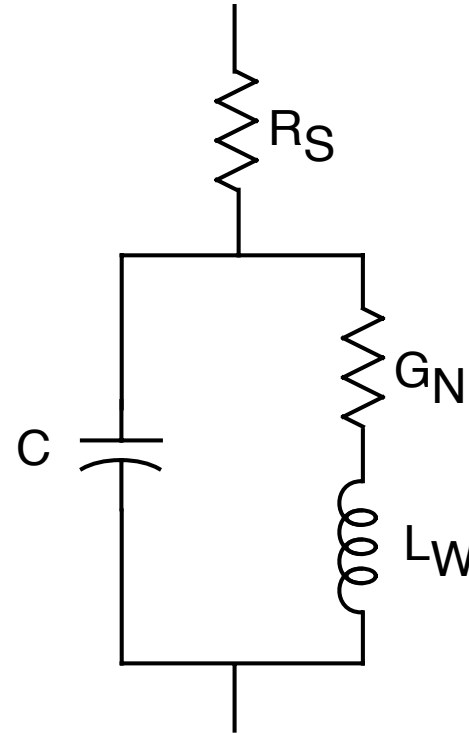
Transit time through well:

$$\Gamma = \frac{2\hbar}{\Gamma E}$$

ΓE is the F.W.H.M. of the transmission probability

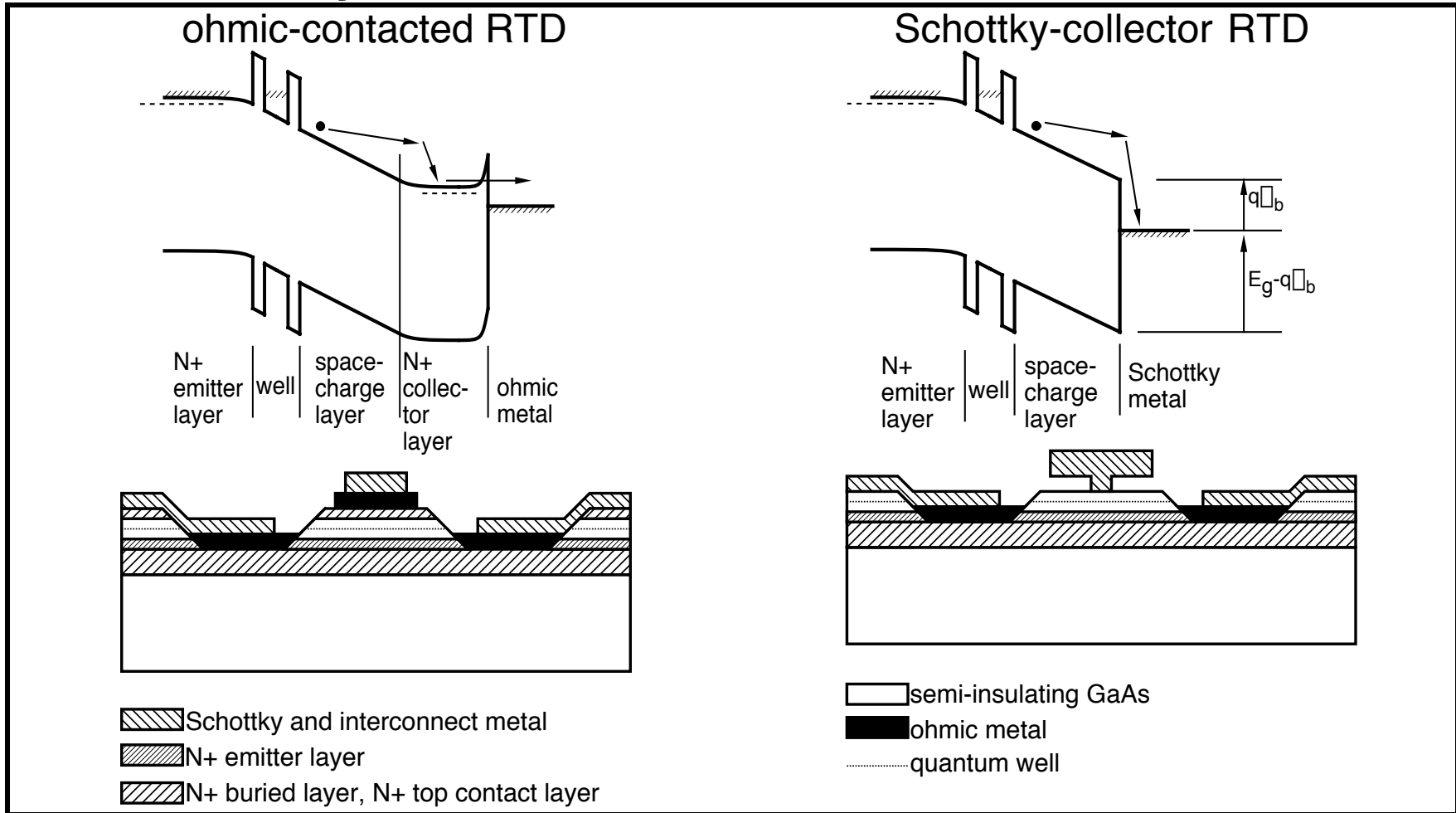
Equivalent inductance:

$$L_W = \frac{\Gamma}{G_N}$$



- In the negative resistance region G_N and L_W are negative.
- f_{\max} is decreased
- Space charge transit time $\tau_t = d_{\text{space-charge}} / v_{\text{electron}}$ also effects f_{\max} , but with $d_{\text{space-charge}} \cong 500 \text{ \AA}$, $v_{\text{electron}} \gg 10^7 \text{ cm/sec}$ and $\tau_t \approx 100 \text{ fs}$

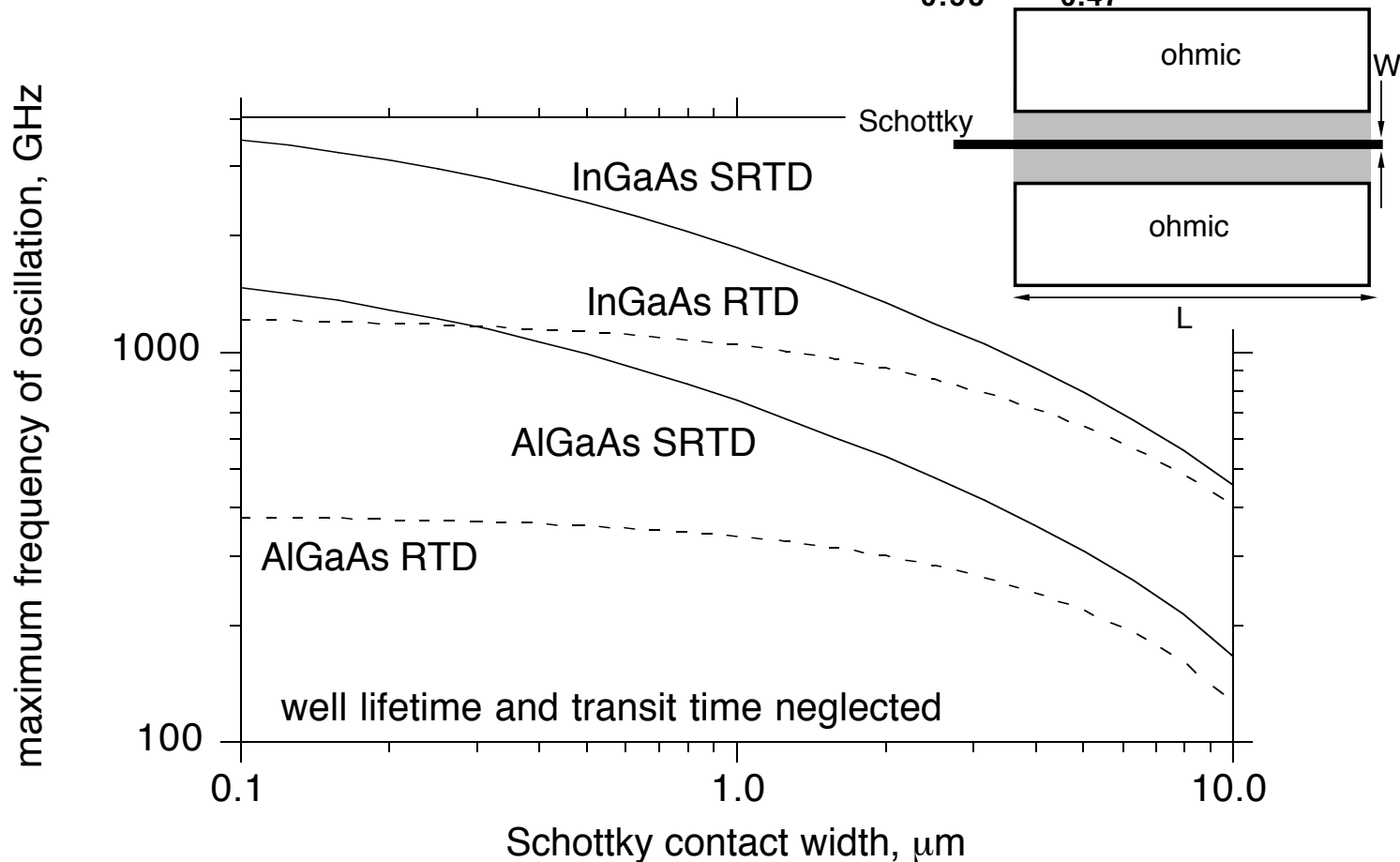
Schottky-Collector Resonant Tunnel-Diodes



Top ohmic contact is eliminated. Decreased R_s , increased f_{max}

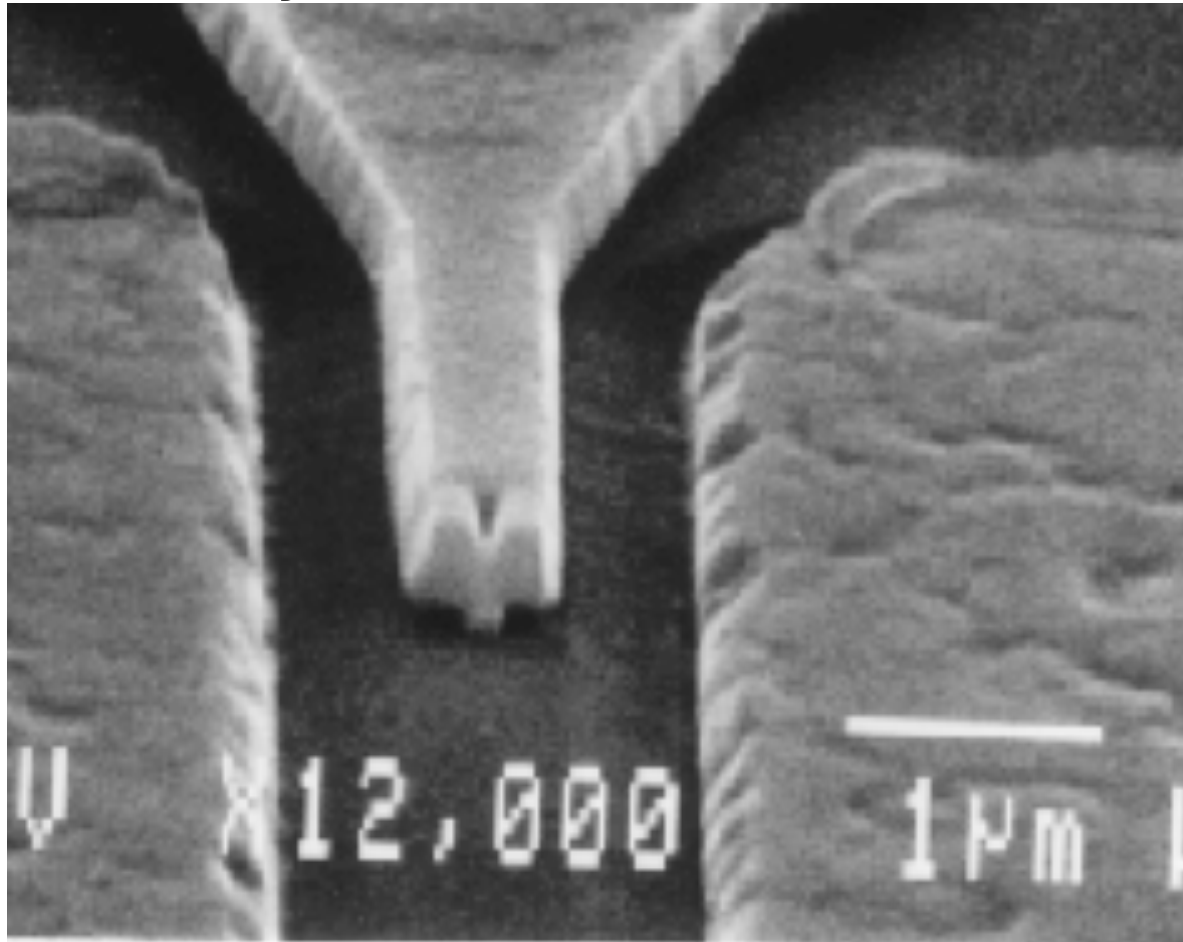
Bandwidth of Submicron Schottky-Collector RTDs

RTDs vs. SRTDs in AlAs/GaAs and In_{0.53}Ga_{0.47}As/AlAs



- Scaling to submicron dimensions increases RTD periphery/area ratio
- Periphery-dependent parasitic resistance terms driven towards zero (bottom ohmic contact resistance, buried N+ layer)

Schottky-Collector RTDs for 0.3-3 THz Oscillators



0.12- μm
AlAs/InGaAs/InP
device

- Schottky (metal) electron collector, 0.1 μm geometry.

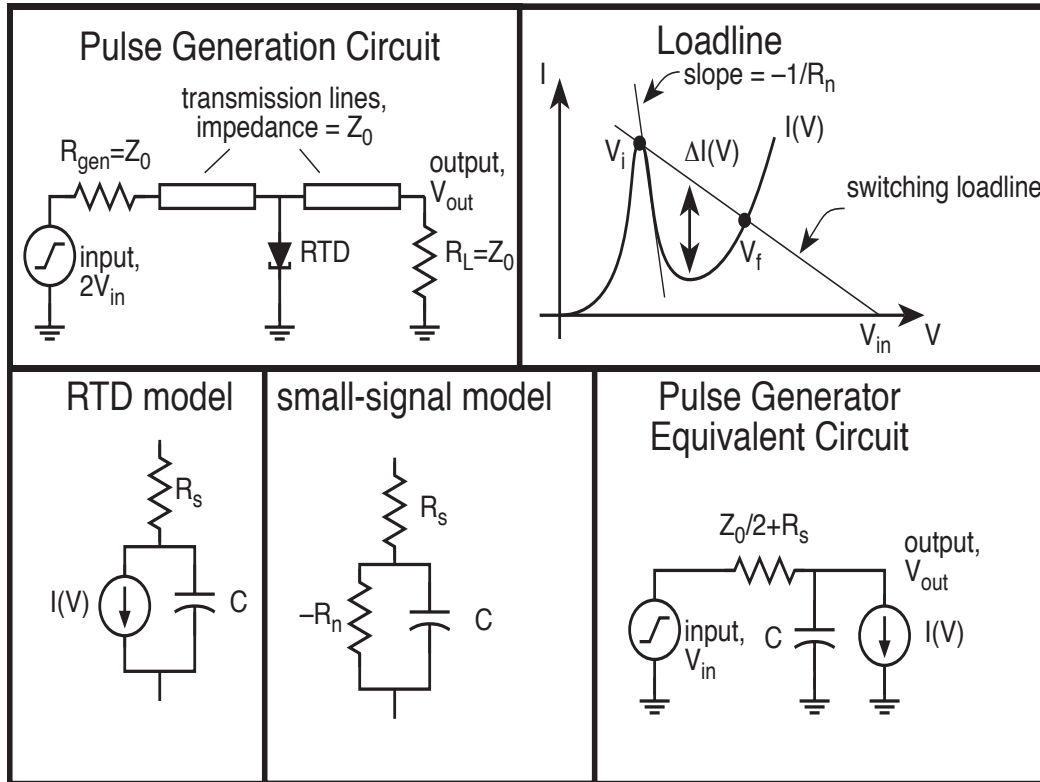
- Greatly reduced series resistance, large increase in f_{max}

AlAs/GaAs SRTDs: 900 GHz f_{max} estimated

InGaAs/AlAs SRTDs: 2.2 THz f_{max} estimated

Application: 0.3-1.5 THz quasi-optical power oscillator arrays

RTD Switching Speed Considerations



Governing law is

$$I = C \frac{dV}{dt}$$

Even if $R_s=0$ (infinite f_{max}), risetime is not zero

For fast risetimes, need high RTD I_{peak}/C ratio. 8 ps risetimes in AlGaAs ($\approx 10^5$ A/cm² current density), 1.8 ps in InAs/AlSb ($3.5 \cdot 10^5$ A/cm²)

$$T_{10\%-90\%} = \int_{0.9V_{initial} + 0.1V_{final}}^{0.1V_{initial} + 0.9V_{final}} C dV / \Delta I(V)$$

$$\approx 3 - 5 R_n C$$

3-Terminal Devices (Transistors)

Heterojunction Field-Effect Transistors

Current state of art:

InAlAs/InGaAs / InP HEMTs, 0.1 μm gate (R&D device)

250-500 GHz f_{max} , 2-3 Volt breakdown

1 dB noise figure amplifiers at 65 GHz

AlGaAs/InGaAs/GaAs HEMTs, 0.25 μm gate (mass production device)

100-150 GHz ft, 100-150 GHz f_{max} , 5-8 Volt breakdown

50 GHz ft, 180 GHz f_{max} , 10-15 Volt breakdown

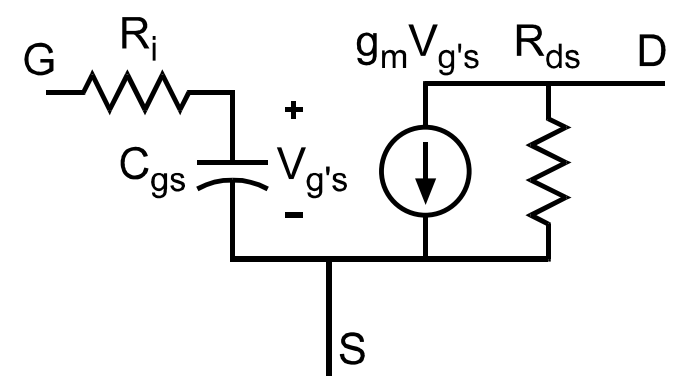
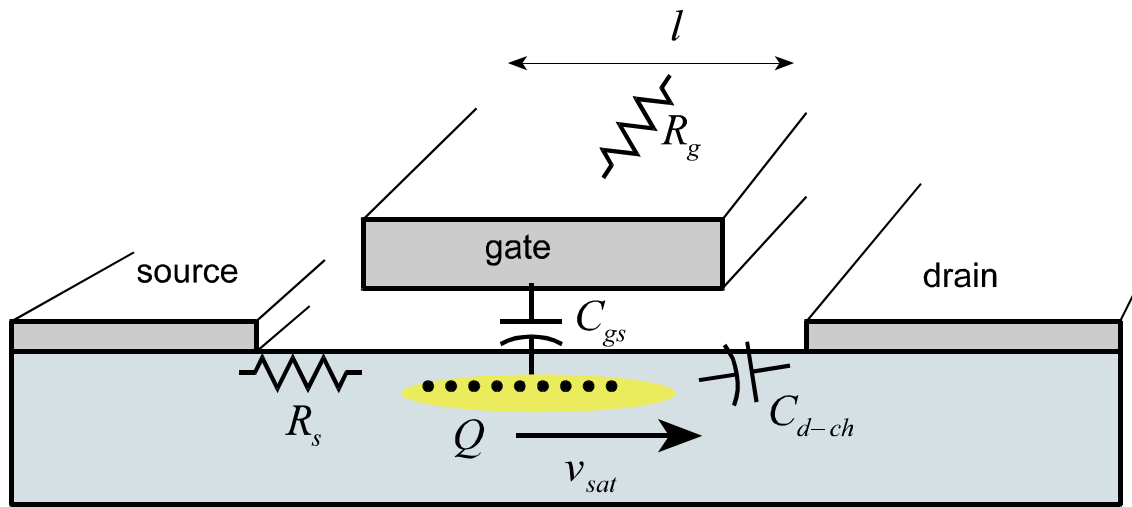
Circuits:

5-10 dB gain 150 GHz monolithic narrowband (low-noise) amplifiers

5 dB gain, 1-155 GHz broadband amplifiers (Agarwal et. al. 1998 MTT)

Monolithic mm-wave ICs for radar (mixers, phase shifters, preamps, power amplifiers,...)

Device Circuit Models vs Device Physics: FET as example

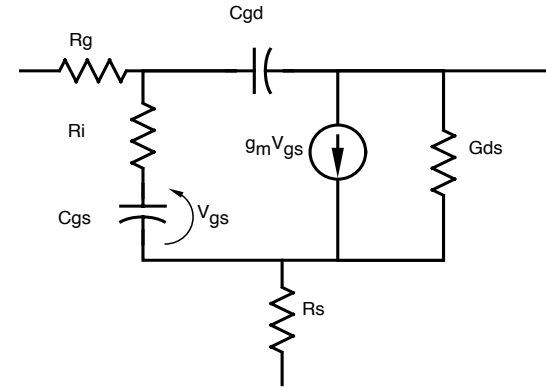
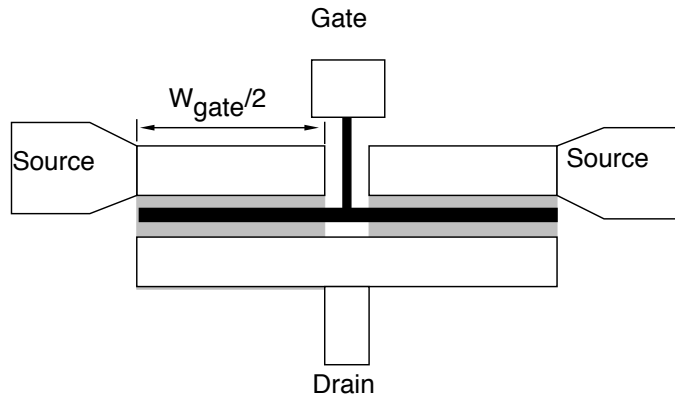
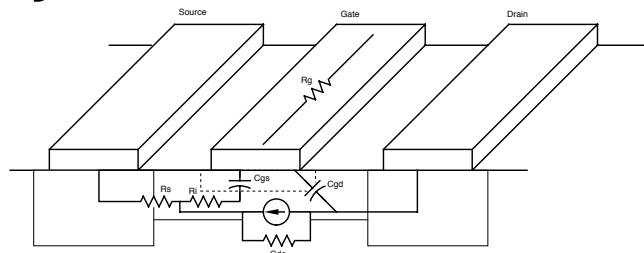
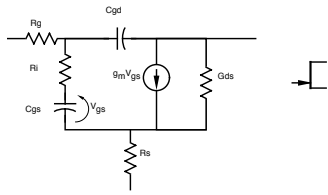


$$I_d = \frac{Q}{l} v_{sat}, \text{ where } \frac{dQ}{dV_{gs}} = C_{gs} \text{ and } \frac{dQ}{dV_{gd}} = C_{d-ch}$$

so :

$$g_m = \frac{dI_d}{dV_{gs}} = C_{gs} \frac{v_{sat}}{l} = C_{gs} \tau_{gate} \text{ and } G_{ds} = \frac{dI_d}{dV_{ds}} \cong C_{d-ch} \frac{v_{sat}}{l} = C_{d-ch} \tau_{gate}$$

Heterojunction Field-Effect Transistors



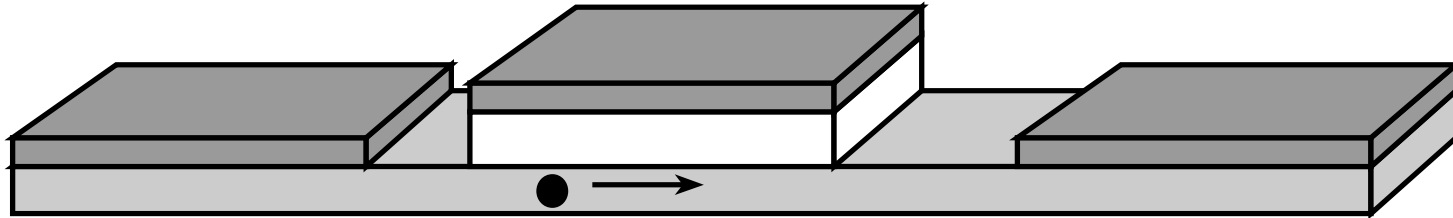
$$\omega_{\tau} = g_m / C_{gs} = v_{sat} / l_g$$

current gain cutoff frequency

$$\omega_{max} \cong \frac{\omega_{\tau}}{2\sqrt{(R_g + R_i + R_s)/R_{ds}}}$$

POWER gain cutoff frequency

Scaling of the Schottky-Barrier FET (HEMT)



- Reducing gate length by lithographic scaling decreases the carrier transit time (C_{gs}/g_m ratio) and increases device bandwidth
- Use of Schottky vertical contact essential if RC time constants are to scale (Schottky MESFET vs PN JFET)
- Gate length must be 5-10 times gate-channel separation to screen channel charge from drain potential
- Minimum gate channel separation (tunneling limit) sets limit on gate length scaling.

Heterojunction Bipolar Junction Transistors

GaAs/AlGaAs devices: $f_{\max} \approx 200$ GHz

AllnAs/GalnAs devices: $f_{\max} \approx 70$ -200 GHz (but ...*)

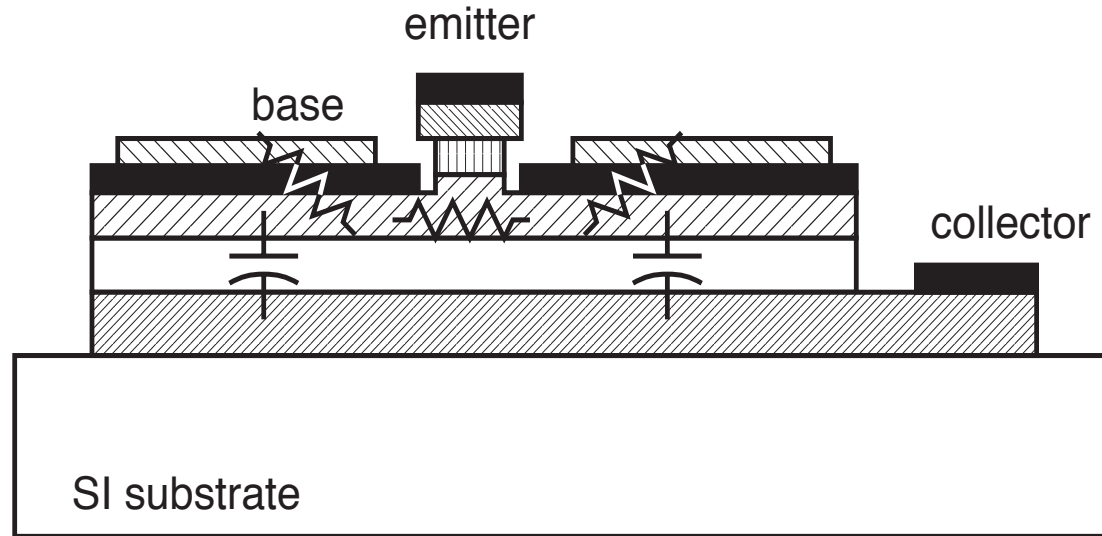
InP/GalnAs devices: $f_{\max} \approx 220$ GHz for $f_t \approx 220$ GHz








Si/SiGe devices: $f_{\max} \approx 70$ GHz (production), 180 GHz (mesa device)

HBTs have generally poorer f_{\max} and noise figure than HEMTs, but are more predictably-behaved devices more suitable for higher-complexity analog and mixed analog-digital applications.

Representative circuit result: 37 GHz static frequency dividers (e.g. master-slave flip-flops), DC-40 GHz amplifiers, chip-sets for 40 Gbit/s fiber transmission, A-D converters at a few Gigasamples/sec.

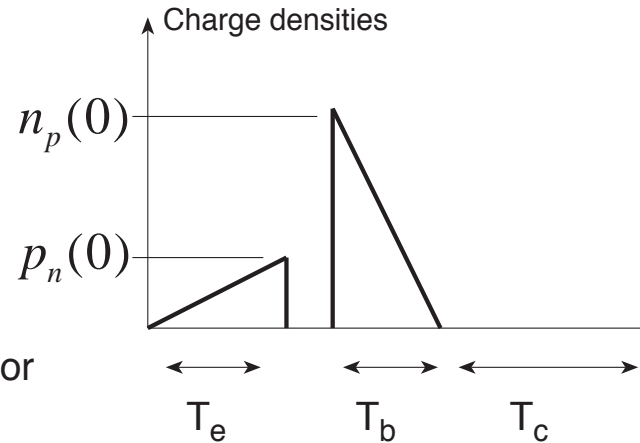
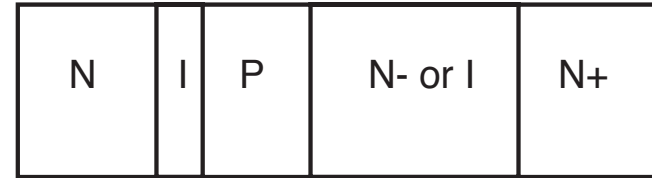
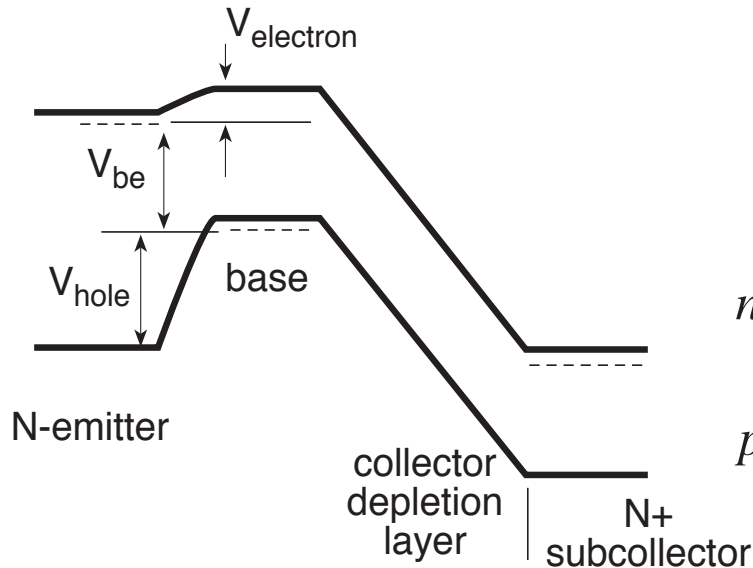
HBT Device Structure



-  N+ InGaAs subcollector
-  P+ GaInAs base
-  Emitter & collector Ohmics
-  base Ohmics
-  N- GaInAs (collector depletion layer)
-  Schottky collector contact & interconnect metals
-  N+ emitter (AllnAs, GaInAs cap)

note the indicated base resistance and base-collector capacitance

HBT DC I-V characteristics



$$n_p(0) = qN_c e^{-qV_{electron}/kT} \propto e^{+qV_{be}/kT} \quad \text{electron concentration at emitter edge of base}$$

$$p_n(0) = qN_v e^{-qV_{hole}/kT} \propto e^{+qV_{be}/kT} \quad \text{hole concentration at base edge of emitter}$$

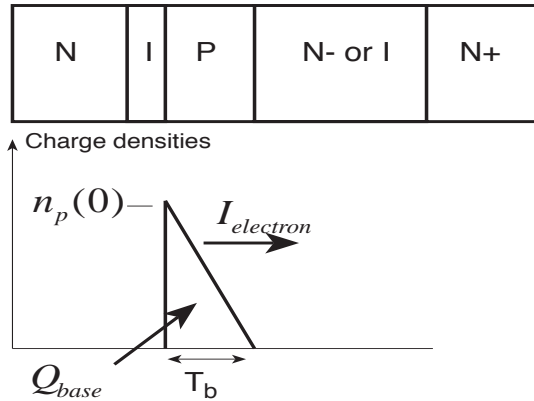
heterojunction makes this small

$$I_{electron} = \frac{D_n q A N_c}{T_b} e^{-qV_{electron}/kT} \propto e^{+qV_{be}/kT} \quad \text{electron current from emitter to collector}$$

$$g_m \equiv \frac{dI_c}{dV_{be}} = \frac{qI_c}{kT} \quad \text{transconductance}$$

HBT Stored Charge & Diffusion Capacitance

Base Transit Time



electron concentration at emitter edge of base

$$n_p(0) = qN_c e^{-qV_{electron}/kT} \propto e^{+qV_{be}/kT}$$

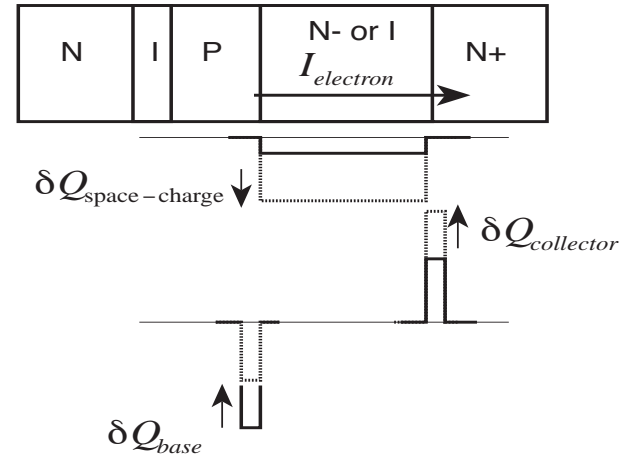
electron current from emitter to collector

$$I_{electron} = qn_p(0)D_n / T_b$$

stored base charge

$$\begin{aligned} Q_{base} &= qA_e n_p(0) T_b / 2 \\ &= I_{electron} T_b^2 / 2D_n = \tau_b I_{electron} \end{aligned}$$

Collector Transit Time



depletion-layer space-charge

$$\delta Q_{space-charge} = \frac{T_c}{v_{sat}} \delta I_{collector}$$

change in base stored charge

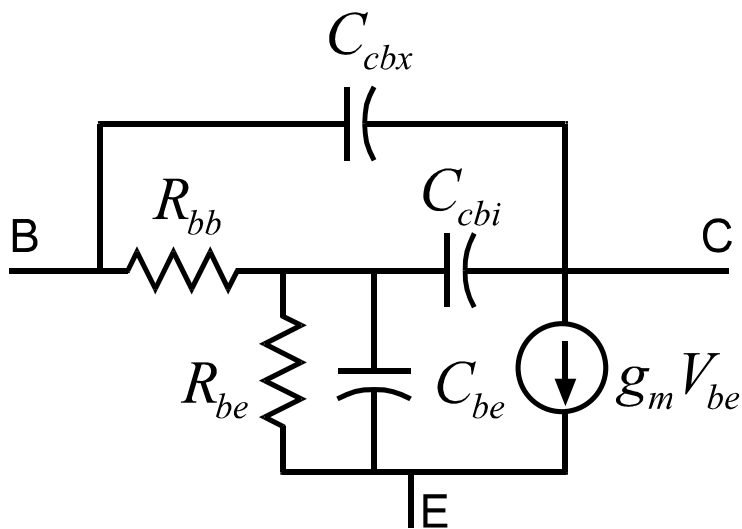
$$\begin{aligned} \delta Q_{base} &= \delta Q_{collector} = \delta Q_{space-charge} / 2 \\ &= \delta I_{collector} (T_c / 2v_{sat}) = \tau_c \delta I_{collector} \end{aligned}$$

"Diffusion Capacitance"

$$C_{diffusion} \equiv \frac{dQ_{base}}{dV_{be}} = \frac{dQ_{base}}{dI_c} \frac{dI_c}{dV_{be}} = (\tau_b + \tau_c) g_m$$

$$C_{be, diffusion} = g_m (\tau_b + \tau_c) \quad \text{fictitious capacitance between base \& emitter modelling charge storage}$$

Bandwidth of Bipolar Transistors



$$f_{\tau} = \frac{(1/2\pi)}{\tau_{base} + \tau_{collector} + (C_{je} kT / qI_e)}$$

$$f_{max} = \sqrt{\frac{f_{\tau}}{8\pi R_{bb} C_{cbi}}}$$

f_{τ} , f_{max} , and C_{cbx} are all important
for high - speed circuits

$R_{bb} C_{cbi}$ and $R_{bb} C_{cbx}$ must be reduced

HBT Design Tradeoffs

Thin base & collector depletion layers result in small transit times, high f_t .

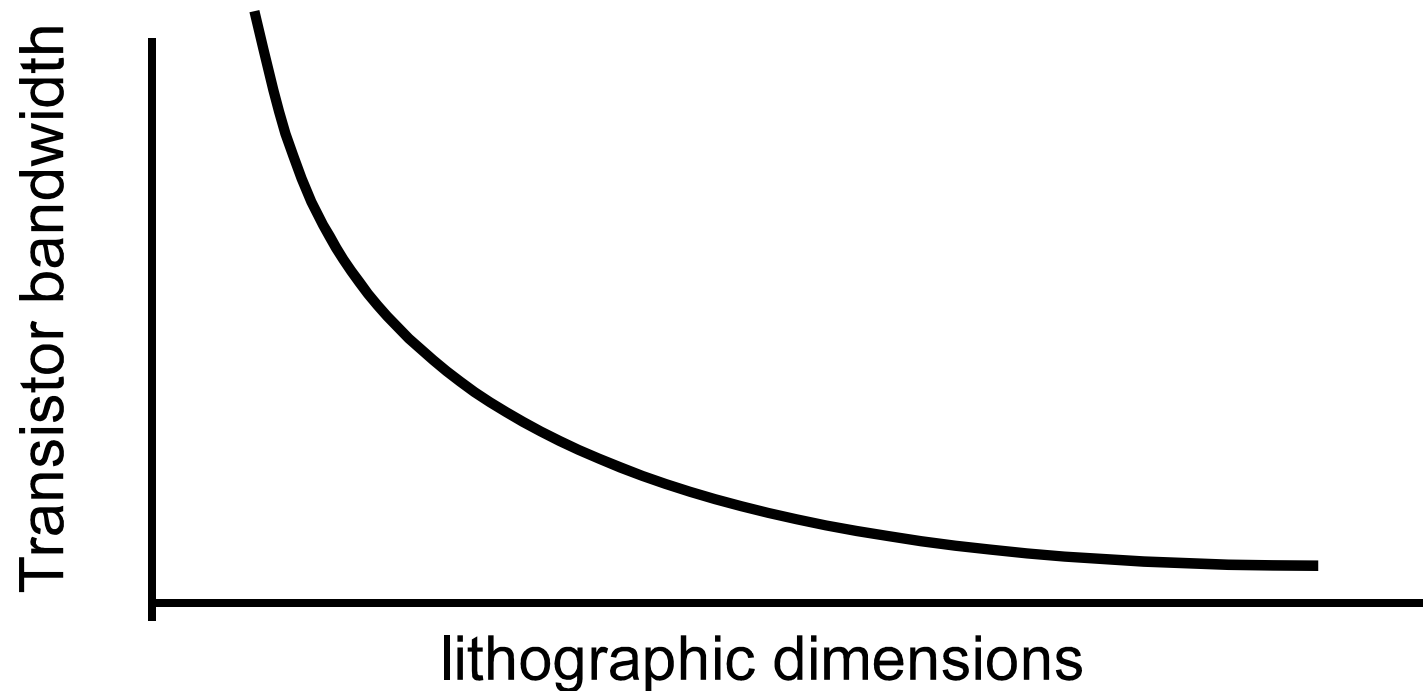
Thin base & collector result in high base resistance, high c-b capacitance
...low f_{max} !

There are thus optimum thicknesses for these layers.

Very high base doping used to minimize base sheet and ohmic contact resistance.

Etch & implant techniques used to reduce extrinsic CB capacitance.

Lithographic Scaling & Transistor Bandwidth



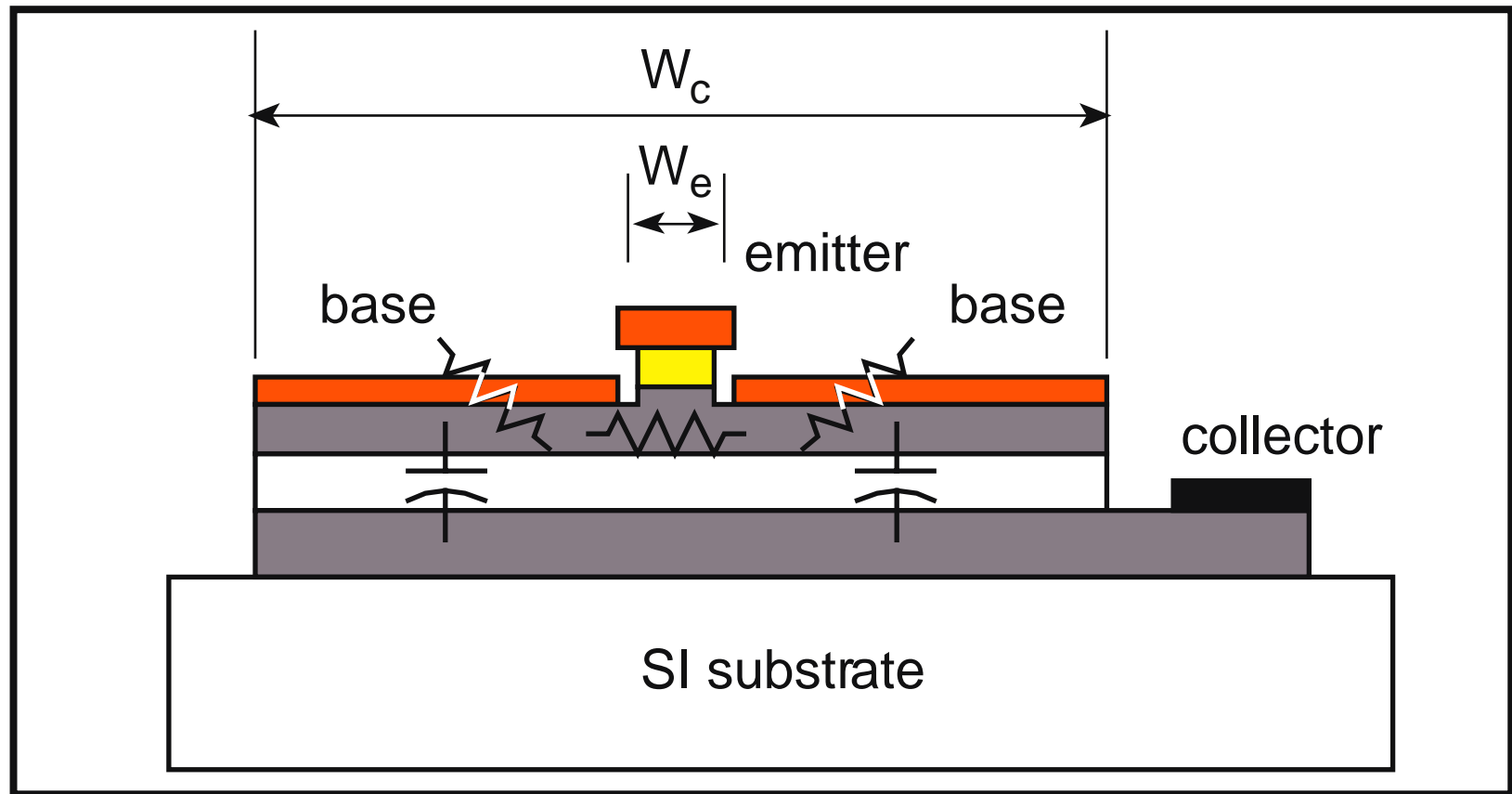
- Transistor bandwidth improves as dimensions are reduced
 - 0.1 μm HEMTs
 - 0.25 μm CMOS (VLSI)
- HBTs typically built at $\approx 1 \mu\text{m}$ lithography
 - smaller devices not generally faster
- *Goal: a scalable HBT*

Why are HEMTs smaller & faster than HBTs ?

- **FETs have deep submicron dimensions.**
 - 0.1 μm HEMTs with 400 GHz bandwidths (satellites).
 - 5 million 1/4- μm MOSFETs on a 200 MHz, \$500 CPU.
 - FET lateral scaling decreases transit times.
 - FET bandwidths then increase.
- **HBTs, RTDs, Schottky diodes have $\approx 1 \mu\text{m}$ junctions.**
 - vertical scaling **decreases** electron transit times.
 - vertical scaling **increases** RC charging times.
 - lateral scaling **should** decrease RC charging times.
 - HBT & RTD bandwidths **should** then increase.

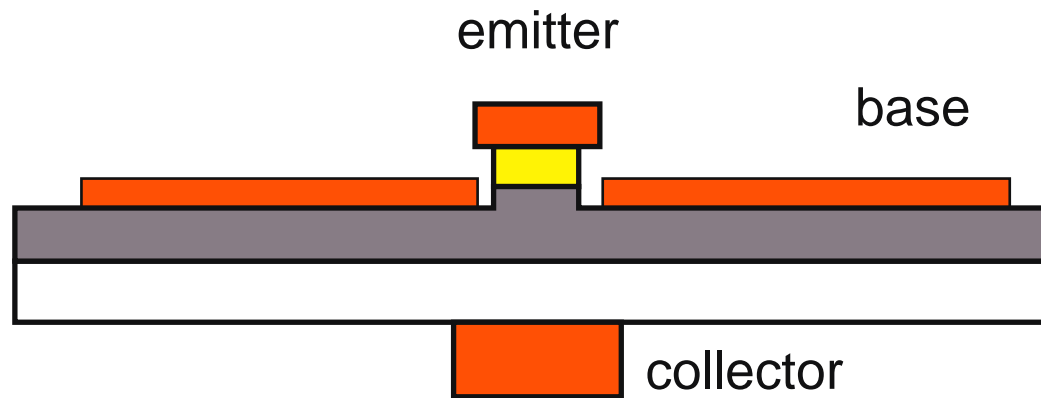
But, HBTs & RTDs must first be modified . . .

Excess Collector-Base Capacitance in Mesa HBTs



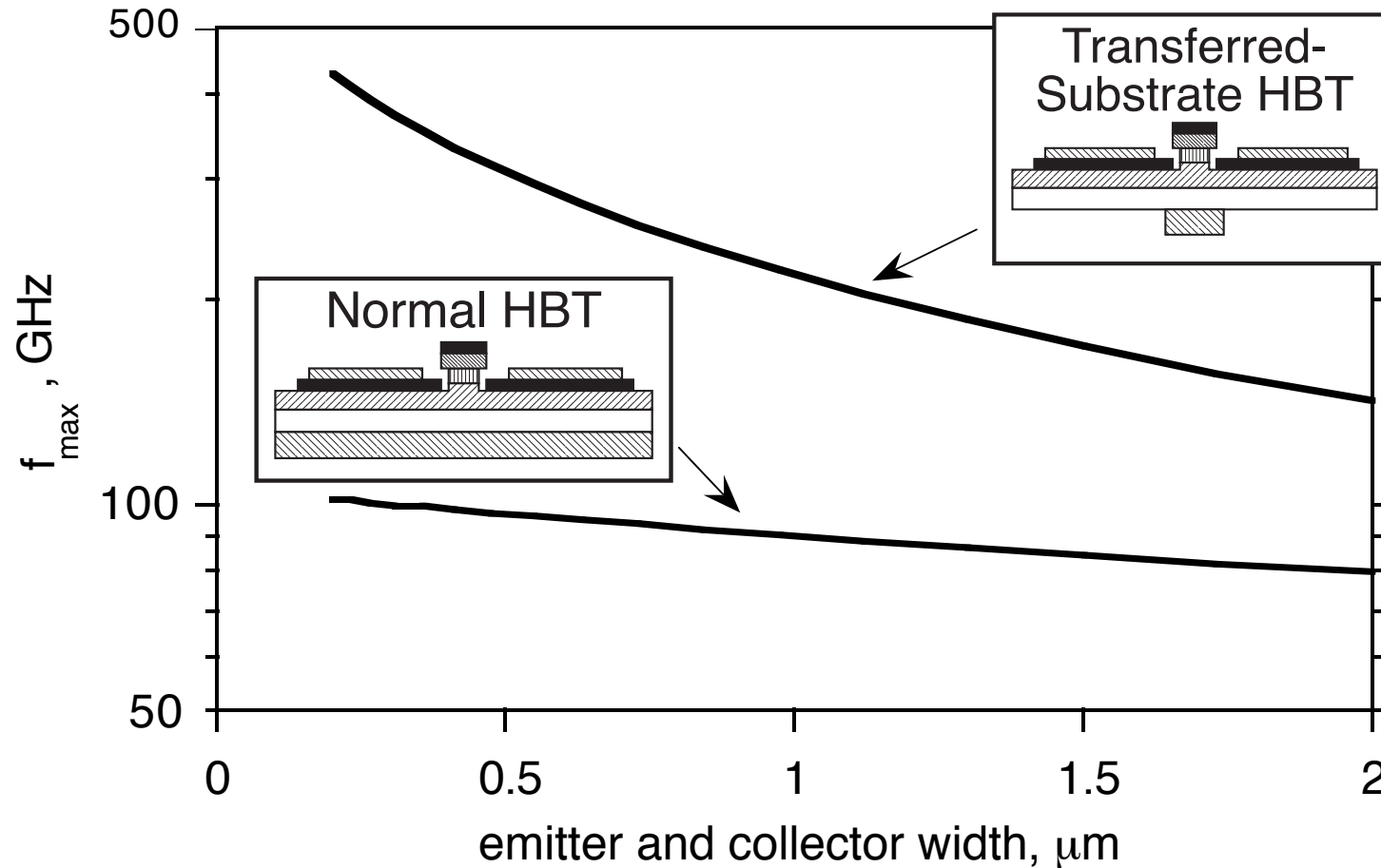
- collector-base capacitance independent of emitter width
- base resistance independent of emitter width for $< 1\mu\text{m}$
- f_{max} does not improve for emitter stripe widths $< 1\mu\text{m}$

Transferred-Substrate HBTs



- Flip-chip process : narrow collector
- narrow collectors feasible →
large decrease in $R_b C_c$
- consequent large increase in bandwidth
- submicron collector and emitter scaling
→ $f_{max} \approx 700$ GHz

Transferred-Substrate HBTs: a **Scalable** HBT technology



- Collector capacitance reduces with scaling: $C_{cb} \propto W_e$
- Bandwidth increases rapidly with scaling: $f_{\max} = \sqrt{1/W_e}$

Transferred-Substrate HBT Process

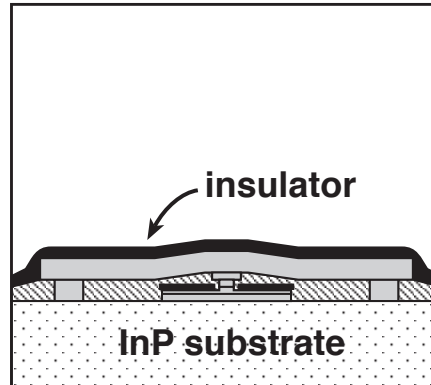
Objectives:

- 500 GHz transistor bandwidth
- Thermal management for high power density
- Low wiring & packaging parasitics at 100+ GHz

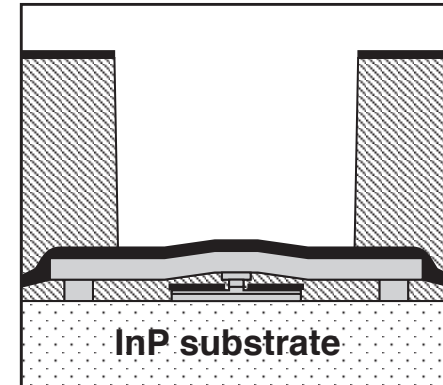
Approach:

- BCB process: standard IC materials
- Metal substrate, thermal vias
- Microstrip wiring: ground vias
backside ground plane
 $\epsilon_r=2$: low capacitance

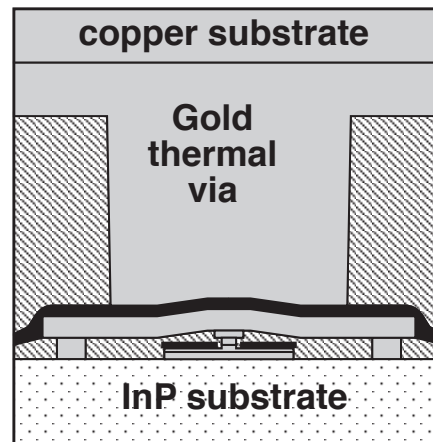
1) Normal emitter, base processes.
Deposit silicon nitride insulator.



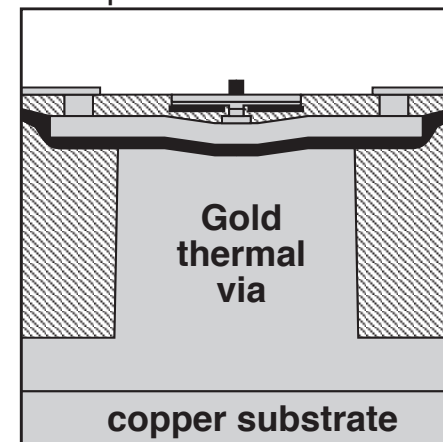
2) Coat with BCB polymer.
Etch vias.



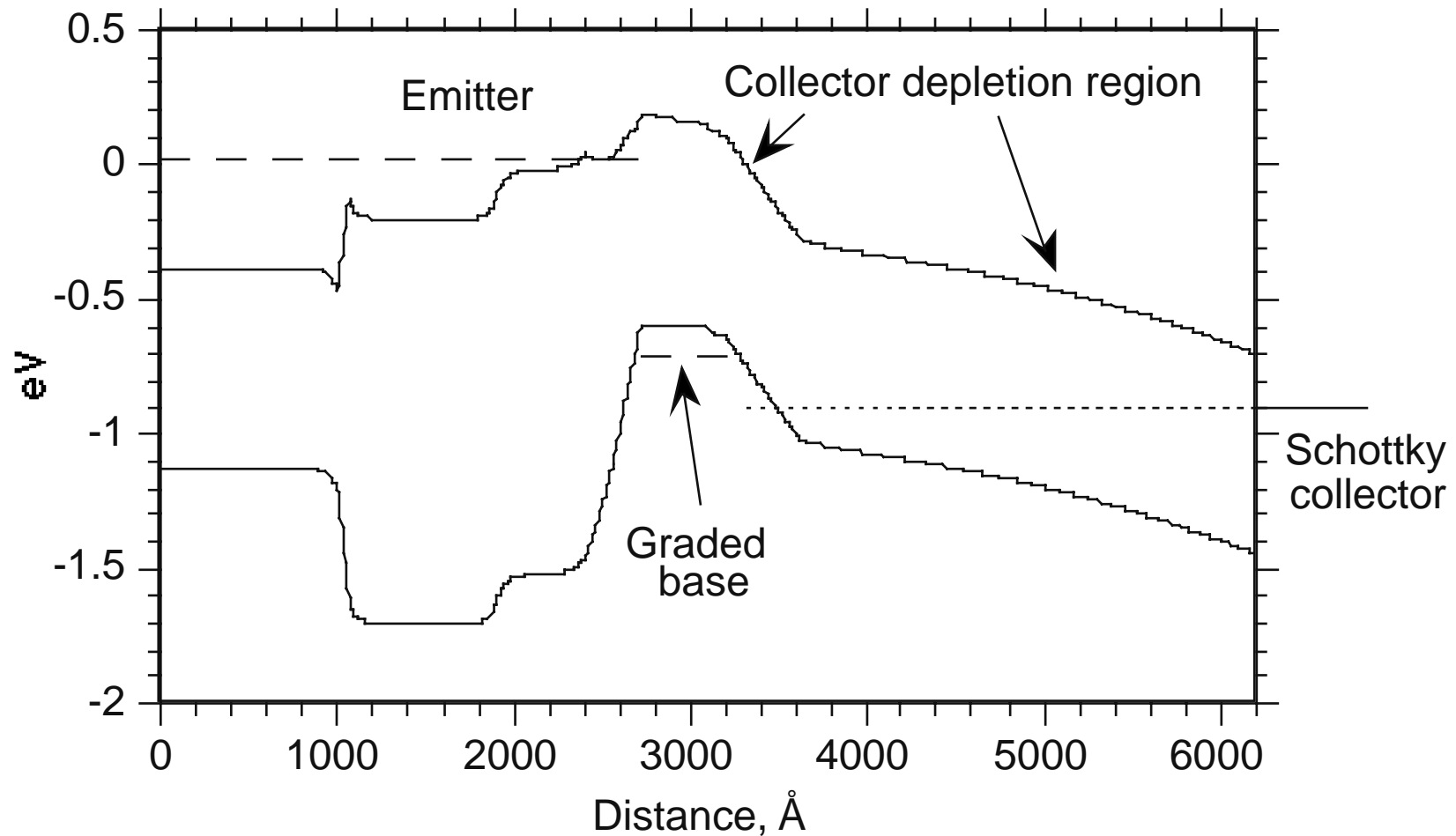
3) Electroplate with gold.
Die attach to copper substrate.



4) Invert wafer.
Remove InP substrate.
Deposit collector.



AlInAs/GaInAs graded base HBT



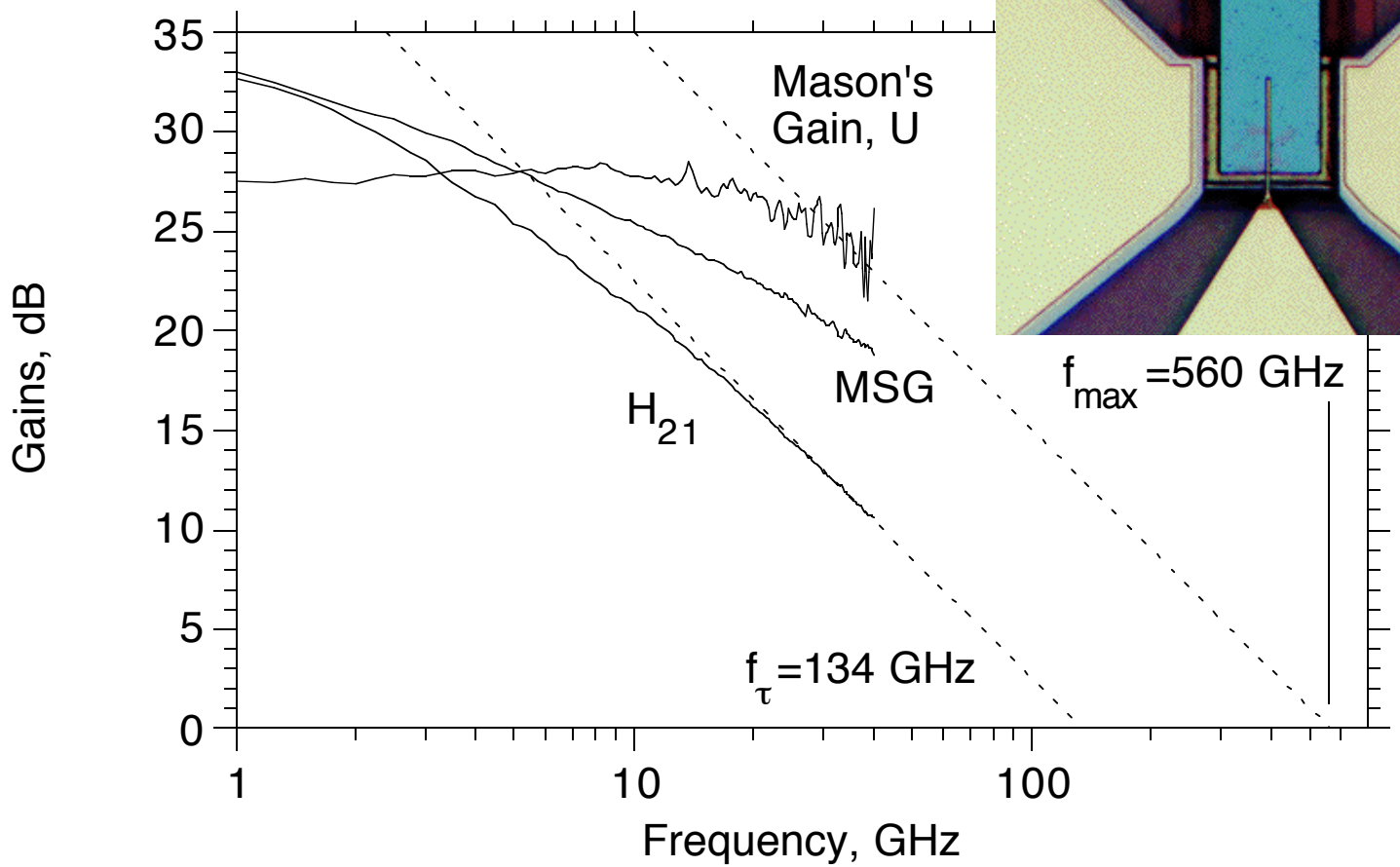
Band diagram under normal operating voltages

$$V_{ce} = 0.9 \text{ V}, V_{be} = 0.7 \text{ V}$$

- 500 Å $5E19$ graded base ($\Delta E_g = kT$), 3000 Å collector

Transferred-Substrate Heterojunction Bipolar Transistor

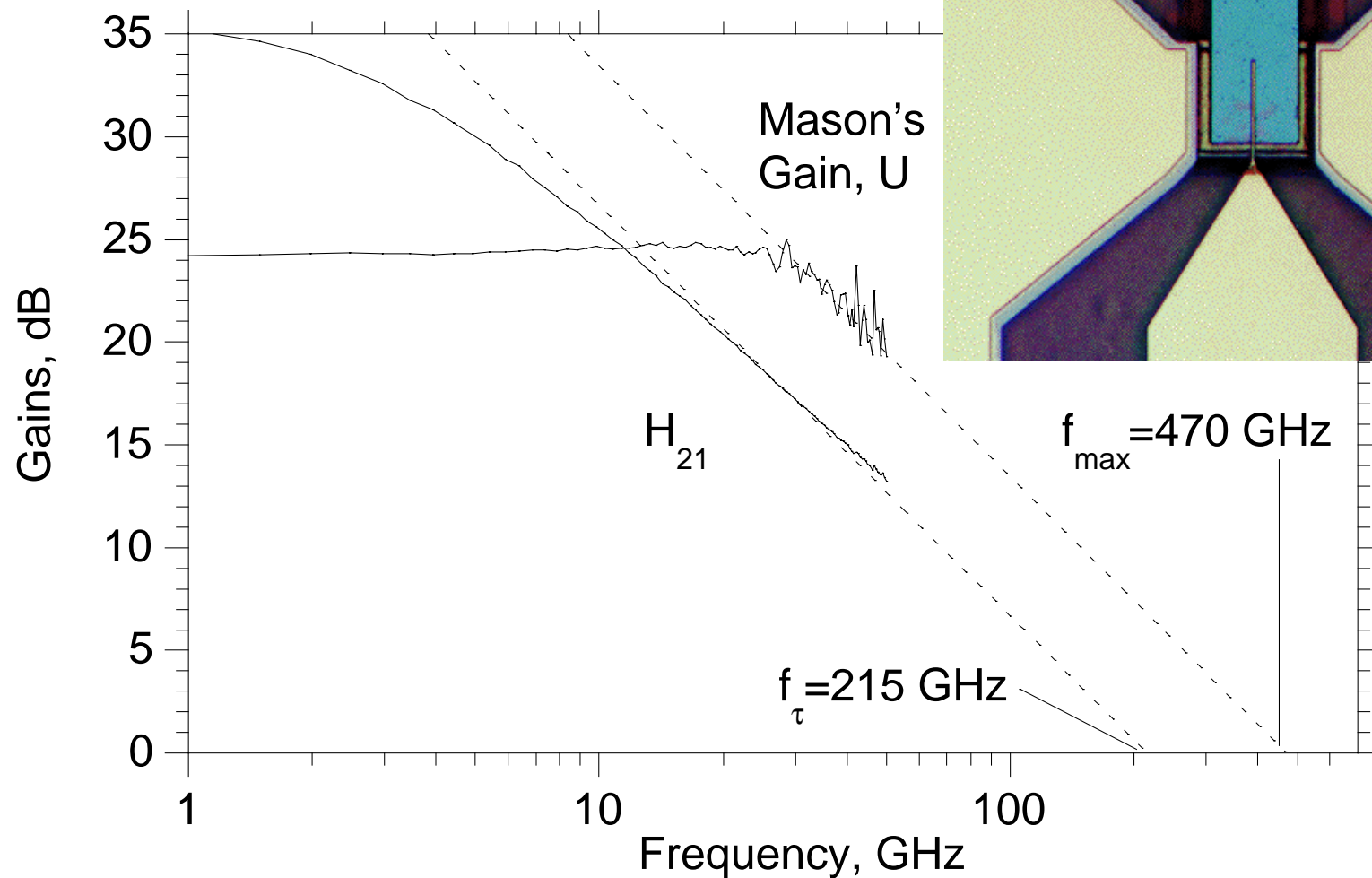
Device with 0.6 μm emitter & 0.8 μm collector
extrapolated $f_{\text{max}} = 560 \text{ GHz}$ (?)



0.25 μm devices should obtain 600-700 GHz f_{max}

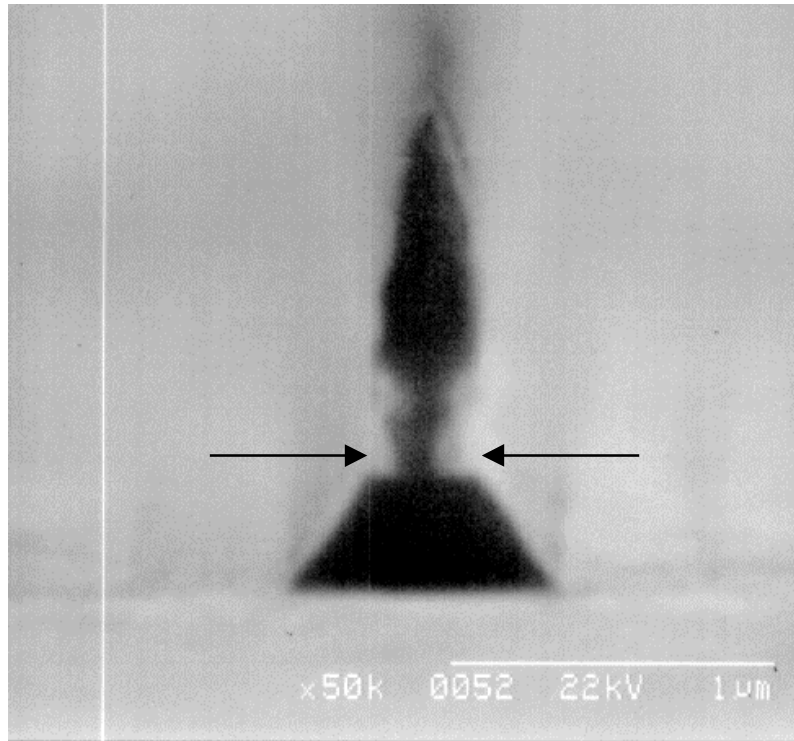
Transferred-Substrate Heterojunction Bipolar Transistor

*Device with 0.6 μm emitter & 1.8 μm collector
extrapolated f_{max} at instrument limits*

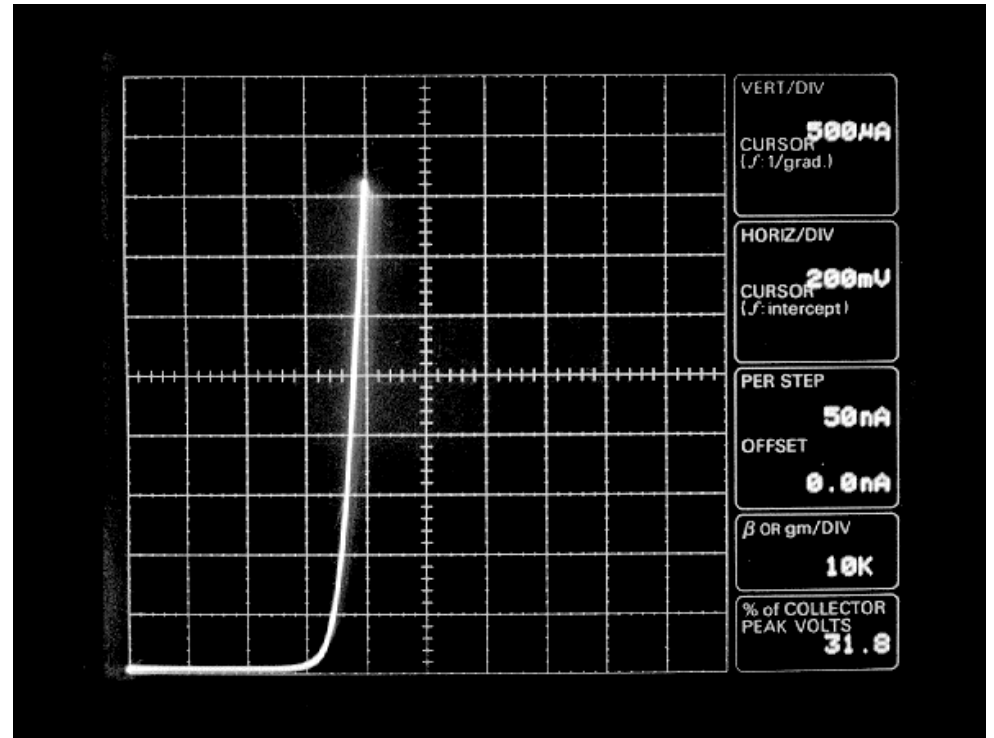


0.25 μm devices should obtain 600-700 GHz f_{max}

In Fabrication: Deep Submicron HBTs



0.15 μm emitter



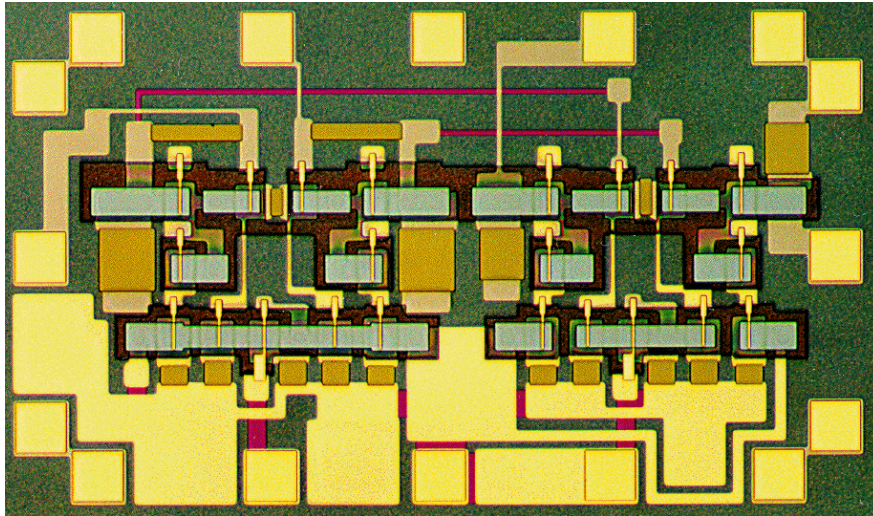
base-emitter diode
IV Characteristics

In development: 0.15 μm emitter/ 0.3 μm collector HBT

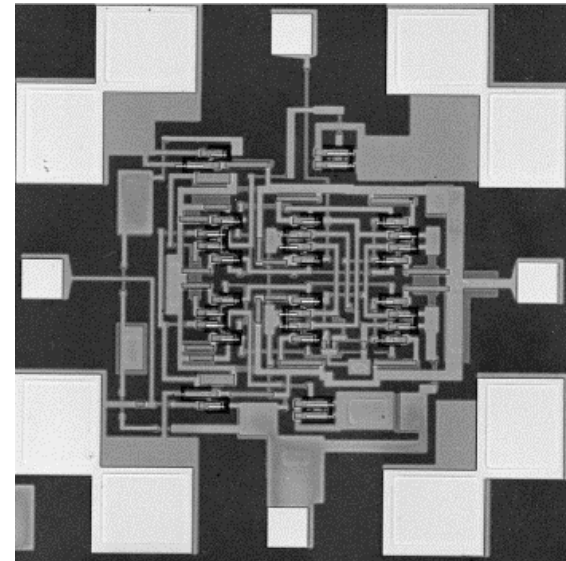
Credit: Michelle Lee, Dino Mensa, UCSB; S. Martin, R.P. Smith, JPL

Transferred-Substrate HBT Integrated Circuits

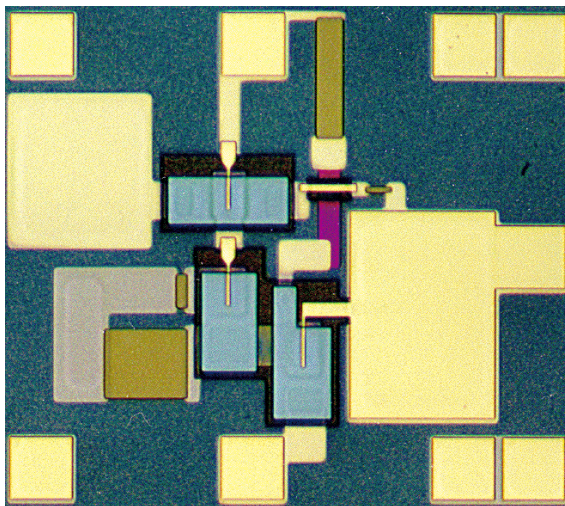
11 dB, 50+ GHz AGC / limiting amplifier



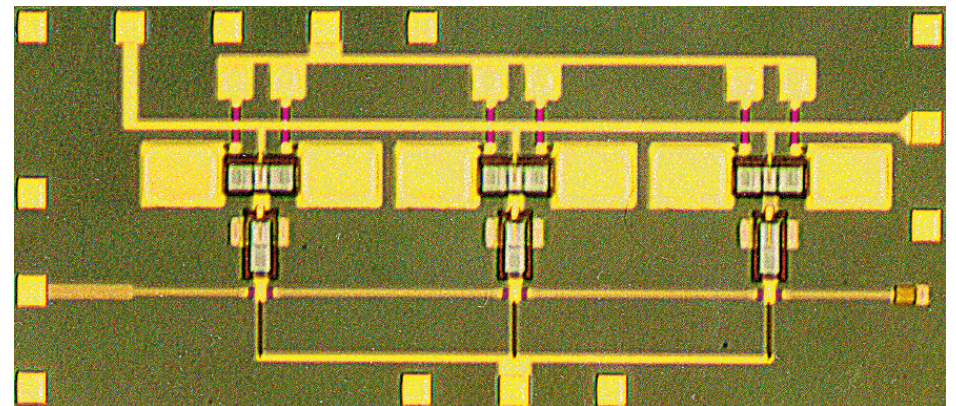
47 GHz master-slave flip-flop



10 dB, 50+ GHz feedback amplifier



7 dB, 5-80 GHz distributed amplifier



Transmission Lines

Geometries

Characteristic Impedances

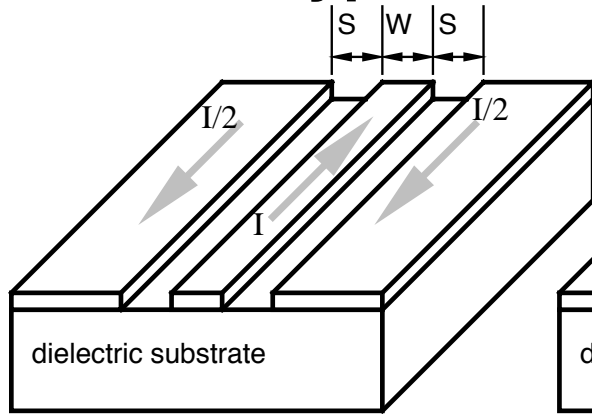
Group Velocity Dispersion

Skin effect losses

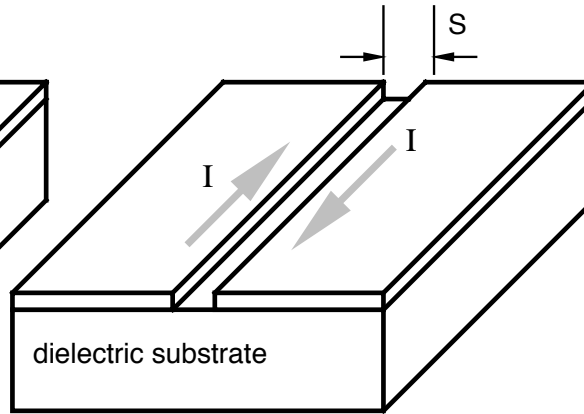
(substrate) radiation losses

Excitation of undesired modes & resulting problems

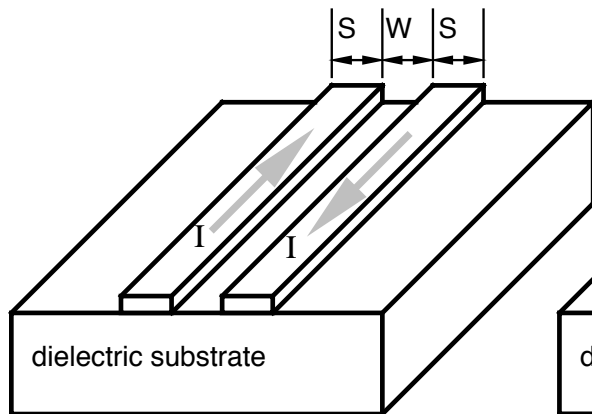
Types of Planar Transmission Lines



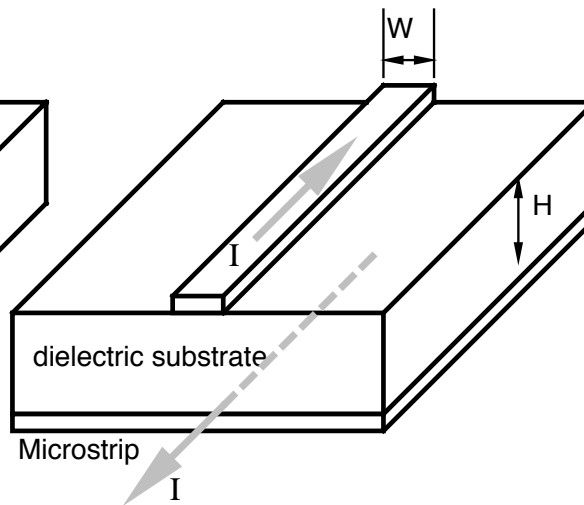
Coplanar Waveguide (CPW)



Slotline: Non-TEM, dispersive



Coplanar Strips (CPS)



Microstrip

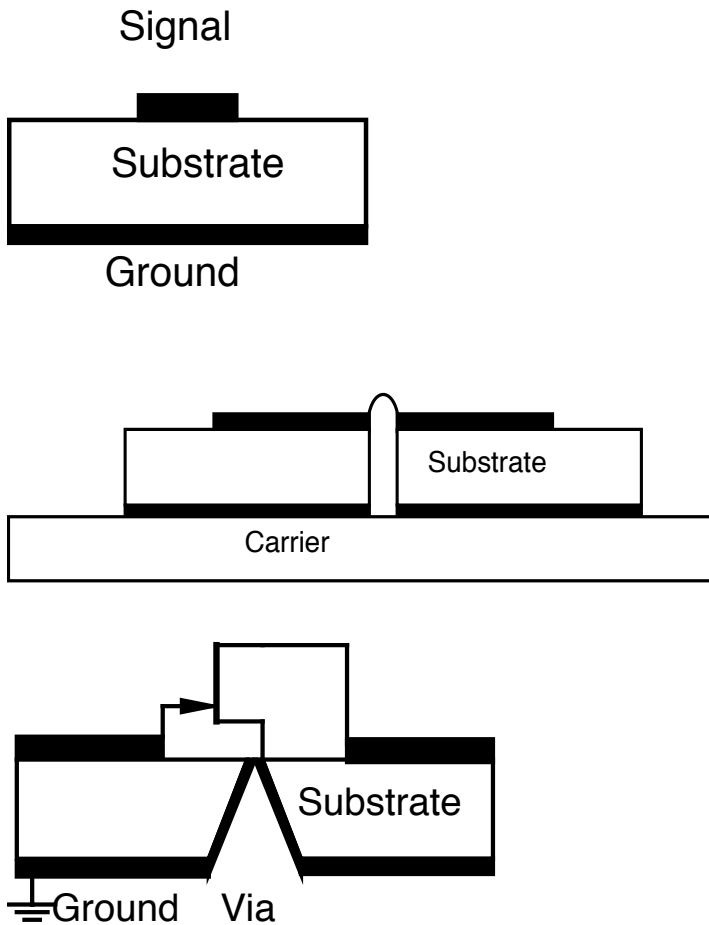
Well designed CPW and CPS have $(S+2W) \ll h$ (better than 2:1 ratio)

Microstrip Line

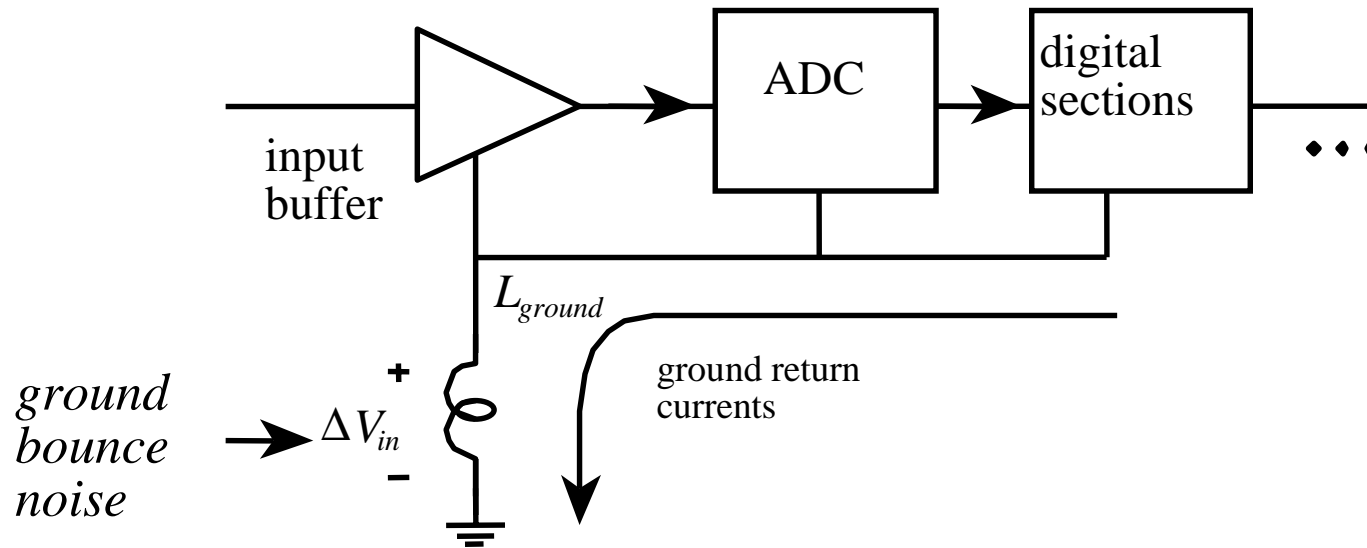
Dominant transmission medium in microwave IC's

Key advantage: IC interconnections have very low ground lead inductance- more important than signal line inductive parasitics in amplifiers

Key problem: through-wafer grounding holes (vias). Via inductance forces progressively thinner wafers at higher frequencies. Microstrip is used with good performance in 65 GHz monolithic circuits



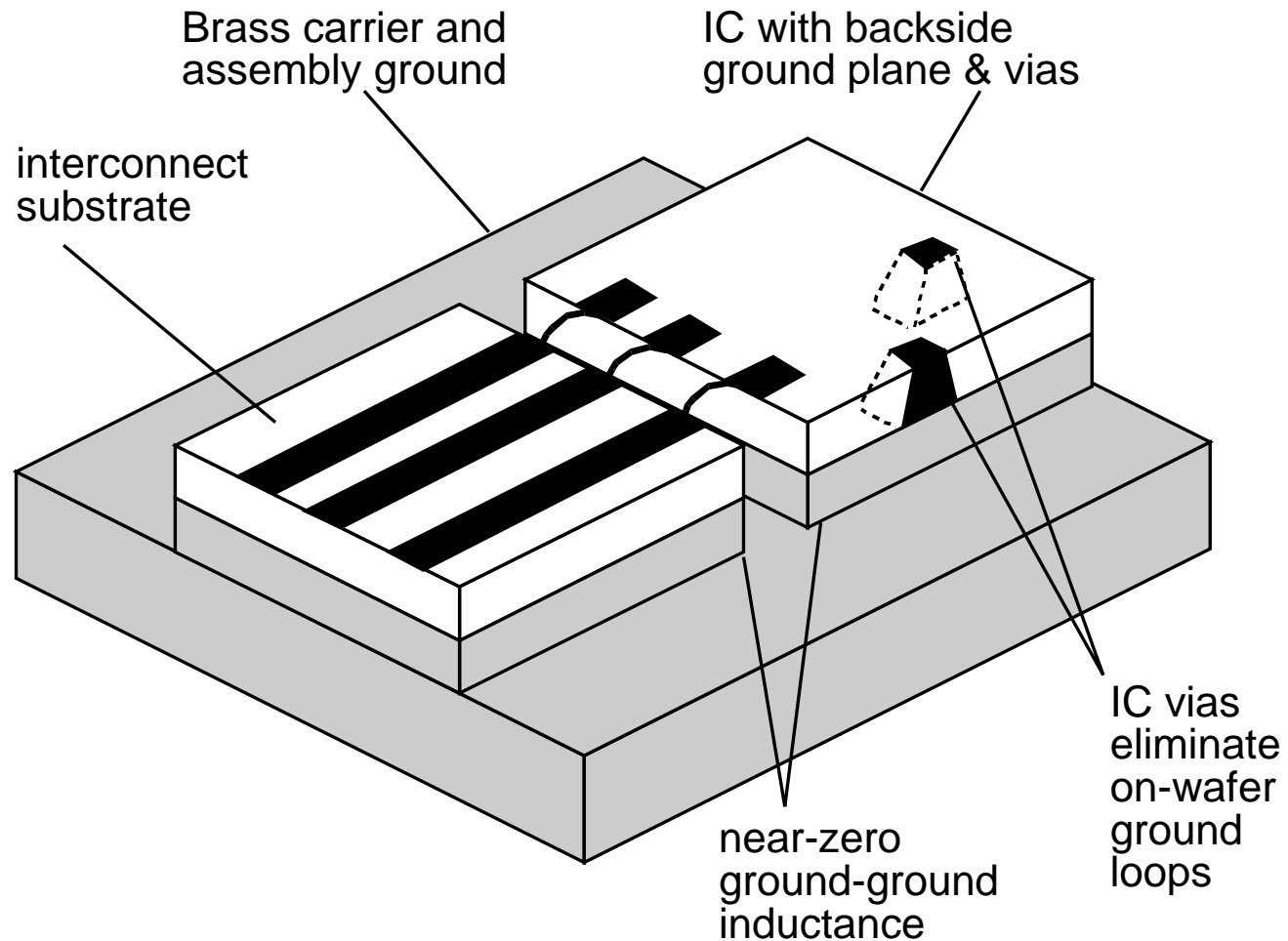
Ground Bound Noise in ICs



Ground bounce noise must be 98 dB below full-scale input
Differential input will partly suppress ground noise coupling
~ 30 to 40 dB common-mode rejection feasible
CMRR insufficient to obtain 98 dB SNR

Eliminate ground bounce noise by good IC grounding

Microstrip IC wiring to Eliminate Ground Bounce Noise



Skin Effect Losses, I

$$\begin{aligned} \gamma_{metal} &= \sqrt{j\omega\mu(j\omega\varepsilon + \sigma)} \\ &\cong \sqrt{j\omega\mu\sigma} \end{aligned}$$

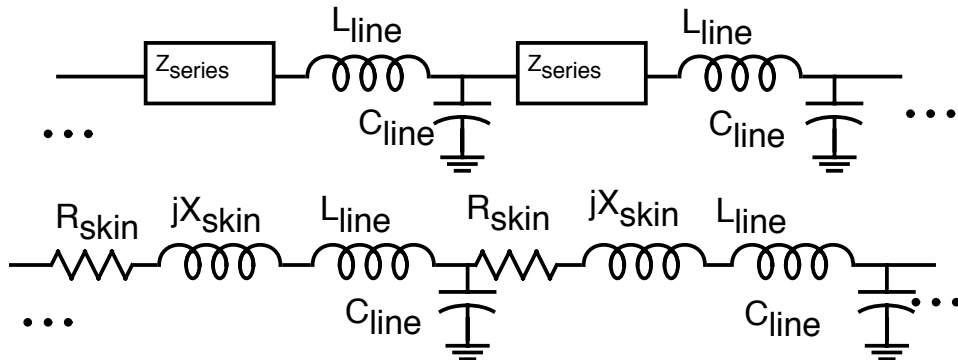
$$\begin{aligned} \alpha_{metal} + j\beta_{metal} &= \sqrt{\omega\mu\sigma/2} + j\sqrt{\omega\mu\sigma/2} \\ &= (1/\delta)(1 + j) \end{aligned}$$

where $\delta = \sqrt{2/\omega\mu\sigma}$

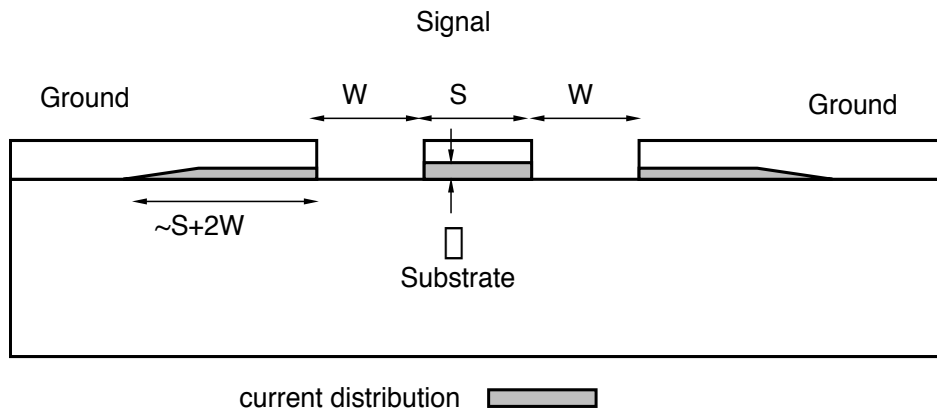
$$\begin{aligned} Z_{series} &= \gamma_{metal} / \sigma P \\ &= (1/\delta\sigma P) + j(1/\delta\sigma P) \end{aligned}$$

Surface impedance of the metal interconnections of a transmission line introduces loss proportional to the square root of frequency.

Dispersion is also introduced, as the skin impedance has equal real and complex parts



Skin Effect Losses II



For a coplanar line the effective current carrying periphery P is approximately the width of the center conductor (IF S is relatively small compared to W , a higher-impedance line)

$$\begin{aligned}\gamma_{line} &= \sqrt{j\omega C(j\omega L_{line} + Z_{series})} \\ &= j\omega \sqrt{LC} \sqrt{1 + (Z_{series} / j\omega L_{line})} \\ &\cong j\omega \sqrt{LC} \left(1 + (Z_{series} / 2j\omega L_{line})\right) \\ &= j\omega \sqrt{LC} + \frac{Z_{series}}{2Z_0}\end{aligned}$$

$$Z_0 = \sqrt{L/C}$$

Following this, the line propagation constant γ can be found, and the transfer function for a line of length l is $\exp(-\gamma l)$

$$\begin{aligned}Z_{series} &= (1/\delta\sigma P) \\ &\quad + j(1/\delta\sigma P)\end{aligned}$$

Skin Effect Losses III

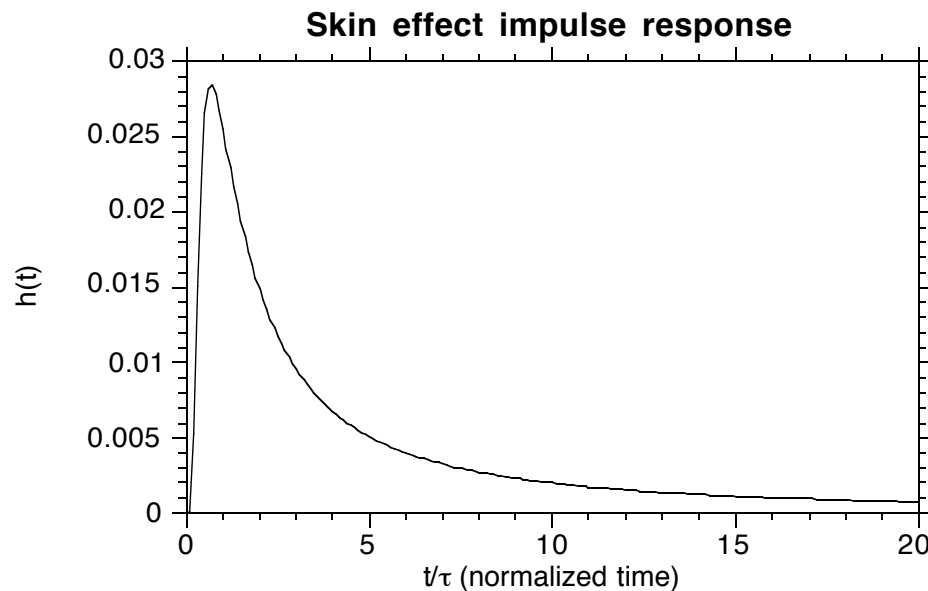
The impulse response of the transmission line can then be found.

(Wiginton and Nahman, Proc. IRE, February 1957)

$$h(t) \cong C * U(t/\tau) \frac{(t/\tau)^{-3/2} \exp(-\tau/t)}{\tau\sqrt{\pi}}$$

$$\text{where } \tau = \left[l \sqrt{\frac{\mu}{\sigma}} / 4Z_0P \right]^2$$

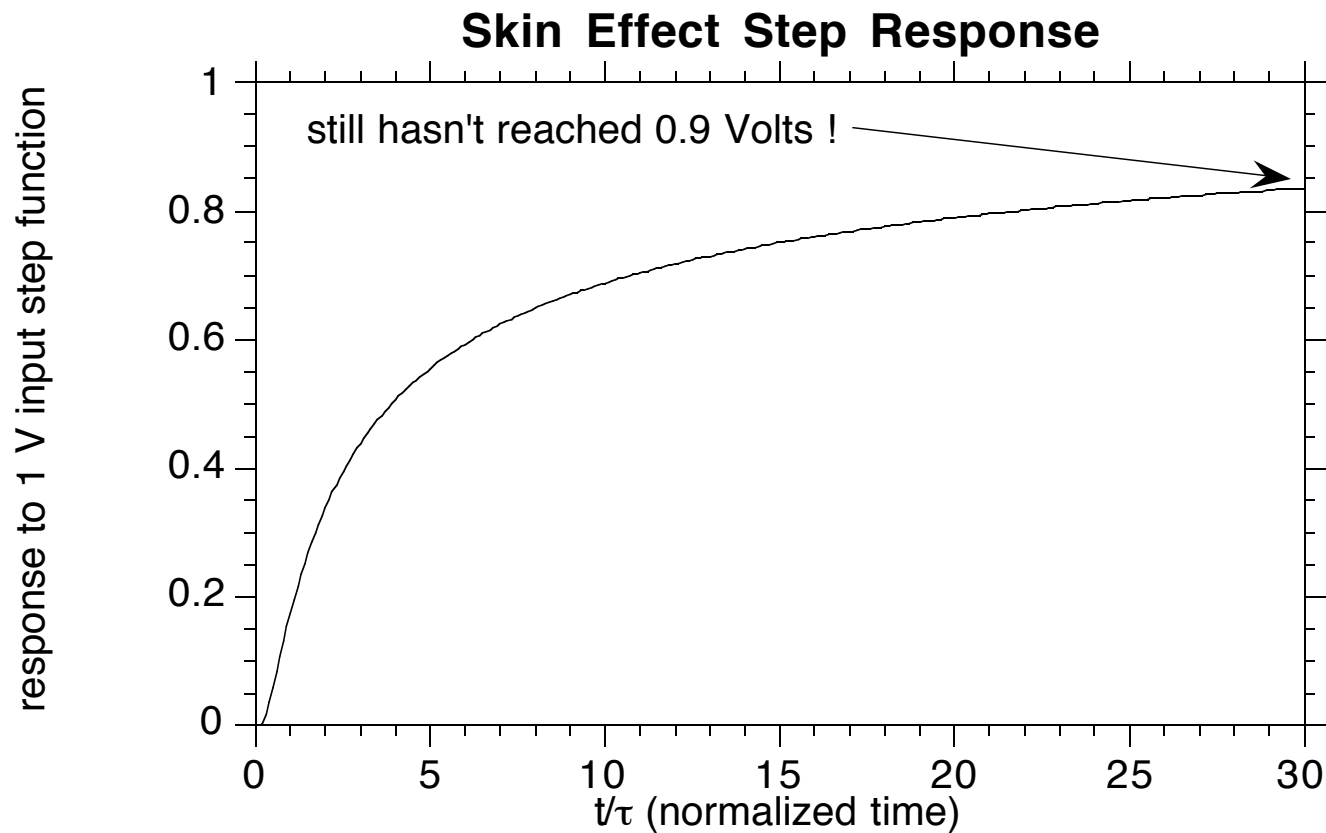
Skin effect causes pulse broadening proportional to distance²



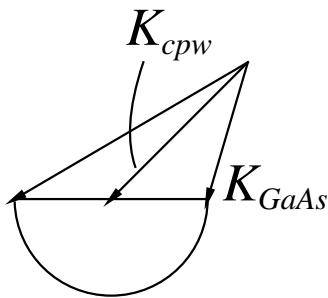
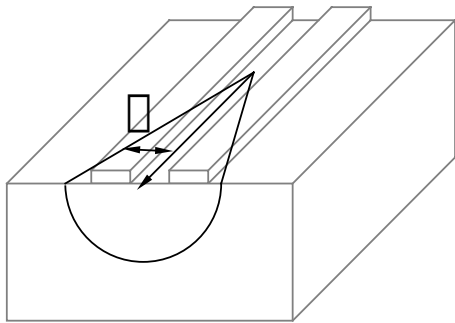
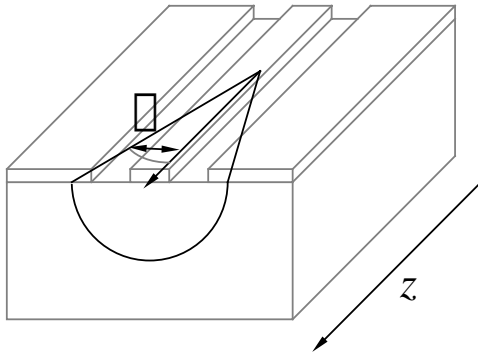
Skin Effect Losses IV

The step response is the integral of the impulse response.

Note the initial fast rise and the subsequent "dribble-up" characteristic of skin effect losses.



Transmission-Line Radiation Losses



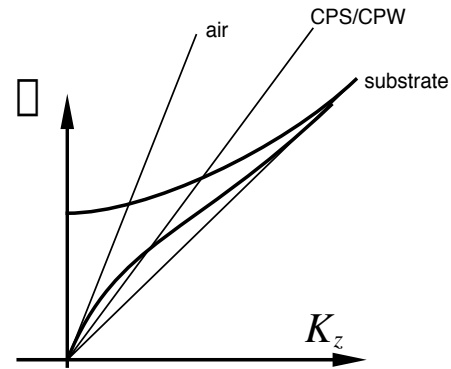
Transmission line velocity is

$$v = c / \sqrt{(1 + \epsilon_r) / 2}$$

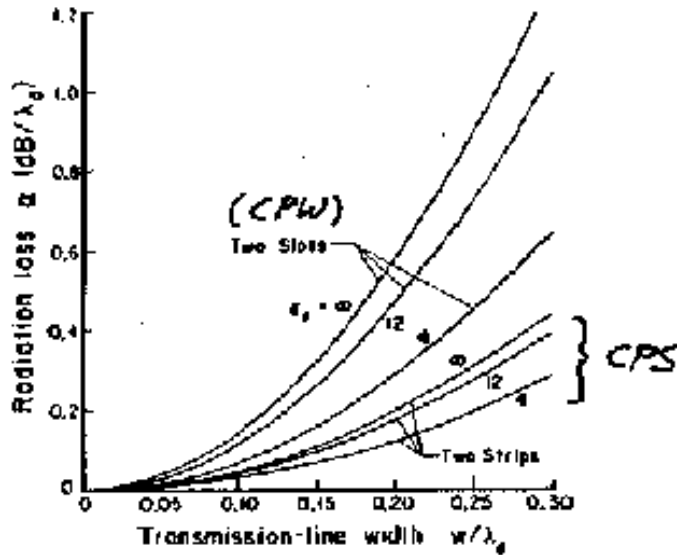
Velocity of a plane wave in the substrate is $v = c / \sqrt{\epsilon_r}$, which is slower.

Power radiates at angle ψ determined by matching K_z .

With substrate of finite thickness, radiation shows frequency structure due to substrate modes



Radiation Losses II



Loss (in dB) per wavelength is proportional to frequency² and to the square of the transverse dimensions of the line

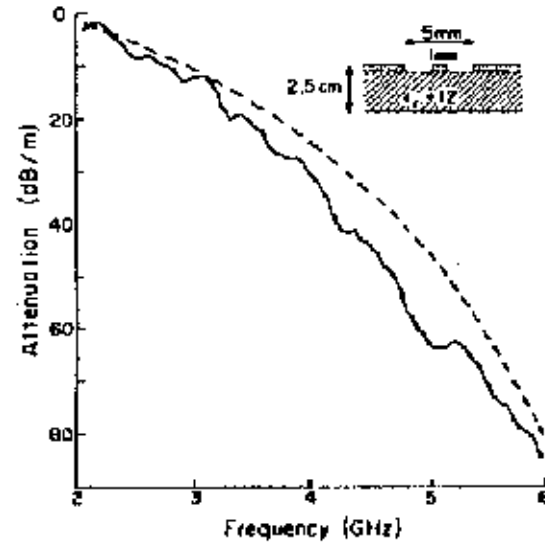
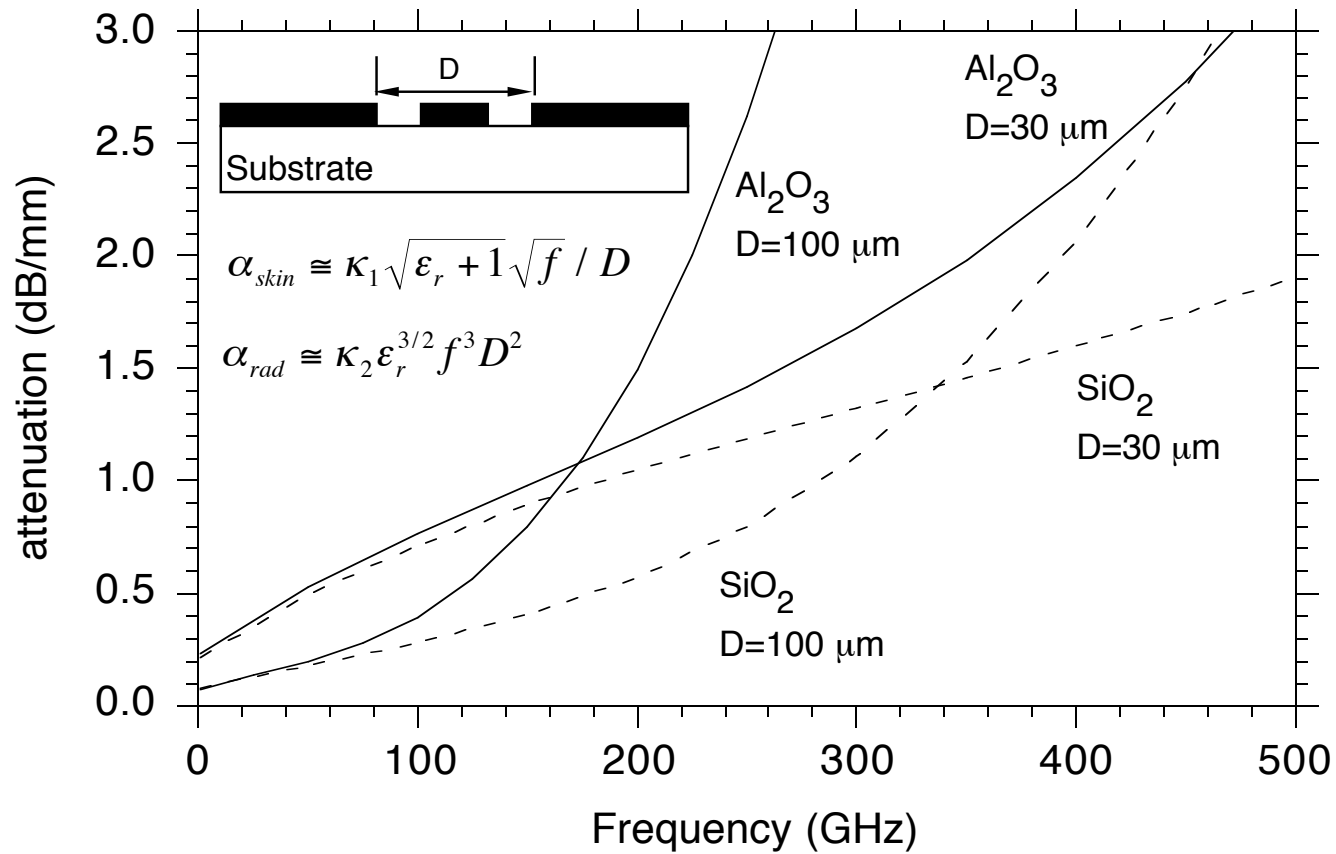


FIG. 22 Measured loss (—) of a two-slot ($t = d$) transmission line on a thick substrate ($\epsilon_r = 12$) compared to theory (---) [Eq. 144].

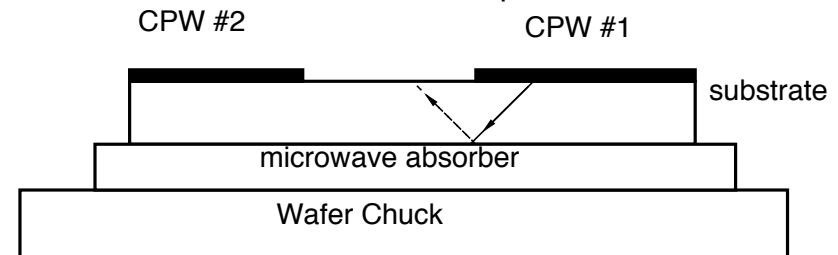
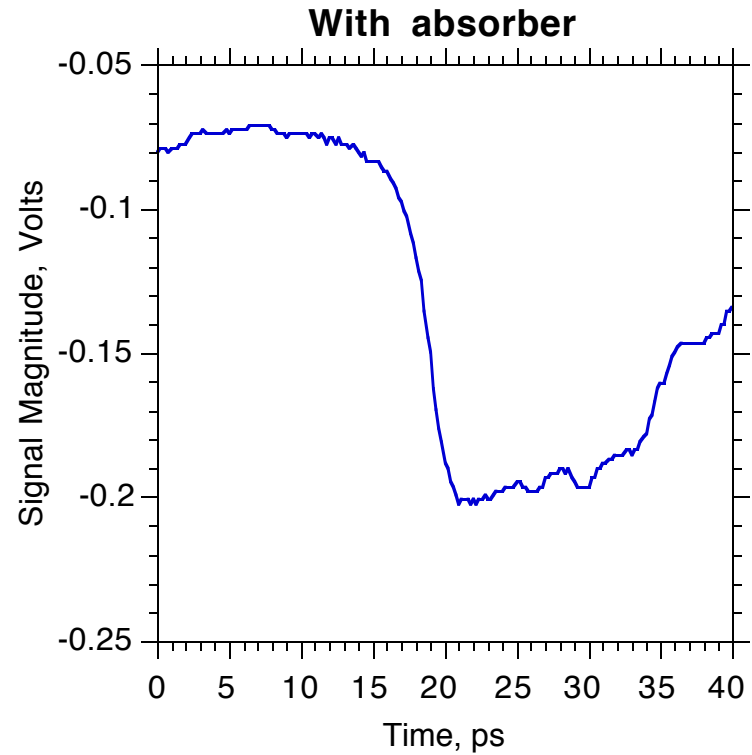
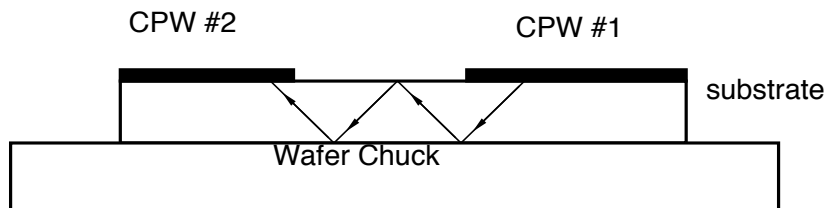
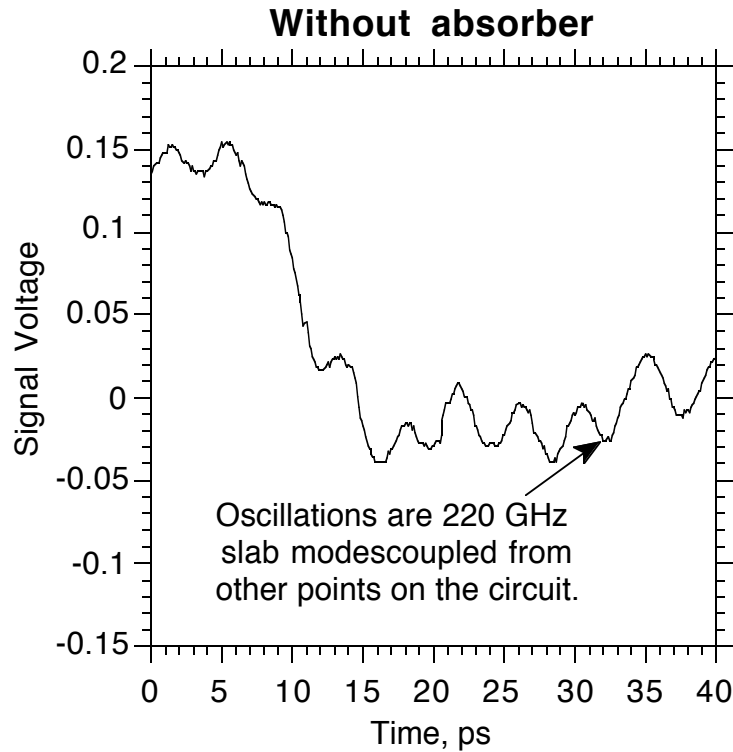
Experimental confirmation
-scale model measurements

From Rutledge et al (see reference list)

Skin and Radiation Losses, 50Ω CPW

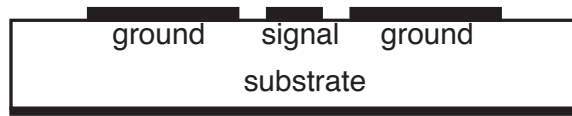


On-wafer interference from line radiation

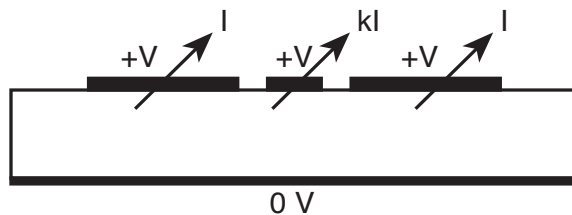


Transmission Line Parasitic Modes

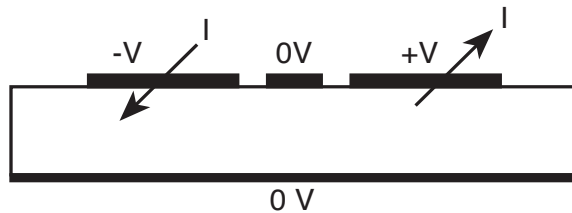
Nominal Coplanar Waveguide



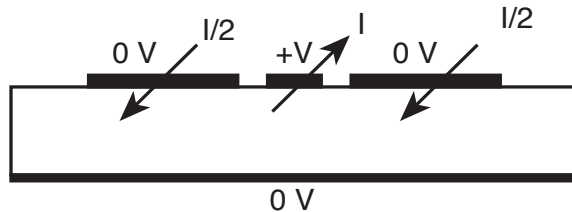
backside ground plane (intentional)
or wafer chuck (accidental)



microstrip mode

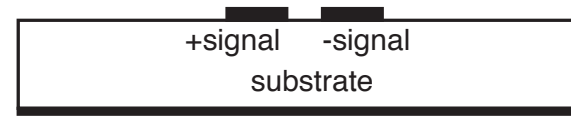


coplanar strip
or slot mode

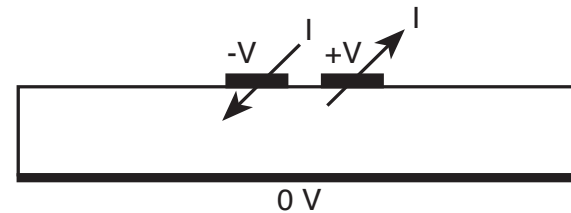
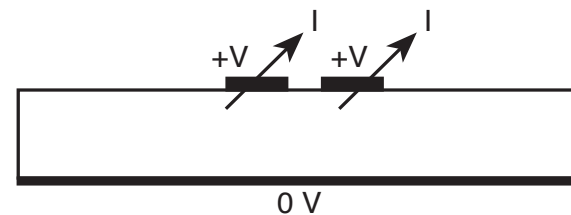


coplanar waveguide
mode

Nominal Coplanar Strips



backside ground plane (intentional)
or wafer chuck (accidental)



- Total number of quasi-TEM modes is one less than # of conductors
- Care must be taken to avoid excitation of parasitic modes
- unexpected results will otherwise arise...

General Rules for Avoiding Parasitic Modes

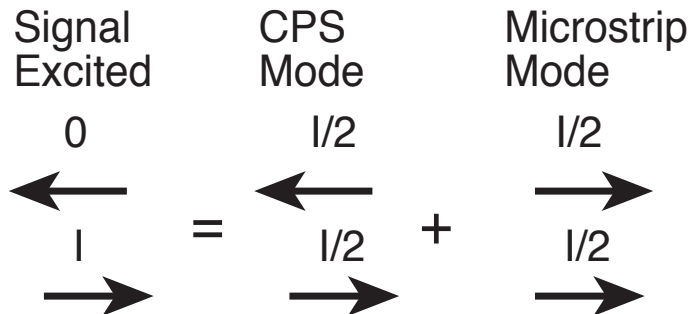
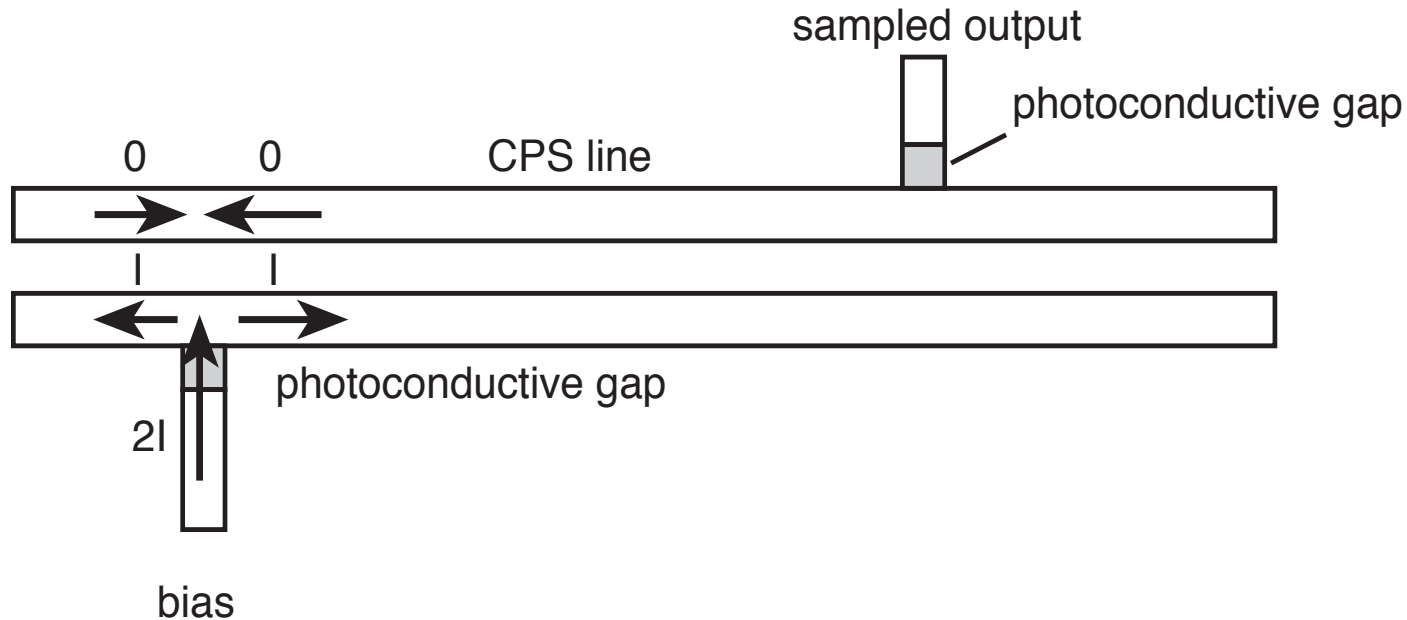
1) Where do the currents flow?

2) Which conductors have what voltages for which modes?

Be aware that:

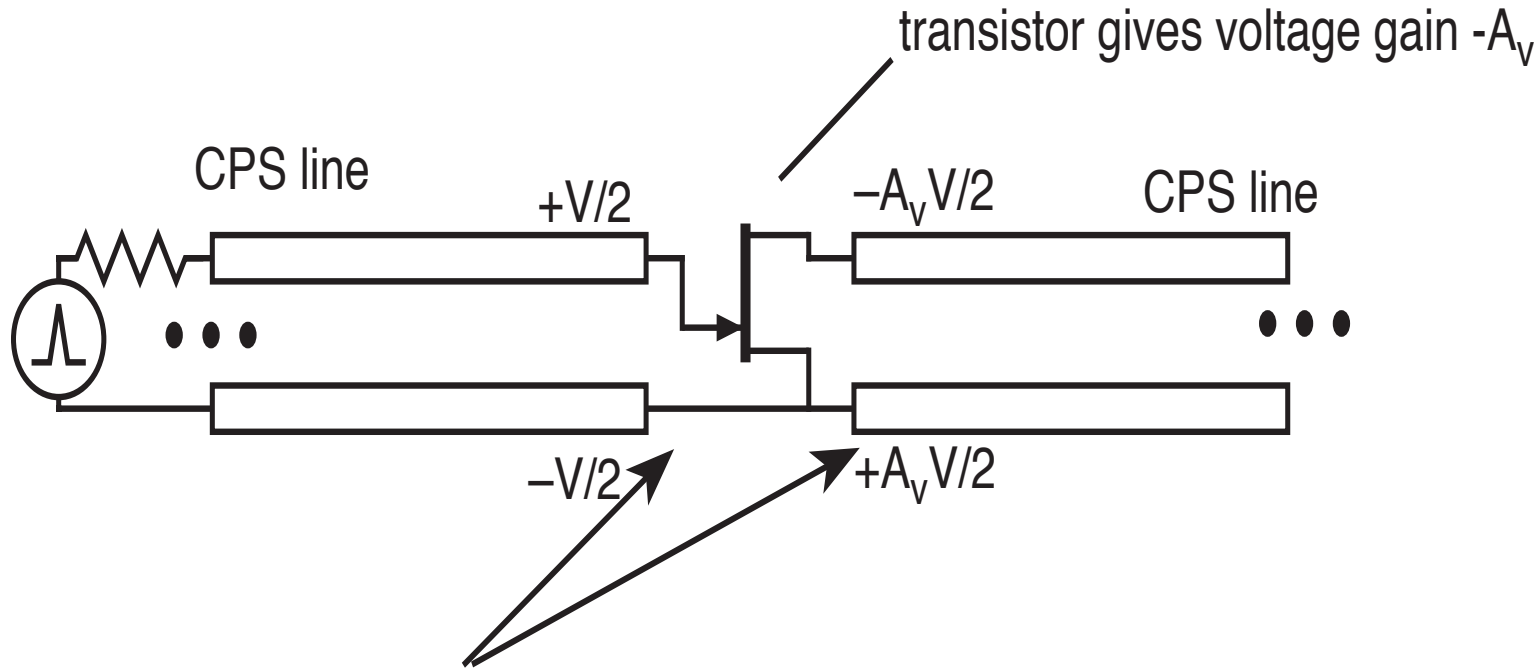
- currents must flow in the ground planes of unbalanced transmission lines. The currents flow close to the edge of the ground plane nearest the signal conductor.
- there are equal and opposite voltages on the 2 conductors of balanced transmission lines. This seriously restricts the types of junctions allowable.

Example of parasitic mode excitation



•Both pulse generator and sampling gap excite (sample) mixed microstrip and CPS mode. These propagate at different velocities and will separate in time as they propagate..

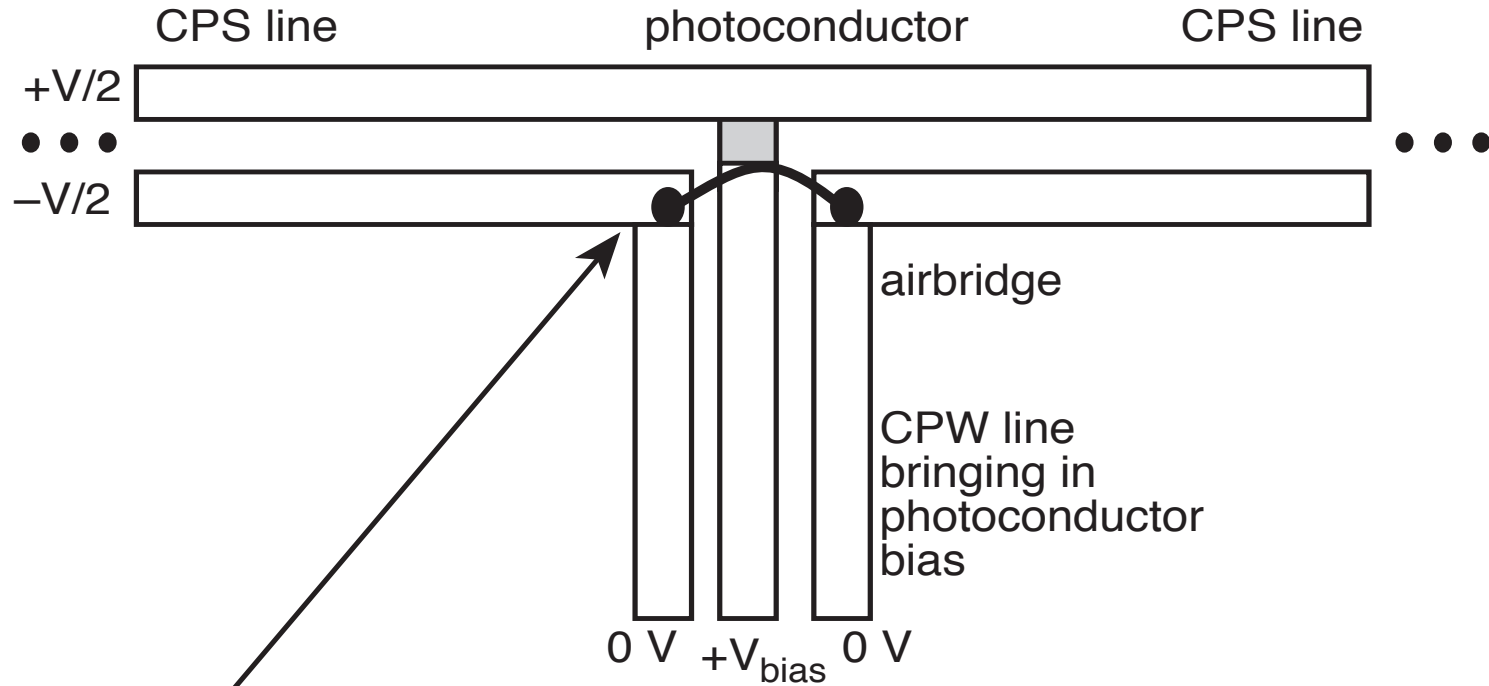
Example of parasitic mode excitation



- potentials don't match!
- microstrip modes must be excited to equalize potentials.
- circuit load impedances include contributions from microstrip modes
- resonances will result from boundary conditions on microstrip modes

- CPS line is a balanced line with no ground connection. It cannot be used when a common-lead connection (ground) is needed, e.g. in testing a 2-port device.
- microstrip or CPW should be used in this application

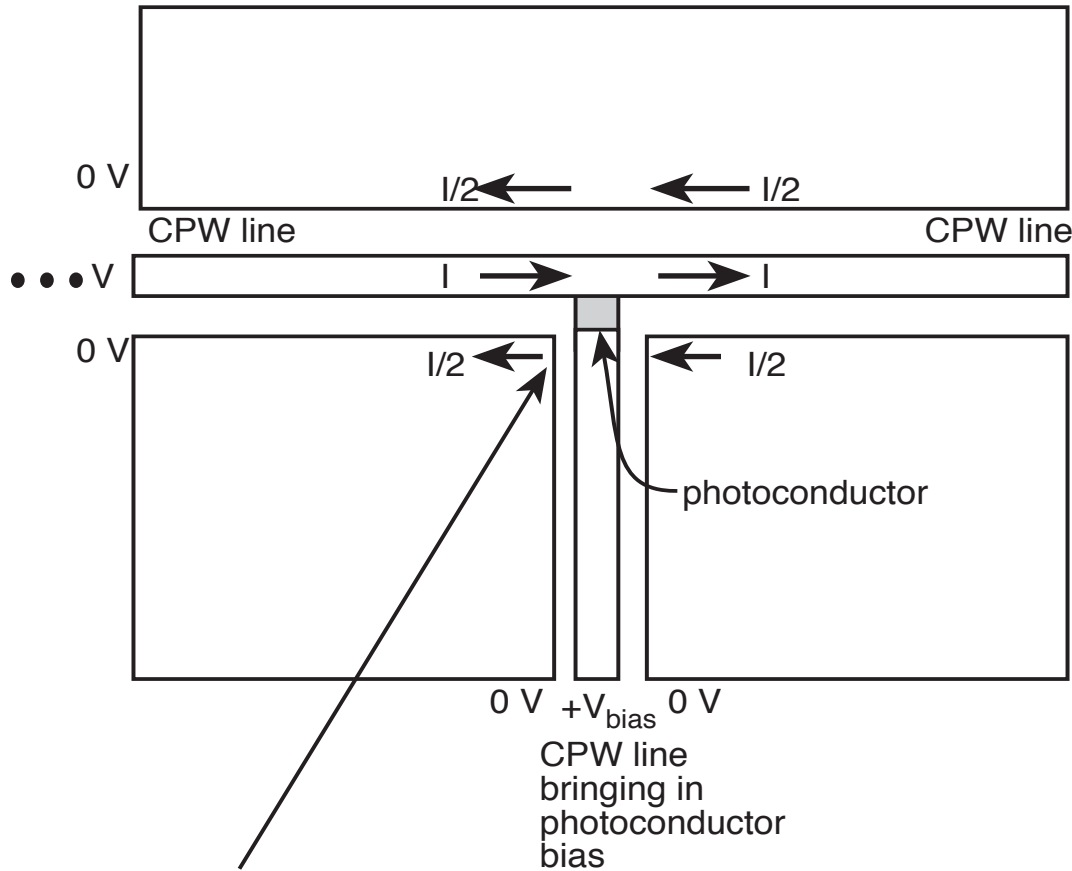
Example of parasitic mode excitation



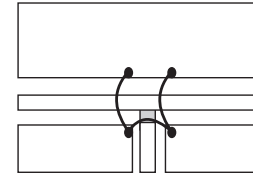
potentials can be reconciled at this point only through excitation of microstrip modes on both the CPS and CPW lines

- CPS is usually a bad idea...

Example of parasitic mode excitation



currents can be reconciled at the junction only through excitation of slot modes on the 3 CPW lines



The problem can be fixed by strapping the junction with air-bridges

Transmission Lines: In summary

•Radiation Losses

(Coplanar waveguide as example)

$$\alpha_{radiation} (dB / mm) \propto (S + 2W)^2 f^3$$

Line impedance constrains S/W
Narrower lines are better

•Skin Losses:

$$\alpha_{skin} (dB / mm) \propto (W)^{-1} f^{1/2}$$

Wider lines are better

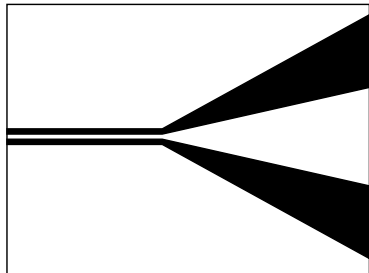
For any frequency, there is an optimum W for lowest loss. Lower ϵ_r substrates have lower radiation losses..Alternatives are air-bridge CPW, etched-substrate CPW

Semi-rigid coaxial cable: lower loss than on-wafer lines
connector bandwidths to 65 GHz. 130 GHz connectors are in development.

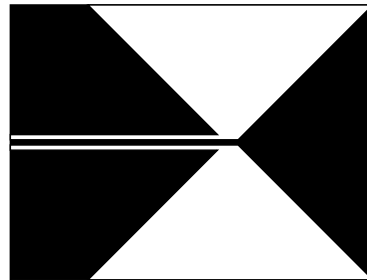
Antennas

Picosecond On-Wafer Antennas

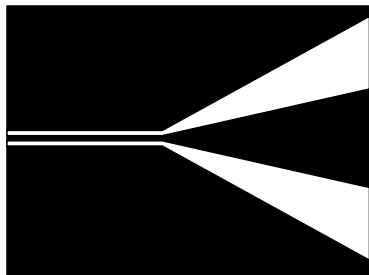
Frequency-independent antennas



tapered CPS
("V" antenna)
CPS feed



bowtie
antenna
(CPW feed)



tapered CPW
(Slot"V"
antenna)
CPW feed

These are all travelling-wave antennas. Radiation impedance, group delay, and far-field patterns are all frequency-independent above the antenna cutoff

all these require substrate lenses

Other monolithic antennas:

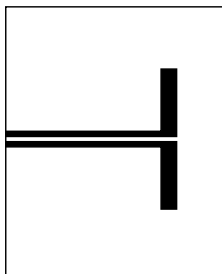
log periodic (not truly frequency independent)

exponential spiral (frequency-dependent polarization)

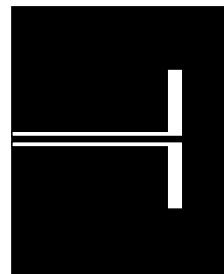
See Rutledge reference

Resonant antennas

■ metal
□ substrate

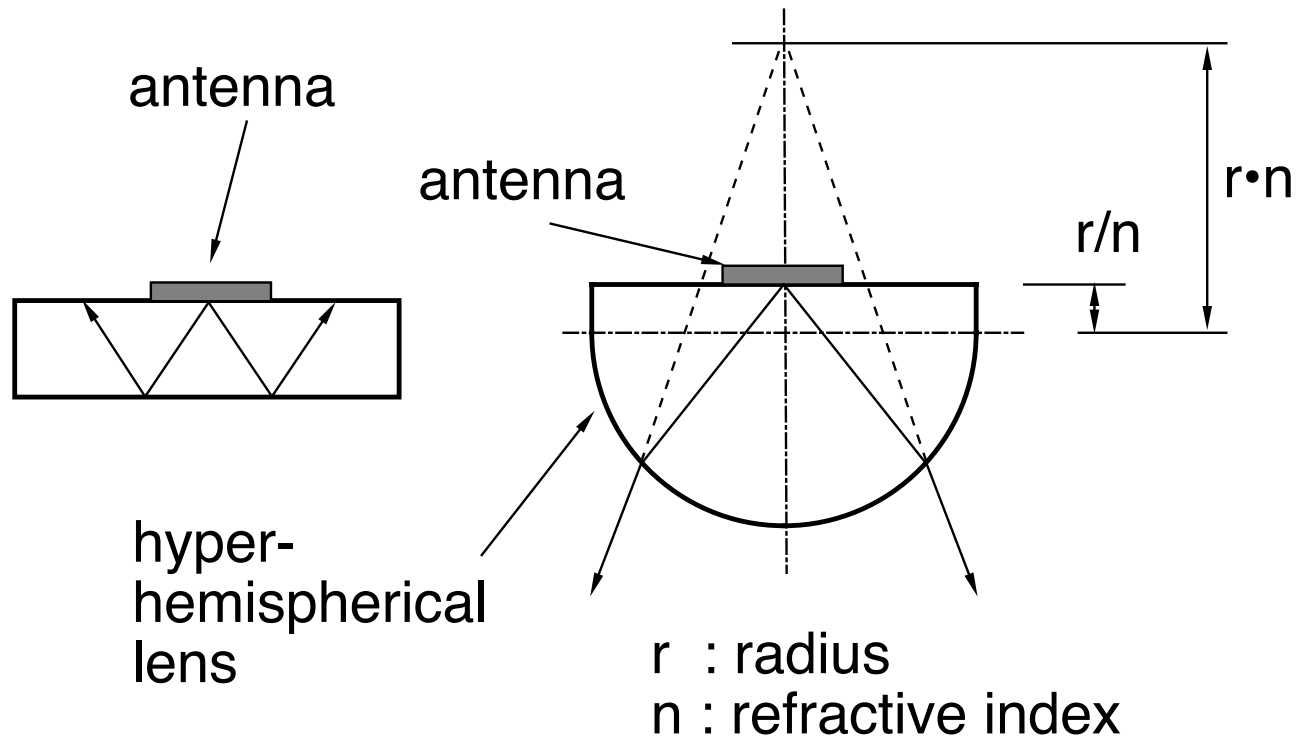


dipole antenna
CPS feed



slot antenna
(magnetic dipole)
CPW feed

Substrate Lenses

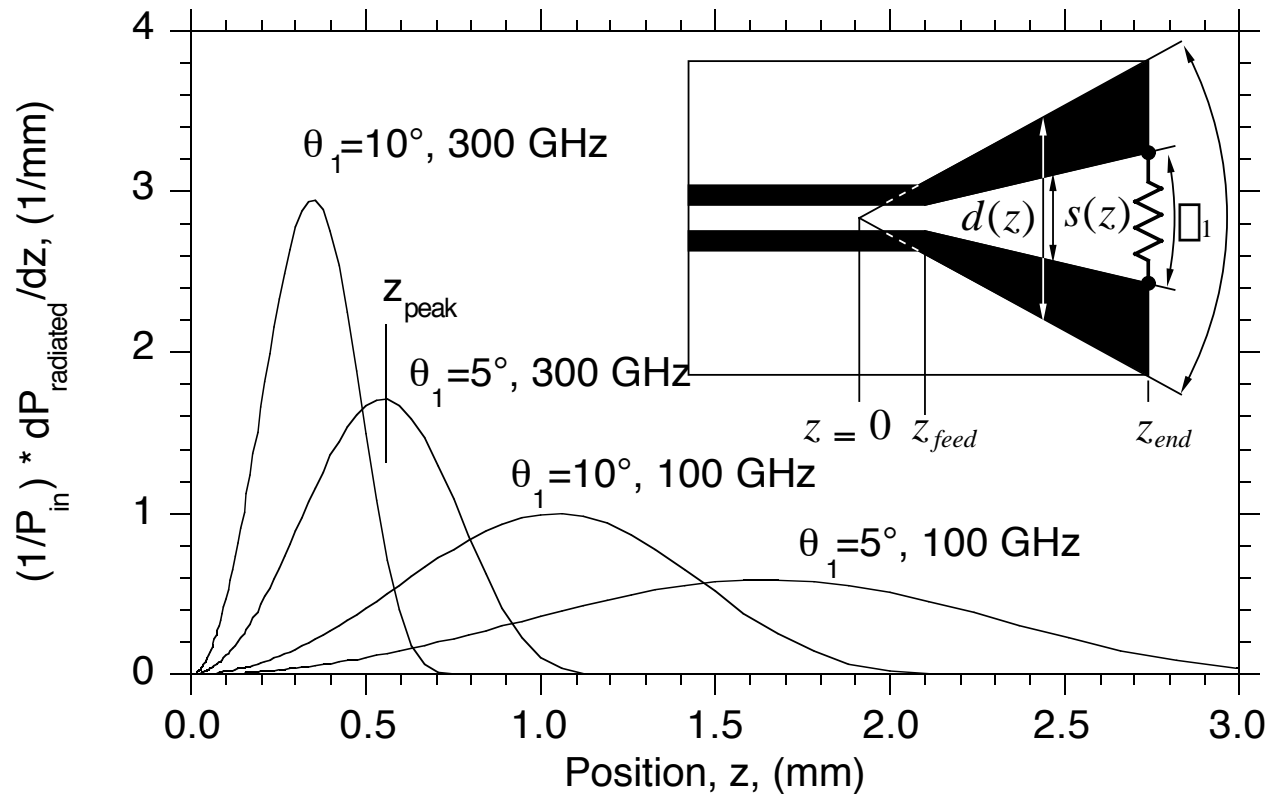


- Energy primarily radiated into substrate
- Trapped (Snell's law) into substrate slab modes
- Substrate lens allows radiation to escape, but efficiency still relatively poor for high- ϵ substrates. Hyperhemisphere gives some collimation
- Lens must be several wavelengths diameter at longest wavelength of interest.

Radiation Distribution on tapered CPS antenna

$$z_{\text{peak}} = 168 \text{ mm-GHz } (\theta_1 = 5^\circ)$$

$$z_{\text{peak}} = 106 \text{ mm-GHz } (\theta_1 = 10^\circ)$$



- Length (Δz) of radiation distribution proportional to wavelength.
- Width W proportional to length Δz , radiating area proportional to λ^2 .
- Far-field radiation pattern independent of wavelength.

Circuit Design

- Summary of fundamental gain-frequency limits.
- A few examples of high-speed circuits

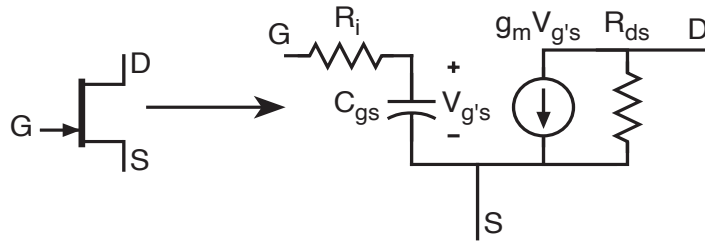
The intention here is to give an overview of

...how active devices are modeled

...relevant device figures of merit & their influence on circuit performance

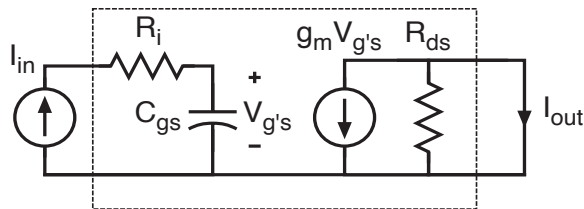
...this is of importance to researchers involved in optical probing of electronic devices: what measurements provide useful descriptive information to the device physicist and circuit designer?

Device Figures-of-Merit



Top: simplified FET equivalent-circuit model

Center: definition of short-circuit current gain (I_{out}/I_{in})



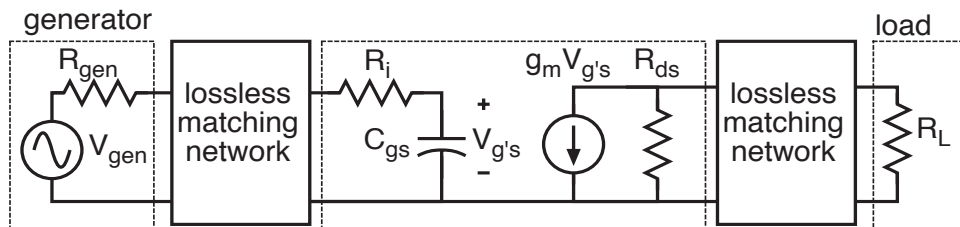
Current-gain cutoff frequency:

$$\|I_{out} / I_{in}\| = 1 \text{ at } f = f_{\tau}$$

obtaining the maximum power gain requires impedance-matching on input & output.

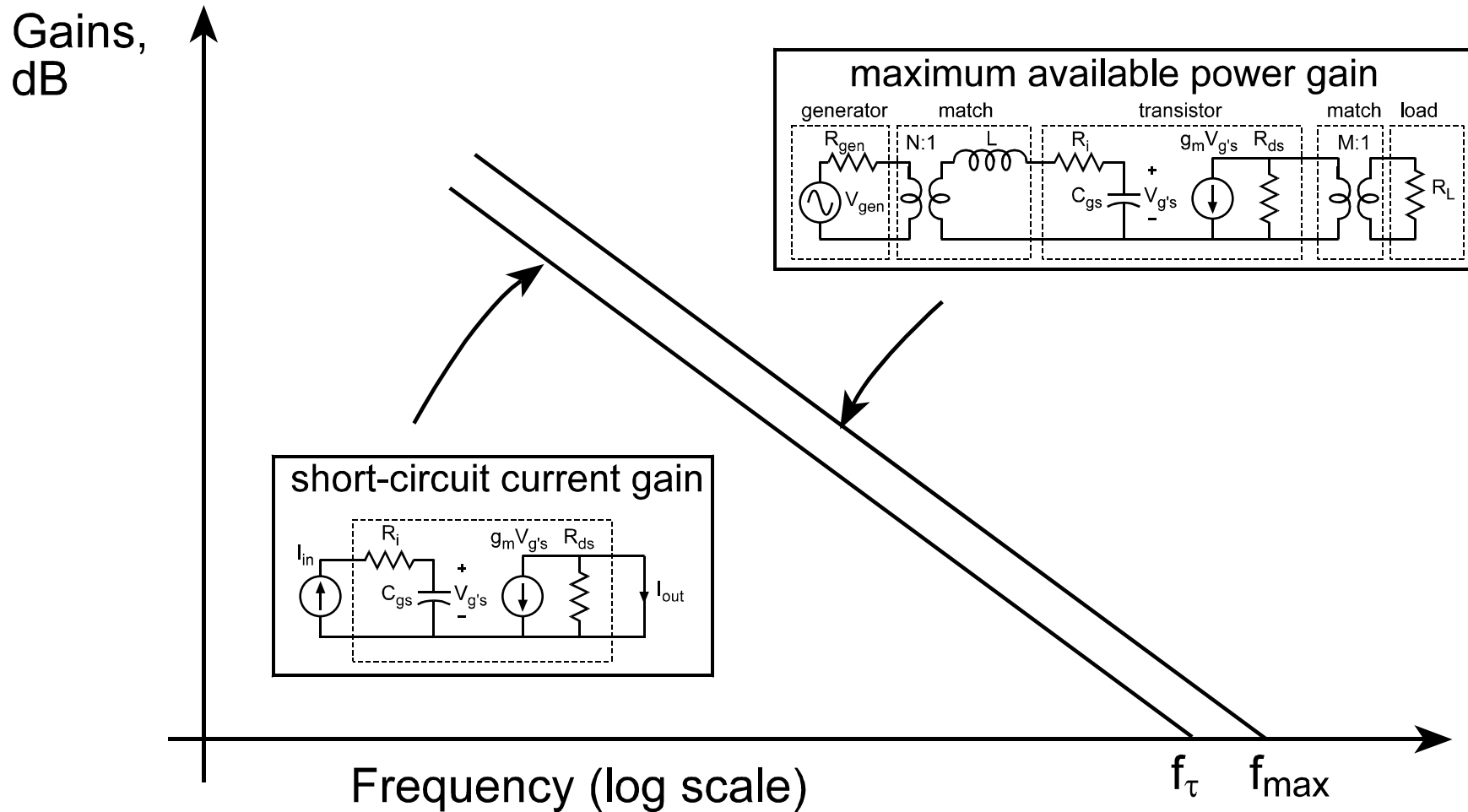
Power gain cutoff frequency

$$\|P_{out} / P_{in}\| = 1 \text{ at } f = f_{max}$$



Expressions for f_{τ} and f_{max} are given elsewhere in these notes...

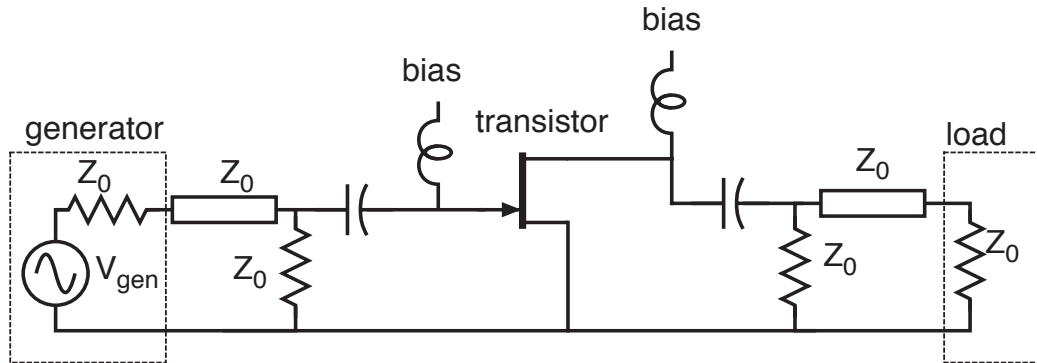
Device Figures of Merit



$$2\pi f_t = g_m / C_{gs} = 1/\tau_{gate} \rightarrow \text{depends on carrier transit times}$$

$$2\pi f_{max} = \frac{f_\tau}{2} \sqrt{\frac{R_{ds}}{R_i}} \rightarrow \text{also depends on parasitics}$$

Resistively-Loaded Transistor Circuits

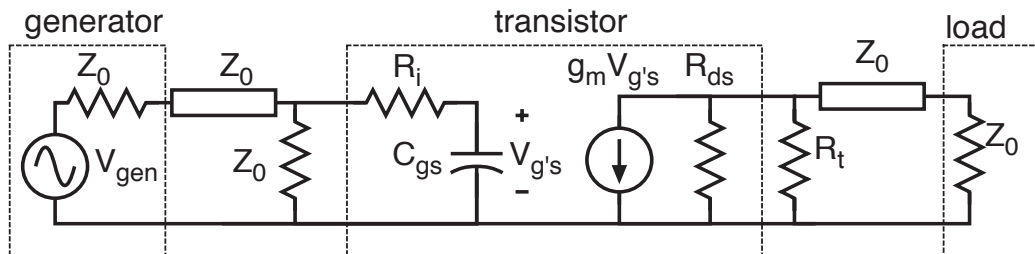


- Broadly representative of most analog high-frequency amplifiers.

- Bandwidth limited by capacitance-charging time of C_{gs} .

- Big transistor gives big g_m , big C_{gs} , hence big gain, small bandwidth.

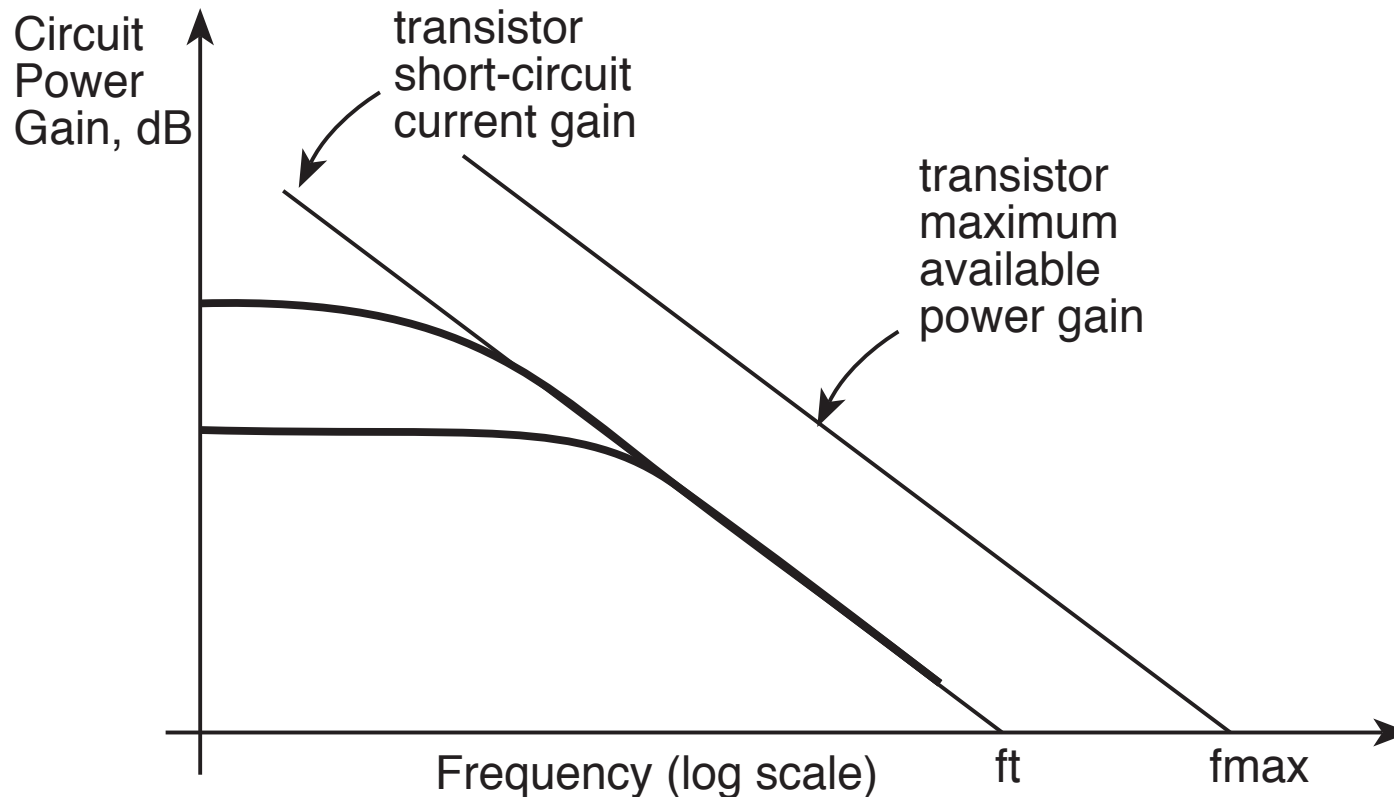
- Small transistor gives small g_m , small C_{gs} , hence small gain, small bandwidth.



- Assuming that: (1) Generator and load impedances are equal and (2) $f_{max} \gg f_t$ (R_i and R_{ds} have small effect)...

Gain -bandwidth product given by ratio of g_m to C_{gs} , eg. by f_t !

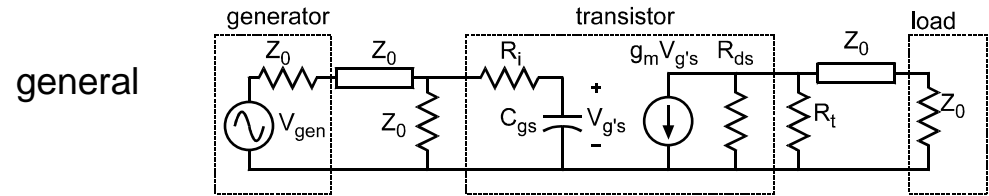
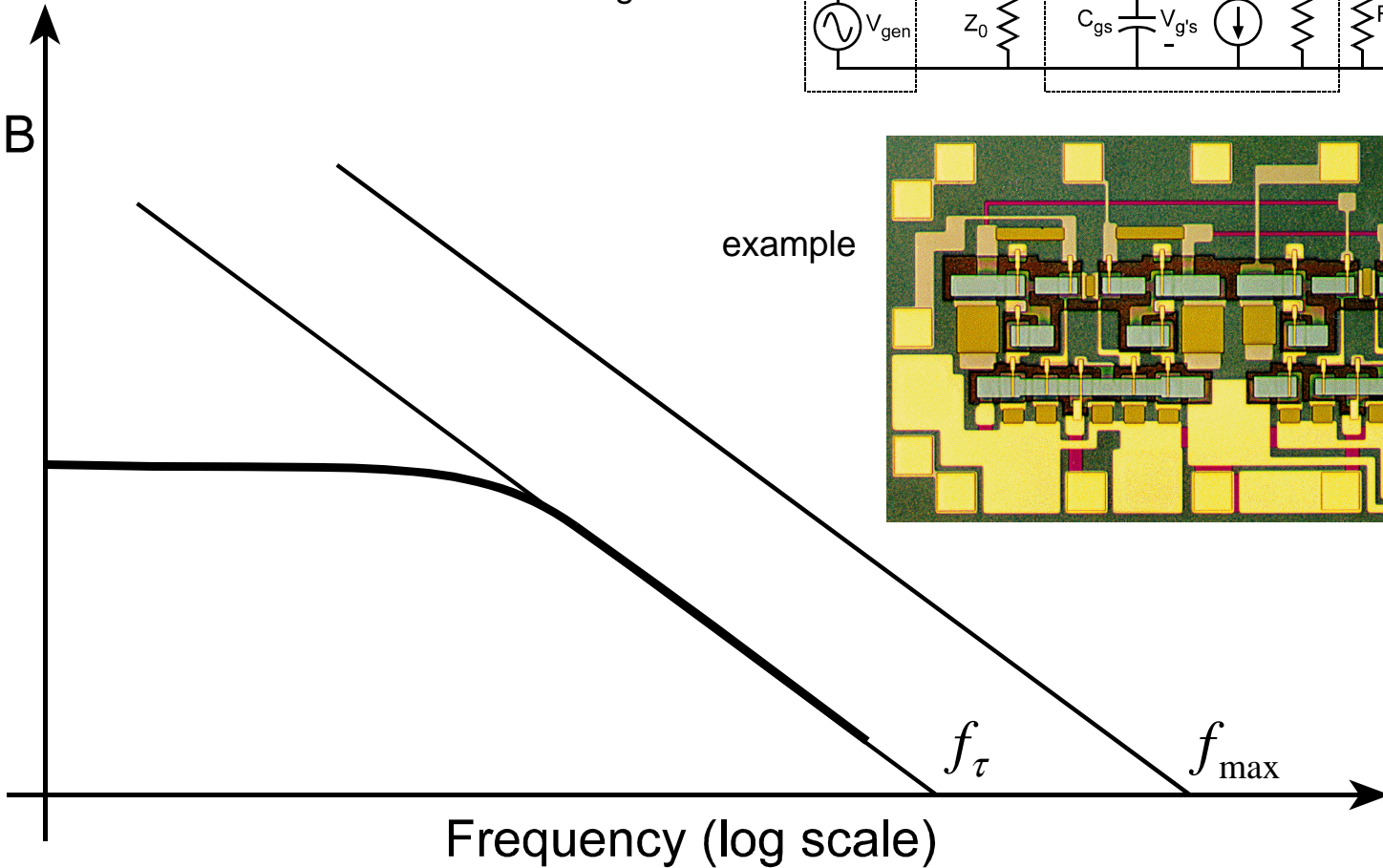
Resistively-Loaded Transistor Circuits 2



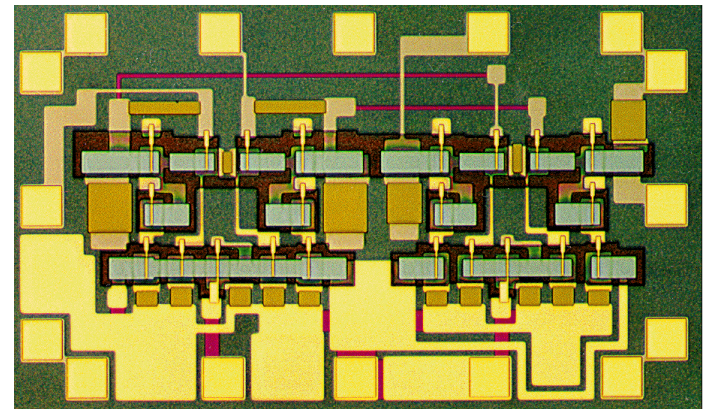
- Big transistors give large gain, low bandwidth
- Small transistor give small gain, high bandwidth
- Gain-bandwidth product limited to transistor short-circuit current-gain cutoff frequency if
 - (1) Equal generator and load resistances
 - (2) f_{max} much bigger than f_t

Classes of Circuits: Resistively Loaded

Circuit Power Gain, dB



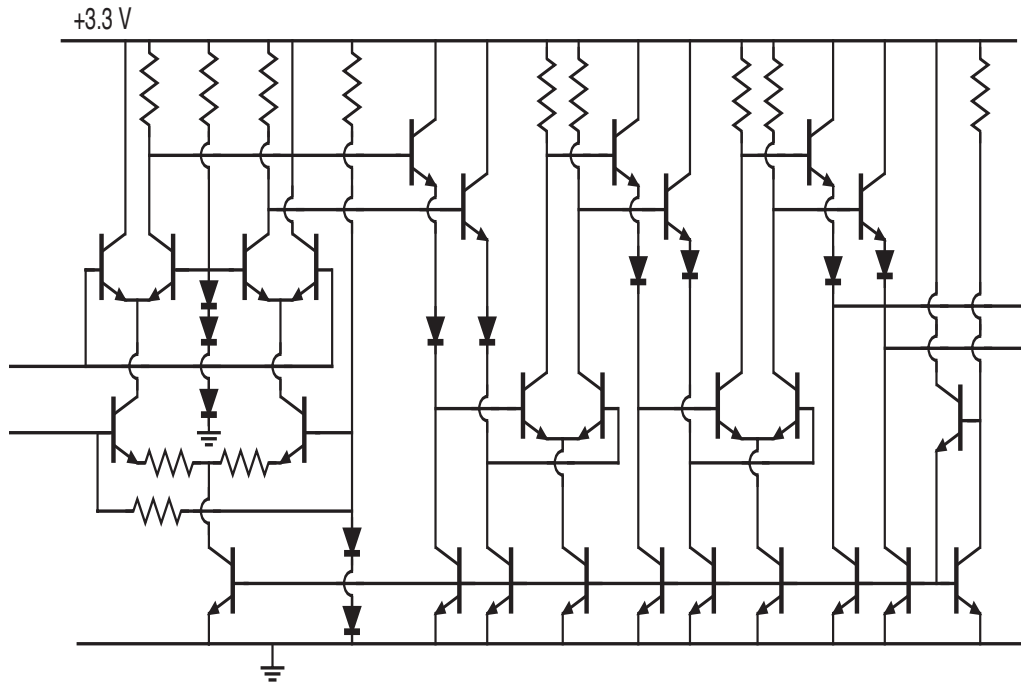
example



Advantage: simple elementary circuit --> suitable for building complex ICs

Limitation: gain-bandwidth products \ll transistor power-gain cutoff frequency

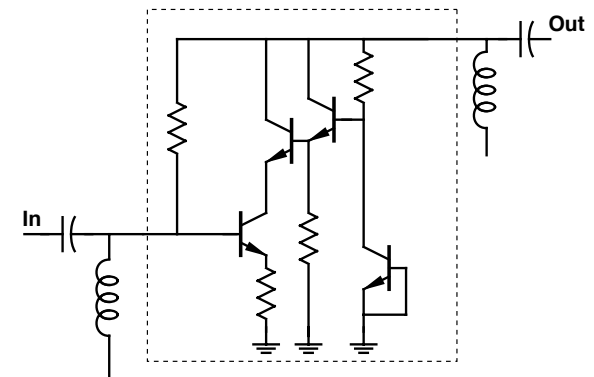
Representative Resistive-Loaded Circuits



Broadband AGC amplifier for fiber-optic receiver

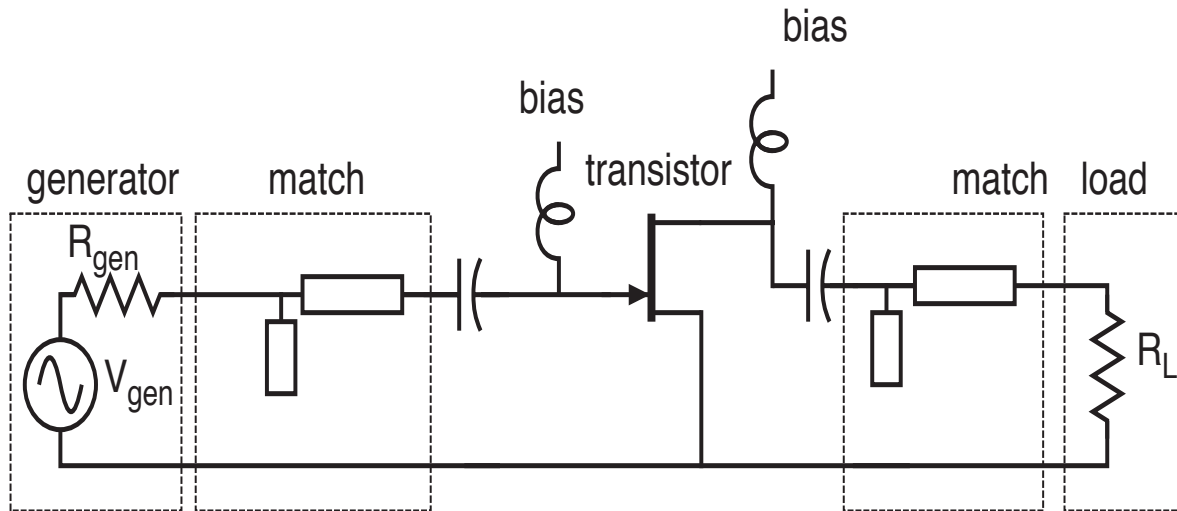
Stage gain-bandwidth products at or below ft.

0.05-33 GHz Cascode
Feedback Amplifier



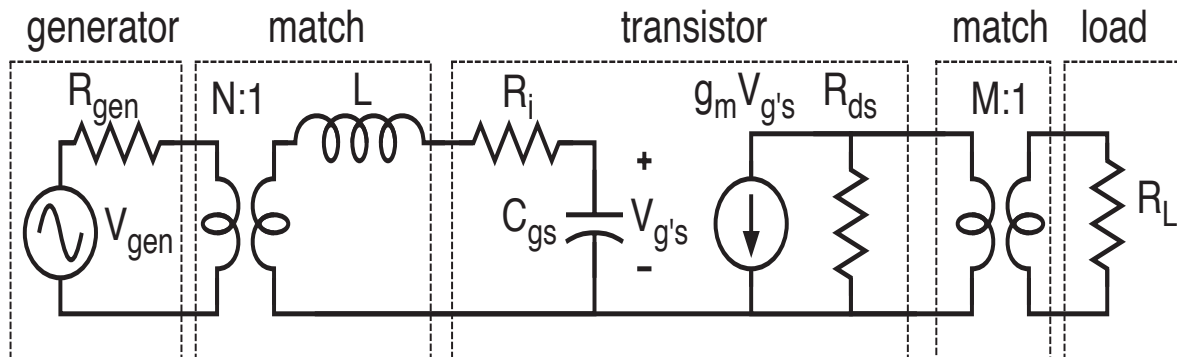
Broadband single-stage
resistive feedback
amplifier

Reactively-Matched Transistor Circuits 1



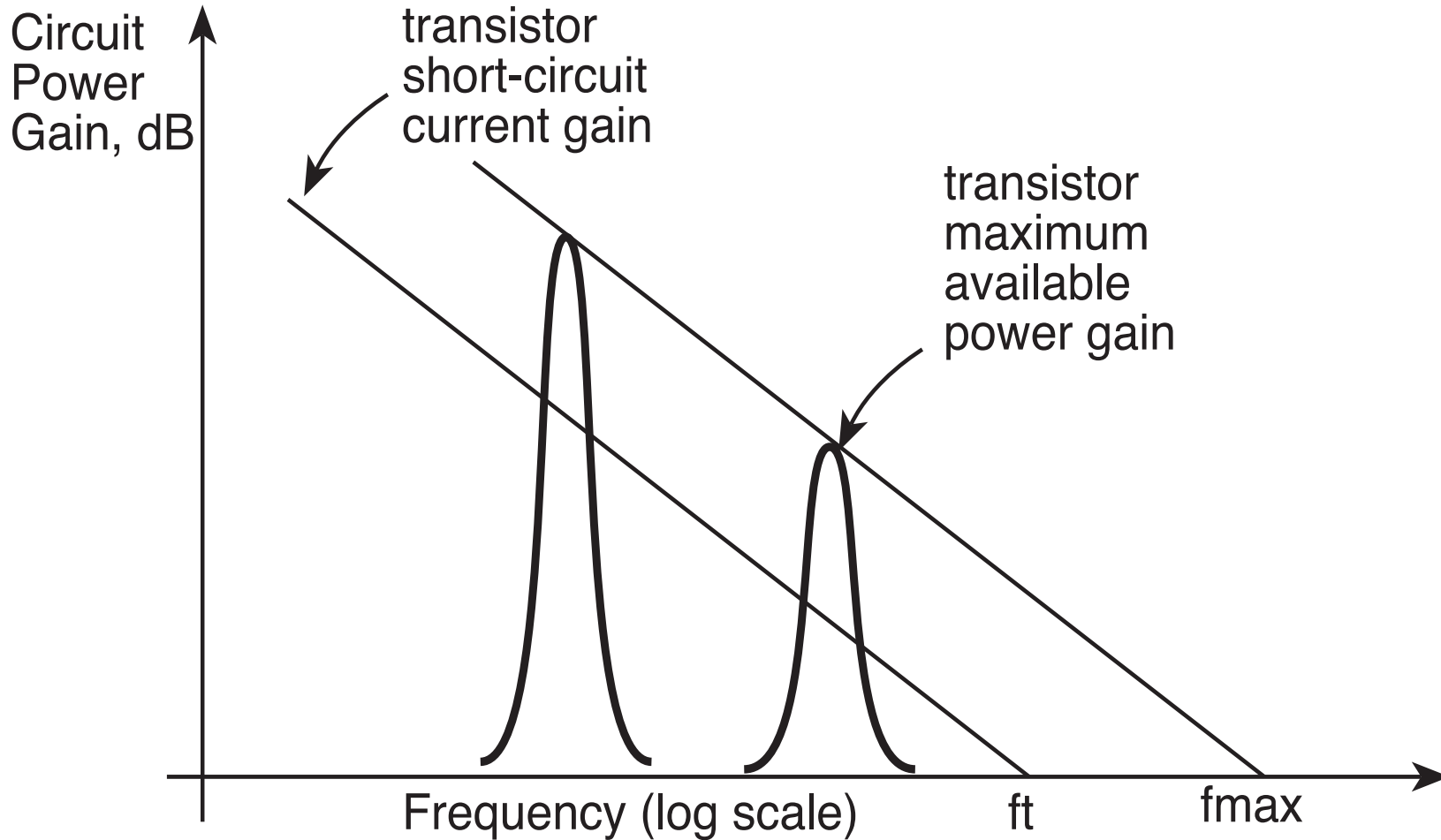
•Tuning networks on transistor input and output provide maximum power transfer, power gain obtained is maximum available from device.

*Tuning (impedance-matching) networks are fundamentally narrowband (Fano's inequality)



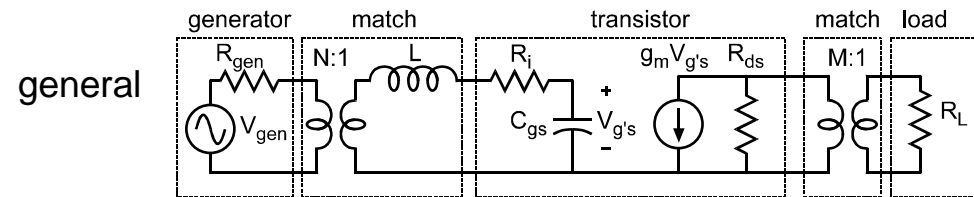
Maximum available gain is obtained from the transistor, but only over a small frequency range.

Reactively-Matched Transistor Circuits 2

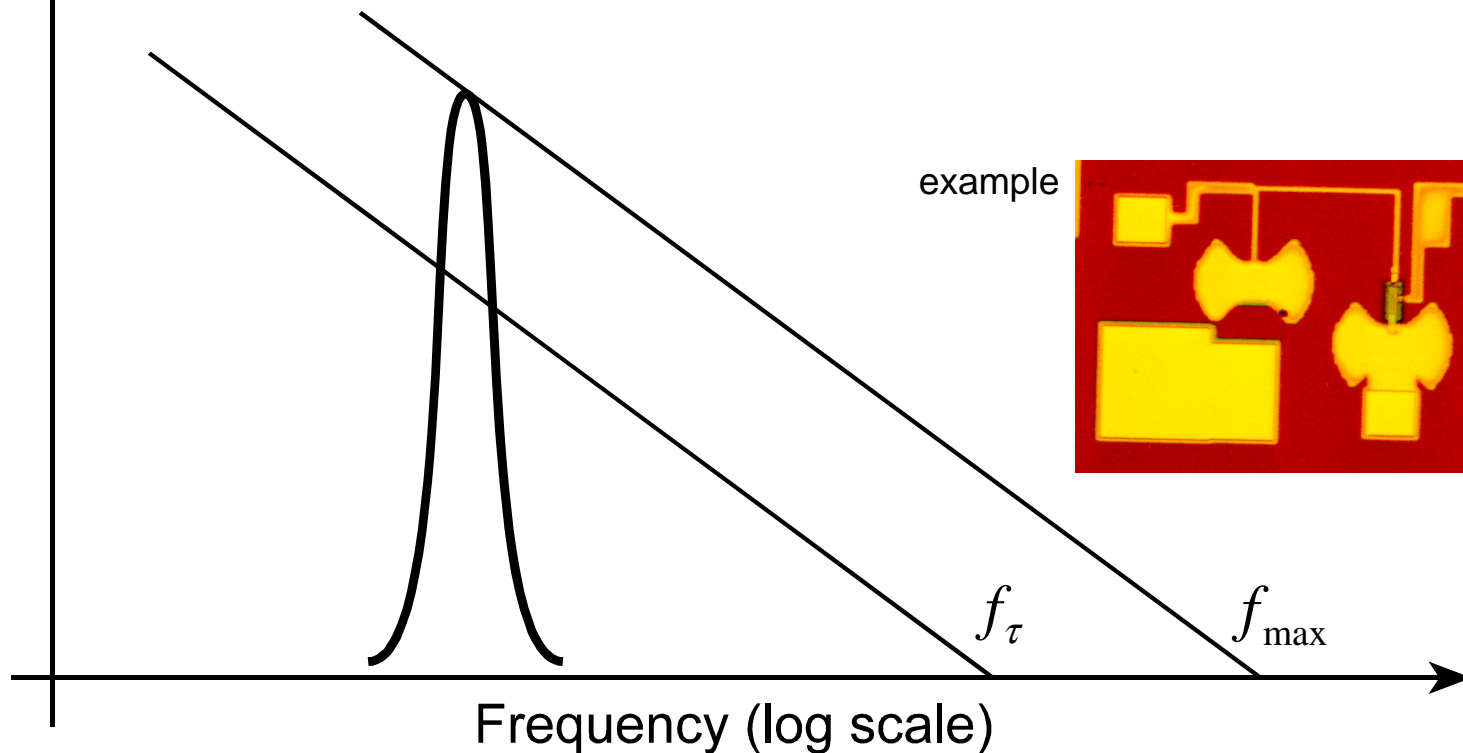


- Transistor maximum available power gain obtained over narrow bandwidth
- Acceptable for narrow-band applications, not for broadband or digital

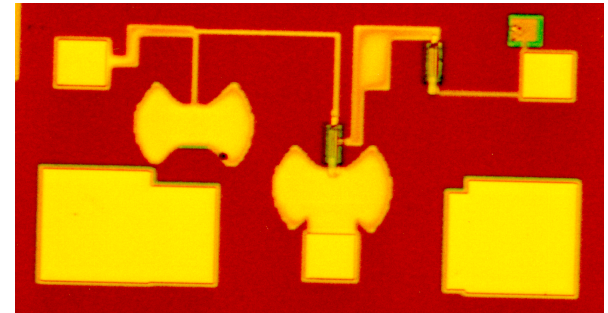
Classes of Circuits: Reactively Tuned



Circuit Power Gain, dB



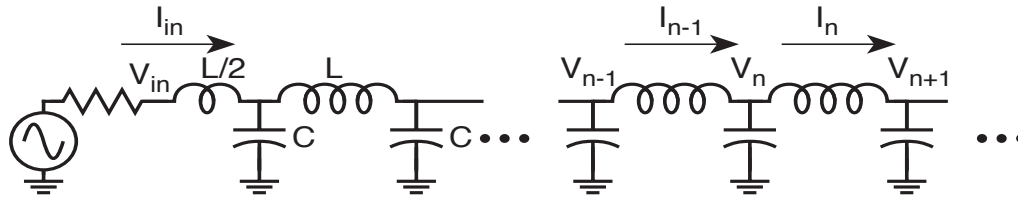
example



Advantage: gain equal to maximum available....over maximum bandwidth of $\sim f_t$

Limitations: bandpass characteristics, large dimensions of tuning networks

Distributed Circuits 1



•A ladder network of Ls and Cs acts as a transmission line of impedance

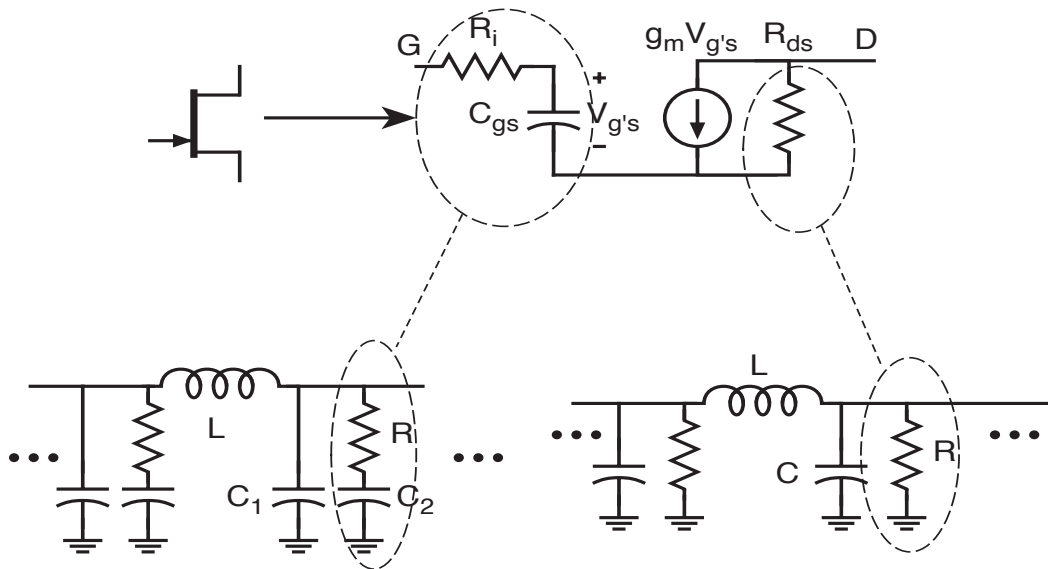
$$Z_o = \sqrt{L / C}$$

...for frequencies below its Bragg frequency

$$f_{Bragg} = 1 / \pi \sqrt{LC}$$

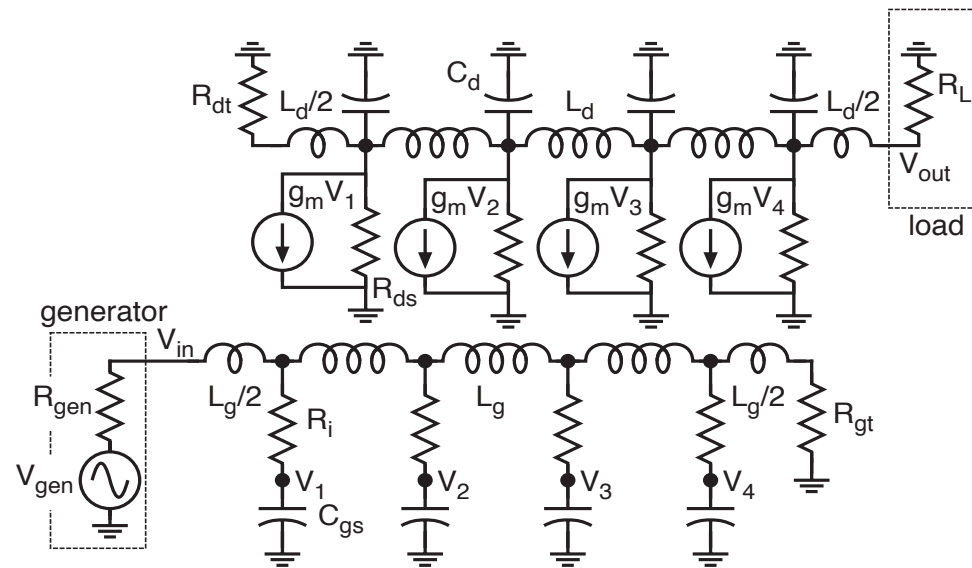
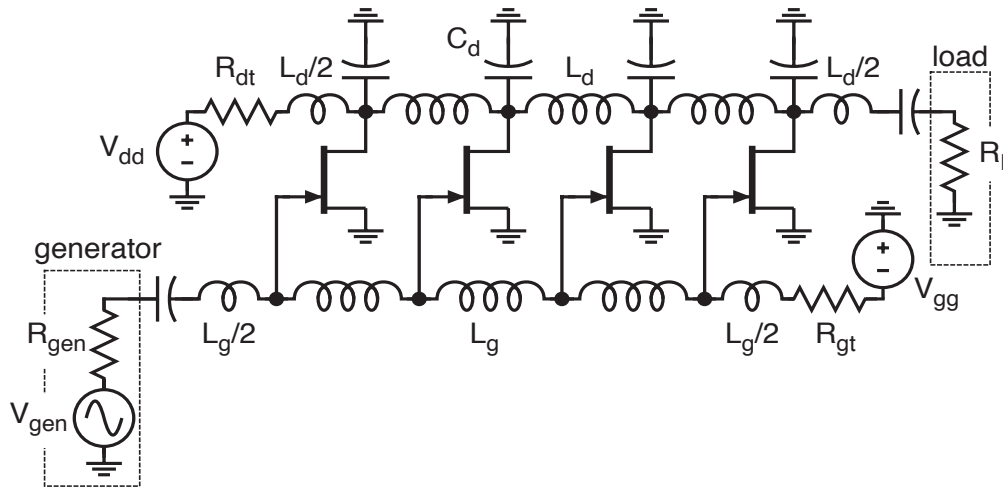
•Transistor capacitances absorbed into synthetic transmission lines.

•Transistor resistance introduce transmission-line losses



- Distributed networks eliminate capacitance charging time as performance limit
- Line losses (caused by transistor fundamental, power-dissipating parasitics)-limits performance by introducing line losses.

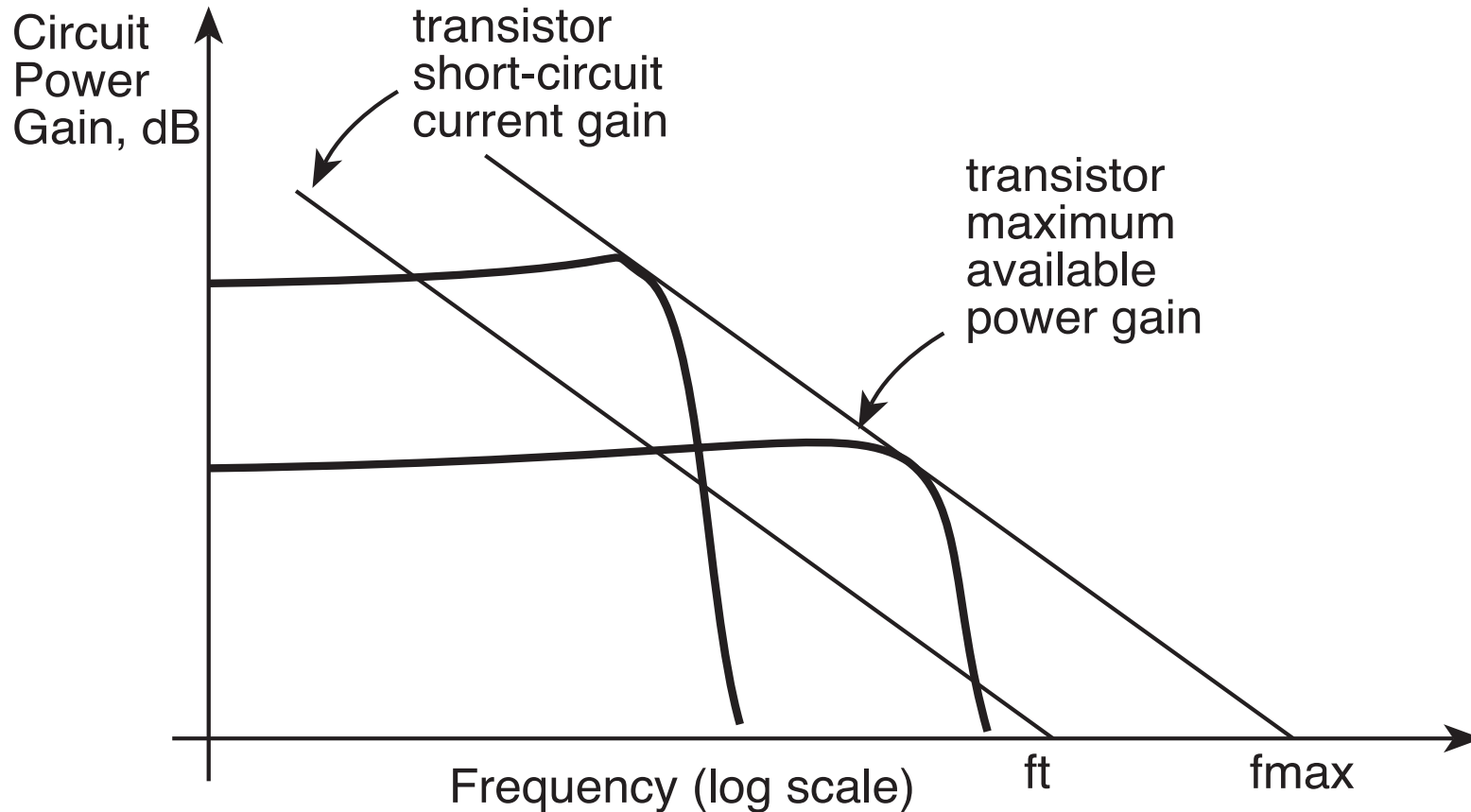
Distributed Circuits 2



FET traveling-wave amplifier

- FET capacitances absorbed into synthetic lines.
- Capacitance charging times eliminated
- Feasible gain-bandwidth determined by gate, drain line losses
- Losses result from FET resistive parasitics.
- These resistive parasitics also determine FET f_{max} .
- Under idealized conditions, circuit gain-bandwidth product approaches transistor f_{max} .

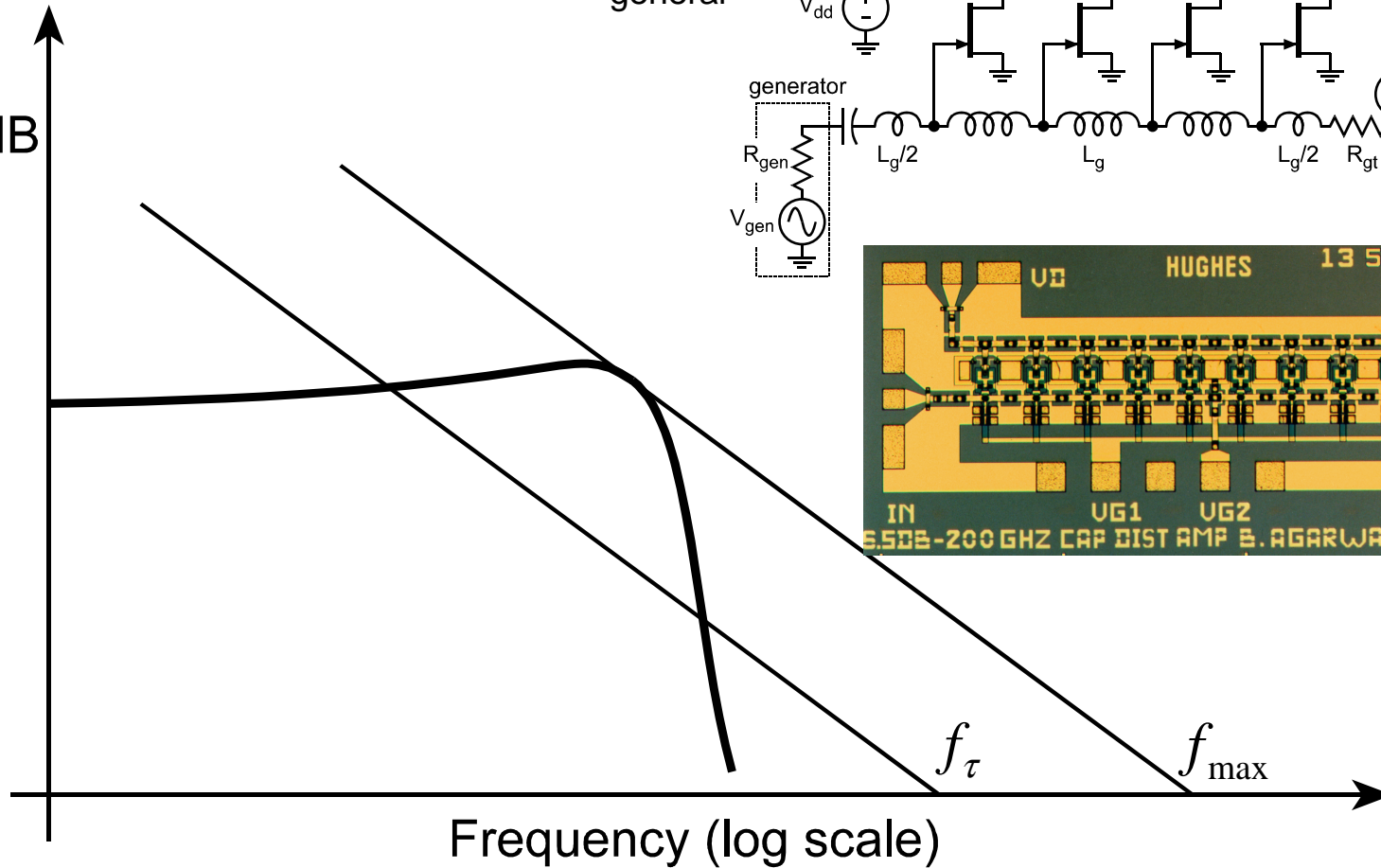
Distributed Circuits 3



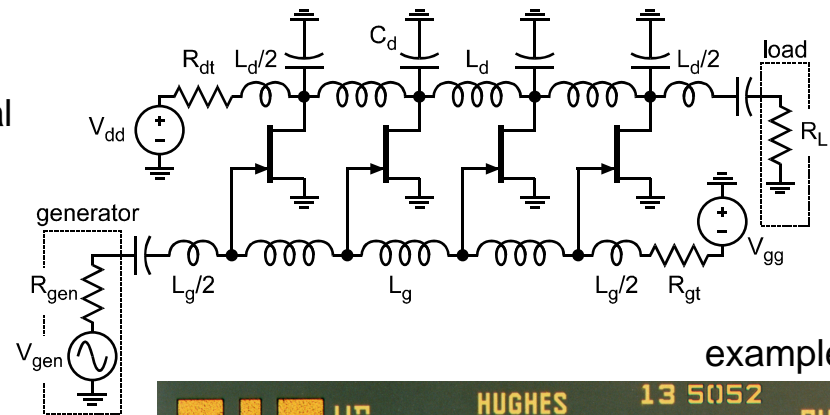
- Gain-bandwidth product can approach transistor power-gain cutoff frequency
- Somewhat idealized discussion: Real TWAs often limited somewhat below f_{max} if one is not free to choose generator & load impedance independently
- Gain-bandwidth very close to f_{max} always obtainable by capacitive division.

Classes of Circuits: Distributed

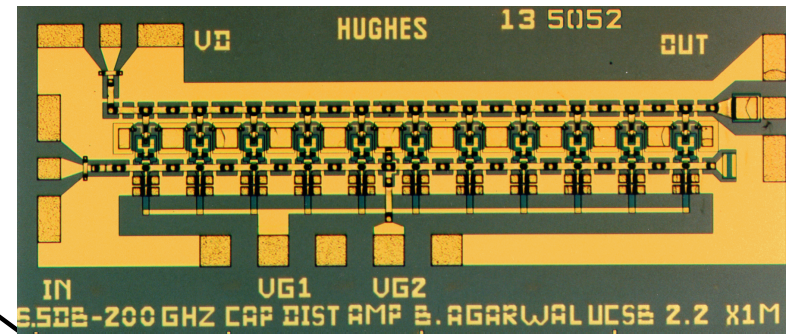
Circuit Power Gain, dB



general



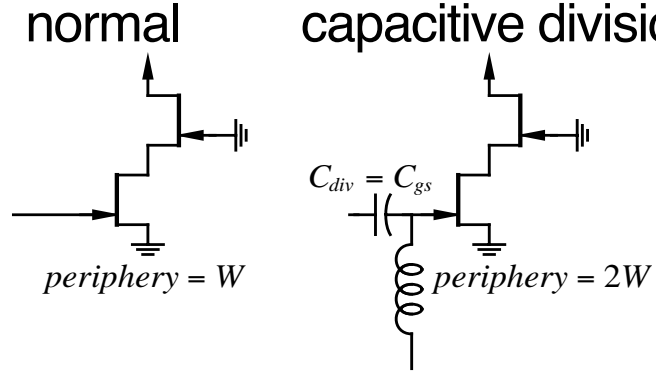
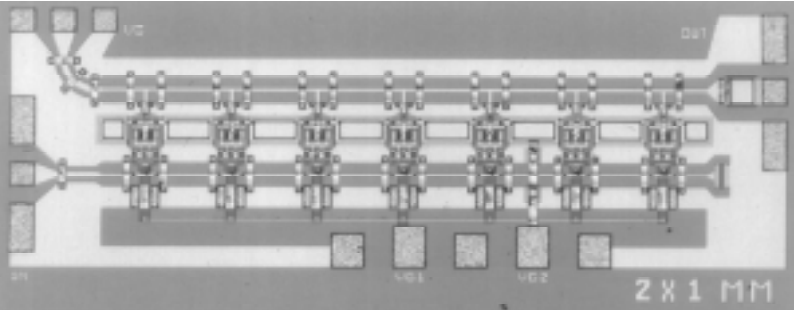
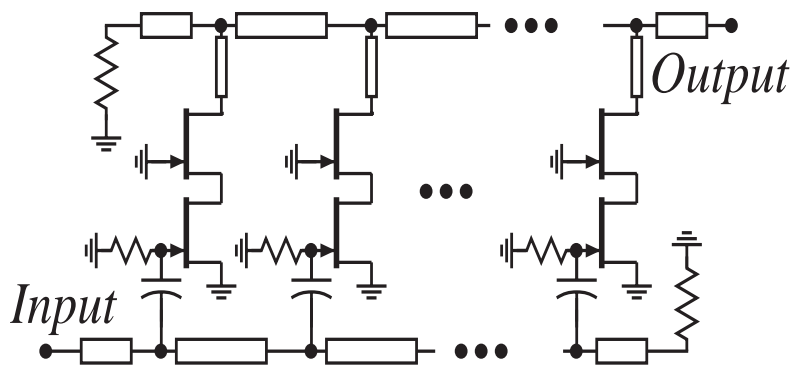
example



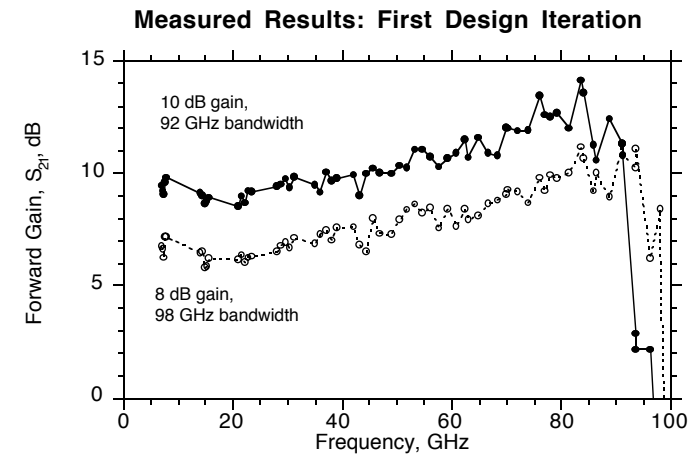
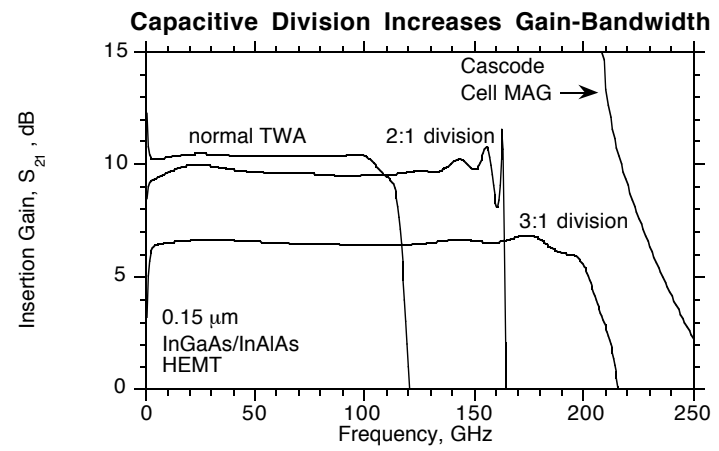
Advantage: gain-bandwidth products equal to transistor f_{max}

Limitation: size and complexity, signal delay renders unusable for logic, feedback

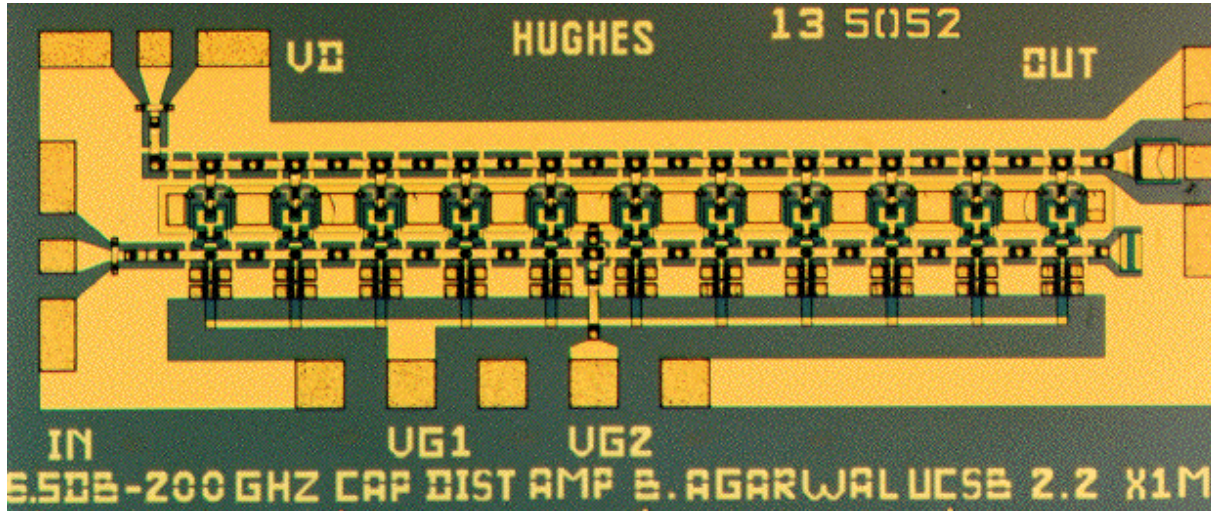
Capacitive-Division Traveling-Wave Amplifiers



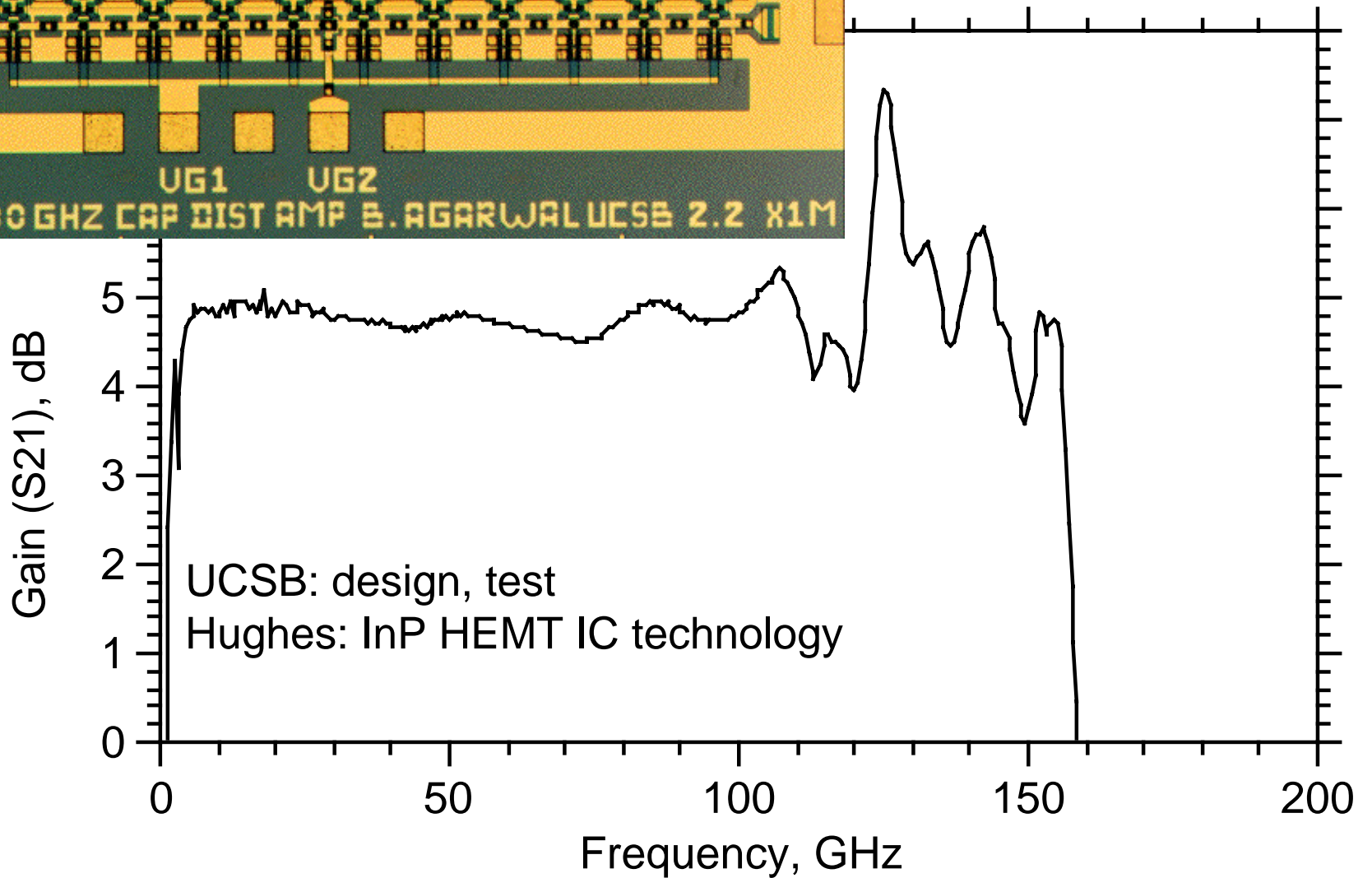
same transconductance,
 same input capacitance,
 but 2:1 improved series input
 resistance: increases bandwidth



155 GHz HEMT Distributed Amplifier

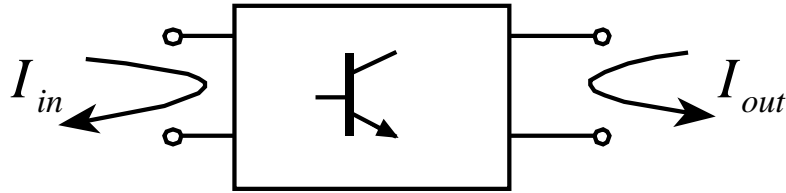


(capacitive division)



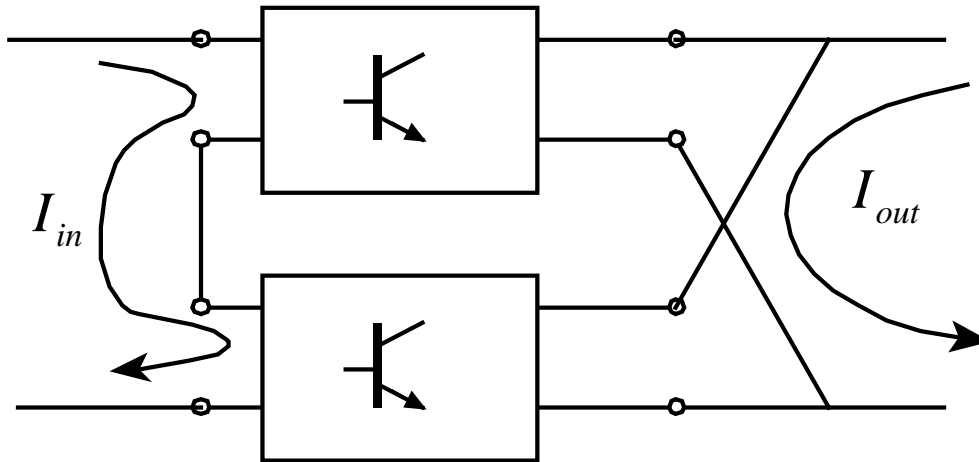
F_t multiplier: Principle of Operation

Simple Stage



$$\frac{I_{out}}{I_{in}} = \frac{1}{j} \left(\frac{f_{\tau}}{f} \right)$$

f_t Doubler

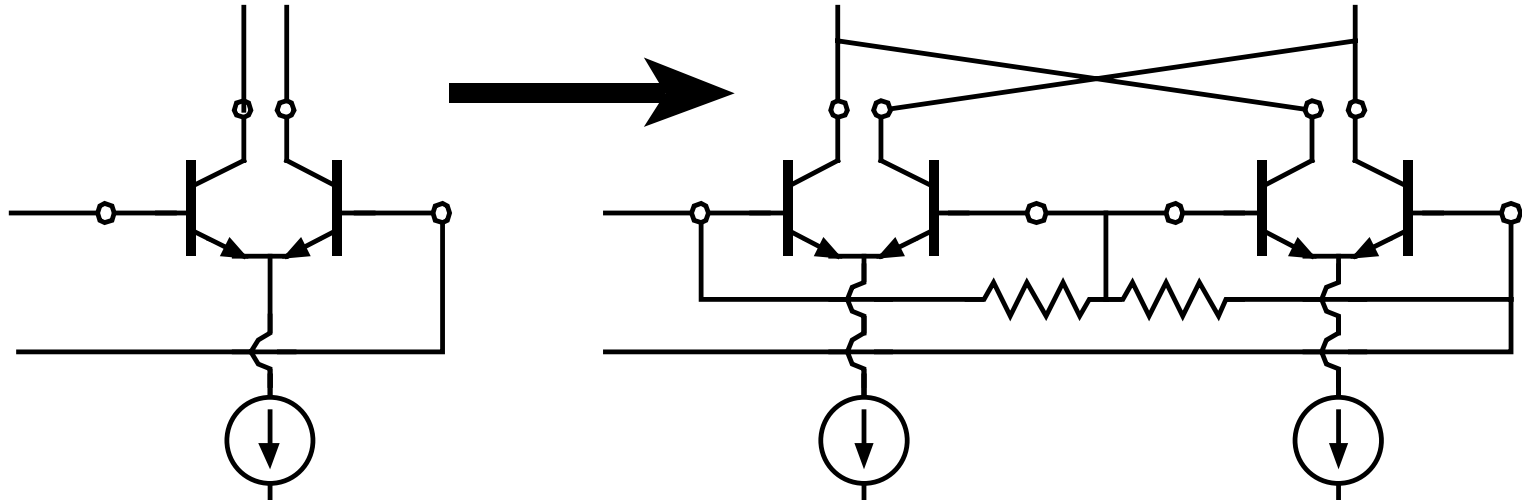


$$\frac{I_{out}}{I_{in}} = \frac{1}{j} \left(2 \cdot \frac{f_{\tau}}{f} \right)$$

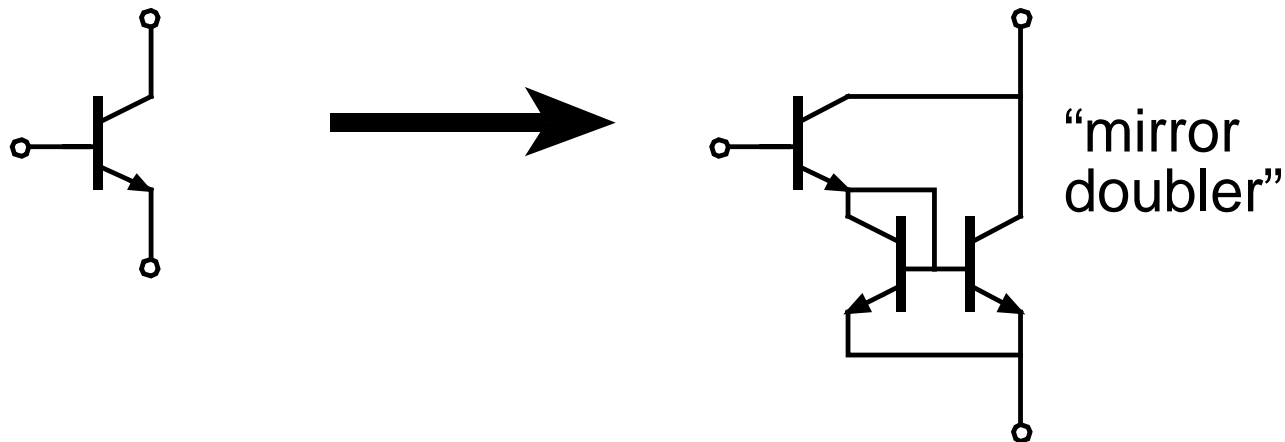
*Inputs connected in series, outputs connected in parallel
output currents add
current gain is twice that of single stage, f_t is doubled*

f_t Doublers: Implementation

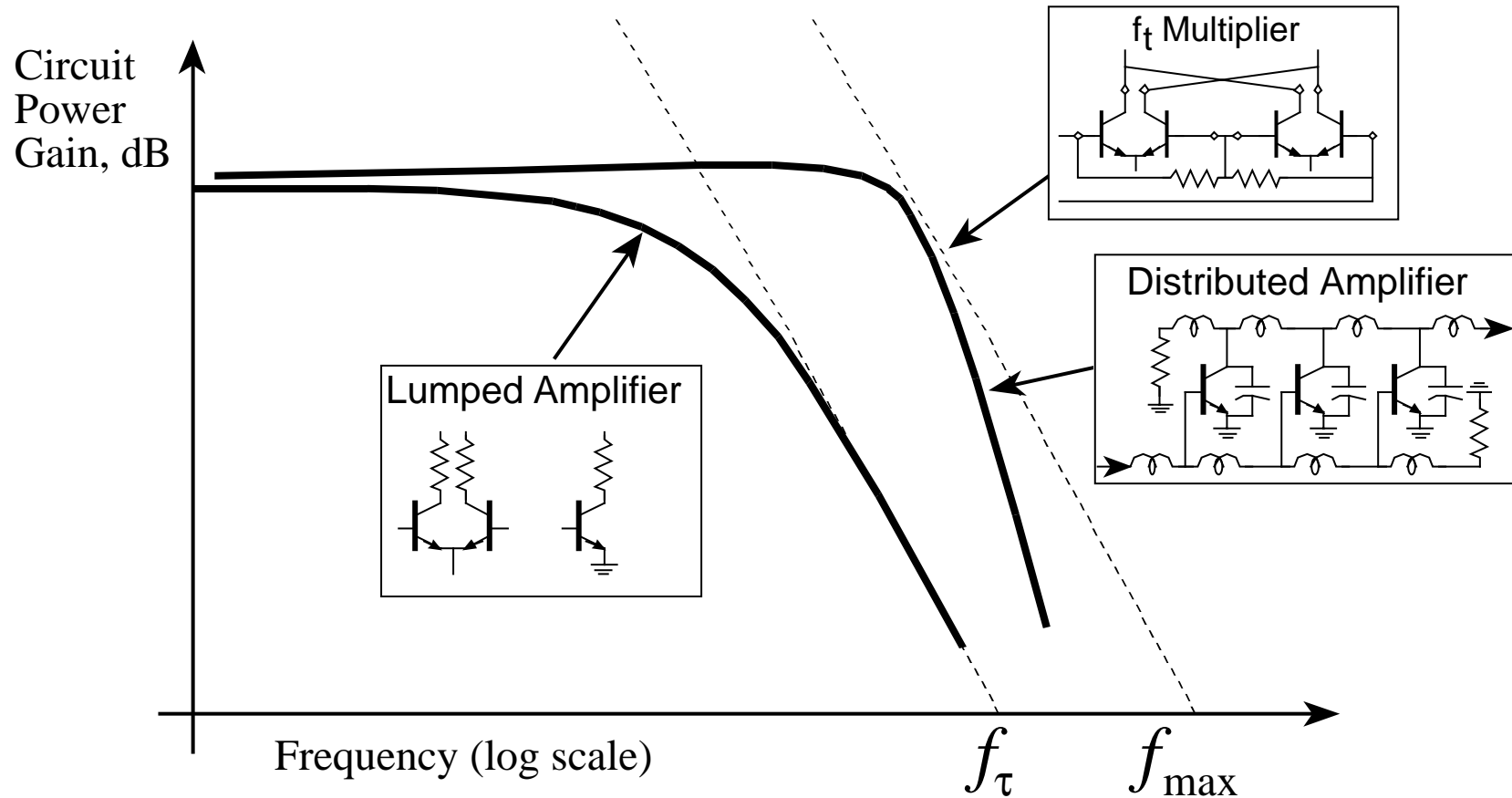
Differential Pair



Single-ended (and emitter-follower)



Circuit Gain-bandwidth Limits: f_t and f_{max}



Lumped circuits: limited by f_{max} and f_t

Distributed circuits: limited only by f_{max}

f_t multipliers: also limited only by f_{max}

Observations: High Frequency Circuit Design

Current-gain cutoff frequency

determined by carrier transit times

Power gain cutoff frequency

also determined by RC charging parasitics

Reactively tuned circuits: classical microwave design
narrow bandwidths, big circuits

Distributed circuits:

“optimal” , but complexity & delay serious limits

Resistively loaded circuits: classical analog design
circuit bandwidths below transistor limits

ft-multiplier brings bandwidths close to fmax-limit

Nonlinear Wave Propagation Devices

Shock-wave nonlinear transmission lines

NLTL-gated diode sampling circuits

Soliton NLTL impulse compressors & frequency multipliers

Traveling-Wave RTD pulse generators

These devices exploit distributed circuit principles presented above

General principle: use of distributed circuit yields performance determined by fundamental limits of the semiconductor device, rather than capacitance charging times.

NLTL Technology

Subpicosecond electronic pulse generation & detection

Principles of operation: nonlinear wave propagation

Basis of performance: THz bandwidth of Schottky diodes

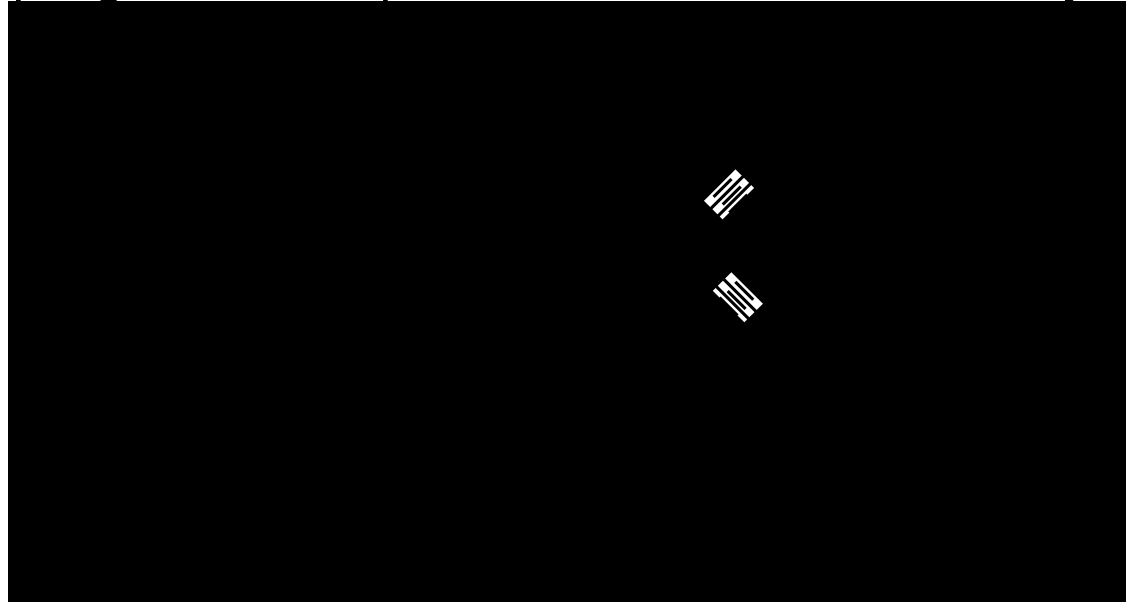
- Shock-wave NLTLs
- Soliton NLTLs
- Diode sampling circuits

Bandwidths now $\approx 700\text{-}1000$ GHz, $\approx 0.3\text{--}0.5$ ps pulses

Technological limit is at $\approx 2\text{-}3$ times greater bandwidth.

Electrical Sampling Circuits

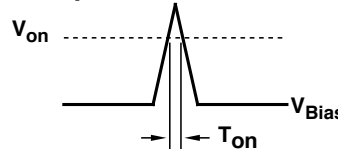
Used in Sampling Oscilloscopes, TDRs, and network analyzers



R·C Risetime at Input

$$T_{RC} = 2.2 \frac{Z_0}{2} 2C_{Diode}$$

Aperture Risetime

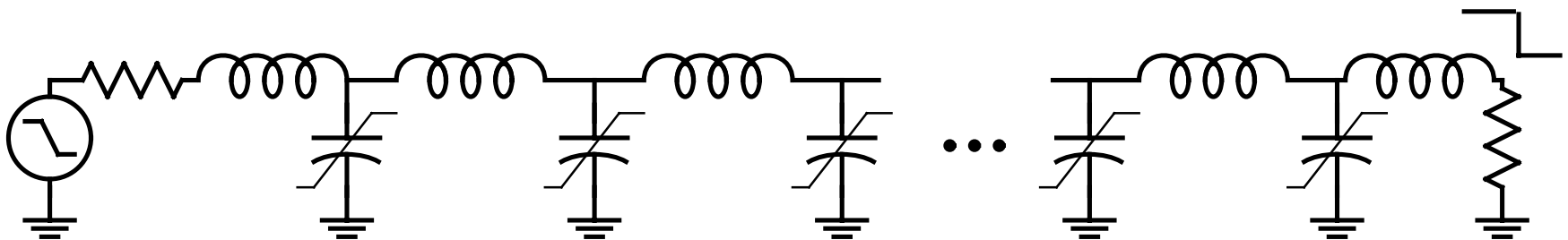
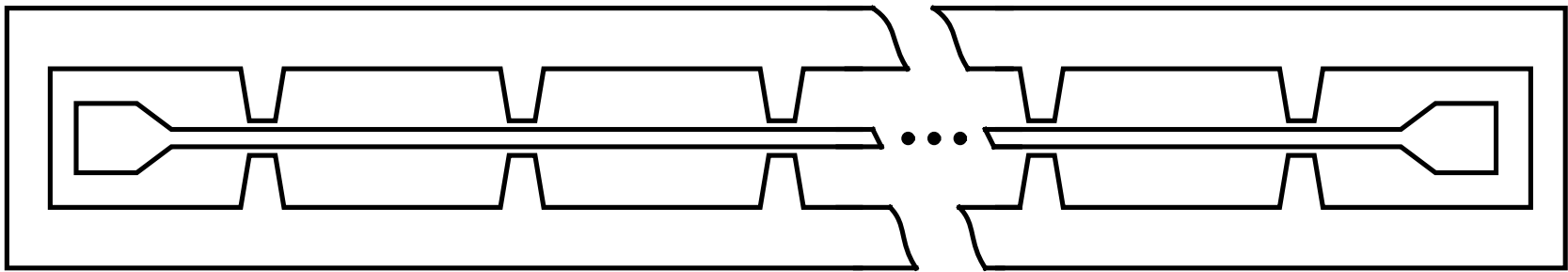
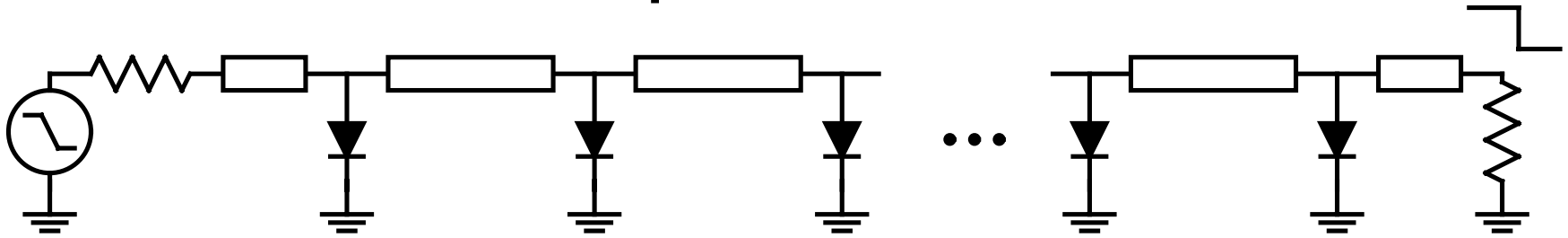


Total Effective Risetime

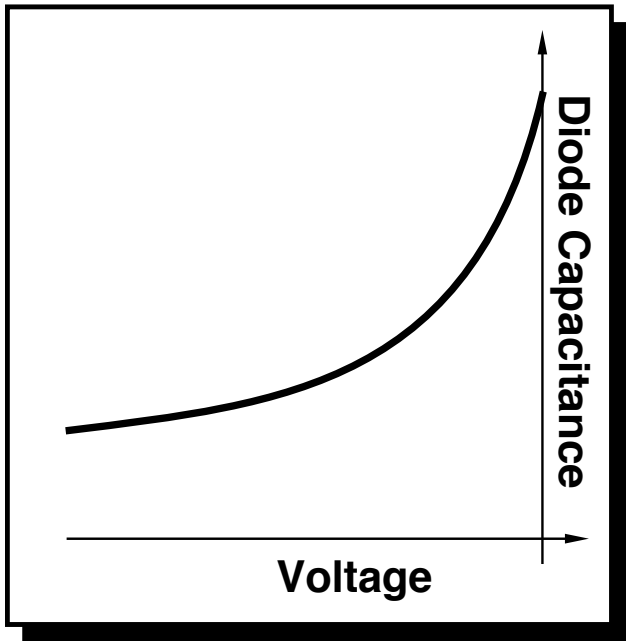
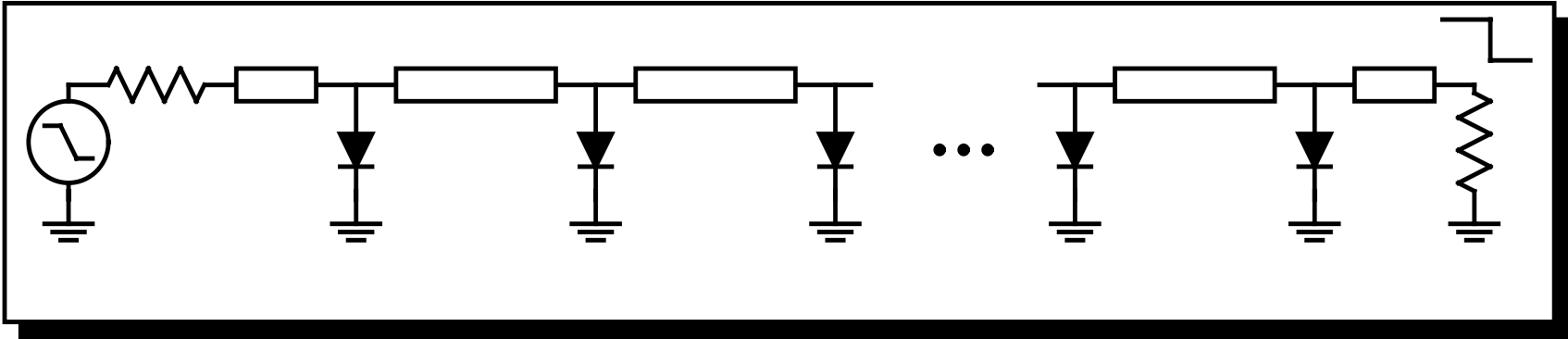
$$T_{EFF} = \sqrt{T_{ON}^2 + T_{RC}^2}$$

Schottky diodes are readily made with ≈ 5 fF junction capacitance and ≈ 2 THz R-C cutoff frequencies. The primary bandwidth limitation of sampling circuits is thus the duration of the strobe pulse used to gate the diodes. Previously, silicon step-recovery diodes (≈ 25 ps T_f) had been used.

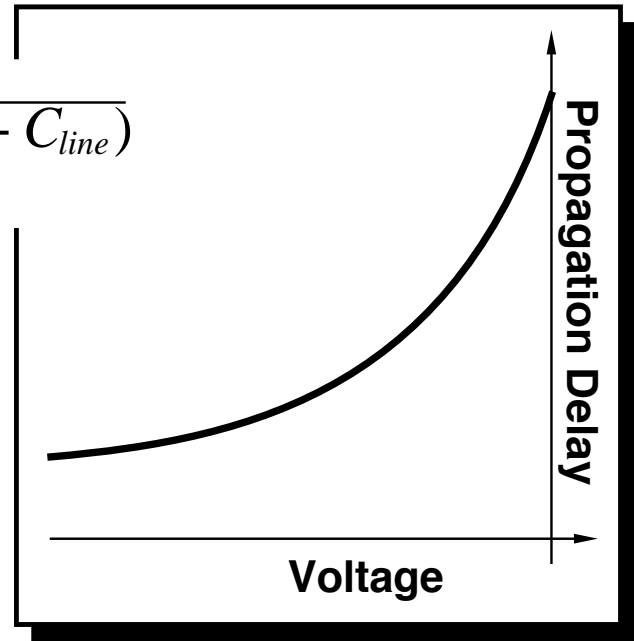
Structure and Equivalent Circuit of NLTL



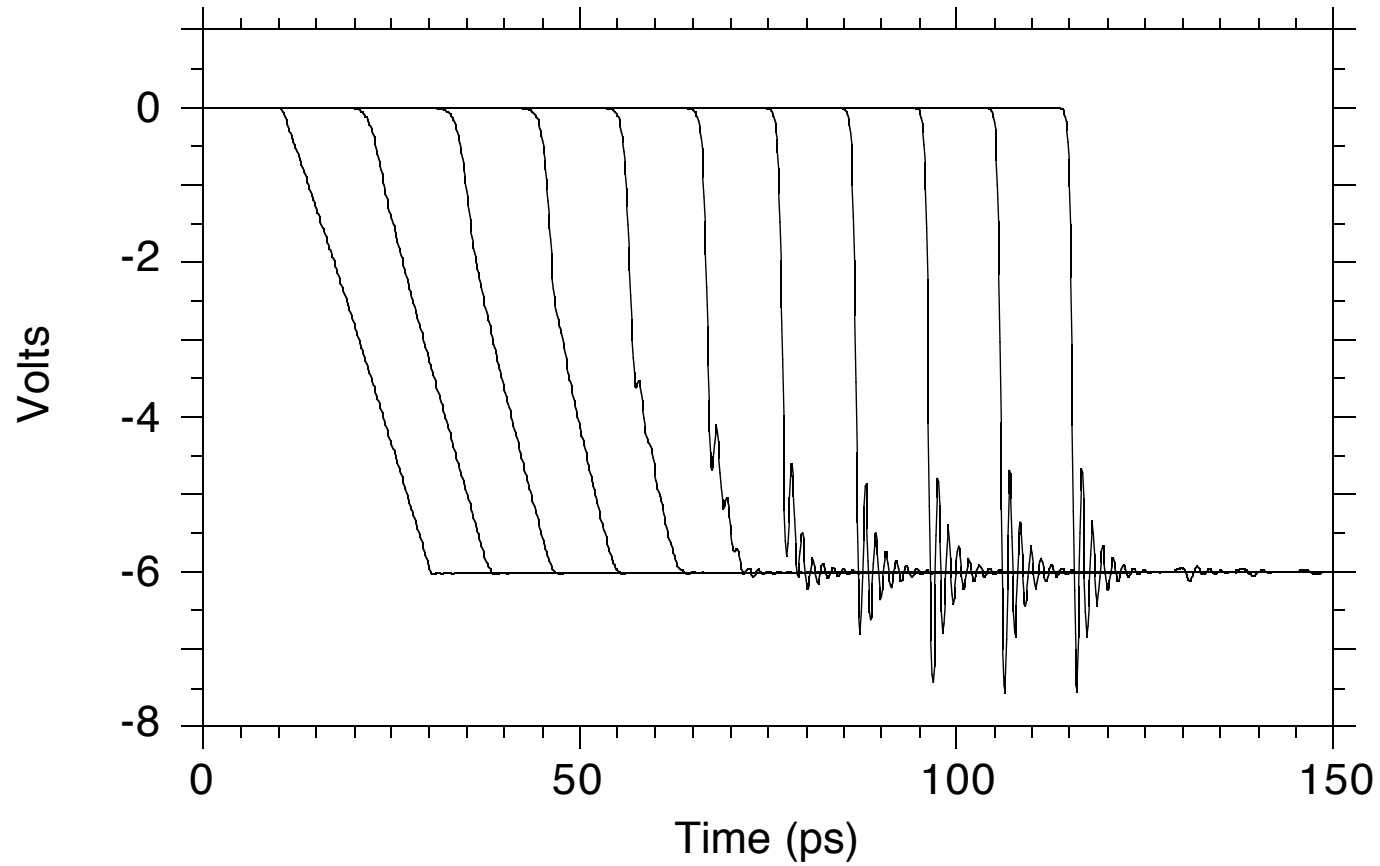
Wavefront Compression by NLTL



$$T_{delay} = \sqrt{L(C_{diode} + C_{line})}$$



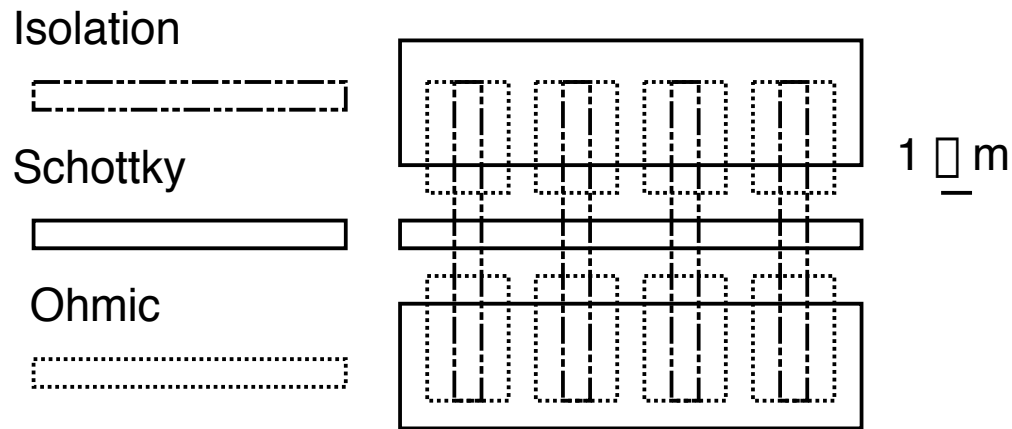
SPICE Simulation of Shock formation



Limits to NLTL Shock-Wave Transition Time

- Periodic-Network (Bragg) Frequency

The periodic structure results in a sharp filter cutoff inversely proportional to the diode spacing. Within lithographic limits, this can easily be 1-2 THz.



- Diode Cutoff Frequency

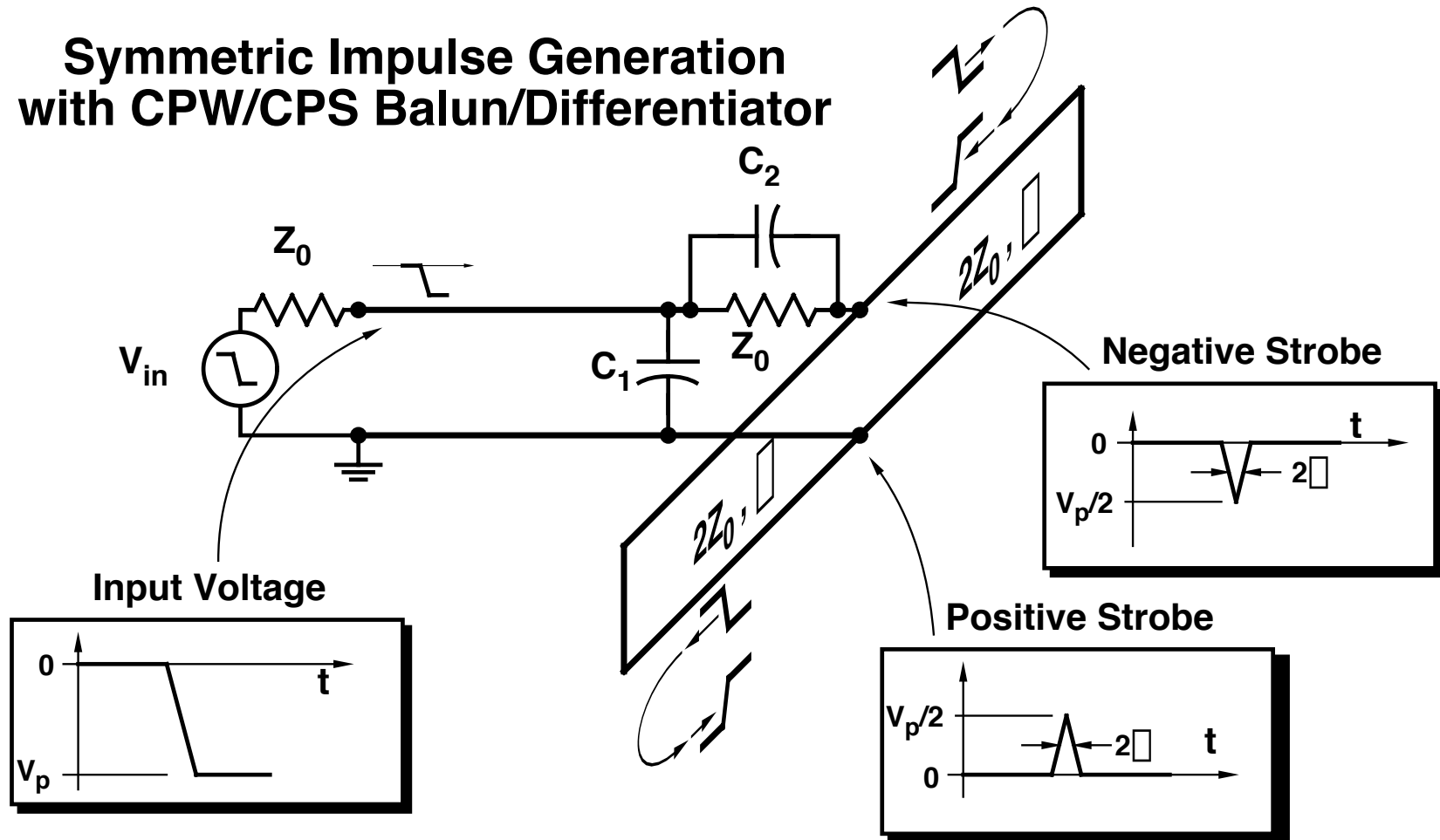
The fundamental limit of the technology.

Falltime limited to $T_f f_{diode} = 1.4 ps \cdot THz$

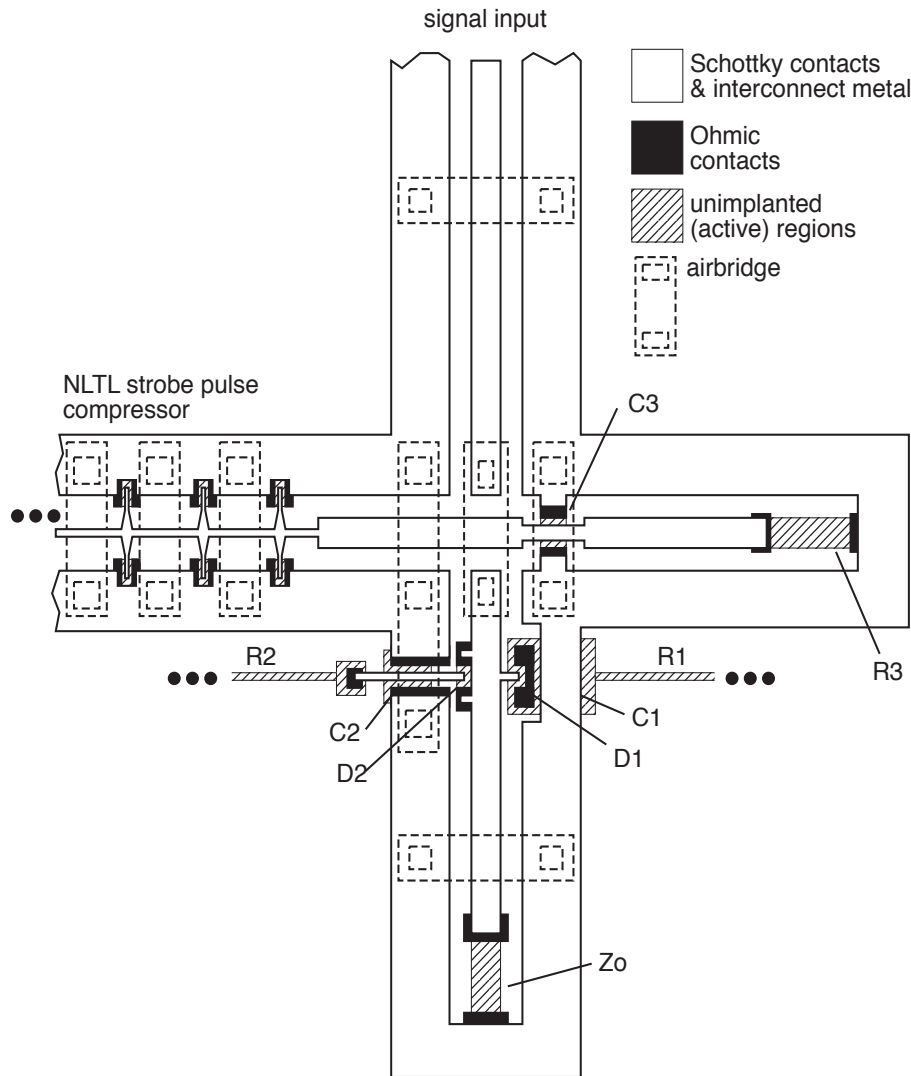
10 THz diodes: 0.14 ps predicted shock-waves

Shorted-Line Differentiator for Impulse Generation

Symmetric Impulse Generation with CPW/CPS Balun/Differentiator

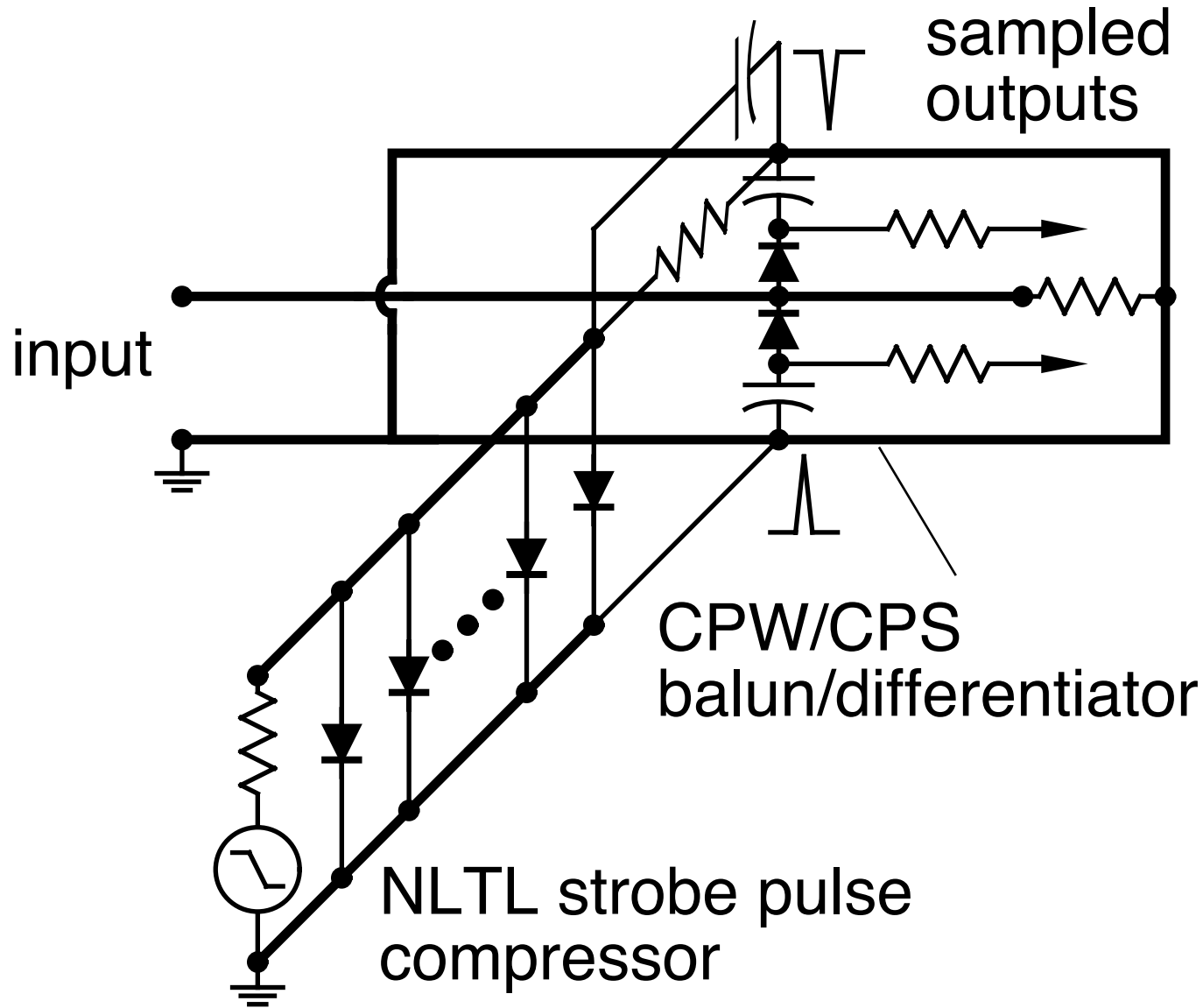


NLTL-Strobed Sampling Circuit Layout

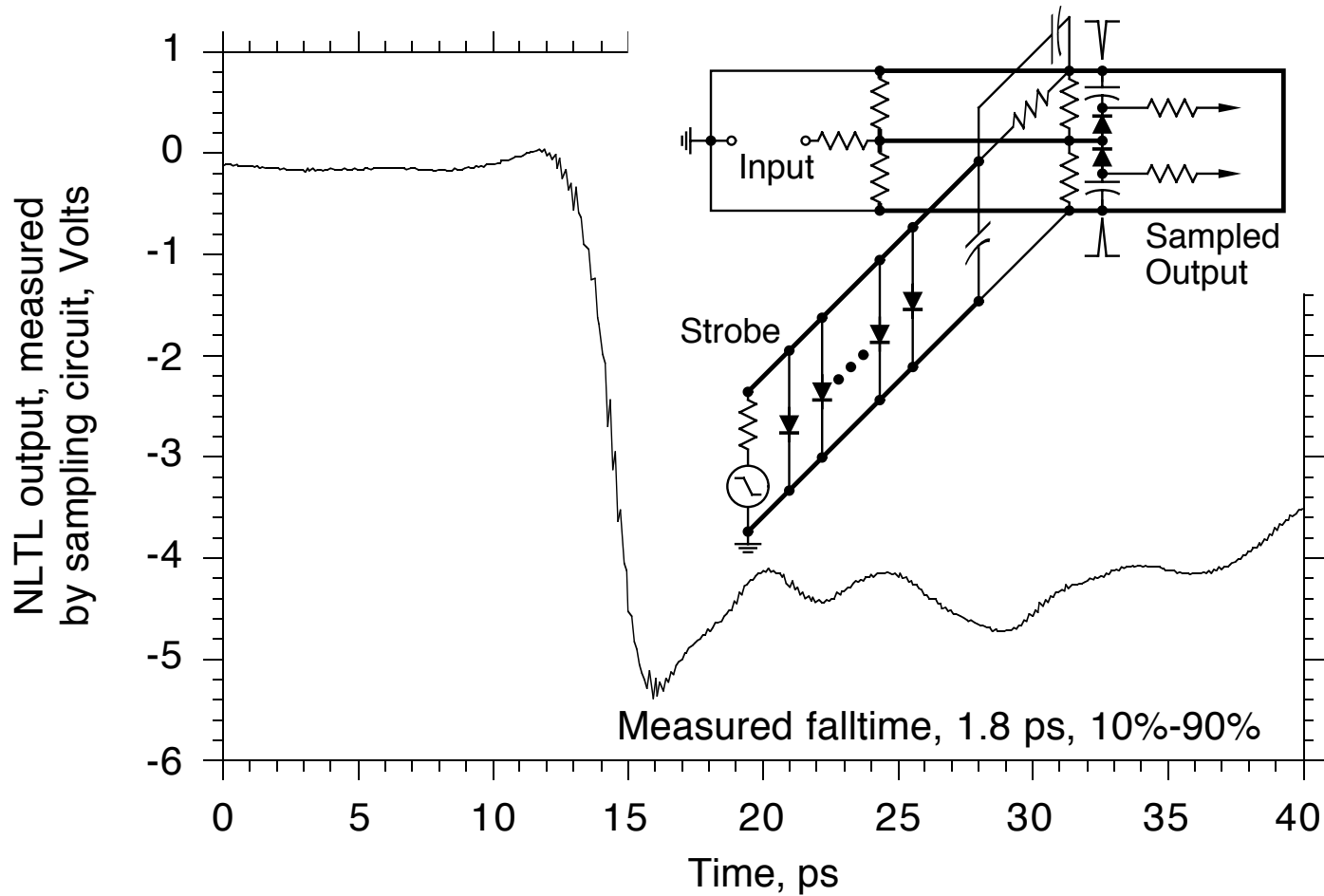


Implementation of the shorted line balun-differentiator exploits the deliberate excitation of CPS modes at the junction between two CPWs.

NLTL-Strobed Sampling Circuit



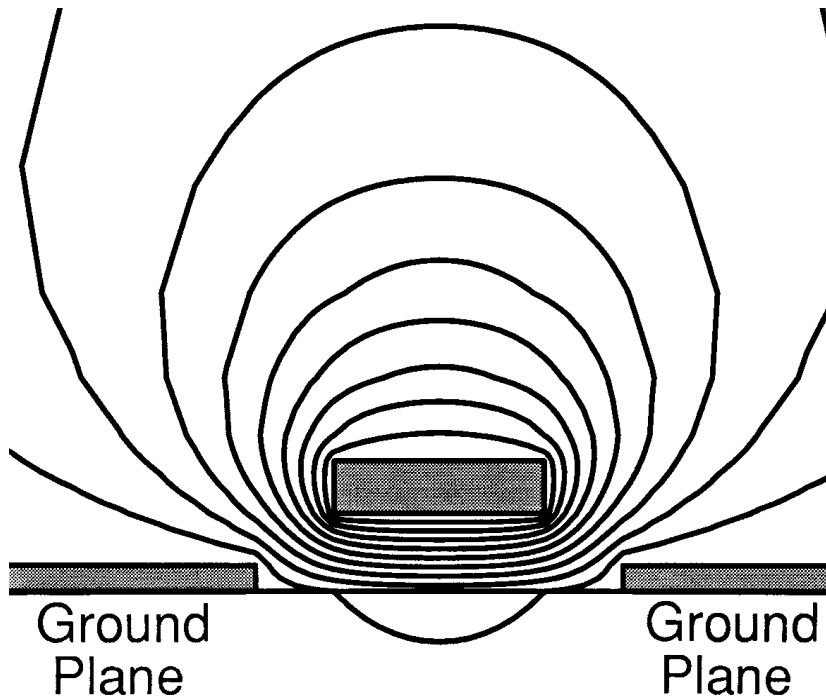
NLTL output measured by sampling circuit



Increasing NLTL bandwidth

- need increased diode cutoff frequency
- need increased Bragg Frequency.
 - small diodes at small spacings then causes problems:
 - narrow transmission lines with very high skin effect losses
 - diode spacing comparable to diode's physical size
 - diode-to- transmission line junction comparable to diode spacing
 - hence large junction (pad) parasitics
- difficulties mitigated using elevated coplanar waveguide with top-contacted diodes
 - high transmission line velocity increases diode spacings
 - low CPW dielectric constant: wide conductor, lower skin losses
 - diode contacted from TOP, junction (pad) parasitics eliminated

Elevated CPW



Transmission-line fields primarily in air.

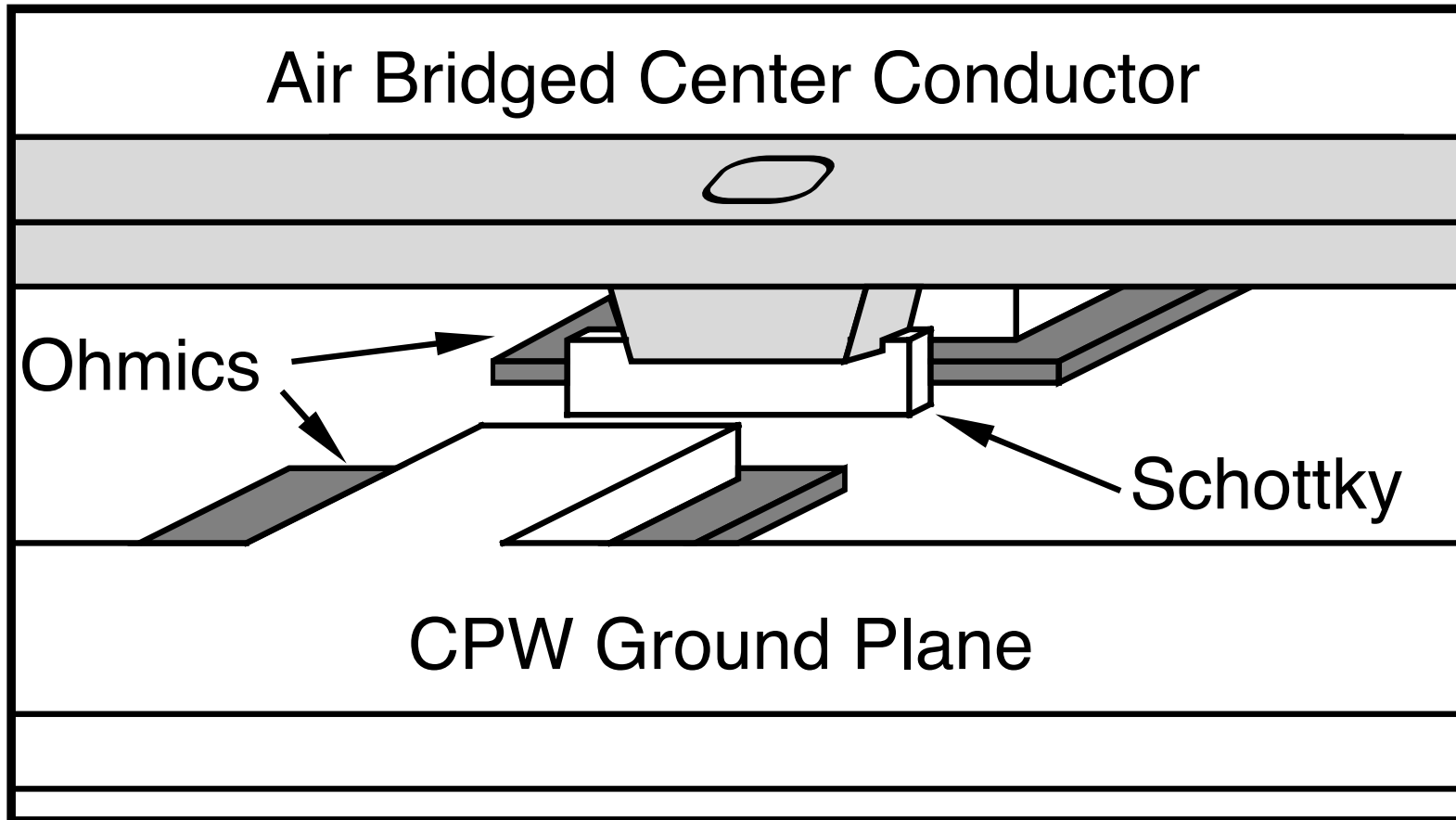
Higher velocity.

Wider conductor needed for given Z_0 , hence lower skin-effect losses

Radiation losses lower (??) because of reduced field in substrate.

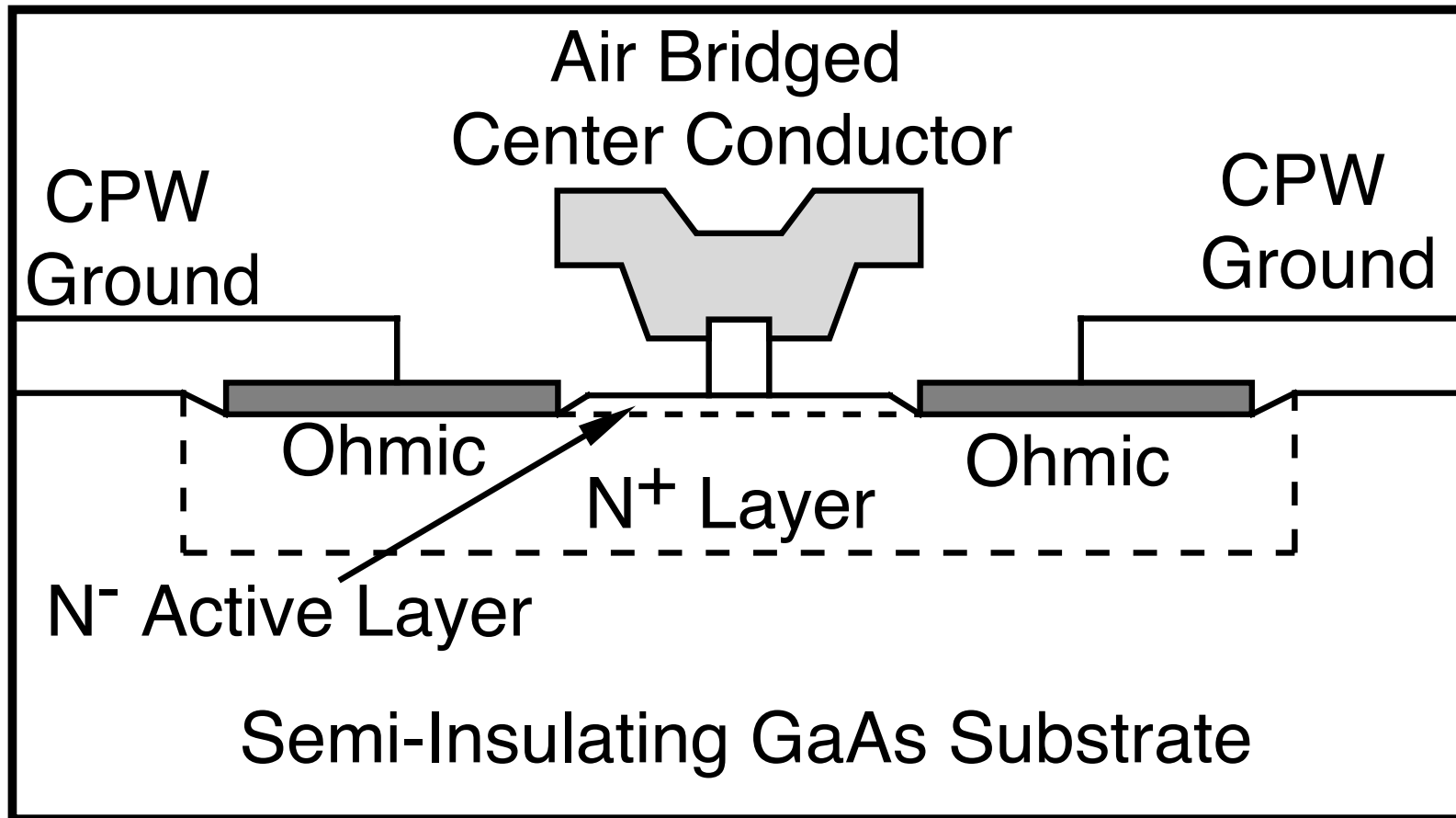
Less useful at lower frequencies: to be effective, conductor elevation must be comparable to conductor width. Lower-frequency (non-elevated) CPW can be made WIDER to reduce skin loss.

Elevated CPW II



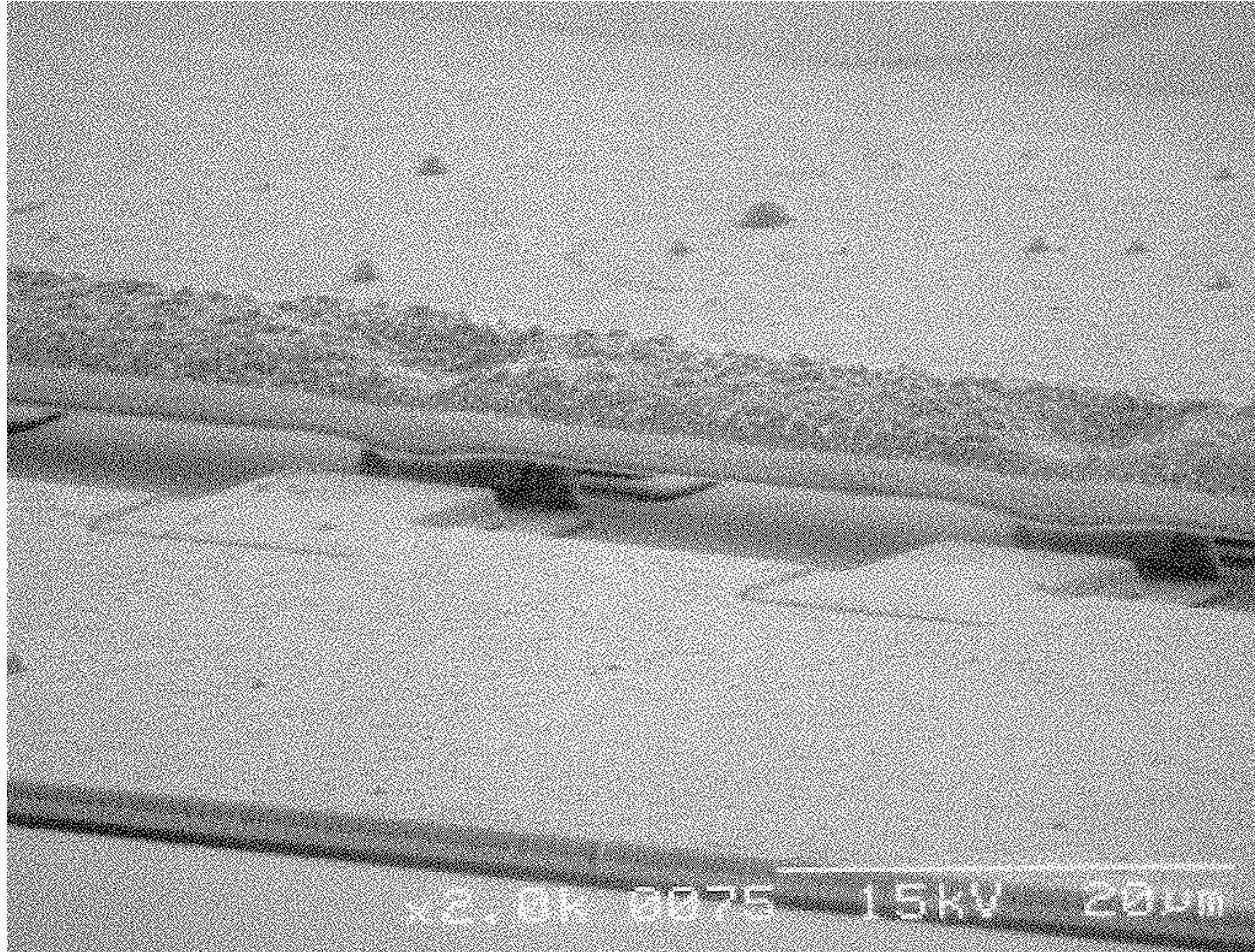
Perspective drawing showing the air bridged center conductor contacting the top of a diode. The Schottky contact is kept well away from the edge of the H^+ implanted region, which ends outside the ohmics.

Elevated CPW III



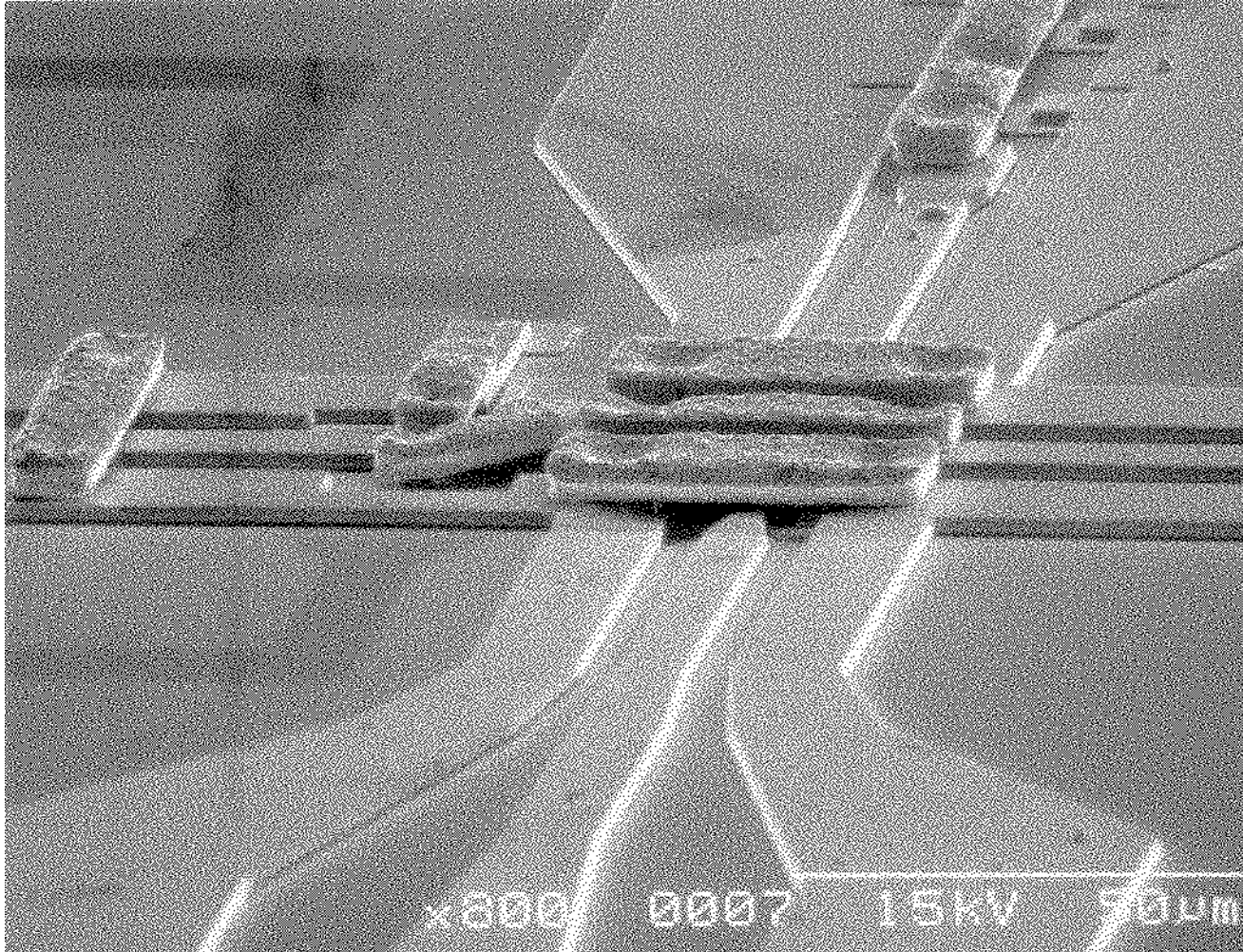
Cross section of the air bridge contacted diode. A layer of polyimide is used to keep the post off the substrate during electroplating. The ohmic contacts are recessed through the N^- active layer to a heavily doped N^+ buried layer.

Elevated CPW IV



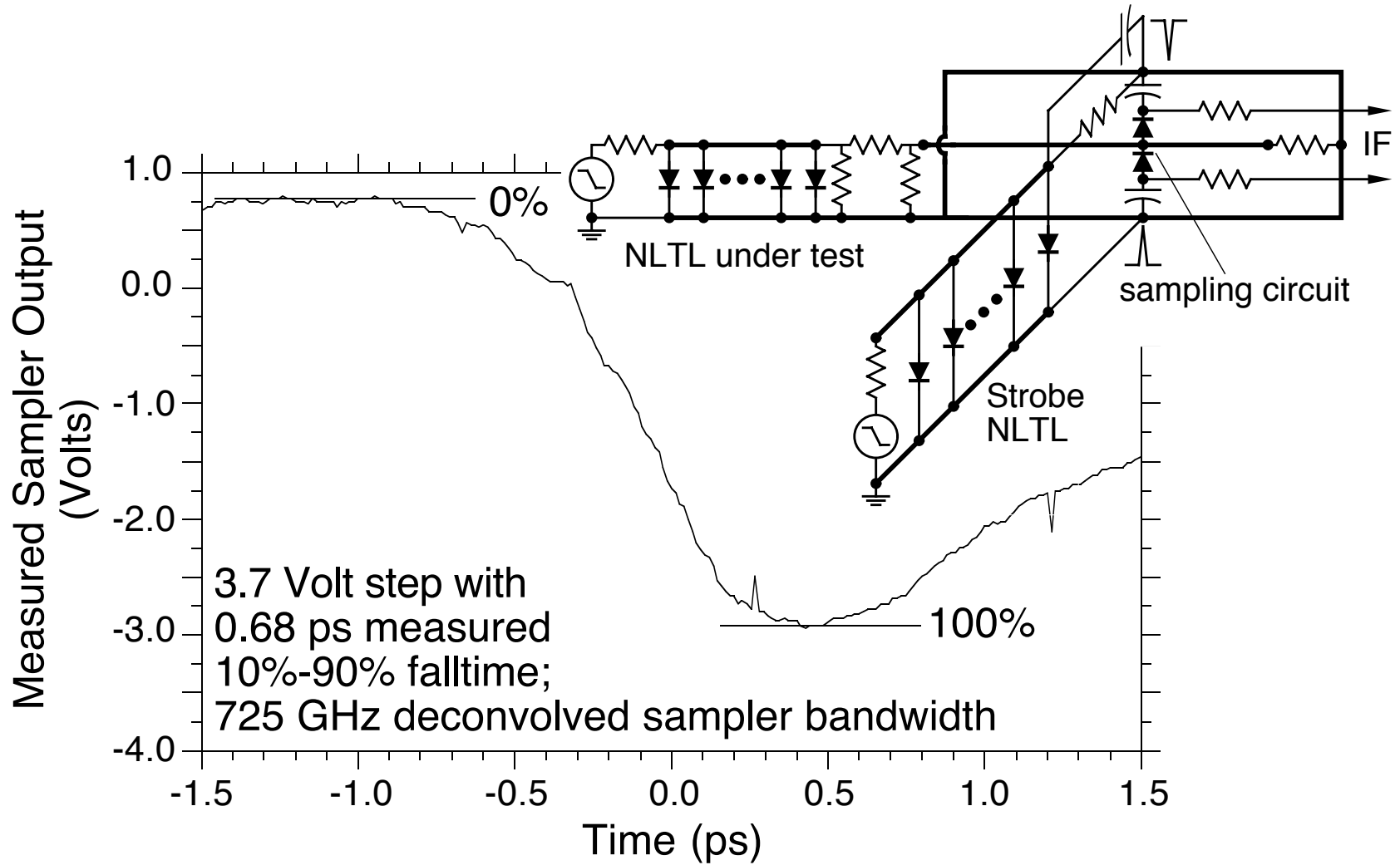
S.E.M. image showing the air bridged center conductor of the coplanar waveguide contacting the tops of the diodes on the NLTL without touching the substrate.

Elevated CPW V

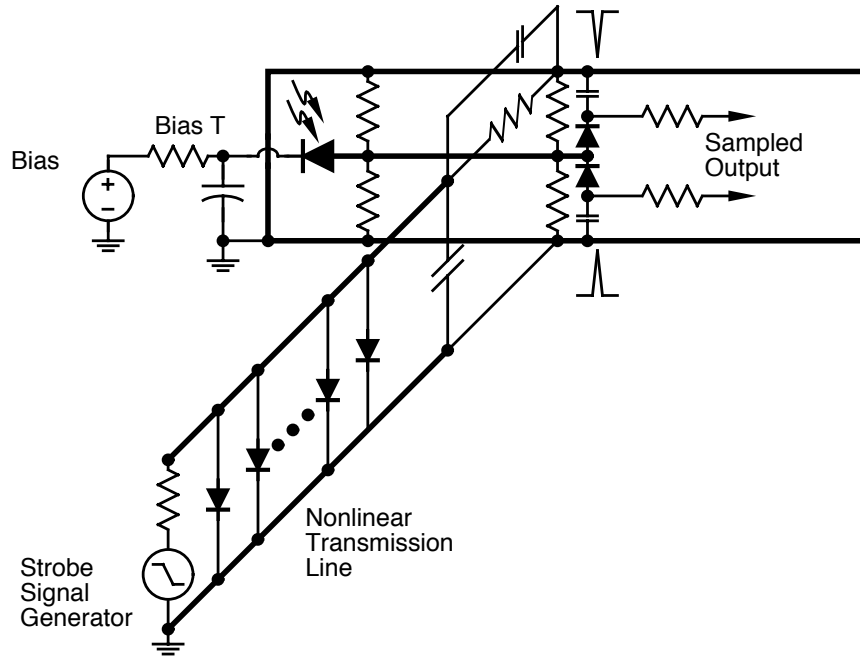


S.E.M. image of the sampling circuit and the output end of the NLTL that provides the strobe pulse to the two $1\ \mu\text{m} \times 1\ \mu\text{m}$ sampling diodes.

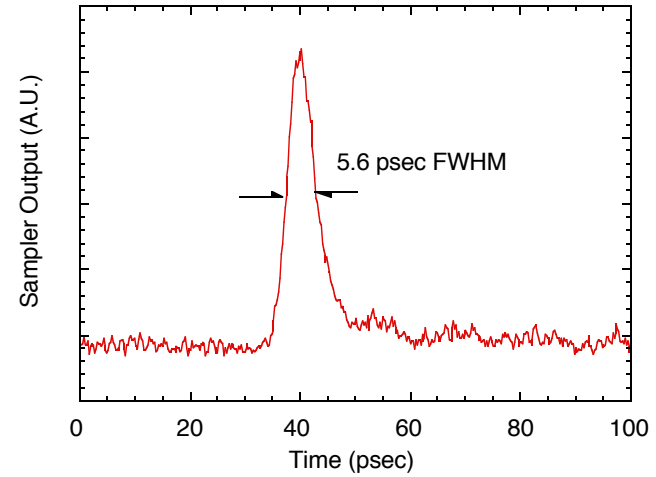
NLTL output measured by sampling circuit



GaAs Picosecond Optical Waveform Analyzer



**Measured Response;
Sampling Circuit, 5 μm x 5 μm Detector**

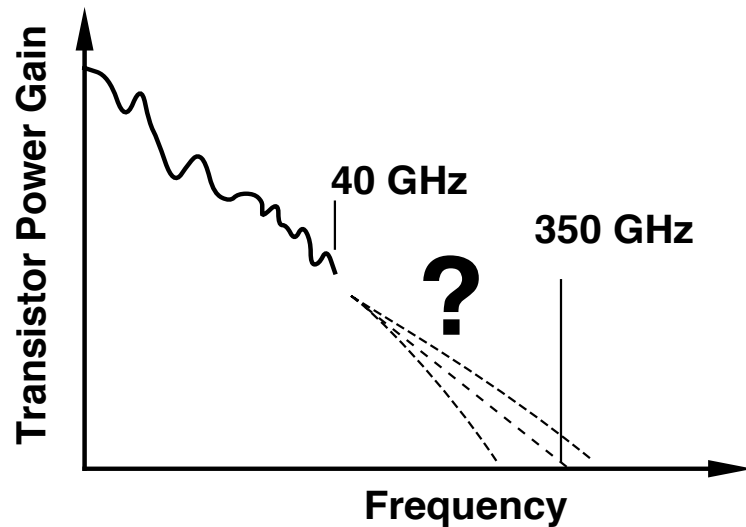


Instruments and Measurements

Instrumentation: Motivation

The Problem: Device vs Instrument Bandwidth

Microwave transistor measurements: almost 10:1 frequency extrapolation



Modern device bandwidth exceeds instrument bandwidth.

High-frequency device models must be

Poor understanding of devices.

Circuits above 60 GHz hard to measure.

Types of Instruments/ Measurements

Conventional Electronic Measurements

- Network Analyzer
- Time Domain Reflectometer
- Sampling Oscilloscope
- Spectrum Analyzer

Optoelectronic Techniques

- Photoconductive probing
- Electrooptic Sampling: Substrate Probing and Needle Probe

On-wafer network analysis

- GaAs NLTL-based active probes
- Photoconductor-based probes

Network Analysis

- Measures linear 2-port stimulus-response characteristics of a device
- Data usually presented as admittance or wave scattering parameters as a function of frequency.

• 2 Purposes:

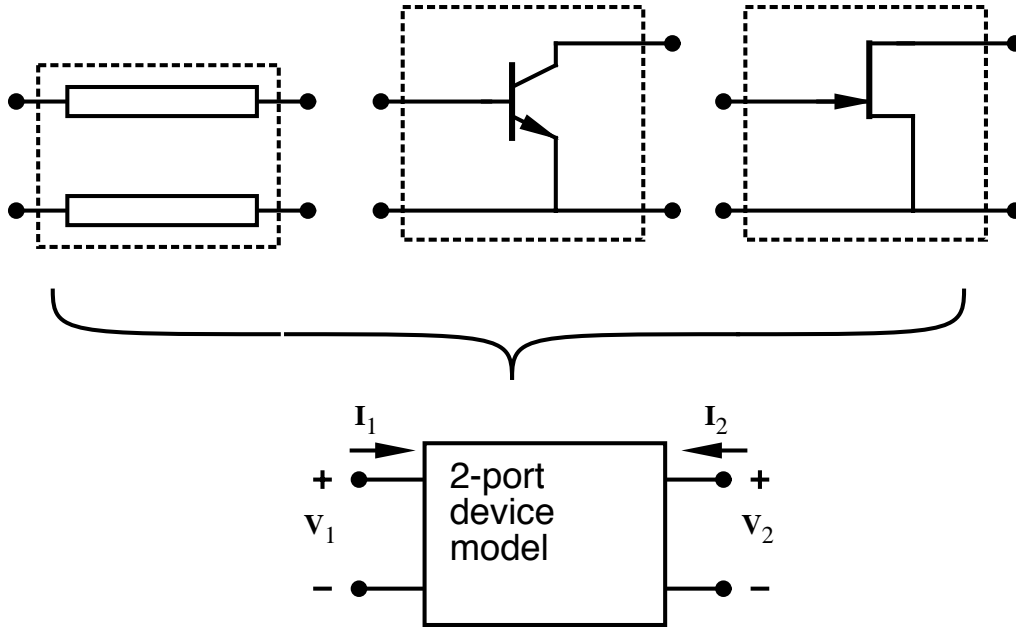
Functional measurements of a component (gain-frequency curve, etc.)

Device characterization and modelling

Small-Signal Network Measurements

Restrict to linear devices (or nonlinear device in small-signal regime)

General device model:



Frequency-domain description:

$$v_1(t) = V_1(\omega)e^{j\omega t},$$

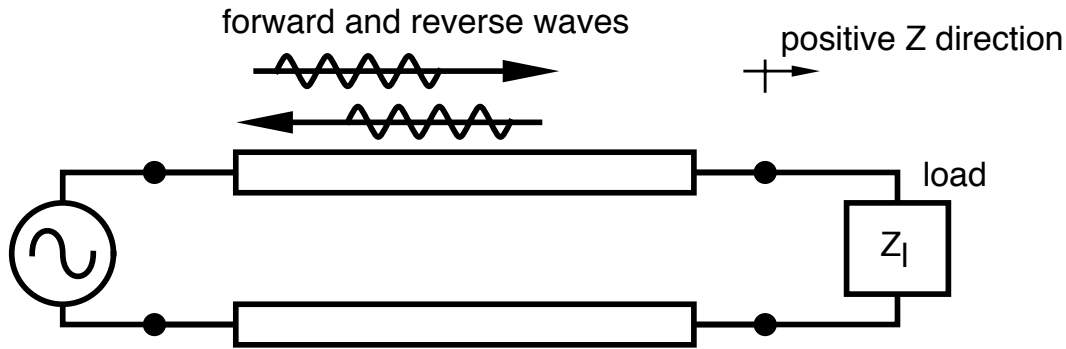
$$i_1(t) = I_1(\omega)e^{j\omega t}, \text{ etc.}$$

$$\begin{bmatrix} I_1(\omega) \\ I_2(\omega) \end{bmatrix} = \begin{bmatrix} Y_{11}(\omega) & Y_{12}(\omega) \\ Y_{21}(\omega) & Y_{22}(\omega) \end{bmatrix} \begin{bmatrix} V_1(\omega) \\ V_2(\omega) \end{bmatrix}$$

Two-port
Admittance
parameters

Microwave Scattering Parameters I.

Waves on transmission lines:



a,b: forward and reverse waves

Forward, reverse power: $|a|^2$, $|b|^2$

forward wave

reverse wave

$$v(z,t) = a(t - z / \text{velocity})\sqrt{Z_0} + b(t + z / \text{velocity})\sqrt{Z_0}$$

Voltage

$$i(z,t) = \frac{a(t - z / \text{velocity})}{\sqrt{Z_0}} - \frac{b(t + z / \text{velocity})}{\sqrt{Z_0}}$$

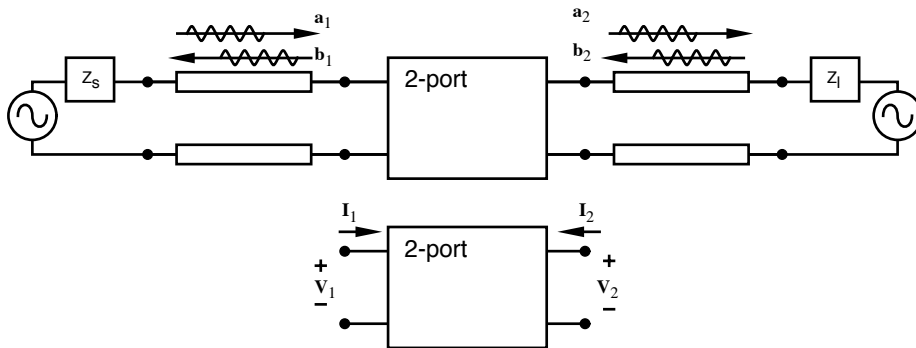
Current

Voltage at any point: $v(z,t) = a(z,t)\sqrt{Z_0} + b(z,t)\sqrt{Z_0}$

Current at any point: $i(z,t) = a(z,t)/\sqrt{Z_0} - b(z,t)/\sqrt{Z_0}$

Microwave Scattering Parameters II

Two-port parameters described in terms of incident and emanating waves from the device (when connected to transmission lines)



Equivalent S-parameter and Y-parameter models of a 2-port.

Scattering parameter model

$$\begin{bmatrix} b_1(\omega) \\ b_2(\omega) \end{bmatrix} = \begin{bmatrix} S_{11}(\omega) & S_{12}(\omega) \\ S_{21}(\omega) & S_{22}(\omega) \end{bmatrix} \begin{bmatrix} a_1(\omega) \\ a_2(\omega) \end{bmatrix}$$

Admittance parameter model

$$\begin{bmatrix} I_1(\omega) \\ I_2(\omega) \end{bmatrix} = \begin{bmatrix} Y_{11}(\omega) & Y_{12}(\omega) \\ Y_{21}(\omega) & Y_{22}(\omega) \end{bmatrix} \begin{bmatrix} V_1(\omega) \\ V_2(\omega) \end{bmatrix}$$

Since $v = a\sqrt{Z_0} + b\sqrt{Z_0}$ and $i = a/\sqrt{Z_0} - b/\sqrt{Z_0}$, the scattering (S) parameters can be directly computed from the admittance (Y) parameters.

Comments Regarding Transistor Testing

Subsequent notes will discuss laser-based testing of electronics. Key points in transistor measurements:

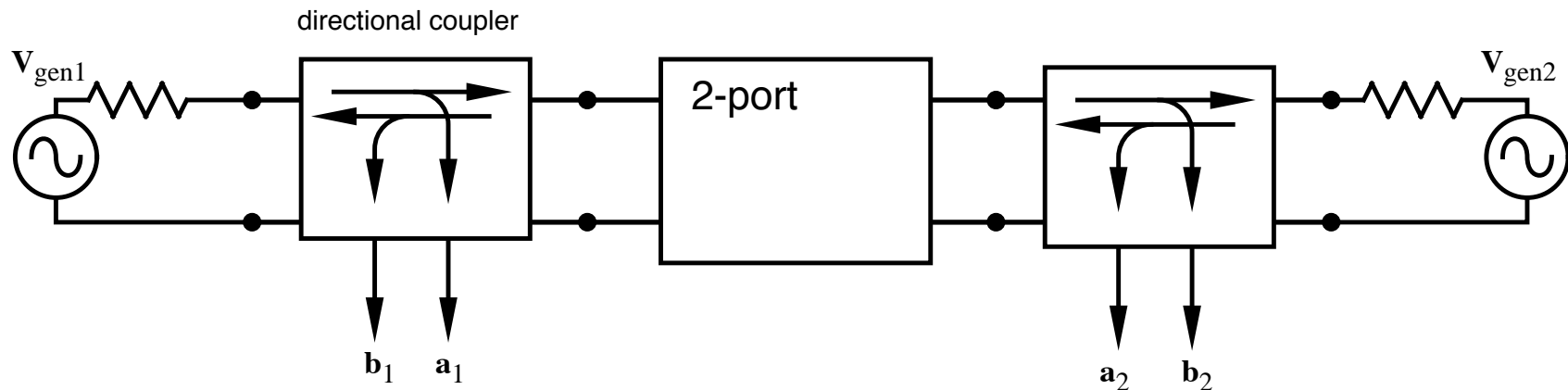
Are we measuring device or circuit performance? Device measurements are parametric (what f_t , what f_{max} , what C_{gs} ...?), while circuit measurements are functional (gain-bandwidth obtained, logic gain propagation delay, pulse amplifier risetime, ...)

Device measurements should allow the device engineer to extract the linear model, from which figures-of-merit (f_t , f_{max}) and device physical parameters (capacitances, resistances, transit times) are determined. The circuit engineer will want a circuit model of the device, described either as above (capacitances, resistances, transit times) or by a black-box linear 2-port description.

Pulse "response time" measurements with unspecified bias conditions, signal levels, and generator and load impedances are of little significant value. Linear or large-signal nonlinear operation will give quite different behavior. Bandwidth (risetime) is a function of gain because of gain-bandwidth limits. Very short RC charging times may be obtained by driving the transistor through very low impedances, but the circuit may be providing no power gain under such conditions...

The Microwave Network Analyzer

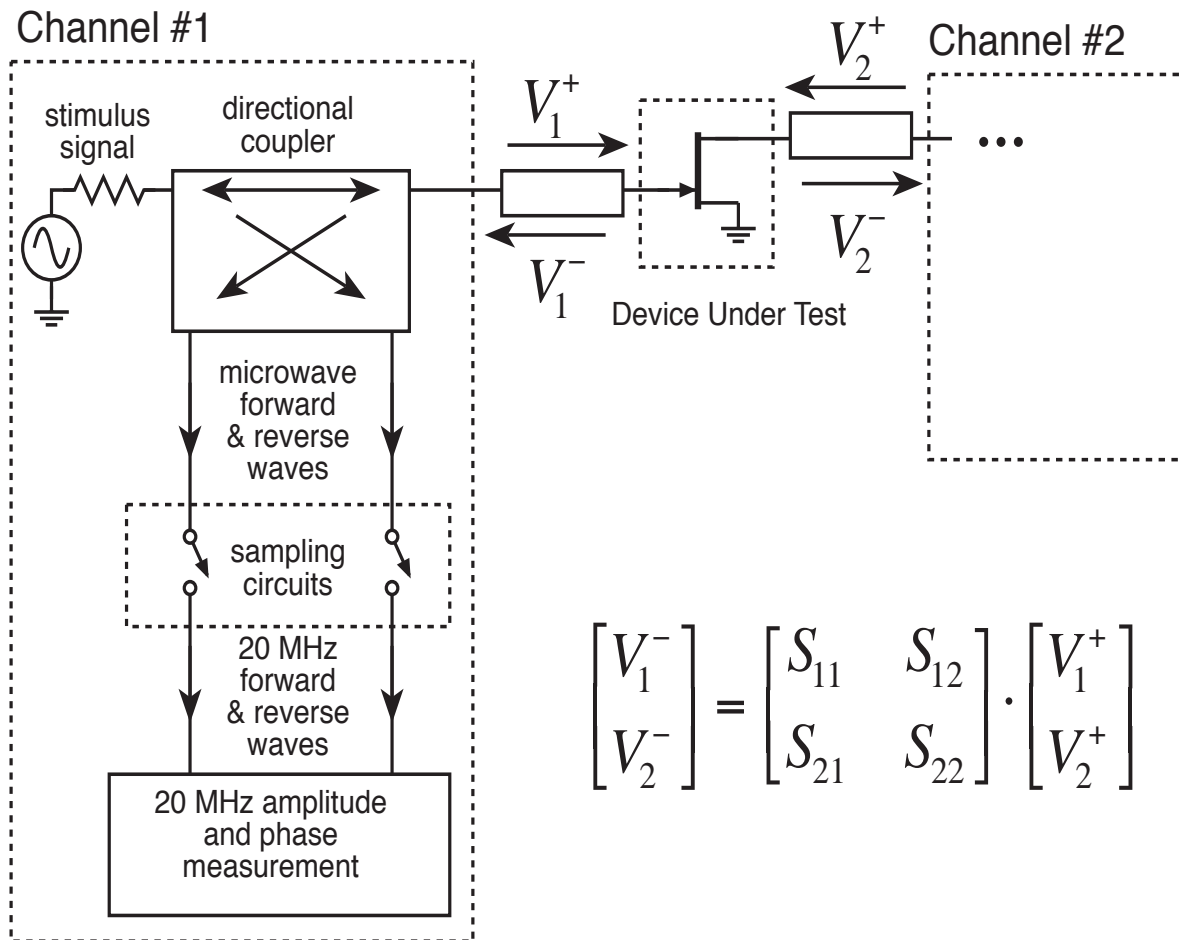
Measurement of (linear / small signal) 2-port network parameters in the frequency domain.



Swept-frequency sources (V_{gen1} and V_{gen2}) are alternately applied to the 2-port input and output, and the incident and emanating waves measured with directional couplers.

Calibration: amplitude/phase contributions of cabling (etc.) between the instrument and the d.u.t. are corrected for by first measuring a series of devices of known characteristics in place of the d.u.t., either 50Ω load, open, short, and through line, or a series of through lines of differing lengths ("LRL")

Block Diagram: Microwave Network Analyzer



Bandwidth limits include

available connectors and cables,

sampling circuits,

signal source frequency range

$$\begin{bmatrix} V_1^- \\ V_2^- \end{bmatrix} = \begin{bmatrix} S_{11} & S_{12} \\ S_{21} & S_{22} \end{bmatrix} \cdot \begin{bmatrix} V_1^+ \\ V_2^+ \end{bmatrix}$$

Performance of modern network analyzers:

After Calibration: DC-110 GHz instrument

(Coaxial-based system, using coplanar microwave wafer probes)

Amplitude accuracy, 0 dB signal: $\approx \pm 0.05$ dB

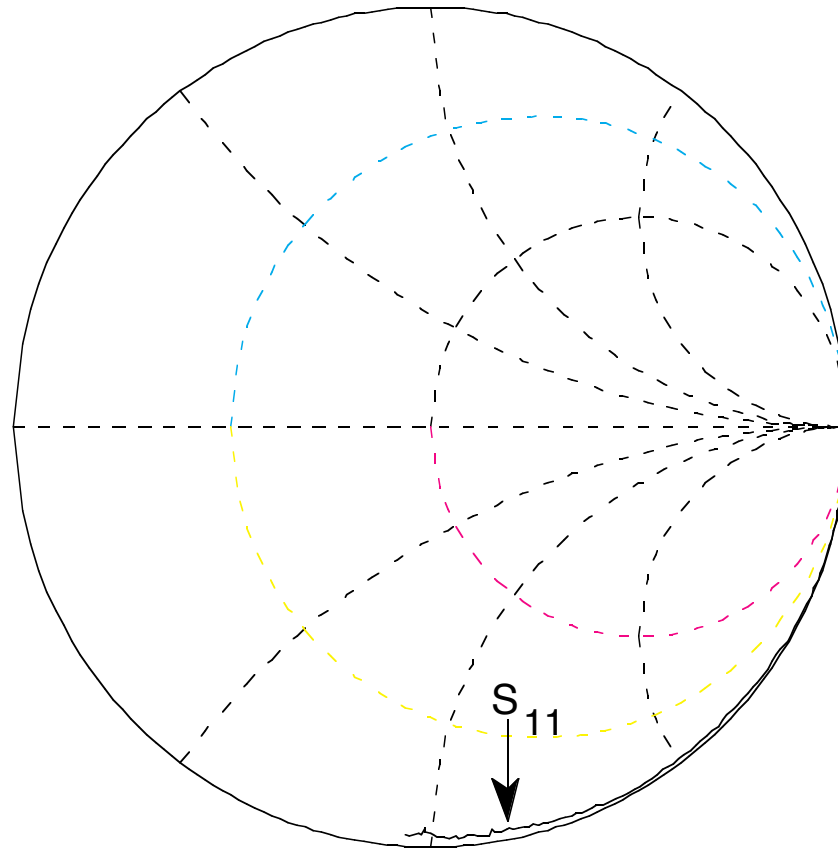
Phase accuracy, 0 dB signal: $\approx \pm 3^\circ$

Directivity*: ≈ -40 dB

*Measured reflection magnitude for a zero-reflection device

Given accurate calibration standards, network analyzers can provide very precise device models. Competing optical techniques offering wider bandwidth must attain competitive accuracy. This places stringent demands on laser intensity stability (and often laser pulse timing stability).

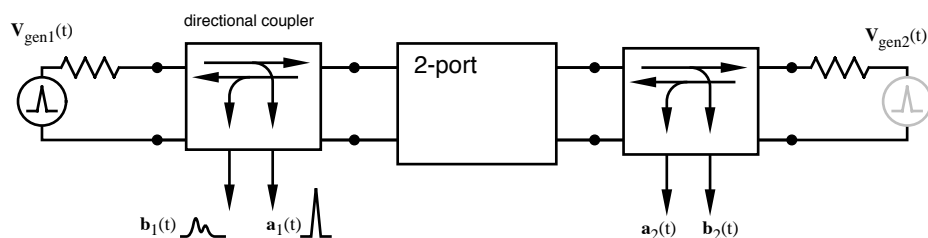
Example Measurement with DC-40 GHz NWA



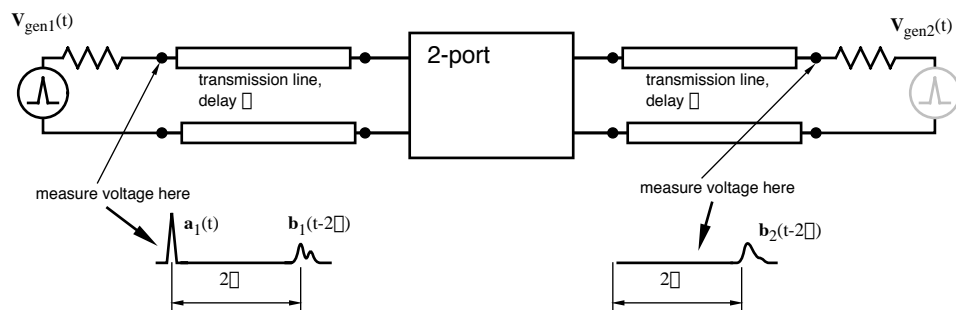
Impedance measurement of a 4 THz Schottky diode. Device Q is 100 at 40 GHz, hence S_{11} differs from 0 dB by ≈ 0.05 dB. Instrument accuracy is sufficient to observe the diode resistance!

Time-domain reflectometry:

Measurement of the 2-port network small-signal parameters in the time domain: Yields same information as the swept-frequency analyzer (?).



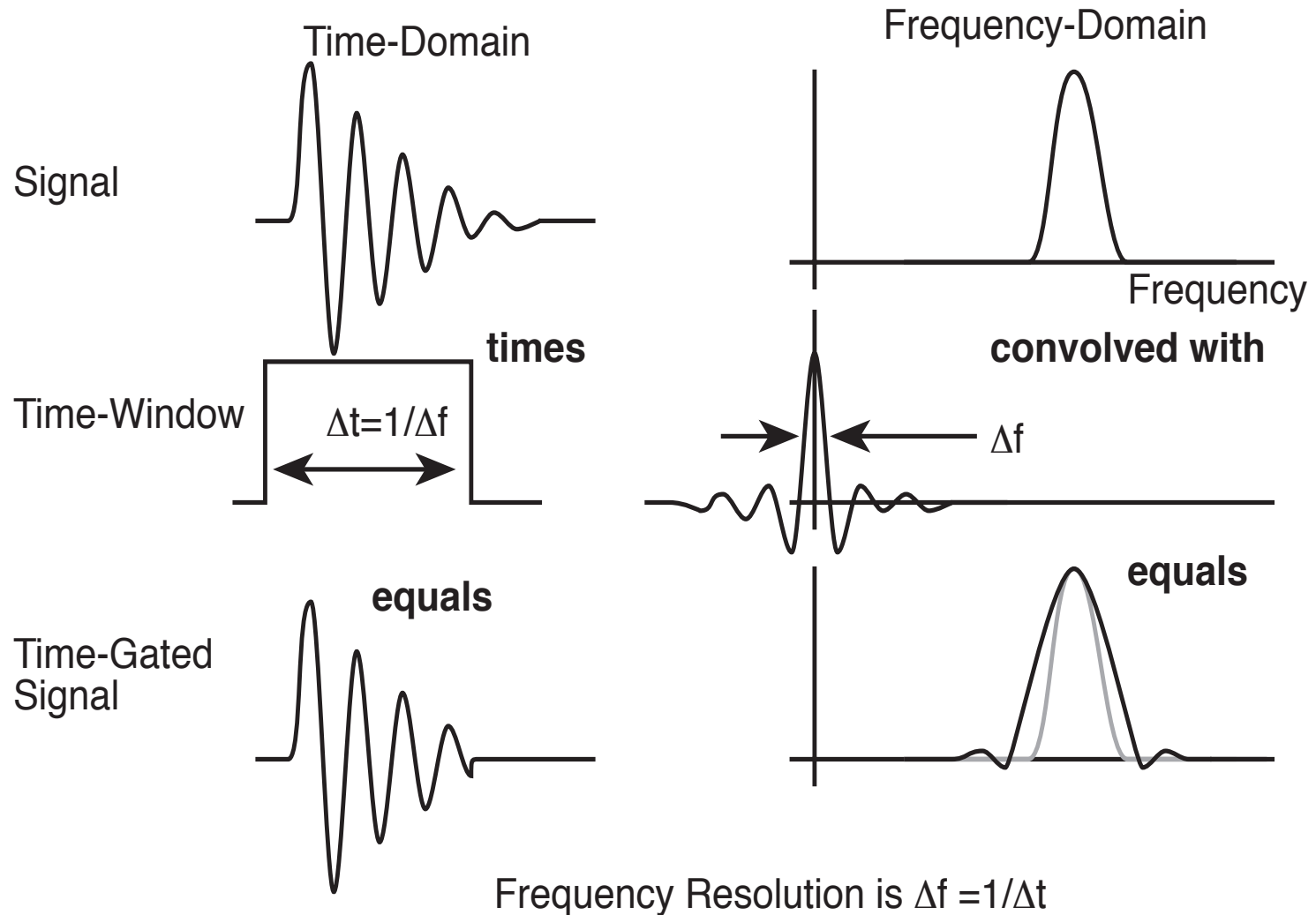
$s_{ij}(t)$ is simply the inverse Fourier transform of $S_{ij}(\omega)$:



First method uses directional couplers to separate incident & reflected waves

Using a delay line with delay longer than the duration of the stimulus signal, the incident & emanating waves are separated in time, eliminating (?) the need for directional couplers.

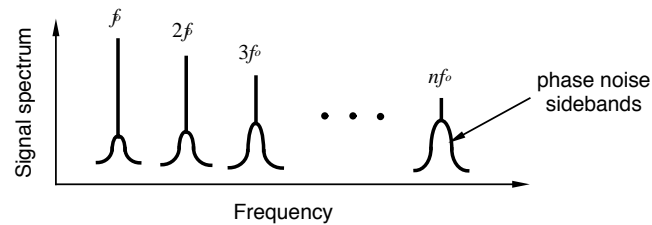
Problems with Time-Domain NWA Measurements, I



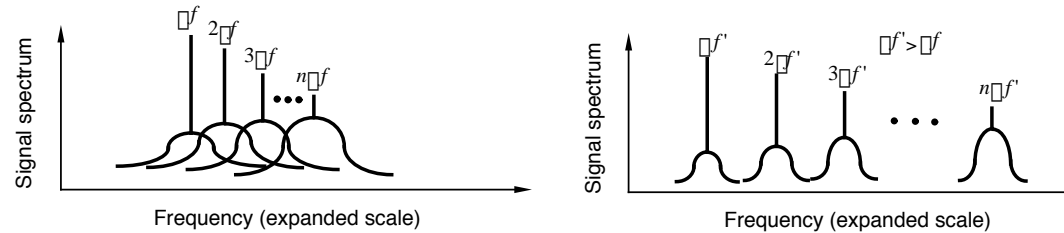
- While time-gating eliminates spurious responses, frequency resolution is lost.

Problems with Time-Domain NWA, II

Spectrum Downconversion by Sampling



Sampling Circuit Input



Sampling Circuit Output

- Aliasing of phase-noise sidebands degrades noise performance if scan rate is below phase-noise bandwidth

- System imperfections (phase, amplitude noise) and DUT nonlinearity cause mutual interference between spectral lines under measurement
- Other problems: sharing bits of resolution in A-D converter between harmonics
- Conclusion: Commercial NWAs use swept-frequency stimulus for good reason

Signal Measurements

Sampling oscilloscope

Time waveform of signal. Commercial instruments to 50 GHz (NLTL based...).

Spectrum Analyzer

Measures power spectrum of signal. Commercial instruments: 40 GHz with coaxial inputs, to 325 GHz in waveguide.

Optoelectronic Measurement Techniques

Sampling Devices and Systems

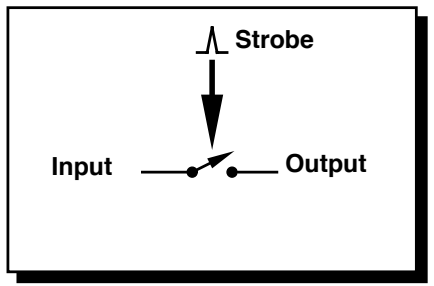
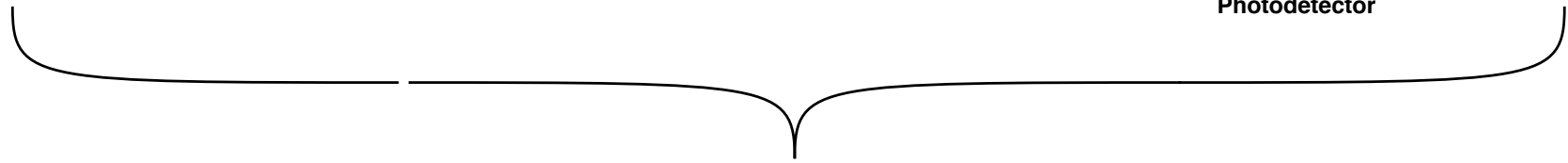
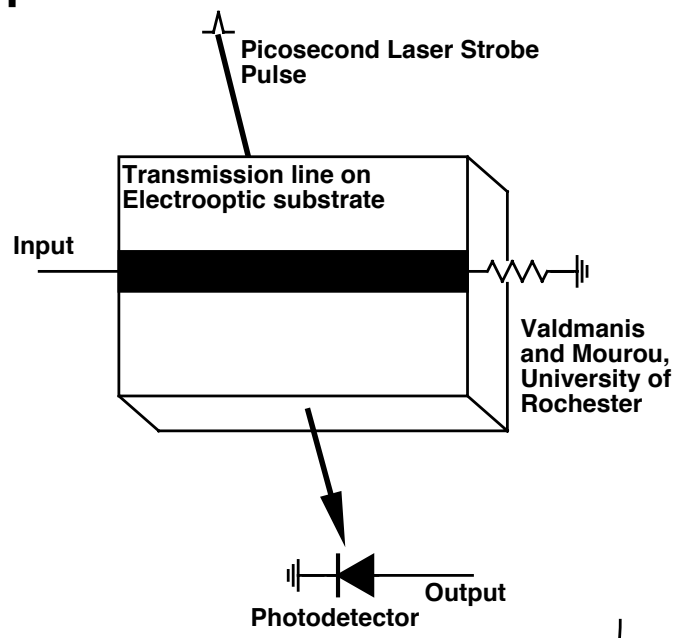
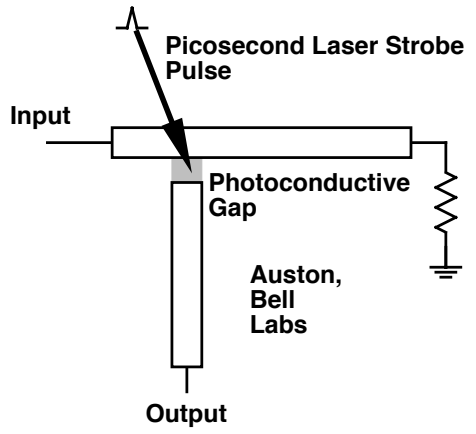
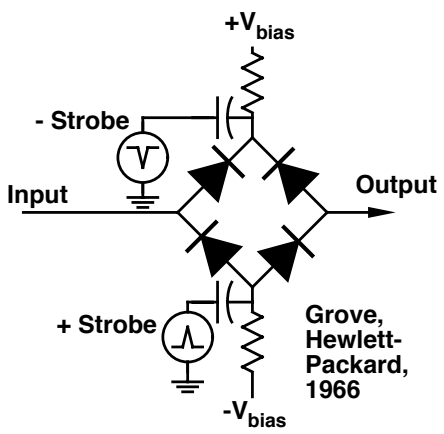
Electrooptic Sampling

Photoconductive Sampling

Sampling Devices, Optoelectronic and Electronic

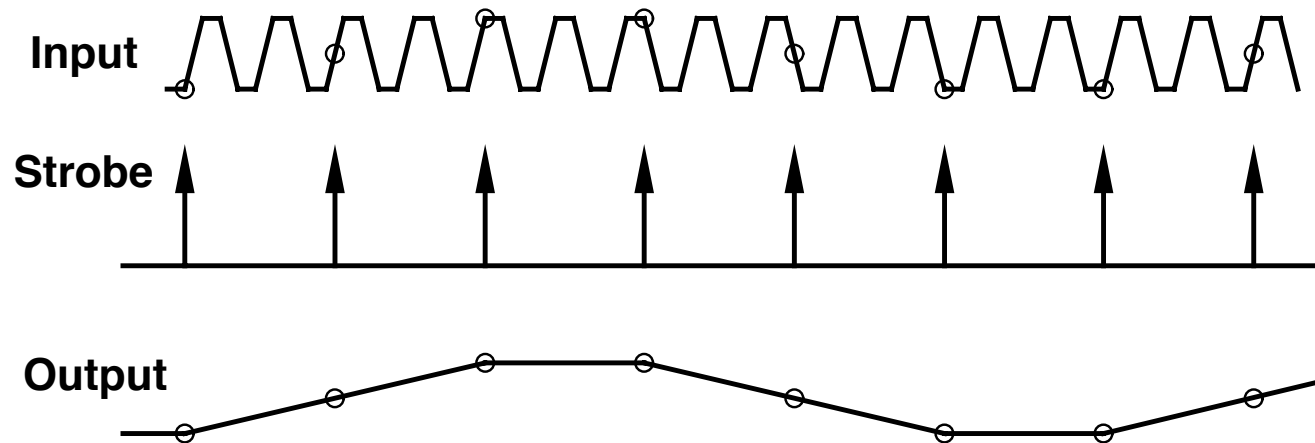
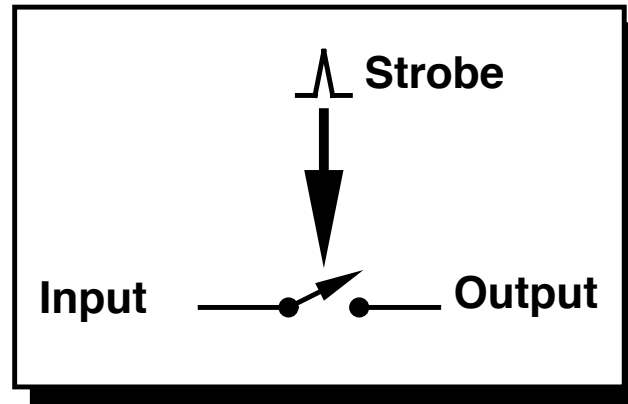
Electrical

Optical



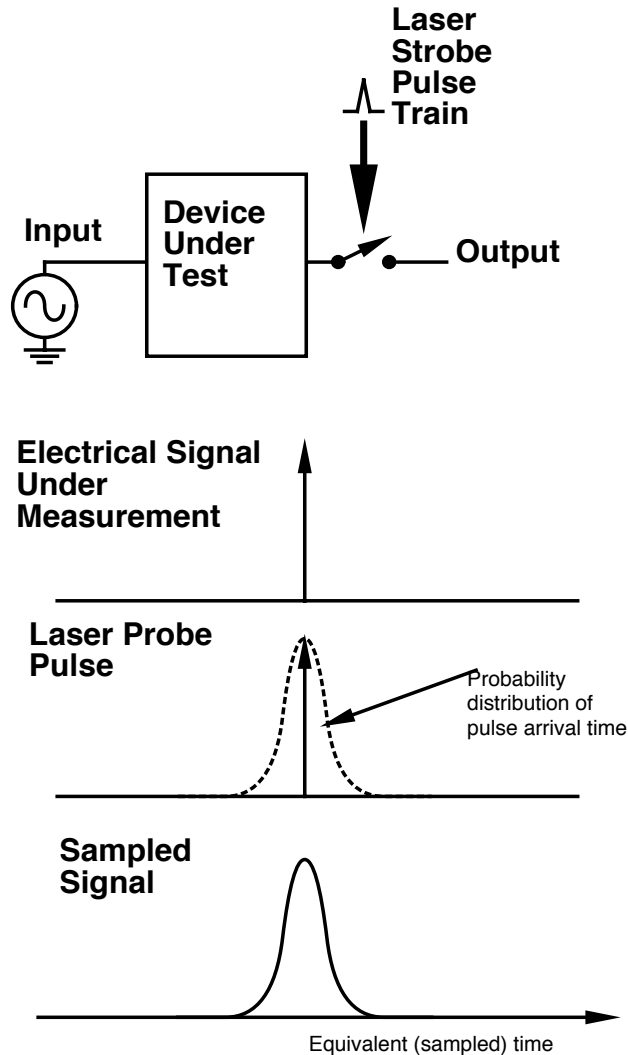
Sampling

Reducing the repetition frequency (bandwidth) of a signal so that it can be measured with low-frequency instruments



If the strobe signal has repetition frequency f_0 and the input signal has repetition frequency $nf_0 + \Delta f$, the sampled output will be at frequency Δf .

Laser Sampling and Timing Jitter



Mode-locked lasers derive their pulse repetition rate from the cavity round-trip time. This resonator, in terms of the laser intensity modulation intensity envelope, has relatively poor Q (poor finesse) and pulsed lasers have substantial pulse timing fluctuations.

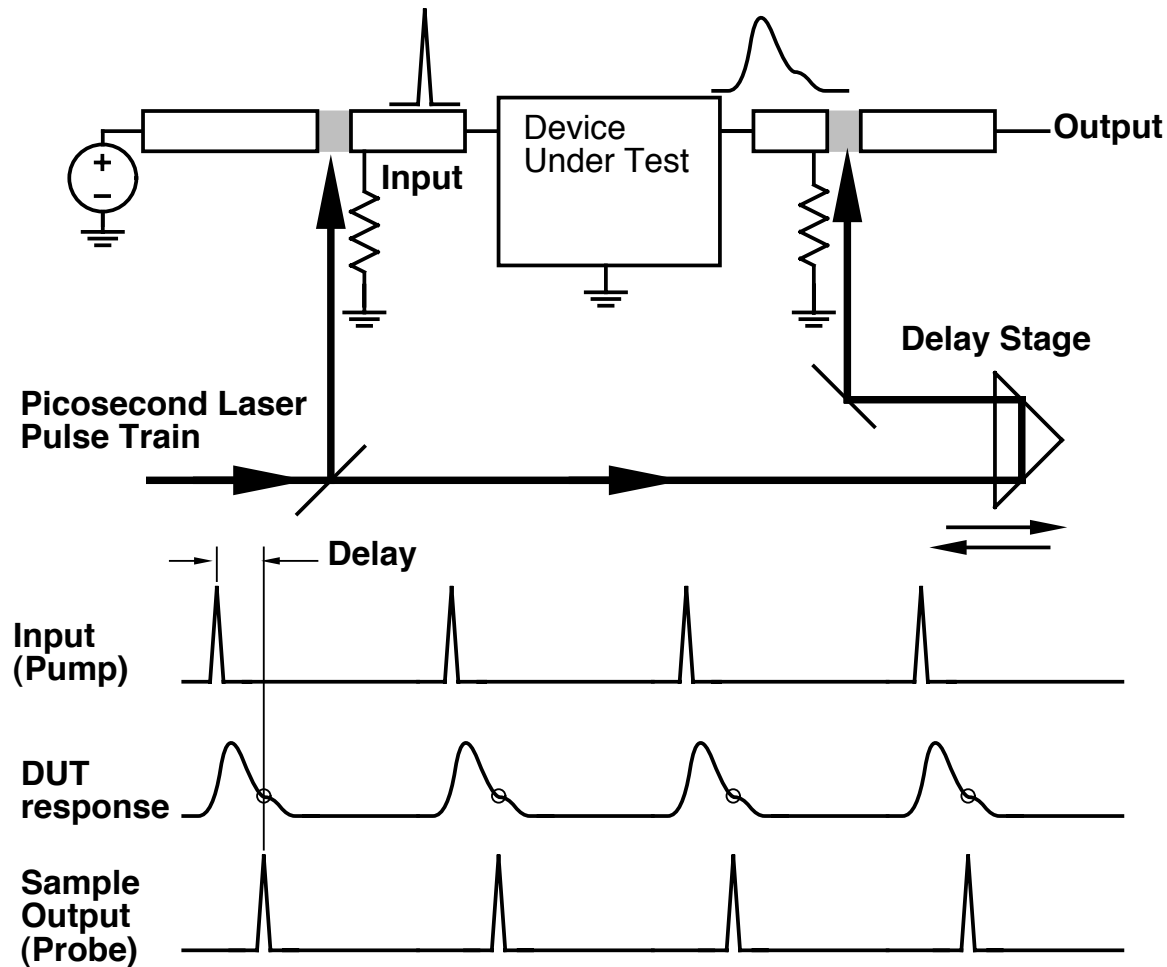
Relative timing fluctuations of the laser and electrical signal source degrade the system time resolution.

Good microwave synthesizer: ≈ 0.2 ps rms jitter

Mode-locked YAG laser: $\approx 3-10$ ps rms
(0.3 ps if phase-locked)

CPM laser: ≈ 5 ps rms jitter

The pump-probe technique



Because the stimulus and probing signals are derived from the same laser pulse, laser pulse timing fluctuations have no effect on the measurement.

technique limited to stimulus-response measurements

Photoconductive Characterization of Devices

Purpose: 2-port small-signal network measurements. Method: time-domain reflectometry/ transmission using photoconductive pulse generators and sampling gaps: Matloubian et. al., IEEE MGWL Vol. 1. No. 2, Feb. 1991

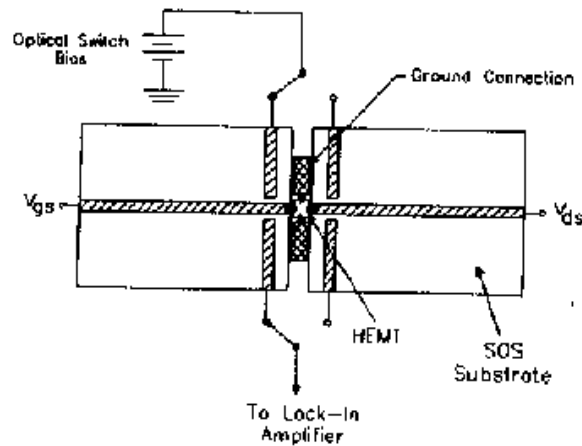
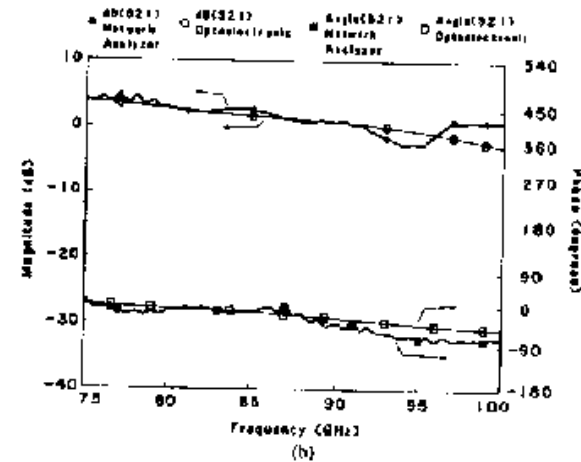
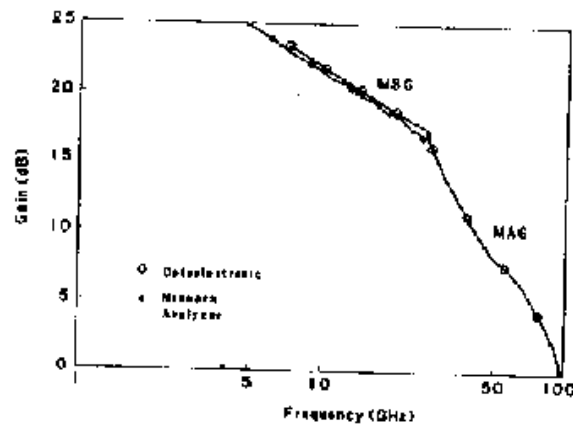
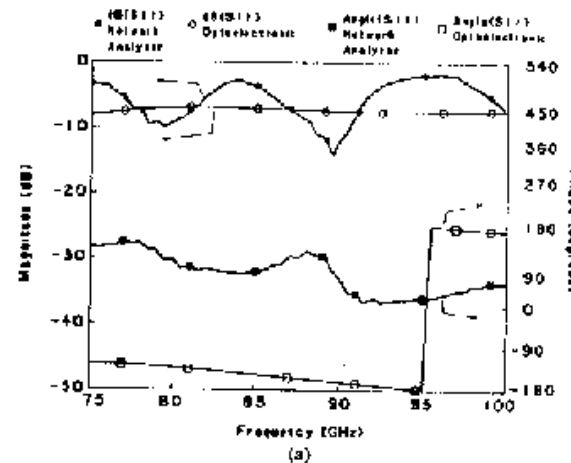


Fig. 2. Picosecond optoelectronic test fixture with HEMT wire-bonded to center microstrip lines.

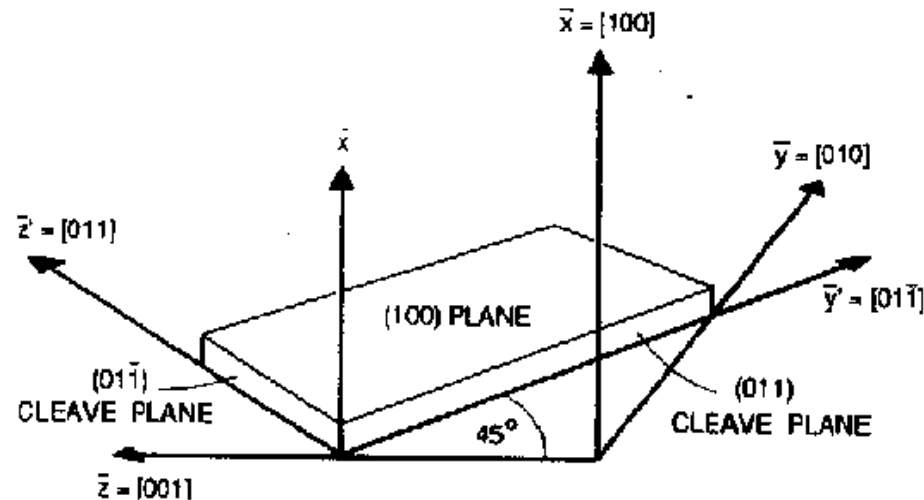


Electrooptic Probing

Field-Induced birefringence in [100]-cut GaAs:

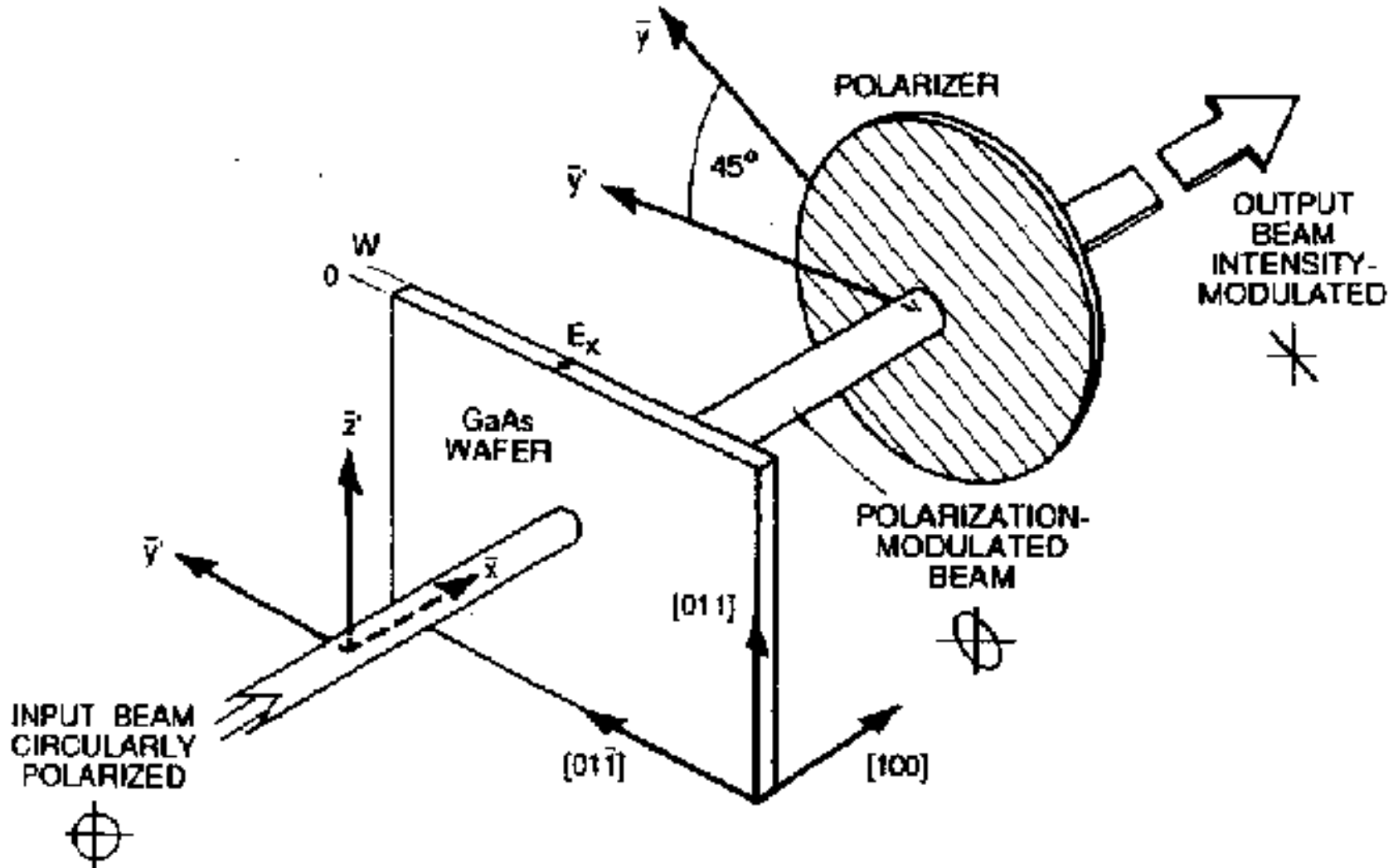
$$N_{[01\bar{1}]} - N_{[011]} = N_0^3 r_{41} E_{[110]}$$

A sub-bandgap probe beam is passed in the [100] direction through the substrate, and the birefringence measured with a polarization interferometer. The interferometer output is proportional to the potential difference across the wafer.



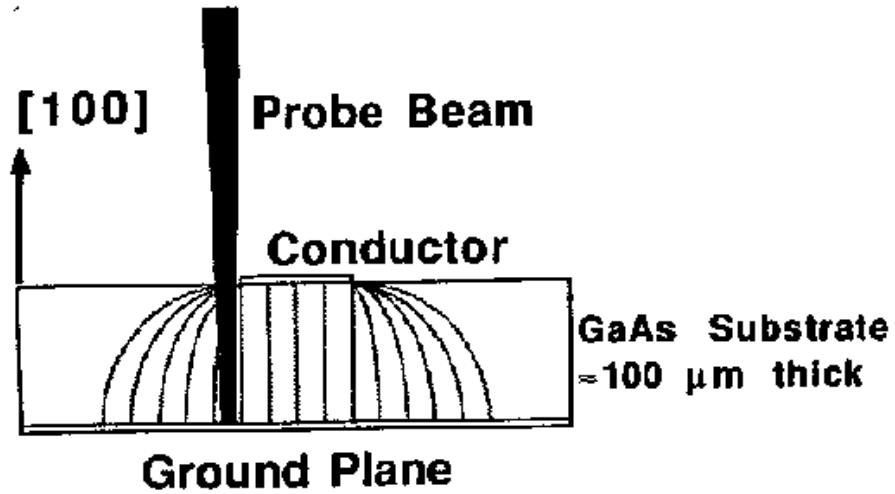
Principal axes and cleave planes in (100)-cut Gallium Arsenide.

Gallium Arsenide electrooptic intensity modulator

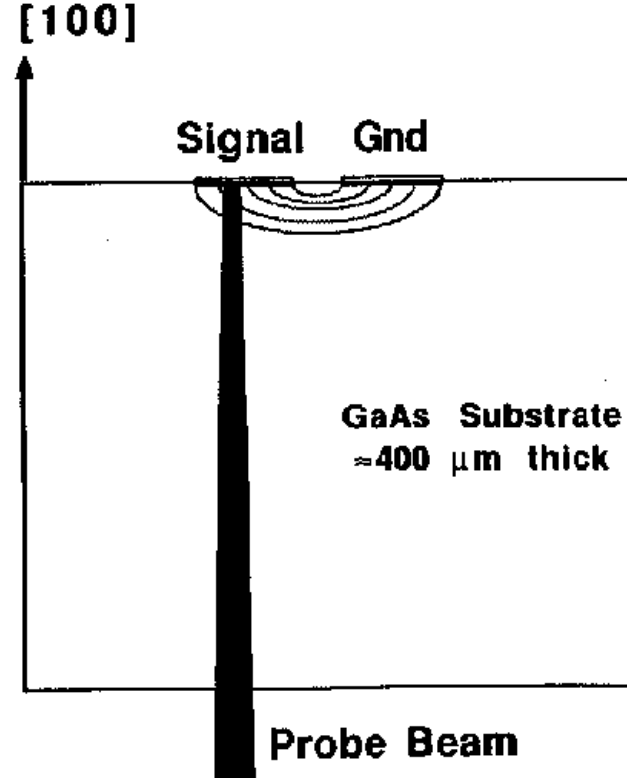


Electrooptic: Frontside/backside probing

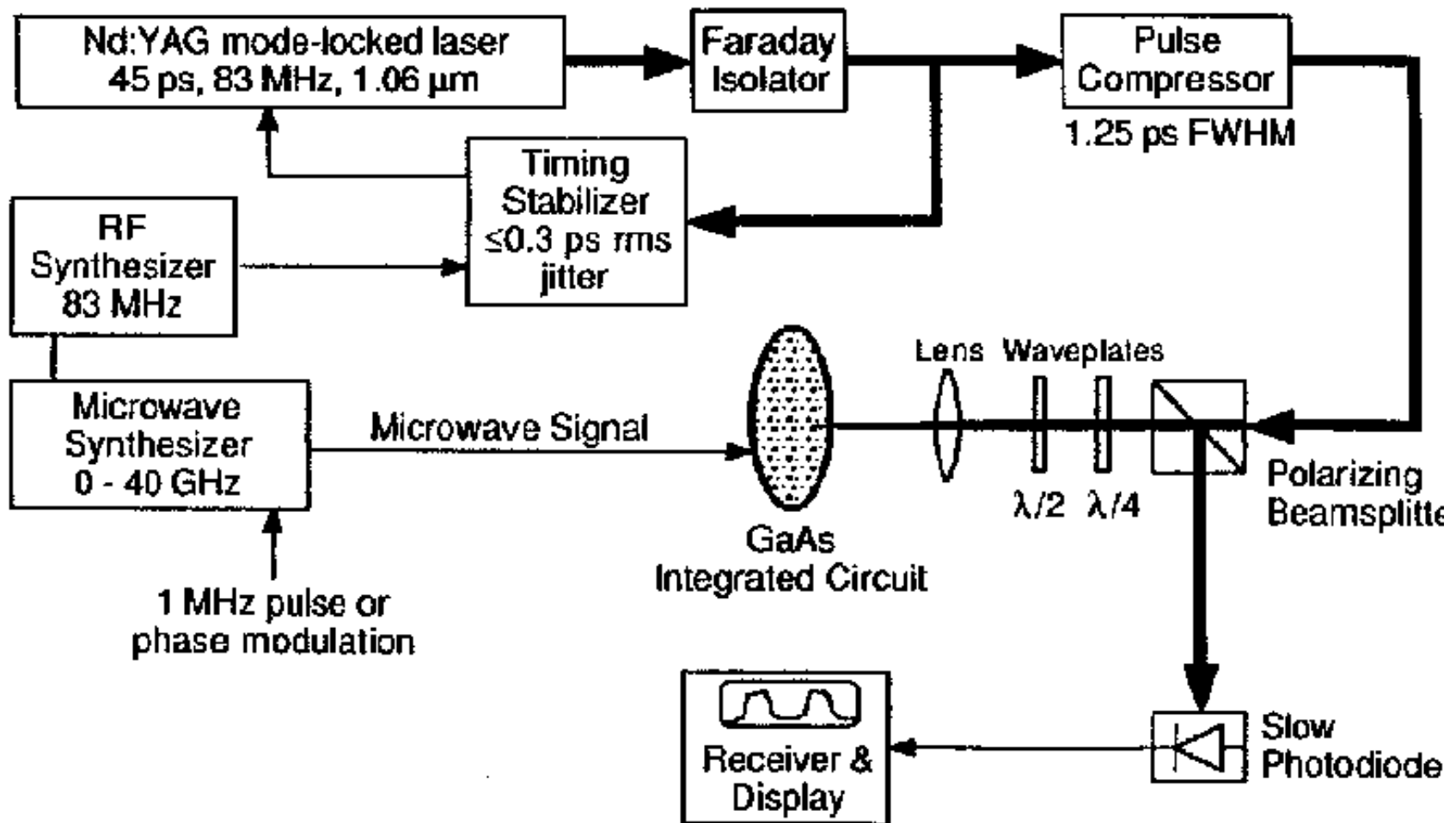
Frontside probing



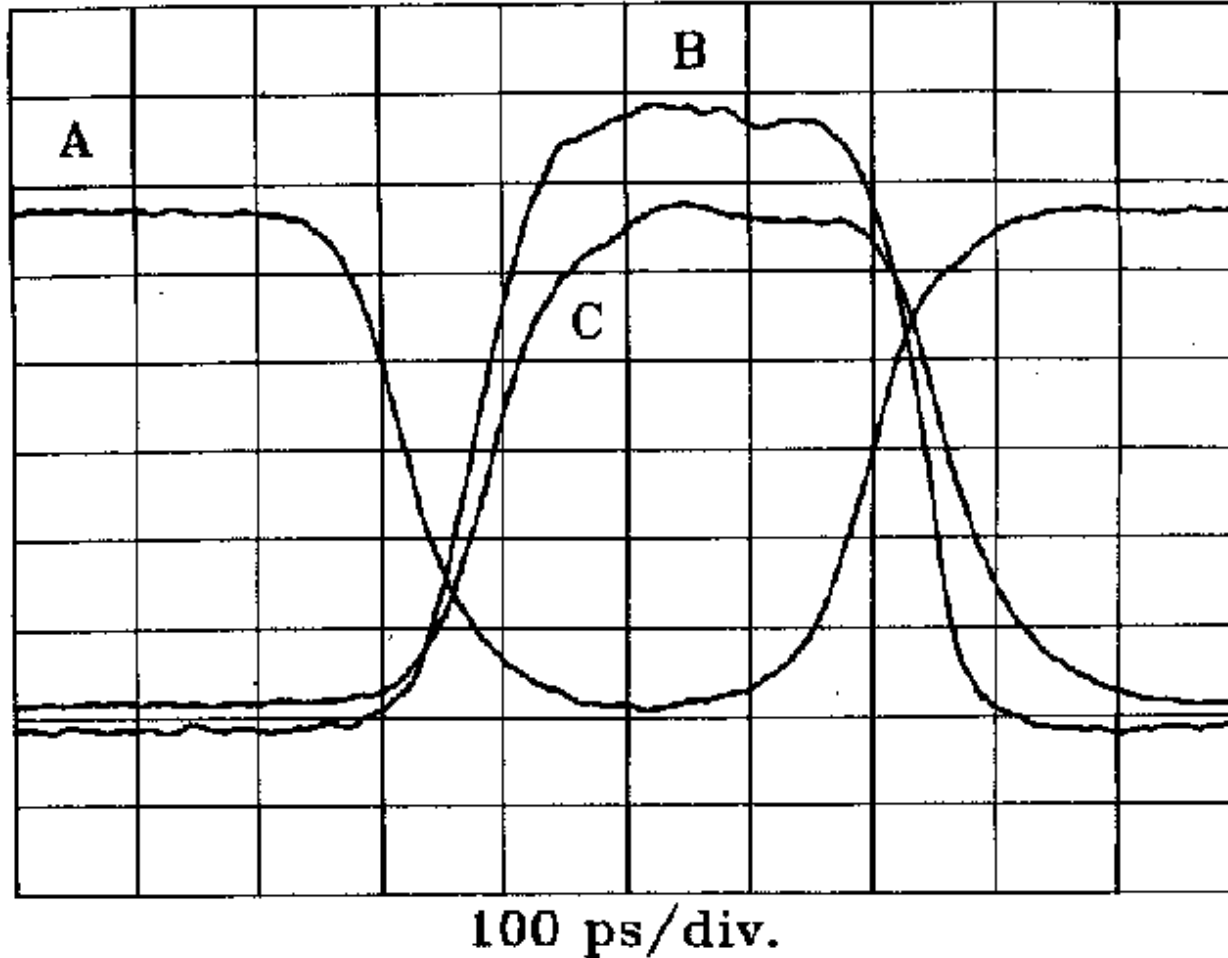
Backside probing



Electrooptic Sampling System

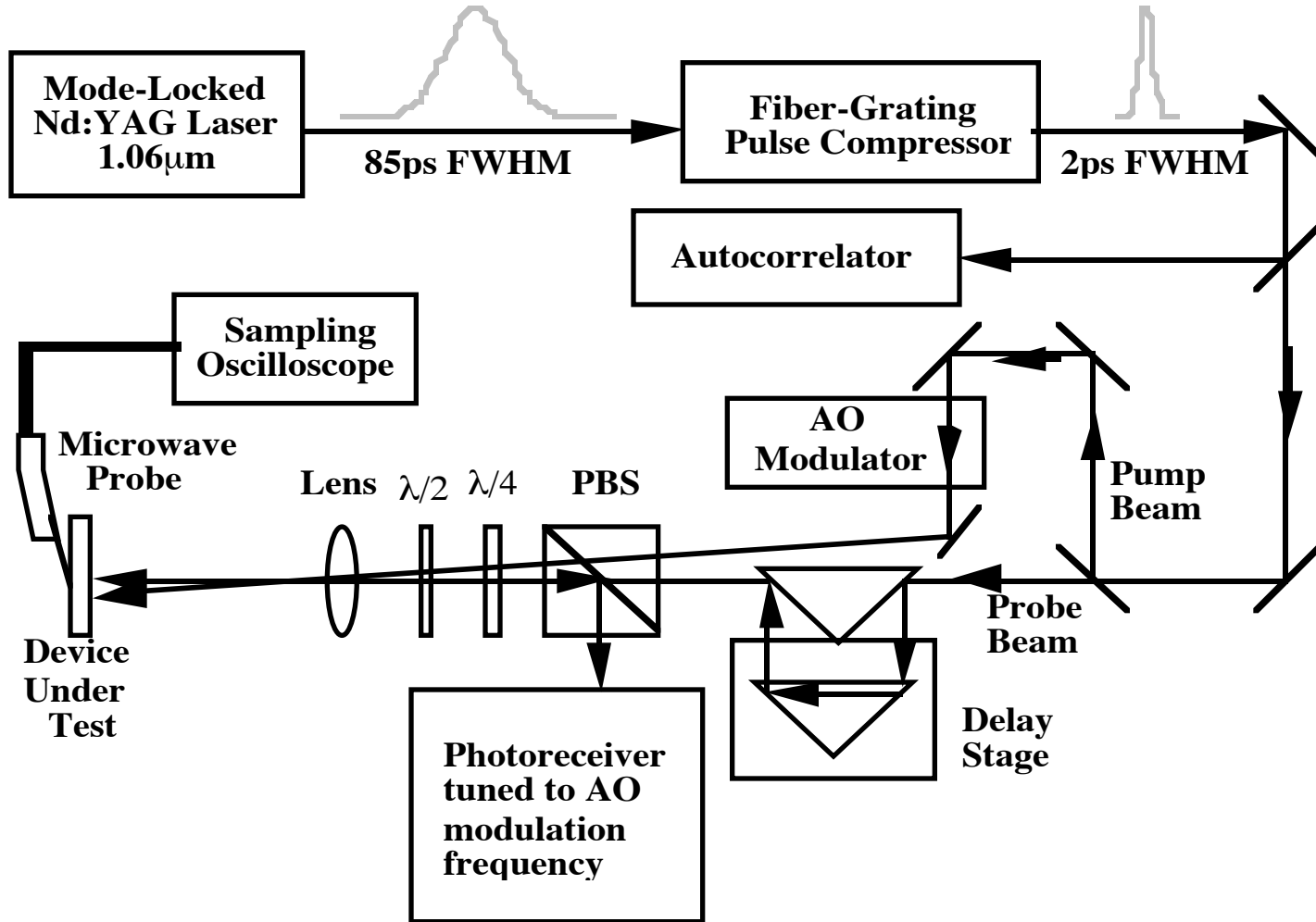


Example Measurement: eo sampling

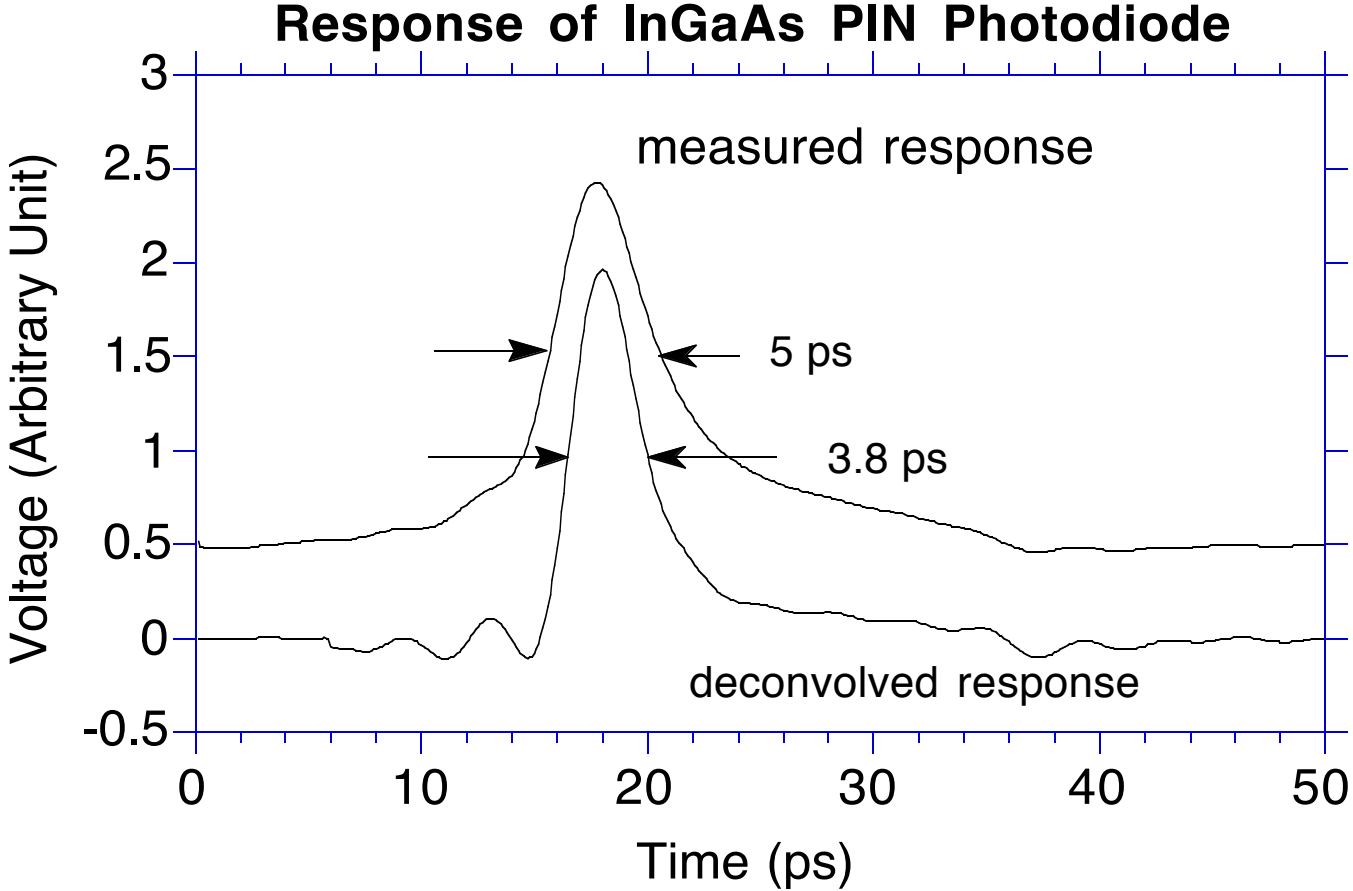


Voltage waveforms at the input (a), source-follower gate (b), and output (c) of a GaAs buffered-FET-logic inverter gate

Electrooptic Sampling of Photodetectors

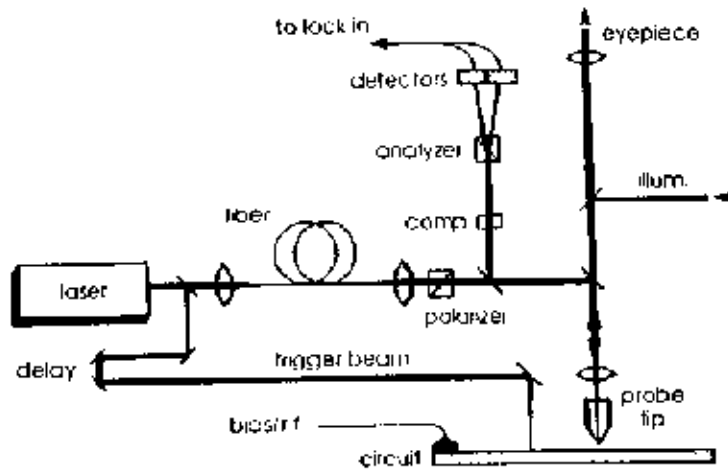
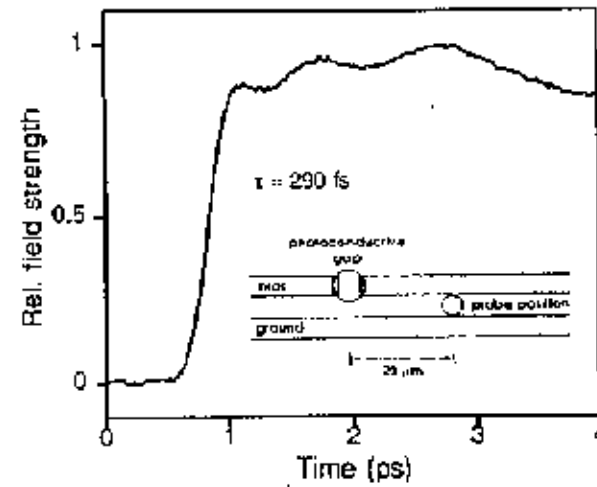
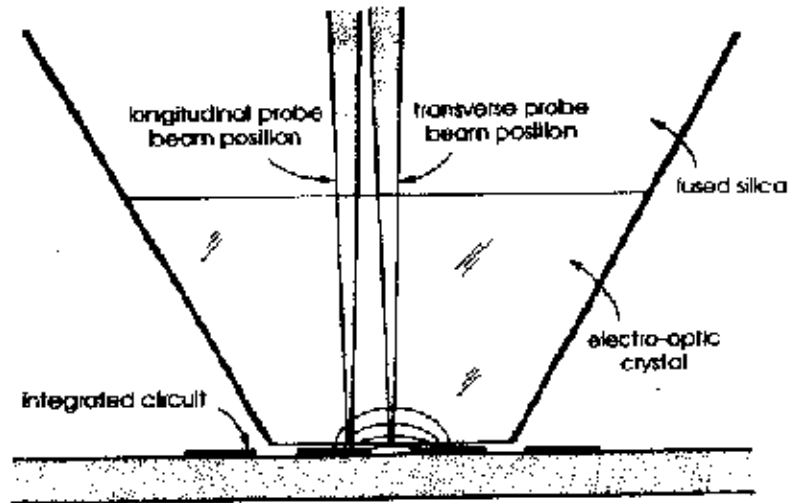


Electrooptic Sampling of Photodetectors: Result



Electrooptic Needle Probe

J.A. VALDMANIS



Laser sources for optoelectronic measurements

Colliding-pulse mode-locked dye laser:

Pulse durations $\ll 100$ fs.

Free-running laser (passively mode-locked): 5-10 ps rms timing jitter
wavelengths above-bandgap in GaAs: not for electrooptic substrate
probing. Relatively stable amplitude. Primary laser for femtosecond
optoelectronic experiments.

Synchronously-Pumped dye lasers

0.1-5 ps pulse durations. Primarily for wavelengths less than 900 nm (no
substrate probing in GaAs).

Significant (1-3 ps rms) timing jitter. Stable amplitude

Ti-Sapphire Laser

≈ 200 fs pulse widths, negligible wings, tunable wavelengths
Sibbet et. al. have timing-stabilized such lasers. Good choice.

Laser sources for optoelectronic measurements II

Nd:YAG laser & fiber-grating pulse compressor

Wavelength (1.06 μm) below-GaAs-bandgap; suitable for substrate probing.

Subpicosecond timing jitter attainable with feedback stabilization.

Poor spectral flatness (measurement error) due to wings on pulse.

Considerable low-frequency amplitude fluctuations, drift.

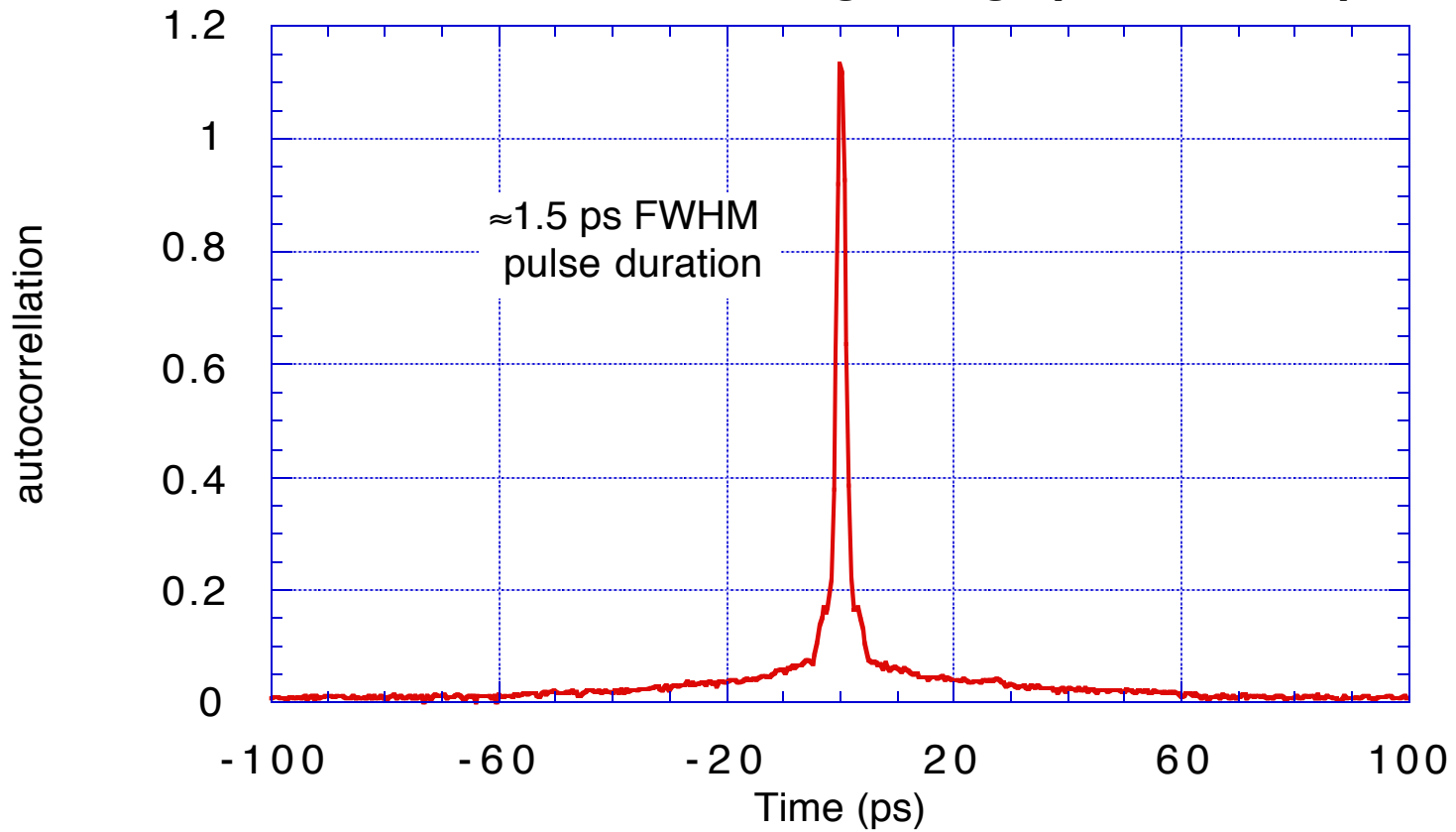
Power-dependent Raman scattering adds excess noise above shot noise, limiting input power to fiber, and thereby limiting usable pulse compression to ≈ 1 ps outputs with single-stage compression.

Mode-locked & gain-switched semiconductor diode lasers

Area of intense research. Low phase noise for actively mode-locked devices, particularly those with external optical cavities. Pulse widths ranging from ≈ 0.1 ps to 2-5 ps, dependent on pulse repetition frequency. Adiabatic soliton compression looks like a breakthrough

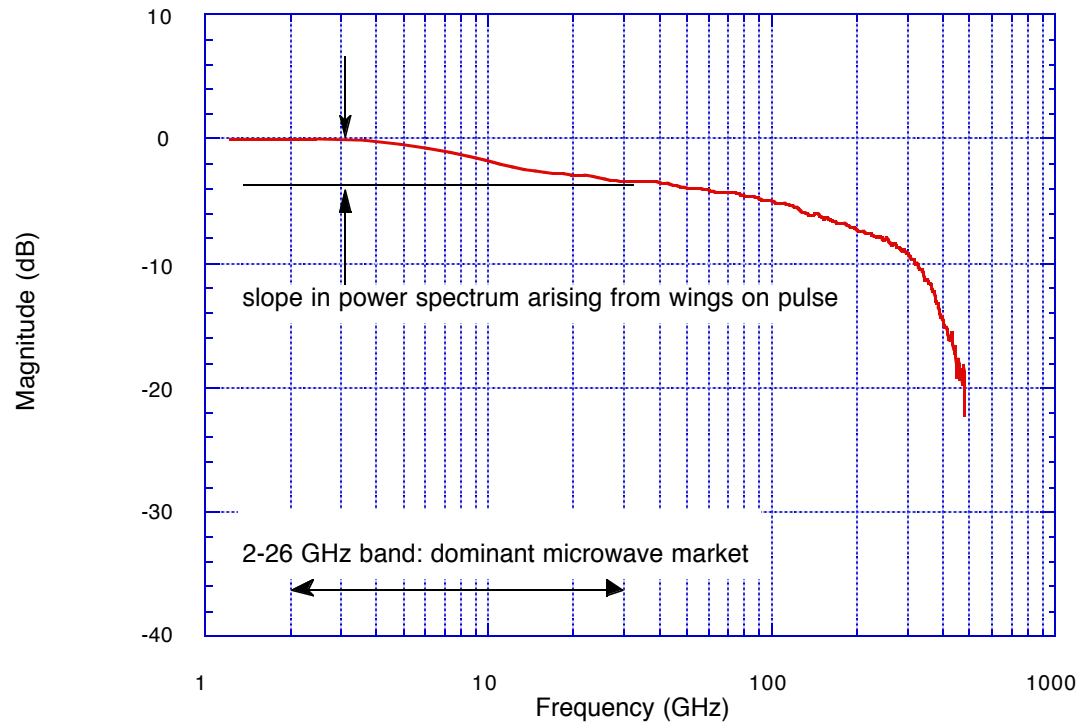
Compressed YAG Autocorrelation: "wings"

Nd:YAG laser with fiber-grating pulse compressor



Compressed YAG Power Spectrum

Power Spectrum: Nd:YAG laser with fiber-grating pulse compressor



"Wings" cause errors in low-frequency measurement response .
Intensity fluctuations from compressor degrade repeatability
Raman scattering adds ($\approx 10-50$ dB) to shot noise, limiting compression ratios attainable with low noise

phase noise theory and measurement

Laser timing and amplitude fluctuations:

$$I(t) \cong \bar{P}T(1 + N(t)) \sum_{-\infty}^{+\infty} \frac{1}{\sigma_t \sqrt{2\pi}} \exp\left[-\frac{(t - nT - J(t))^2}{2\sigma_t^2}\right]$$

Laser Spectrum

$$S_I(\omega) \approx \bar{P}^2 \exp(-\omega^2 \sigma_t^2) \sum_{-\infty}^{+\infty} \left[\begin{array}{l} 2\pi\delta(\omega - \omega_0) \quad \dots \text{laser harmonics} \\ +S_N(\omega - \omega_0) \quad \dots \text{AM sidebands} \\ +n^2 \omega_i^2 S_J(\omega - \omega_0) \quad \dots \text{FM sidebands} \end{array} \right]$$

phase noise theory and measurement

$I(t)$ laser intensity

P =average intensity

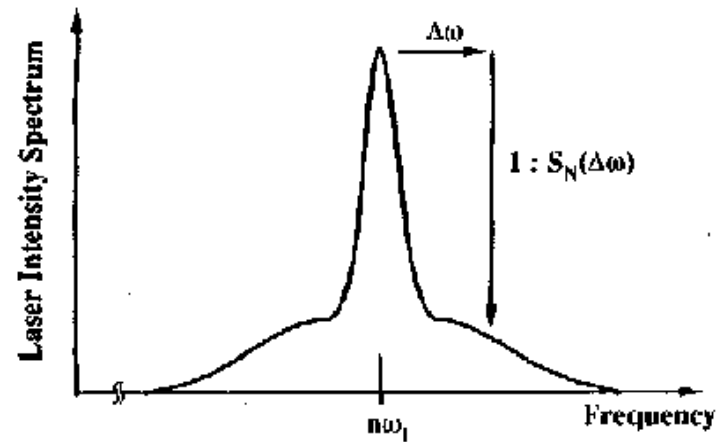
T =pulse repetition period

σ_t =RMS pulse width

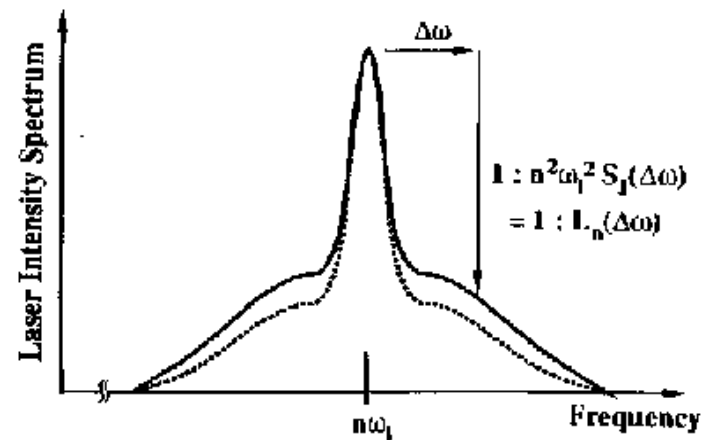
$N(t)$ =intensity fluctuations

$J(t)$ timing fluctuations

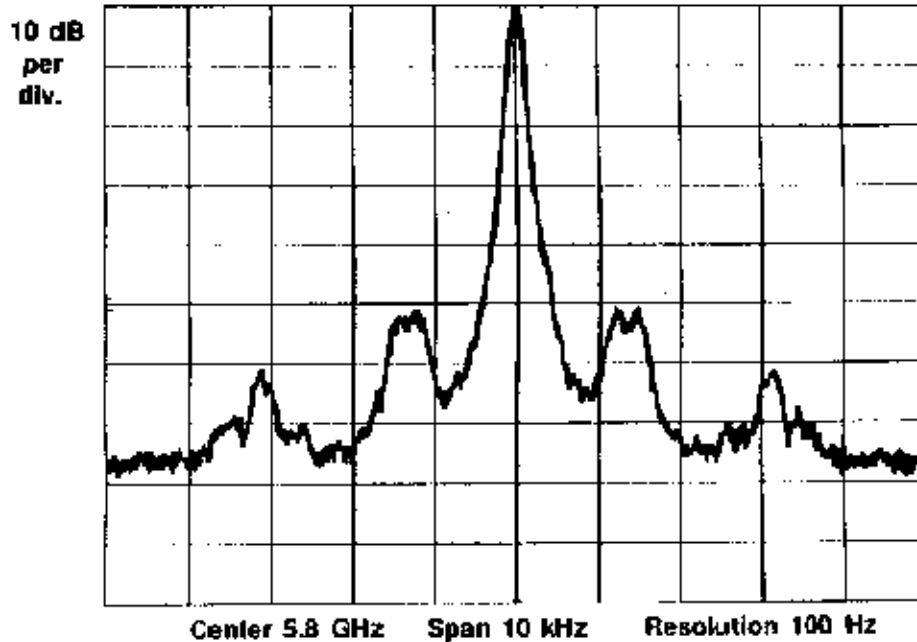
Amplitude-Noise Sidebands: Low Harmonics



Phase-Noise Sidebands: High Harmonics



phase noise integration



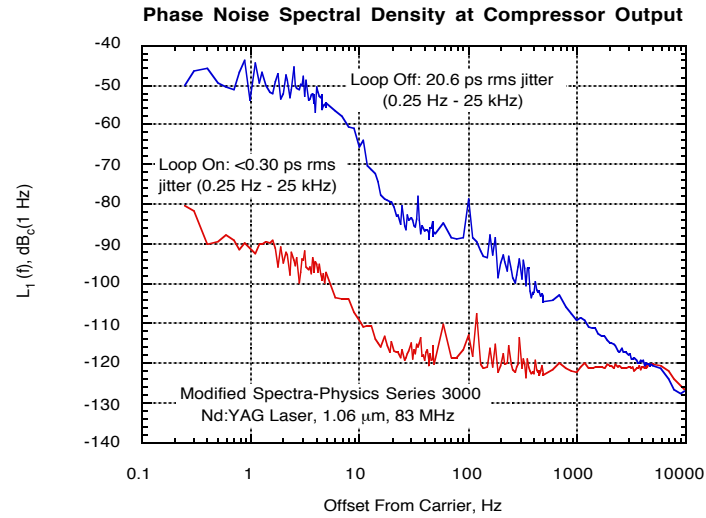
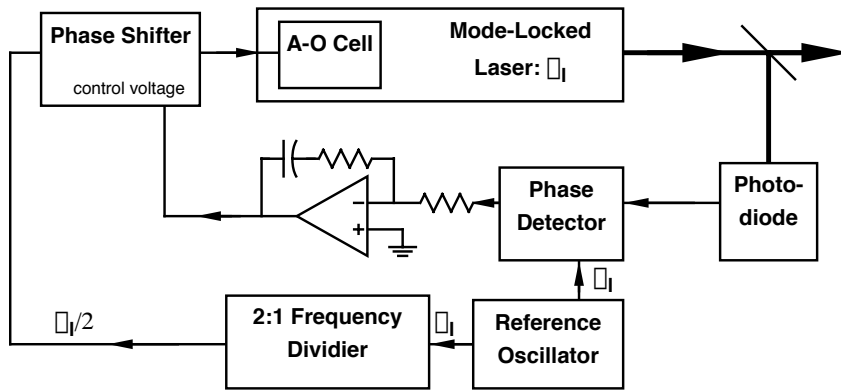
$$\|J^2(t)\| = \sigma_J^2 = \frac{1}{\pi} \int_{\omega_{low}}^{+\omega_c/2} S_J(\omega) d\omega$$

ω_{low} is the low - frequency limit of integration

$\approx \pi / T$, where T is the period of observation

In words: Power in sidebands divided by power in carrier is mean-squared phase deviation in radians. Divide by the radian frequency to obtain the timing deviation

Laser Timing Stabilization



This method is applicable to any actively mode-locked laser. Passively mode-locked lasers can be phase-locked by introducing an electrically-controlled cavity tuning element

On-Wafer Network Analysis

- photoconductive sampling probes
 - external probes for S-parameter measurements
 - internal-node probes
- NLTL/diode sampling IC probes for waveform & network measurements
- STM/AFM probes

Active Probes

Highly Reproducible Optoelectronic Wafer Probes with Fiber Input

M. D. Feuer, S. C. Shunk, P. R. Smith¹, H. H. Law¹, C. A. Burrus² and M. C. Nuss

AT&T Bell Labs, 101 Crawfords Corner Rd., Holmdel, NJ 07733-3030

¹AT&T Bell Labs, 600 Mountain Ave., Murray Hill, NJ 07974-0636

²AT&T Bell Labs, 791 Holmdel Keyport Rd., Holmdel, NJ 07733-0400

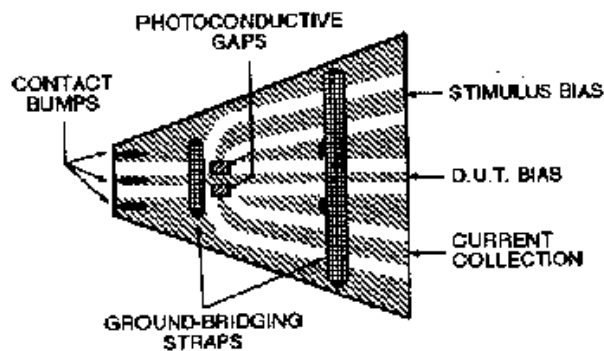


Figure 1. Schematic layout of optoelectronic wafer probe with ground-bridging straps. Optical pulses are introduced through the back of the probe substrate. The system provides high bandwidth, throughput and accuracy.

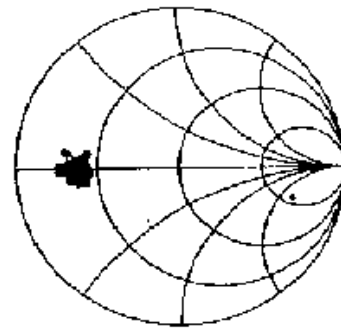


Figure 2. S11 of a thin-film resistor with a dc resistance of 12.5 ohm, from dc to 125 GHz at 2.5 GHz intervals, after full correction with vector accuracy enhancement.

Subpicosecond GaAs Wafer Probe System

M. S. Shakouri, A. Black[†], D. M. Bloom

Edward L. Ginzton Laboratory, Stanford University, Stanford, CA 94305

[†] Now at Gadbox Microsystem, Los Gatos, CA.

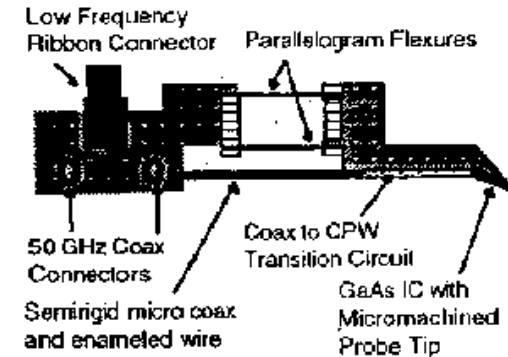
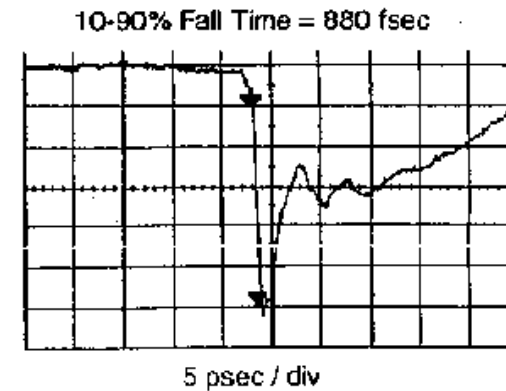


FIG. 1. GaAs Wafer Probe Assembly



On-Wafer Signal Measurements

Novel High-Impedance Photoconductive Sampling Probe for Ultra-High Speed Circuit Characterization

Joungba Kim, Yi-Jen Chan*, Steven Williamson, John Nees,
Shin-ichi Wakana, John Whitaker, Dimitris Pavlidis*

Center for Ultrafast Optical Science, *Center for High Frequency Microelectronics
The University of Michigan, EECS
2200 Bonisteel, 1006 IST
Ann Arbor, MI 48109-2099

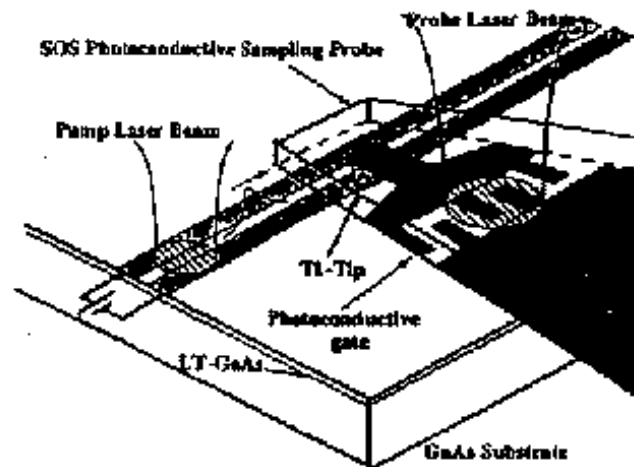
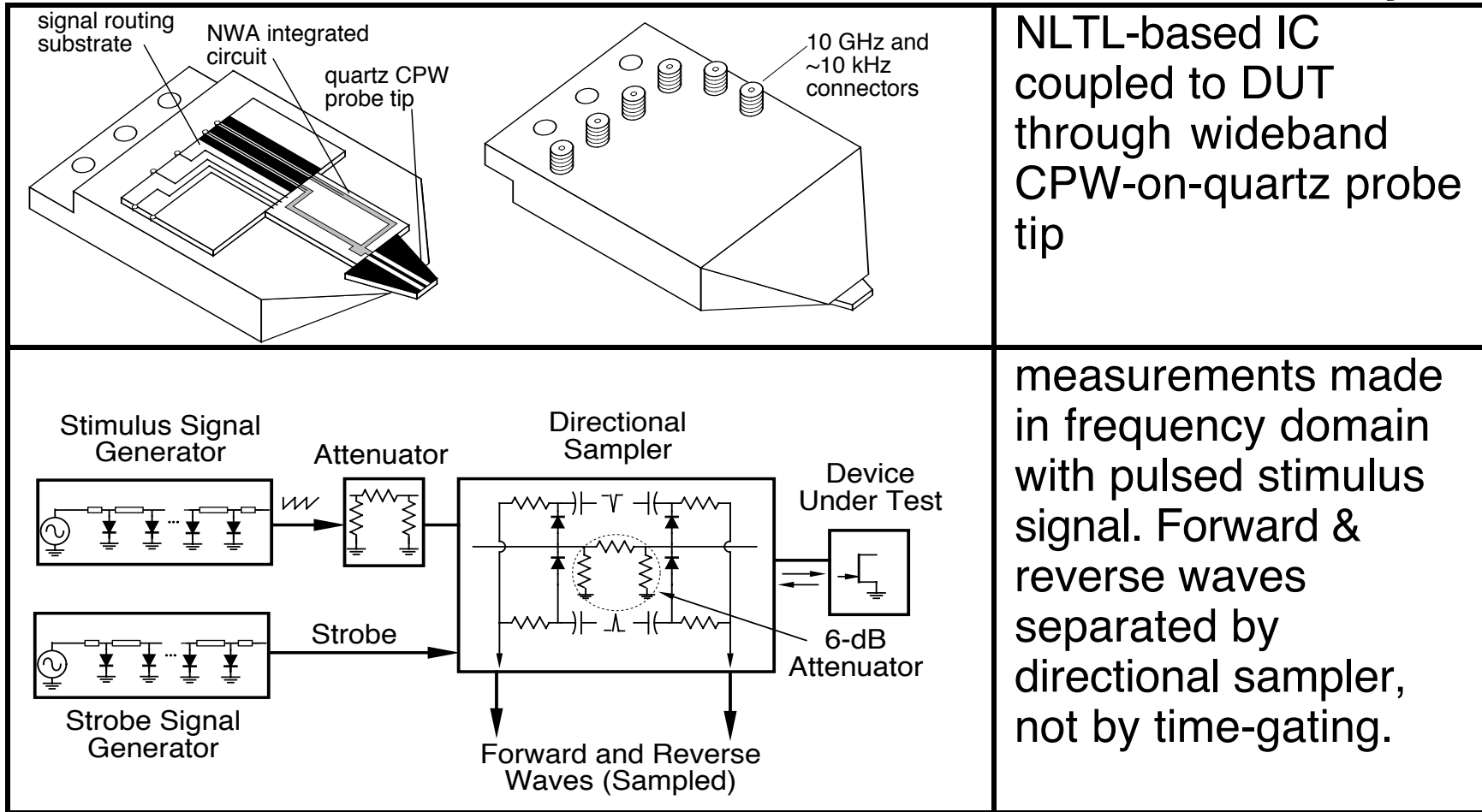
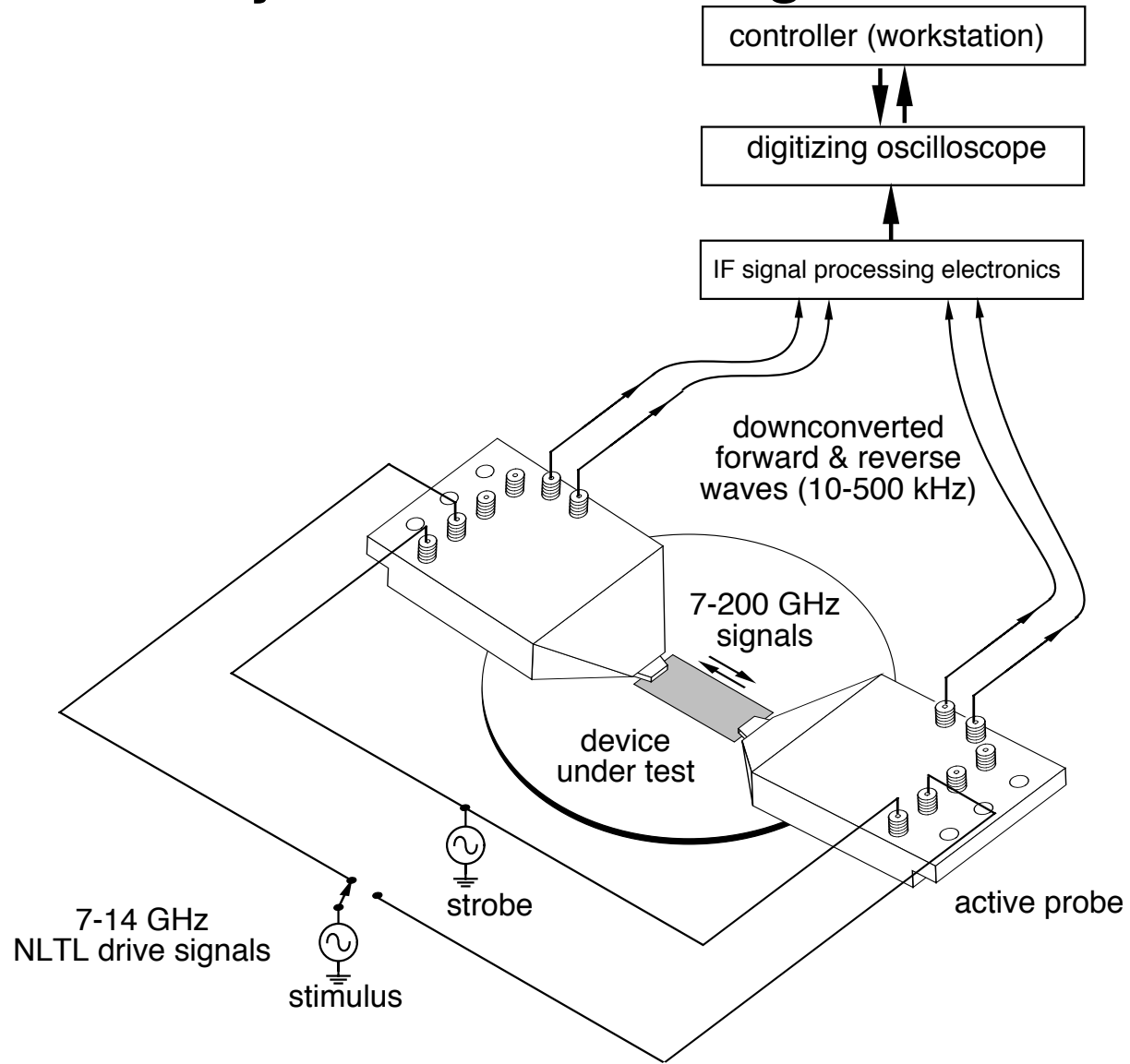


Fig.1 Experimental set-up to measure short electrical pulses propagating along a coplanar strip. We used 120-fs Ti-Sapphire laser for pump and probe beam.

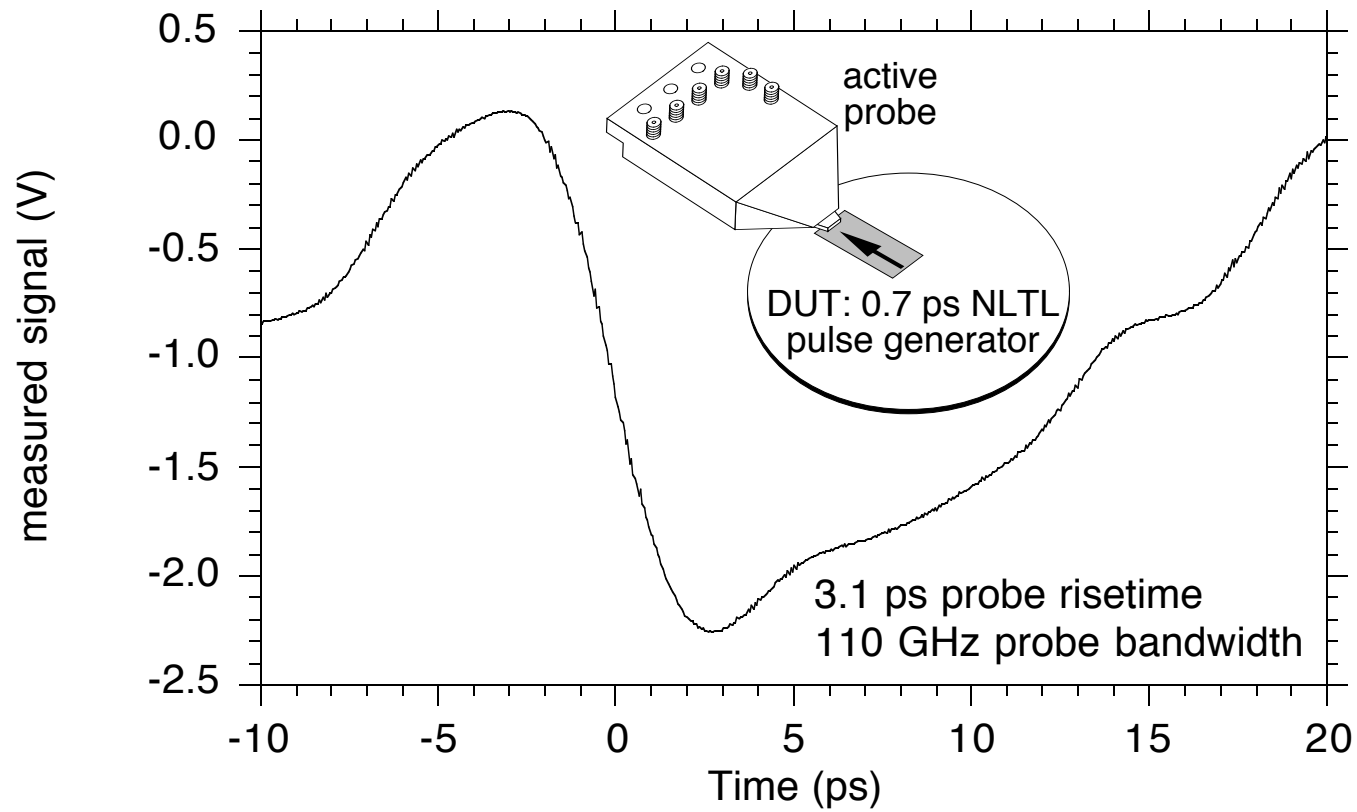
NLTL-based Probe for On-Wafer Network Analysis



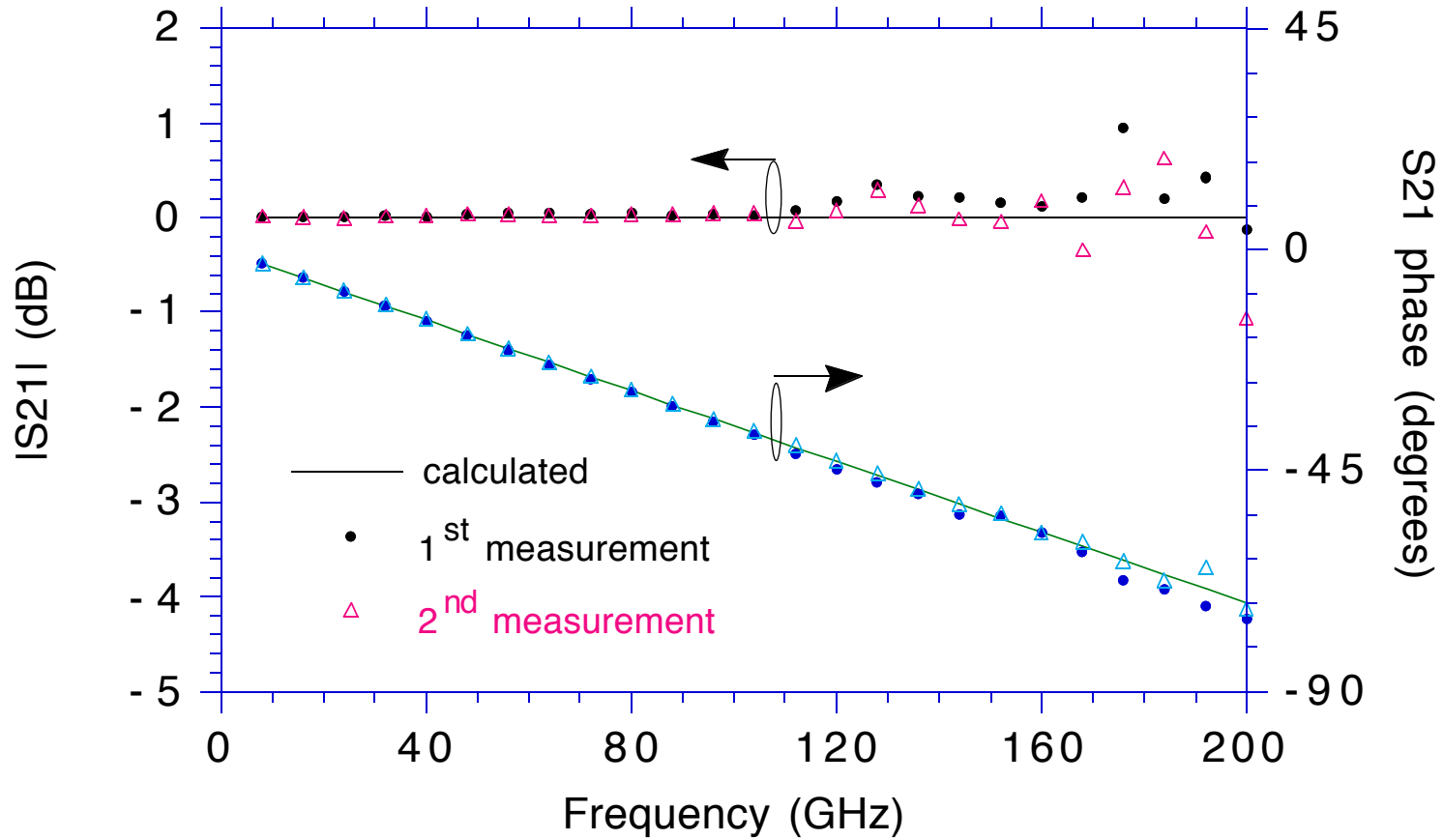
System Block Diagram



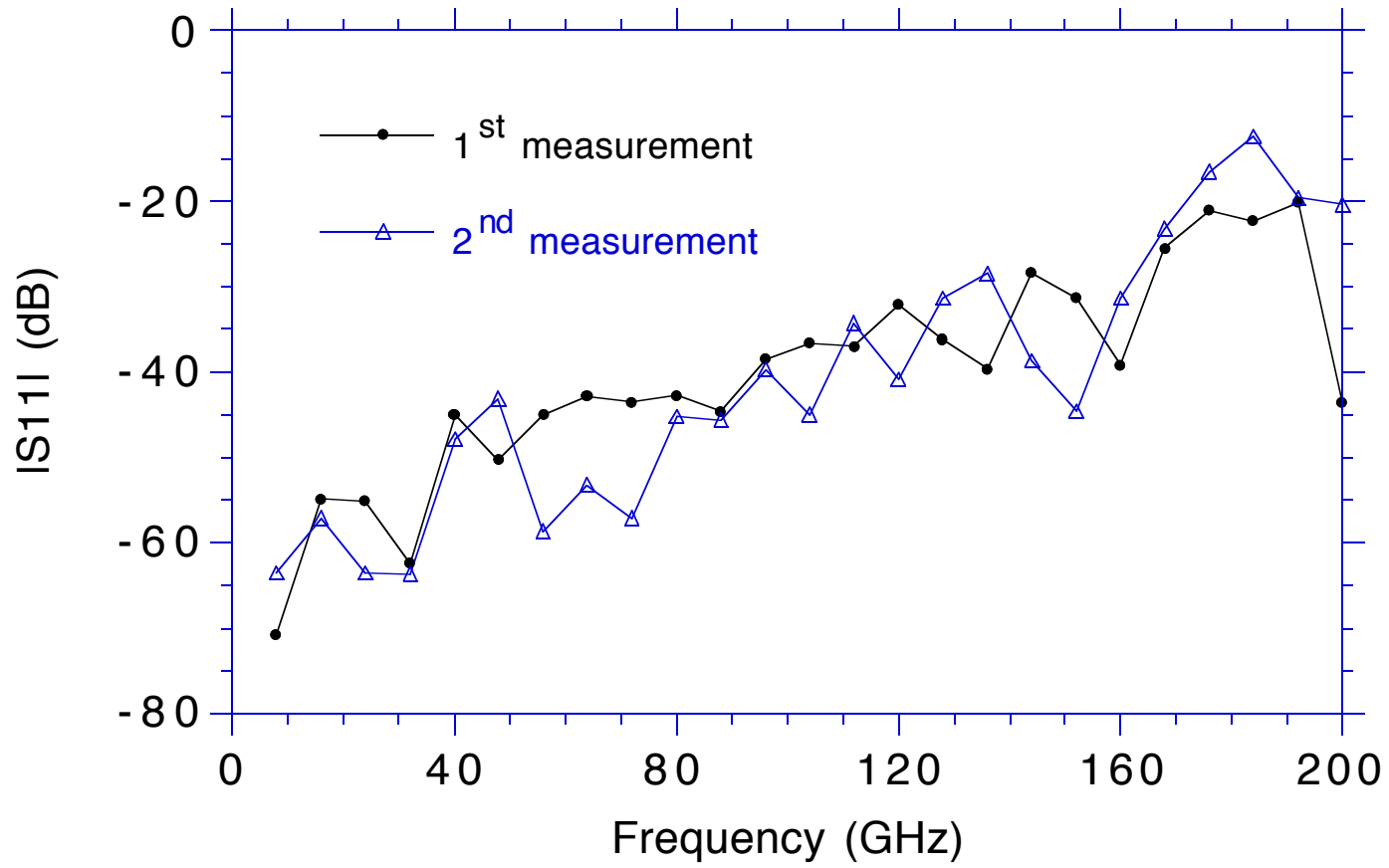
NLTL-based active probe pulse response



Measurements with active probe

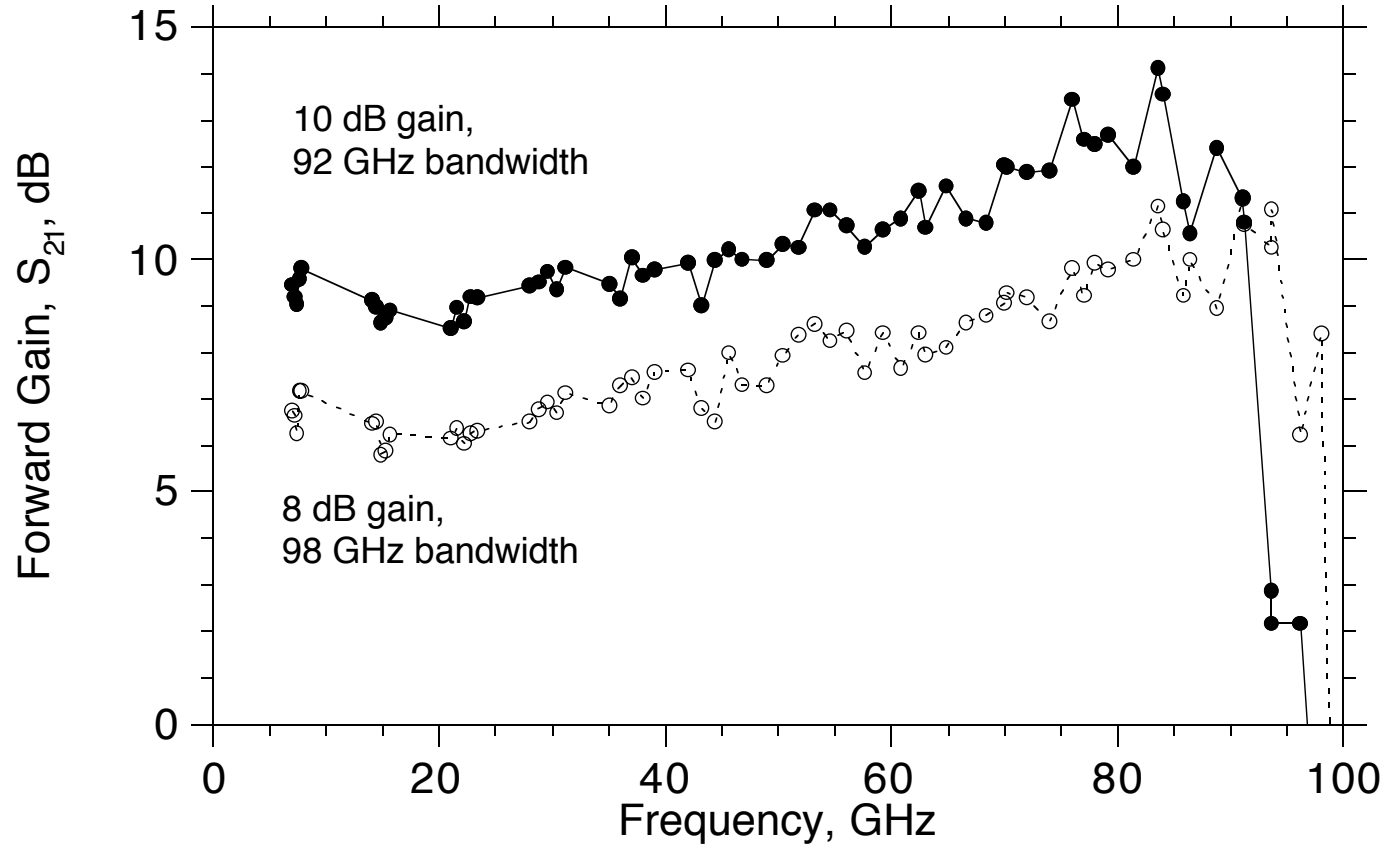


Measurements with active probe



Measurements with active probe

Measured Results: First Design Iteration



(capactive-division TWA shown earlier.)

AFM active probe

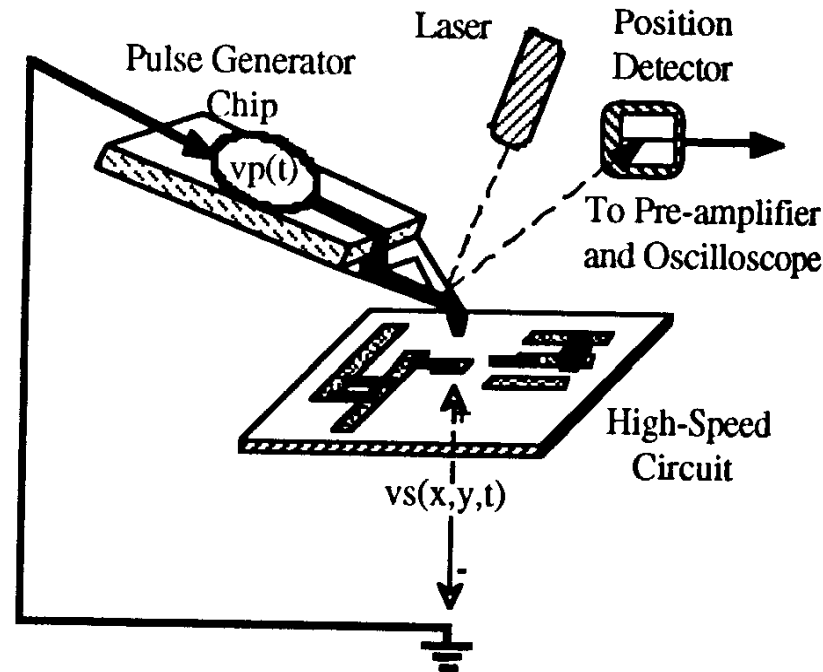
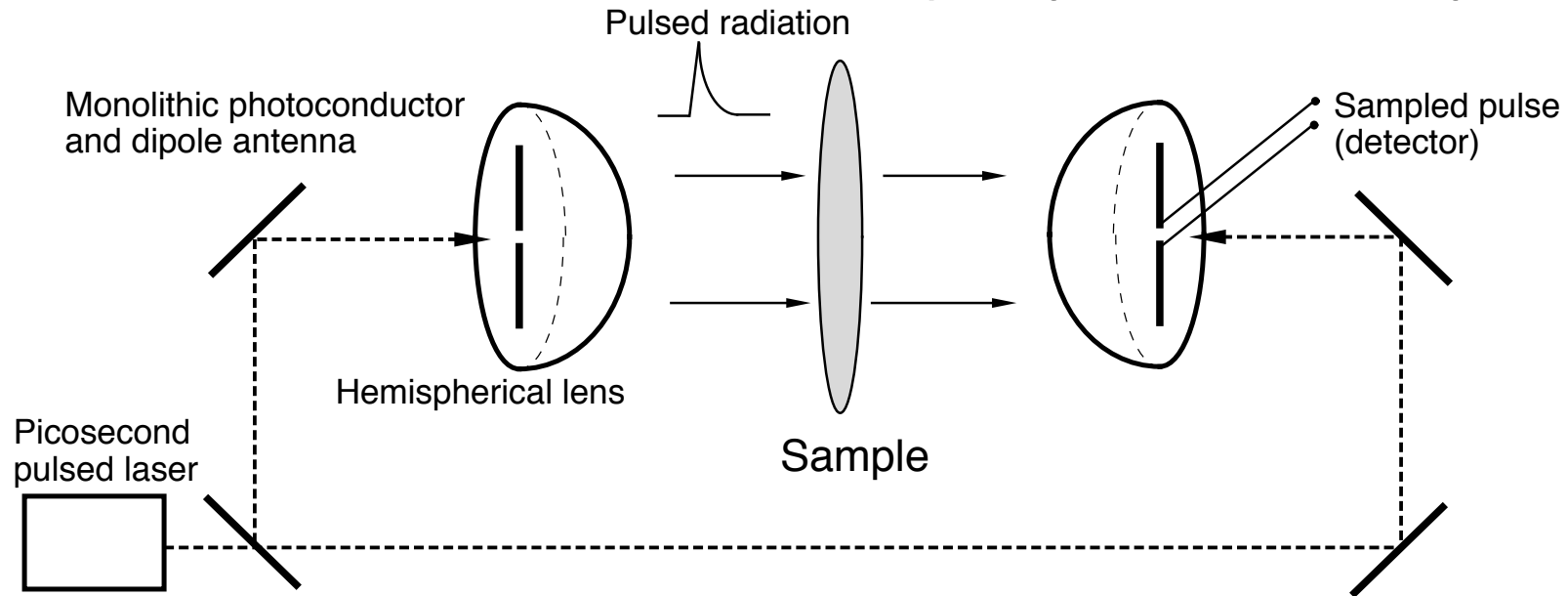


Figure 1. High-speed SFM probe system.

- Ho et al , 1995 Ultrafast Electronics & Optoelectronics conference
- Force on AFM tip proportional to voltage squared--provides nonlinearity to sample DUT signal with pulse train applied through AFM tip.
- Bettina et al, 1997 UFEO conference: NLTL pulse generator, ~ 1 ps resolution

pico-/femto- second laser spectroscopy

(mm-Wave and sub-mm-wave Gain-Frequency Measurement System)



- Broadband: ≈ 1.5 THz demonstrated
- need picosecond laser (big, \$\$)

Purpose: measurements of materials and sub-mm-wave components

(sub)picosecond Laser Spectroscopy: Exter et al.

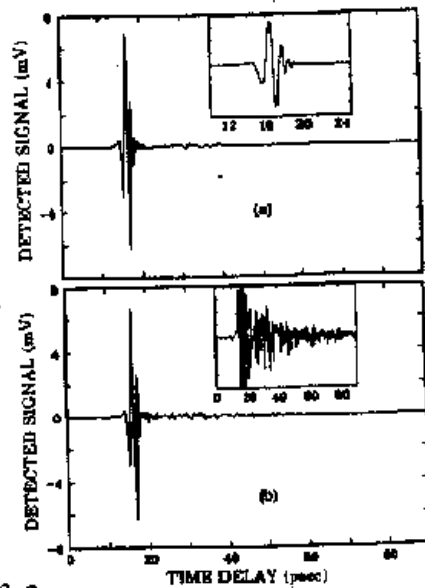
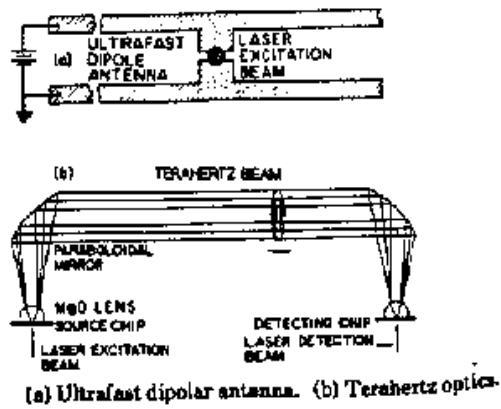


Fig 3-2 (a) Measured electrical pulse of the freely propagating terahertz beam in pure nitrogen. The inset shows pulse on an expanded time scale. (b) Measured electrical pulse with 1.5 Torr of water vapor in the enclosure. The inset shows pulse on a 20x expanded vertical scale.

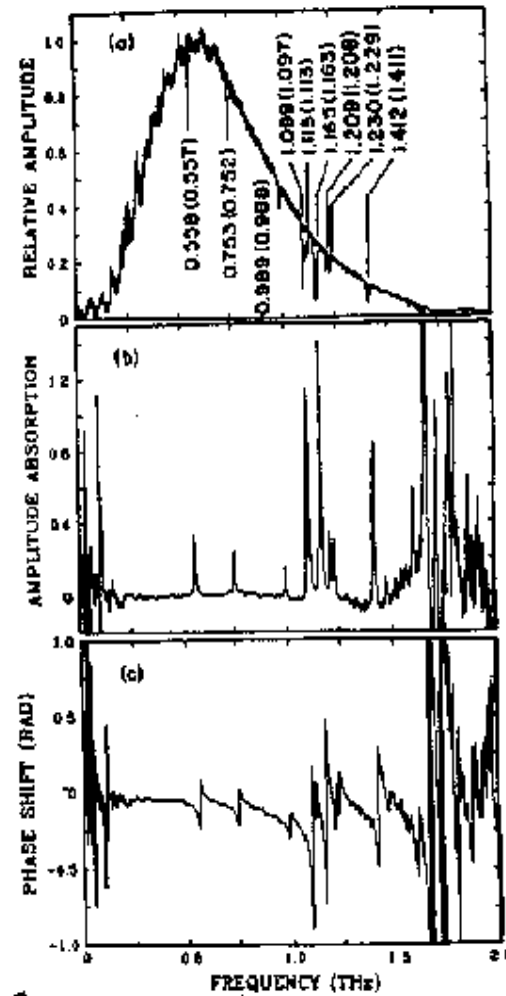
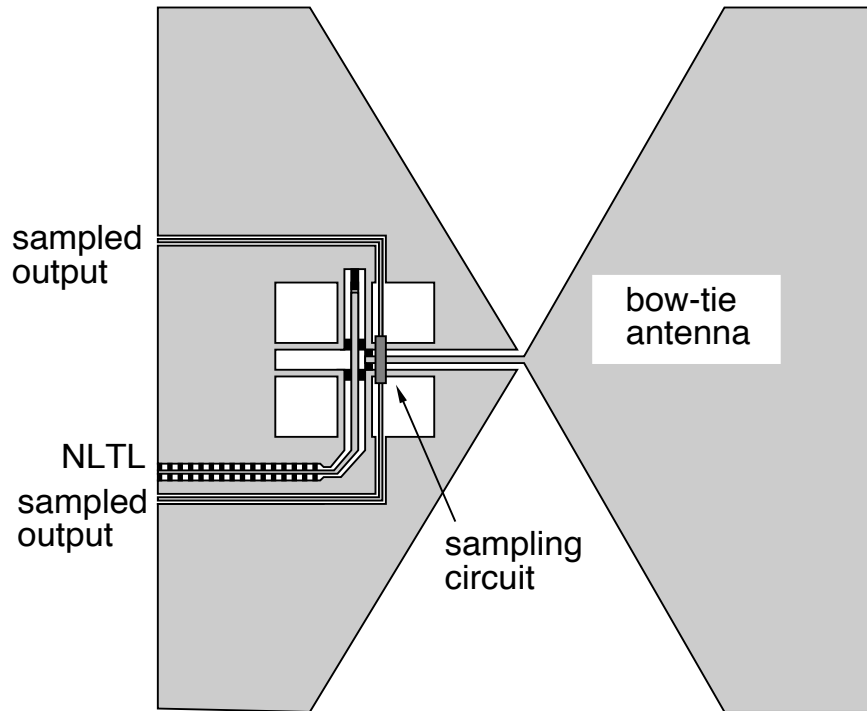


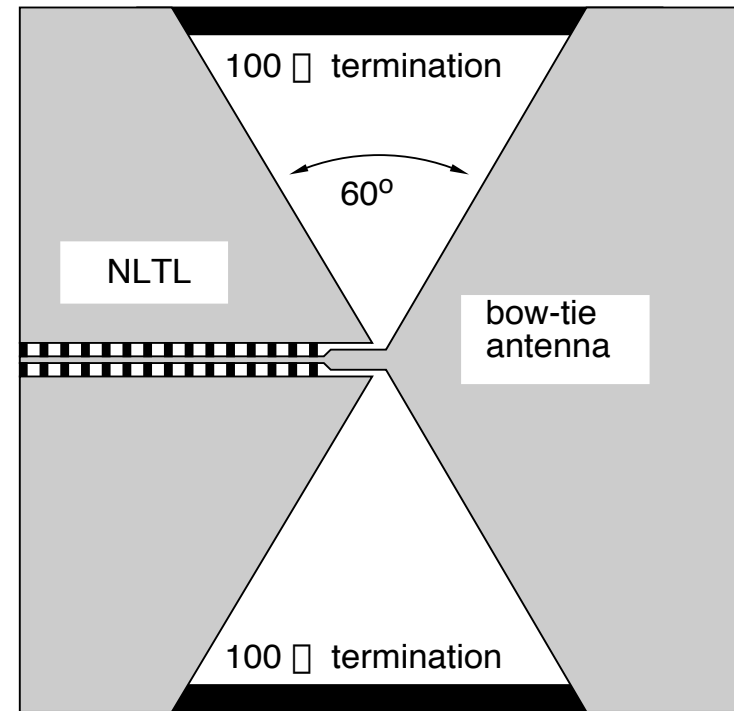
Fig 3-3 (a) Amplitude spectra of Figs. 2(b) and 2(c). (b) Amplitude absorption coefficient obtained from Fig. 3(a). (c) Relative phase of the spectral components of Fig. 3(a).

NLTL-based Transmitter and Receiver

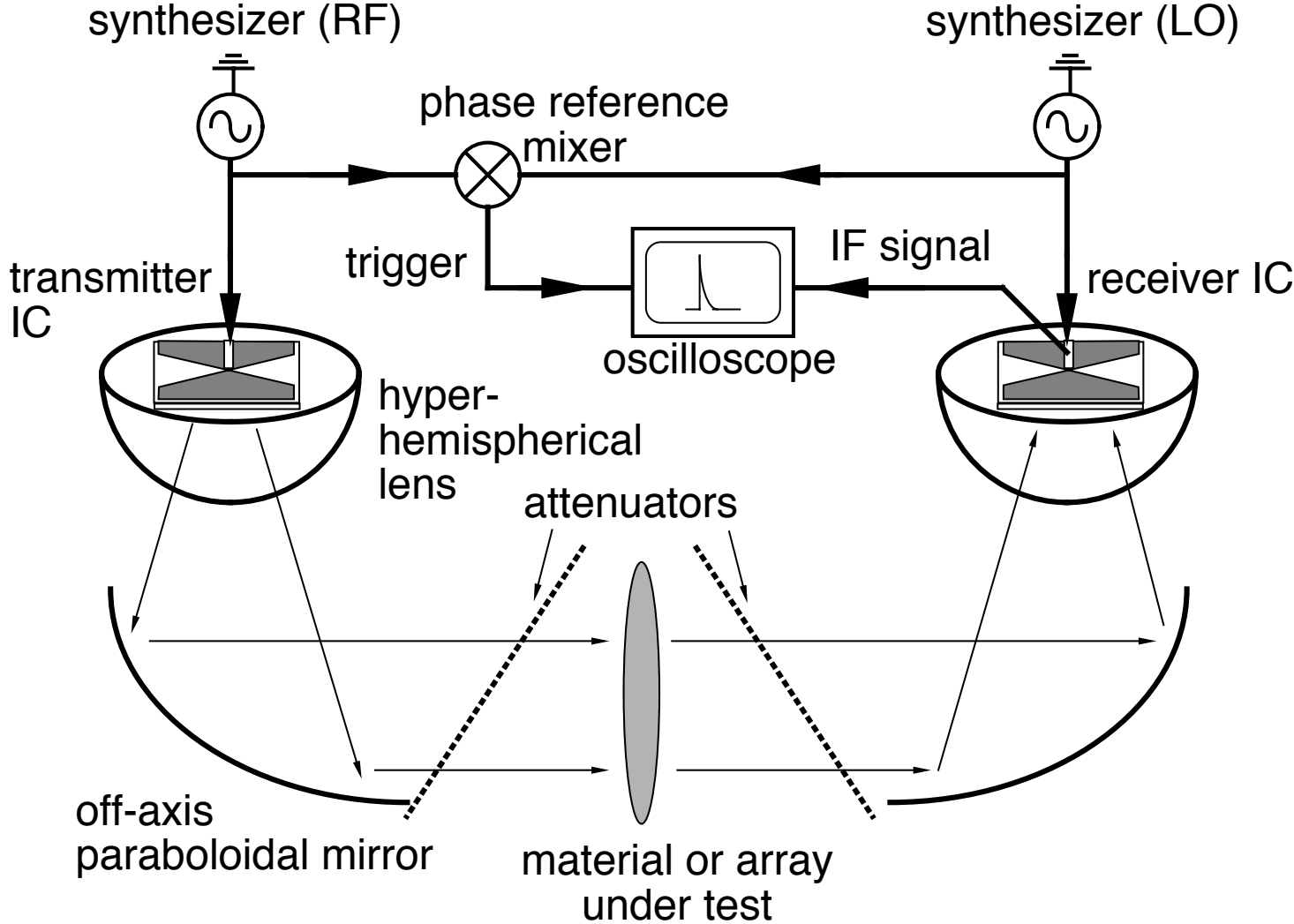
Transmitter



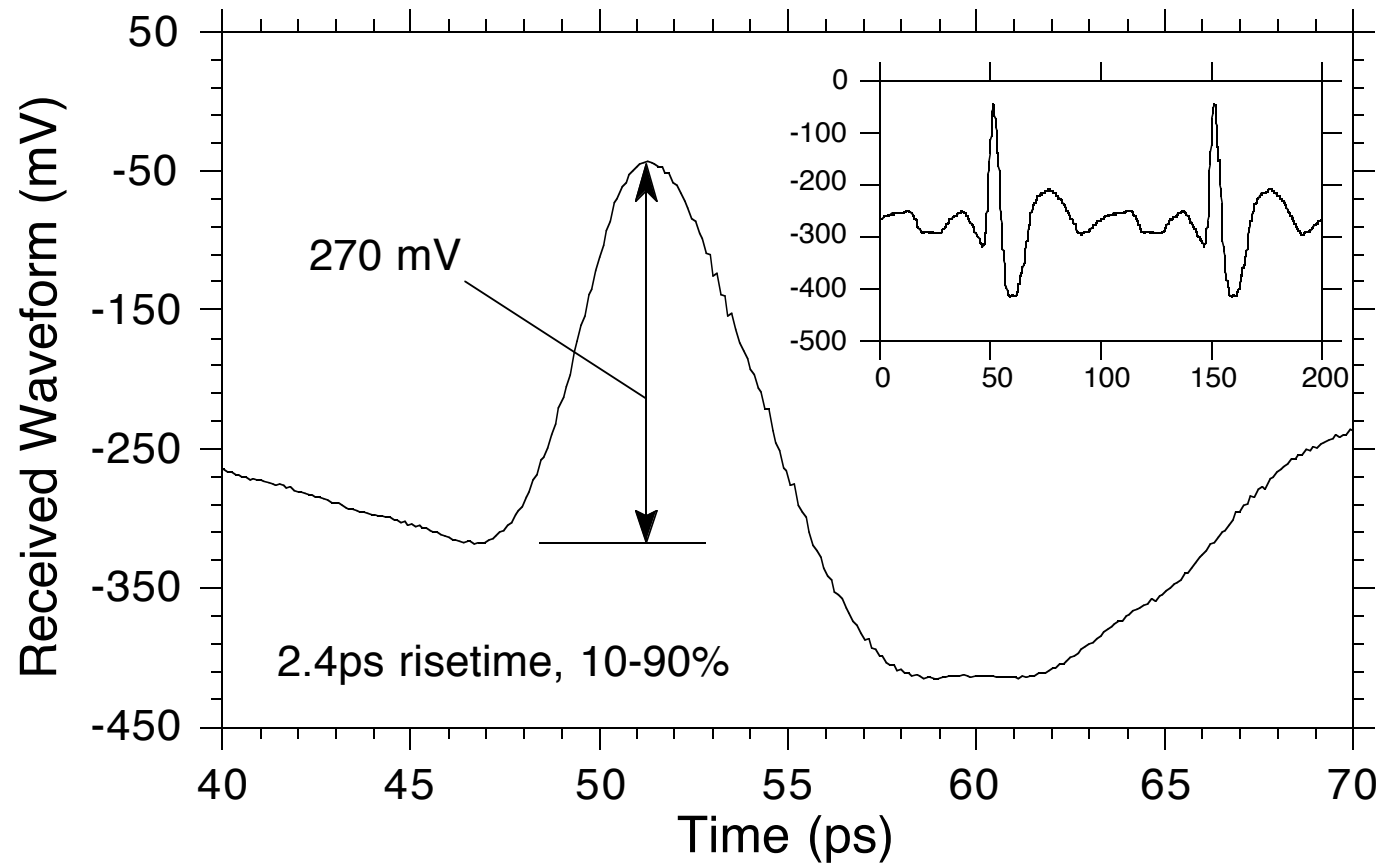
Receiver



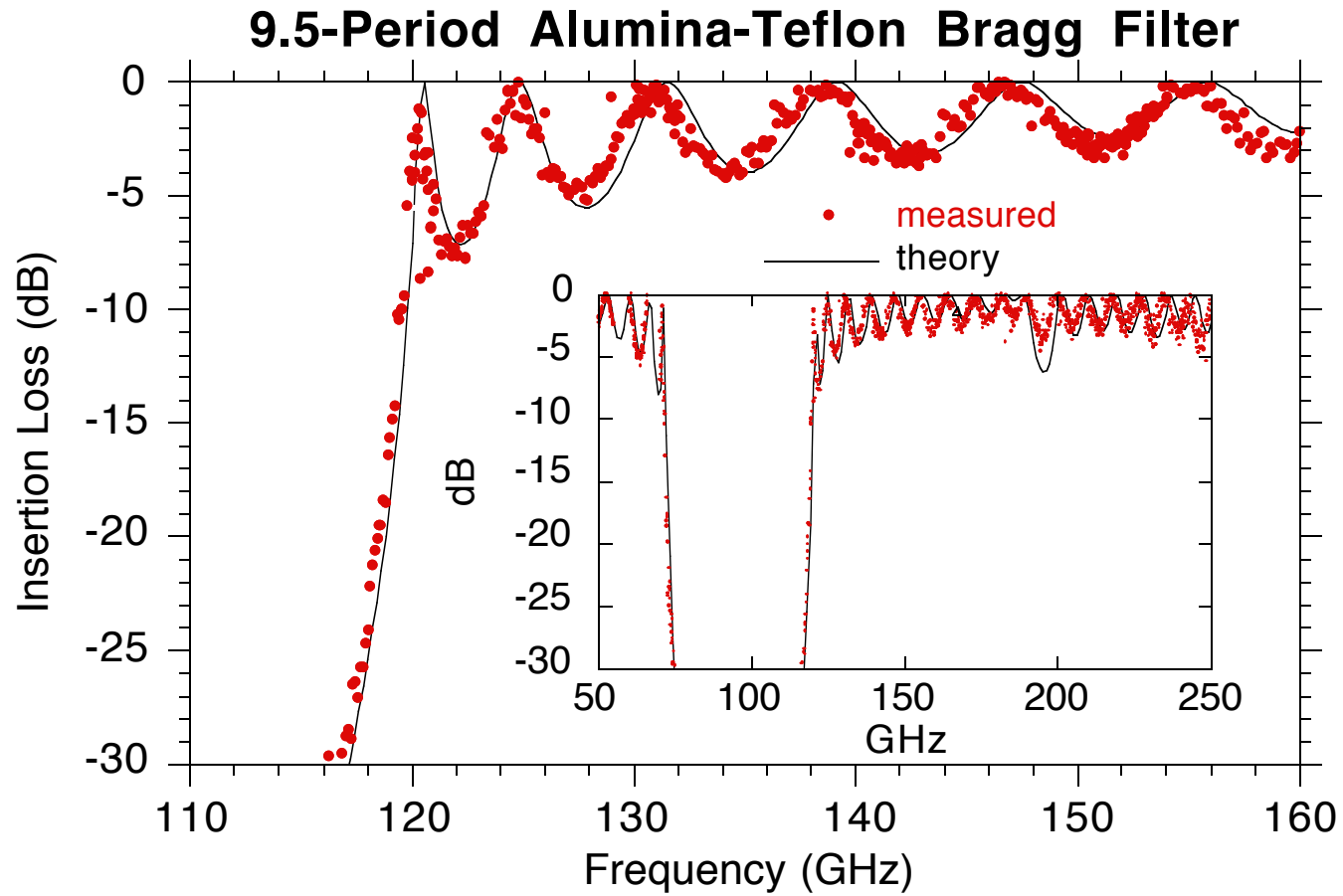
Free-Space mm-Wave Measurement System



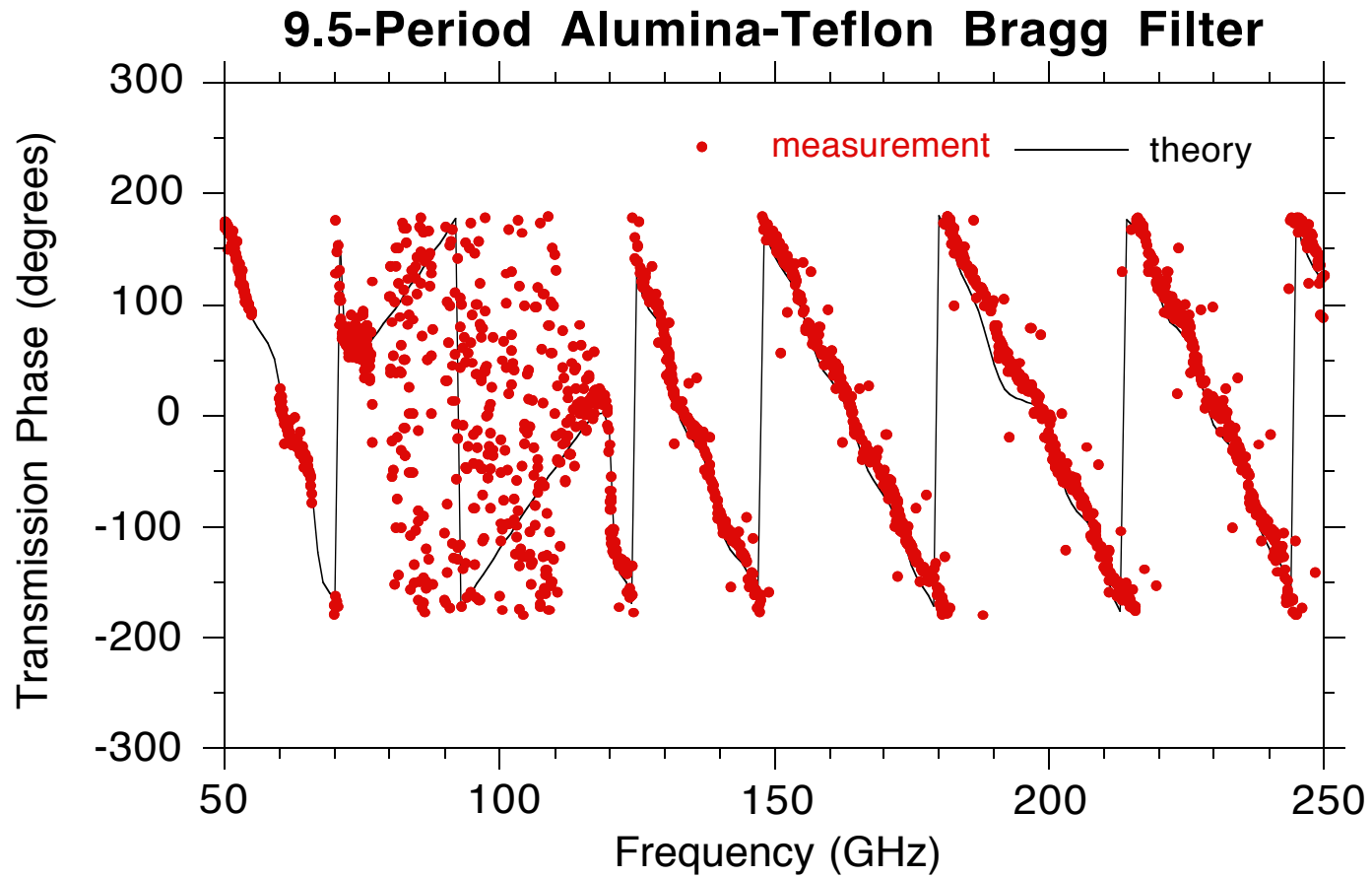
Measured Signal with NLTL-based system



measurement with NLTL-based system



measurement with NLTL-based system

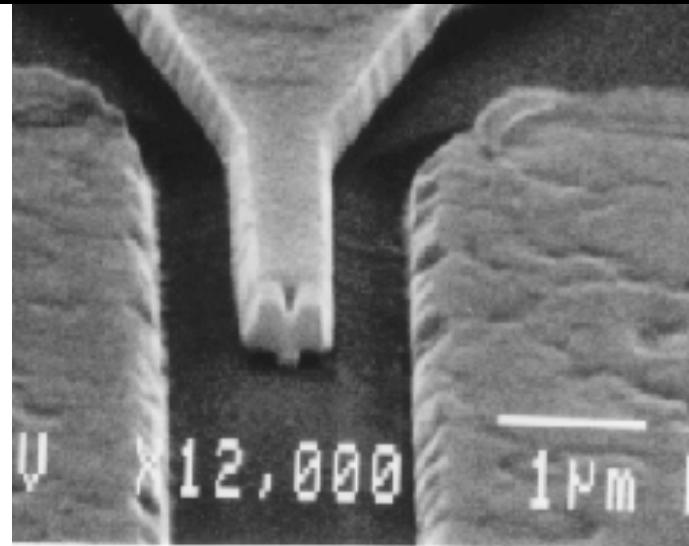


Appendix sections:

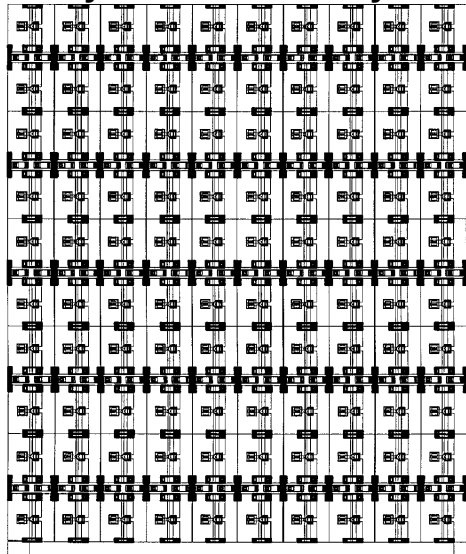
Soliton NLTL impulse compressors
Traveling-wave RTD pulse generators

RTD Array Oscillators for THz signal generation

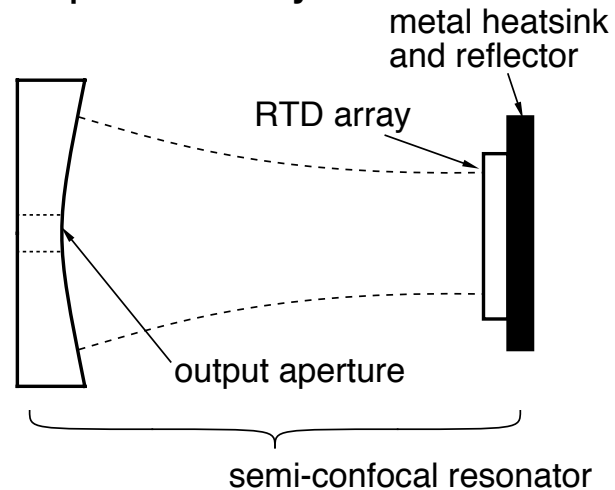
- Power per device is small.
- Combine outputs from array of $\approx 1000-10,000$ SRTD oscillators.
- Cavity resonator defines oscillation frequency, provides strong coupling between array elements



Array oscillator layout

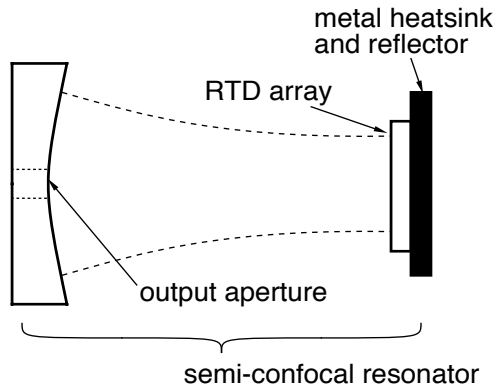


Quasi-optical Array Oscillator:

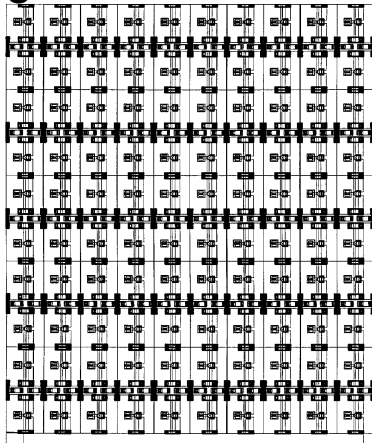


A Critical Issue: Suppression of Bias Circuit Oscillations

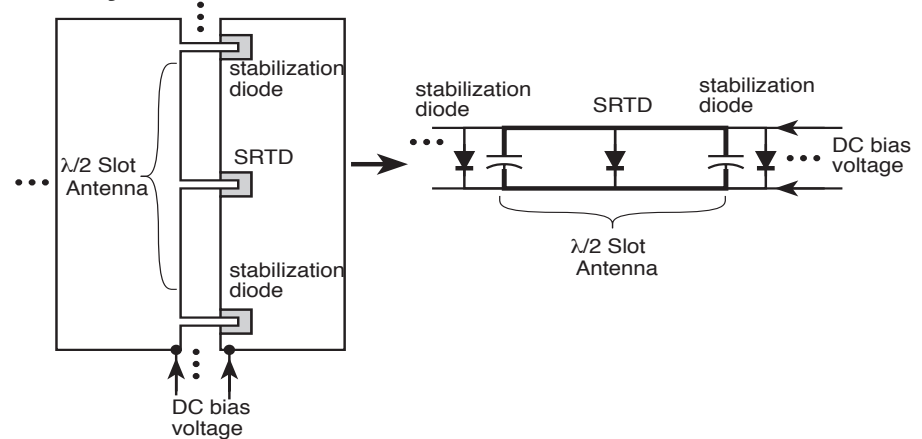
Oscillator: array in resonator



Array: negative-resistance plane

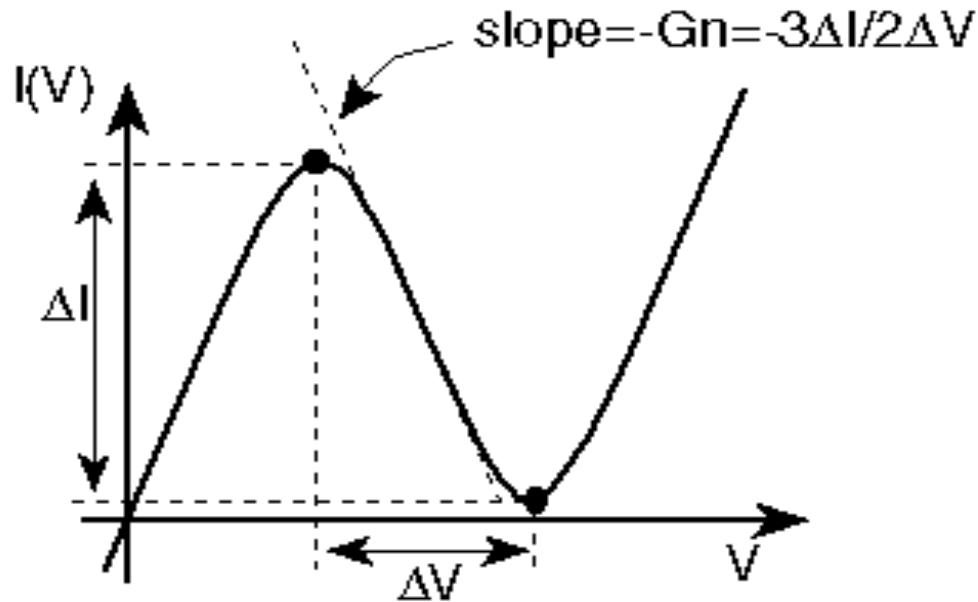


Array unit cell: RTD with bias stabilization



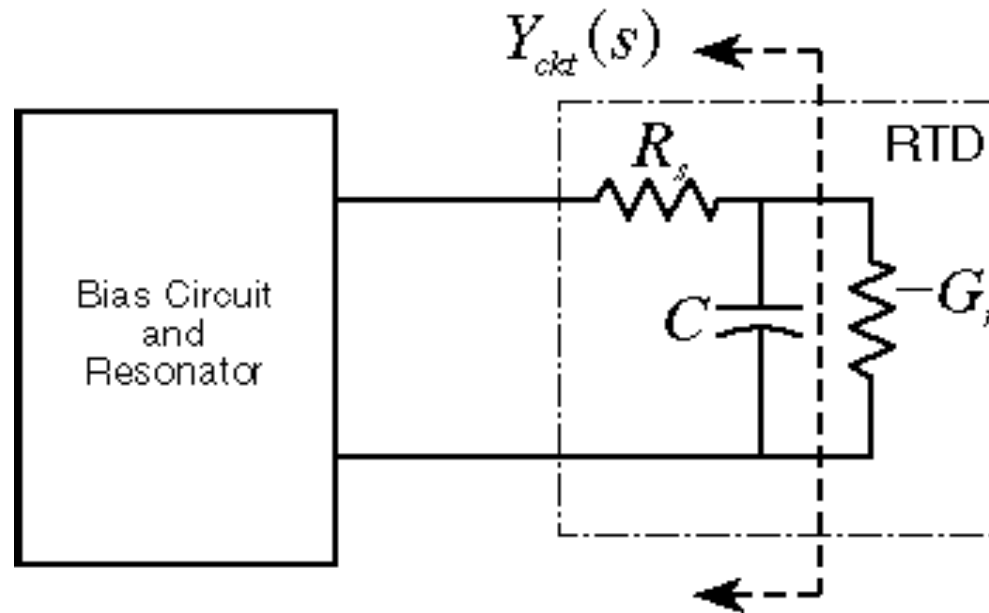
- Without stabilization, bias circuit stability issues limit power to ≈ 0.5 mW.
- integrated Schottky stabilizer diodes

Maximum RTD Output Power



- Cubic Polynomial fit to RTD I-V characteristics
- Peak negative conductance: $G_n = 3\Delta I / 2\Delta V$
- Maximum RTD output power:
 $P_{\max} = (3 / 16)\Delta I\Delta V(1 - f^2 / f_{\max}^2)$
- In terms of G_n : $P_{\max} = (\Delta V^2 G_n / 8)(1 - f^2 / f_{\max}^2)$

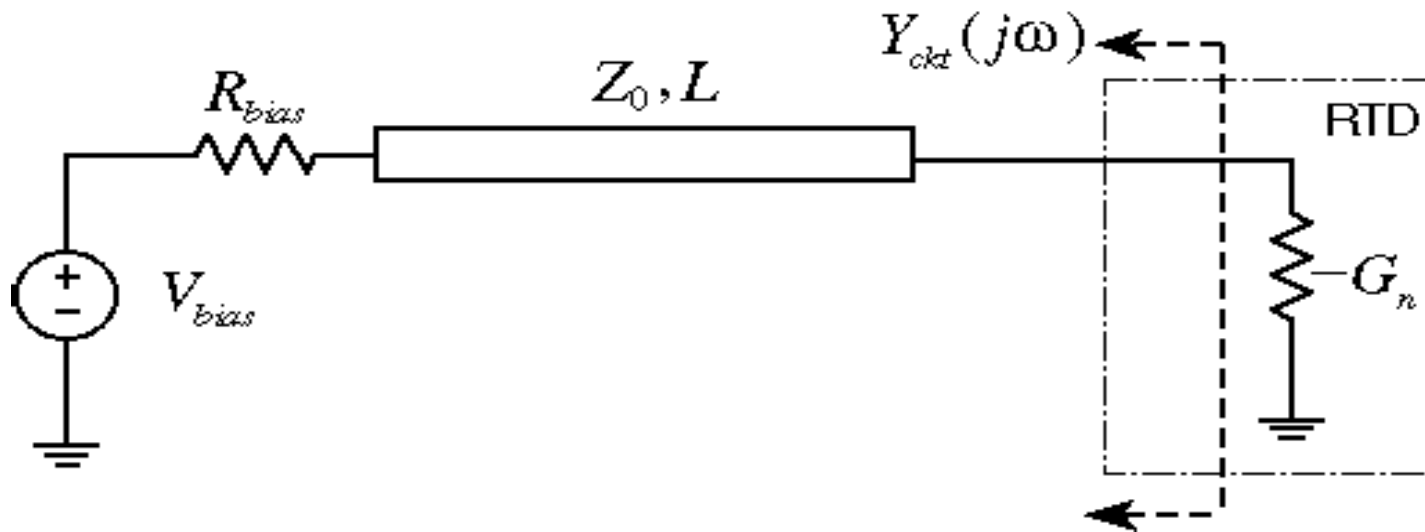
Conditions for Stability



- Find complex frequencies $s_i = \sigma_i + j\omega_i$ at which $Y_{ckt}(s) = G_n$
- Necessary and sufficient condition for stability is $\sigma_i < 0$
- A sufficient condition for stability is

$$\text{Re}[Y_{ckt}(j\omega)] = G_{ckt}(j\omega) > G_n$$
- At all frequencies where stability is demanded the external circuit should present a low impedance

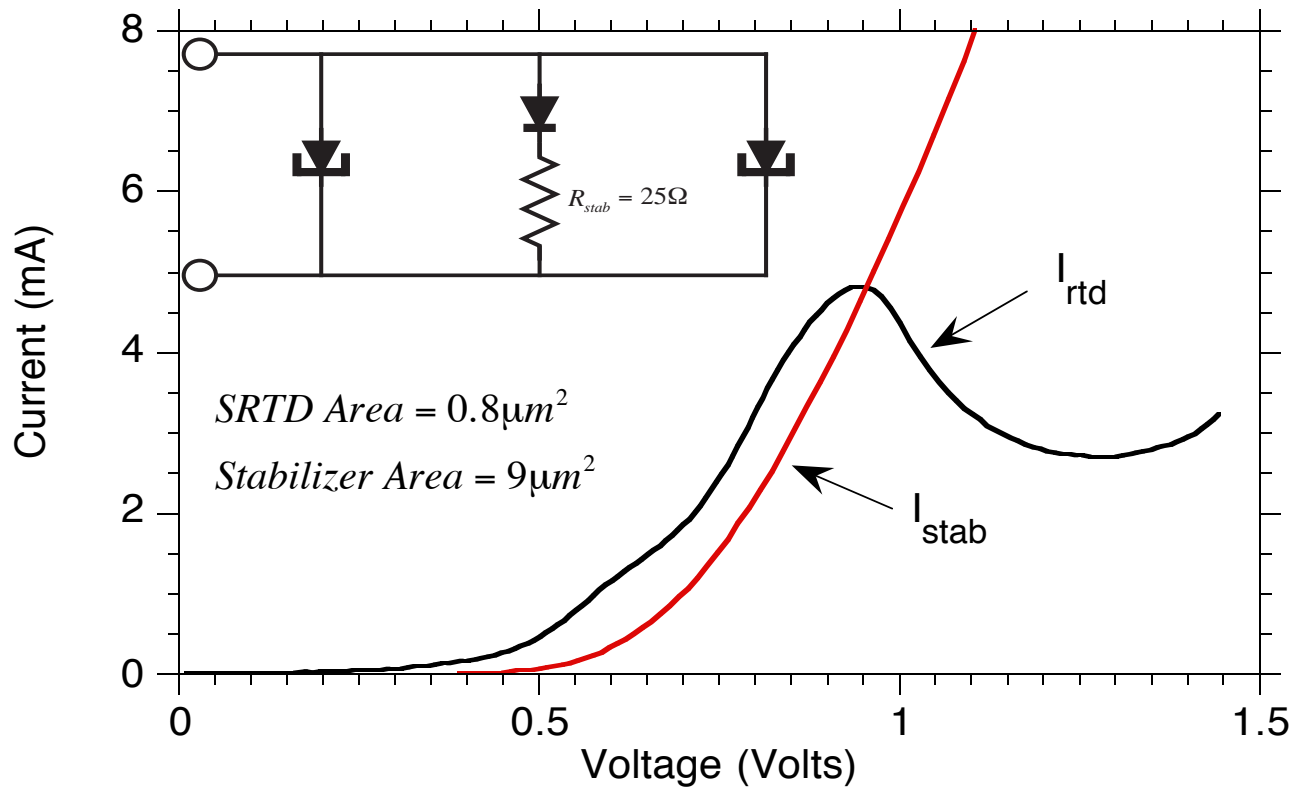
Power Limitations Imposed by Stability Requirements



- A sufficient condition for stability is $\text{Re}[Y_{ckt}(j\omega)] = G_{ckt}(j\omega) > G_n$
- DC stability demands that $1 / R_{bias} > G_n$: $P_{\max} = \Delta V^2 / 8R_{bias}$
- Stability at other frequencies constraints $R_{bias} = Z_0$:

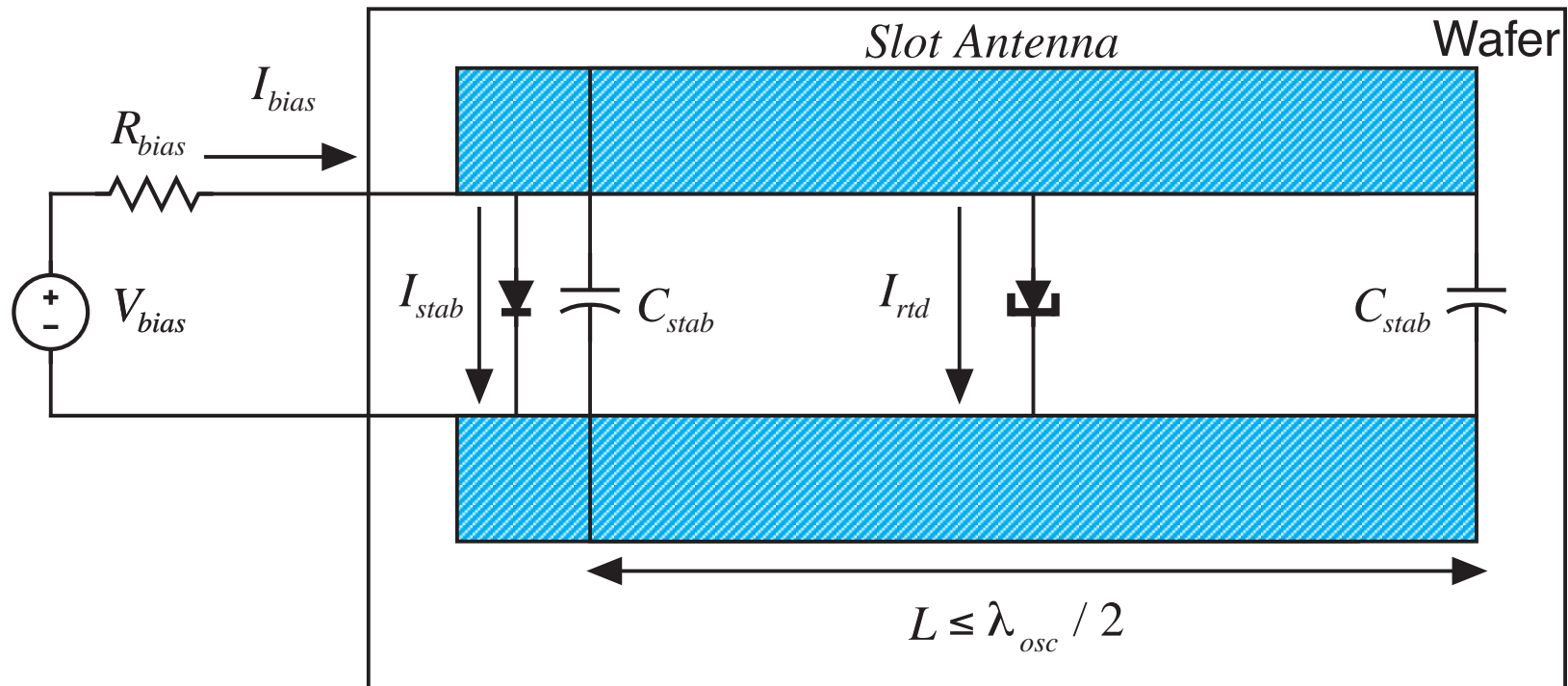
$$P_{\max} = \Delta V^2 / 8Z_0$$
- Power limitation eliminated if $L < \lambda / 4$: On wafer bias stabilizer at submm-wave frequencies

RTD Bias Stabilization using shunt Schottky diodes



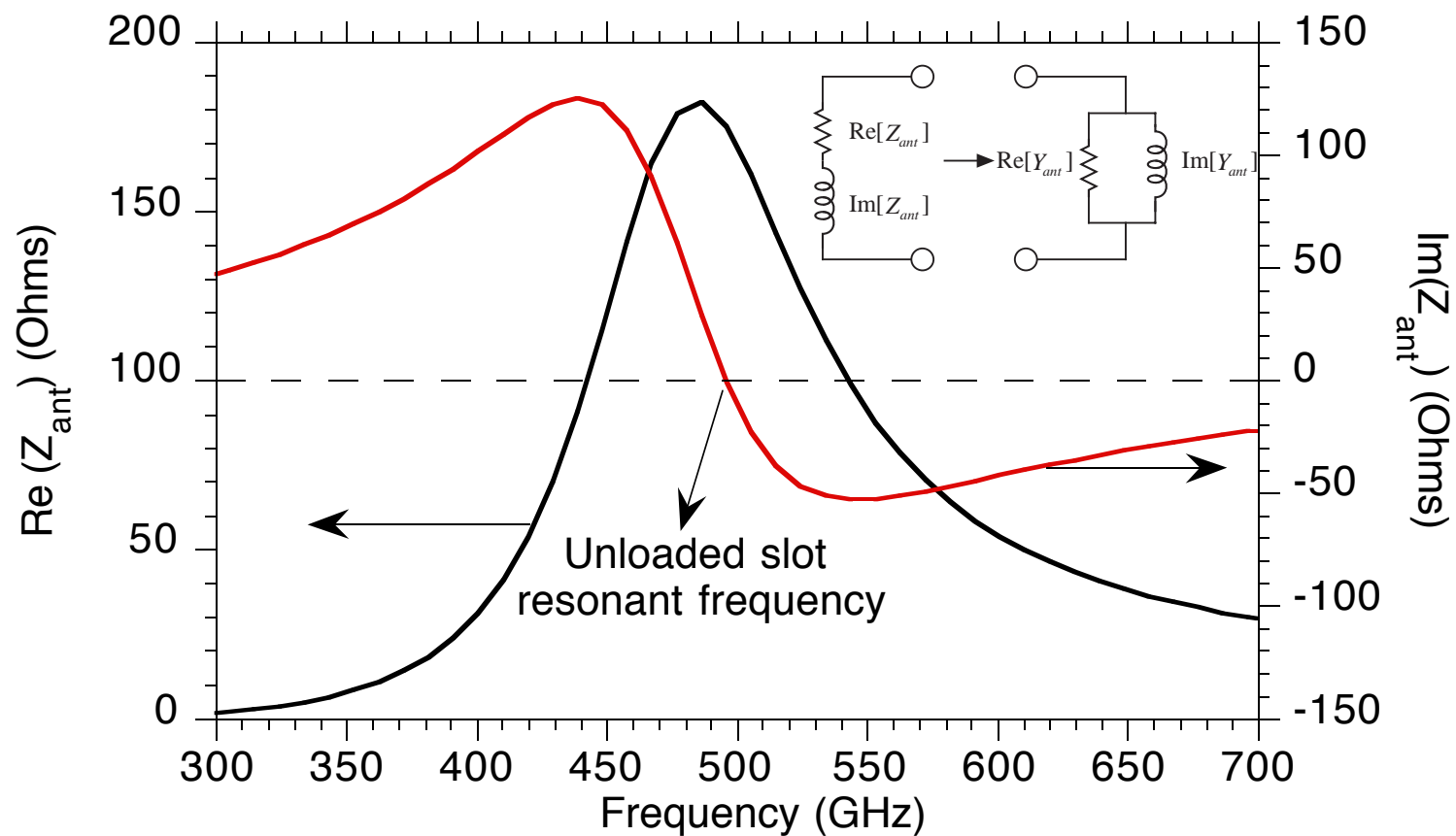
- 2 SRTDs share a stabilizer with $R_{stab} = 25\Omega$
- Easily extended to larger SRTDs by area scaling

Slot Antenna Coupled RTD Oscillator

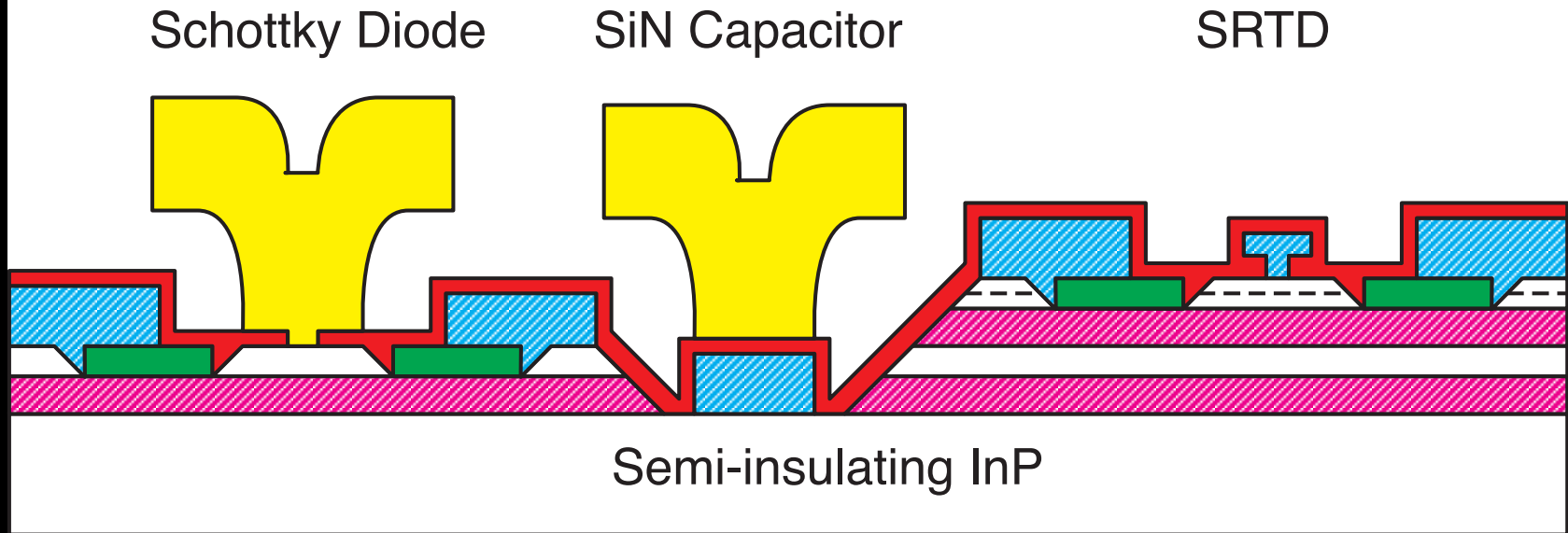



- Slot Antenna: Resonating and Radiating element
- SRTD capacitance detunes the slot length
- Easily extended to arrays

Slot Antenna Impedance Curve



InGaAs/AIAs SRTDs with InGaAs/InAlAs Schottky stabilizer diodes



 Buried N++ contact layer

 Ohmic Metal

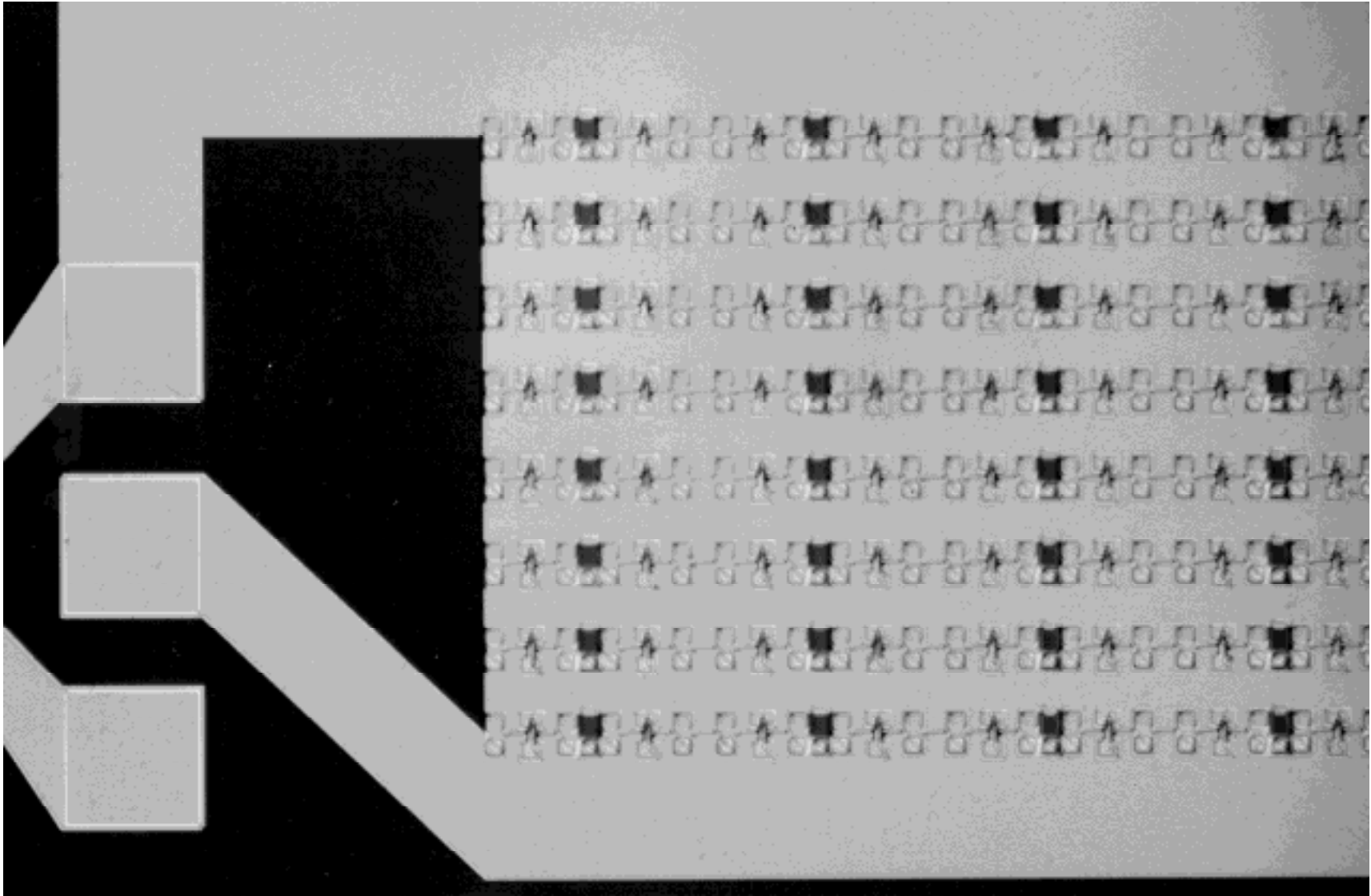
 Silicon Nitride

 Undoped layers

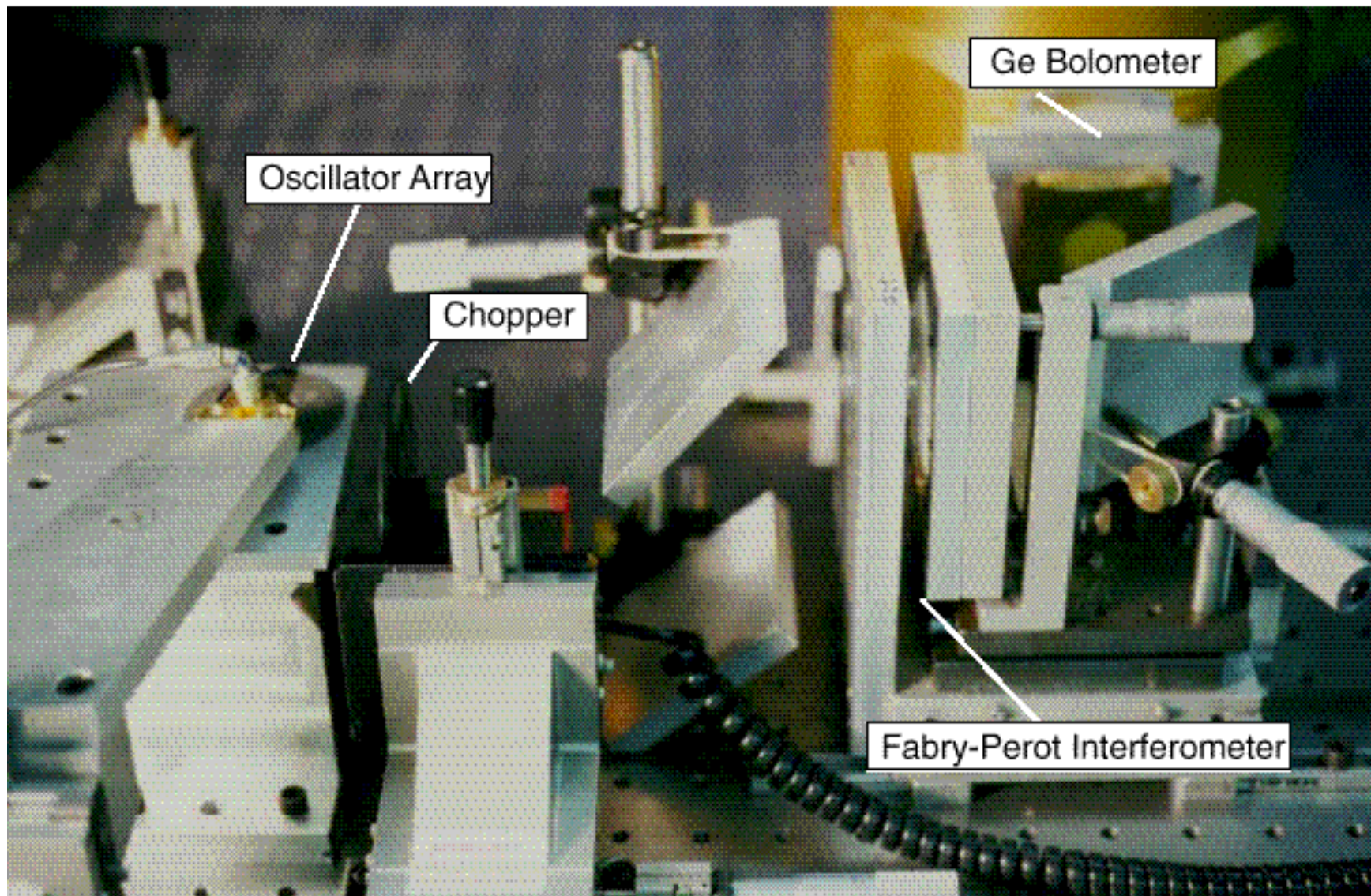
 Schottky and Interconnect metal

 Posts and Airbridge metal

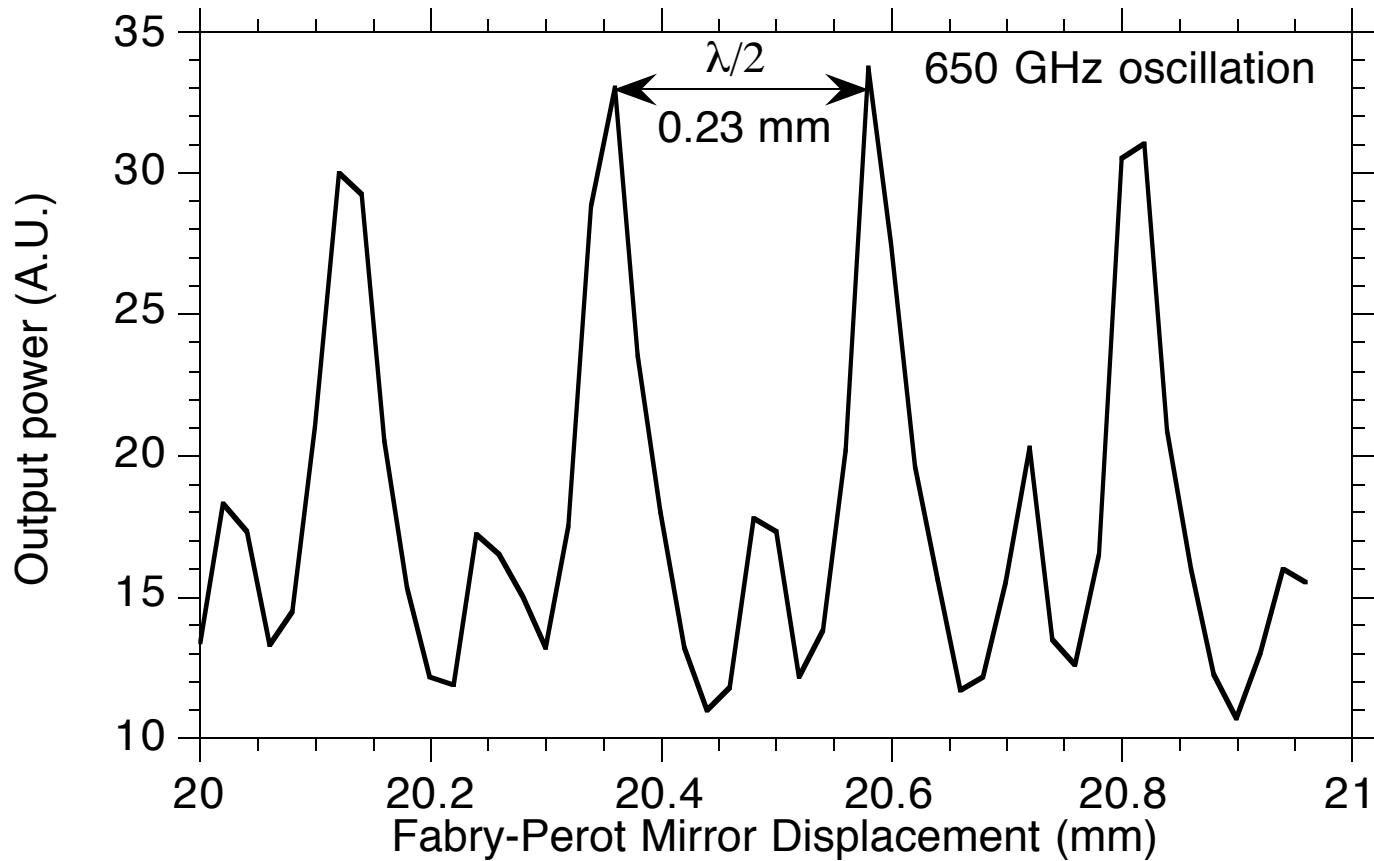
Oscillator Array



Quasi-optical Setup for Testing Submm-wave Oscillators



Bolometer output Vs Mirror displacement for a 64-element array at 800 GHz



Soliton NLTs: Impulse Compression

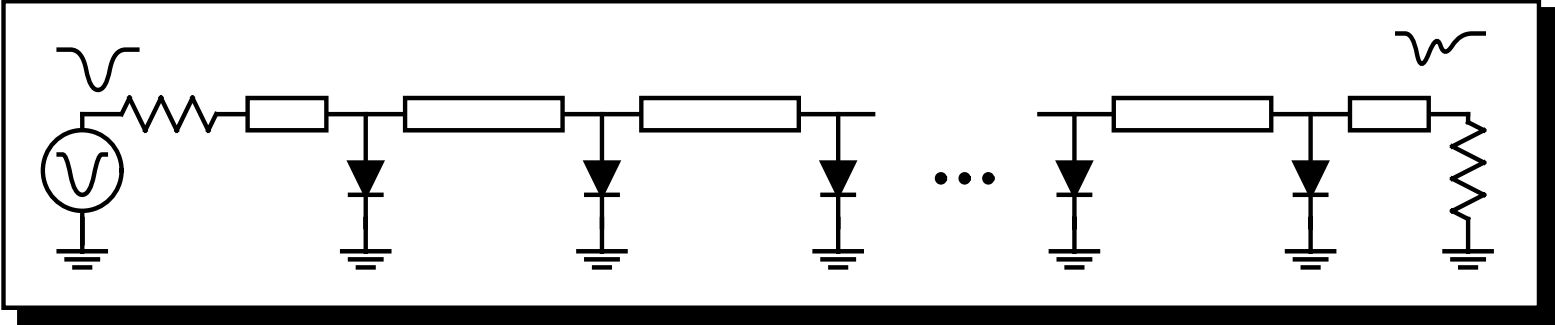
Shock-wave devices:

competition of nonlinearity against dissipation
wavefronts compressed into shock-waves
picosecond step-functions are formed

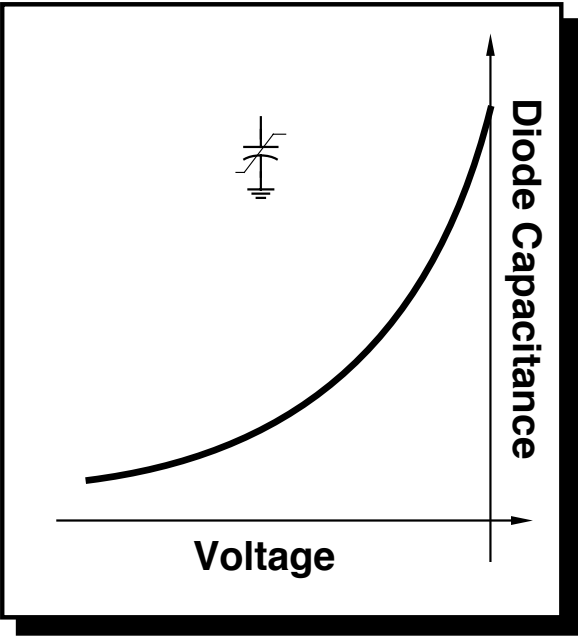
Soliton-propagation devices:

competition of nonlinearity against dispersion
input waveforms decompose into sets of solitons
appropriate scaling: compression of impulses
picosecond large-amplitude impulses are formed.

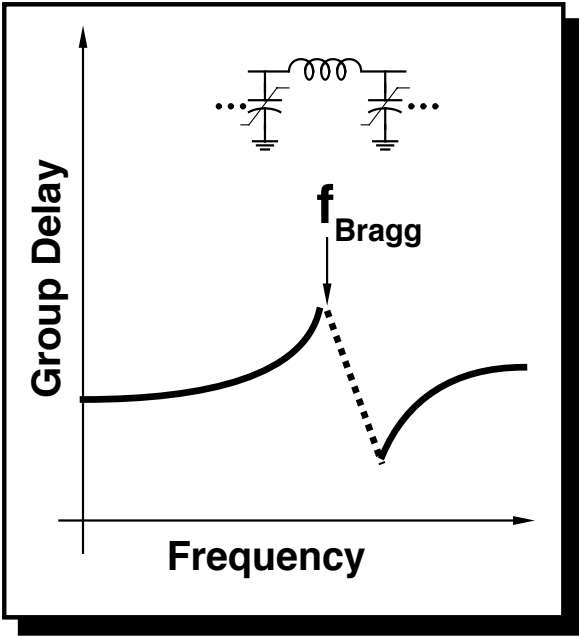
Signal Distortion on NLTLs



Diode Nonlinear Reactance



Periodic-Network (Bragg) Dispersion

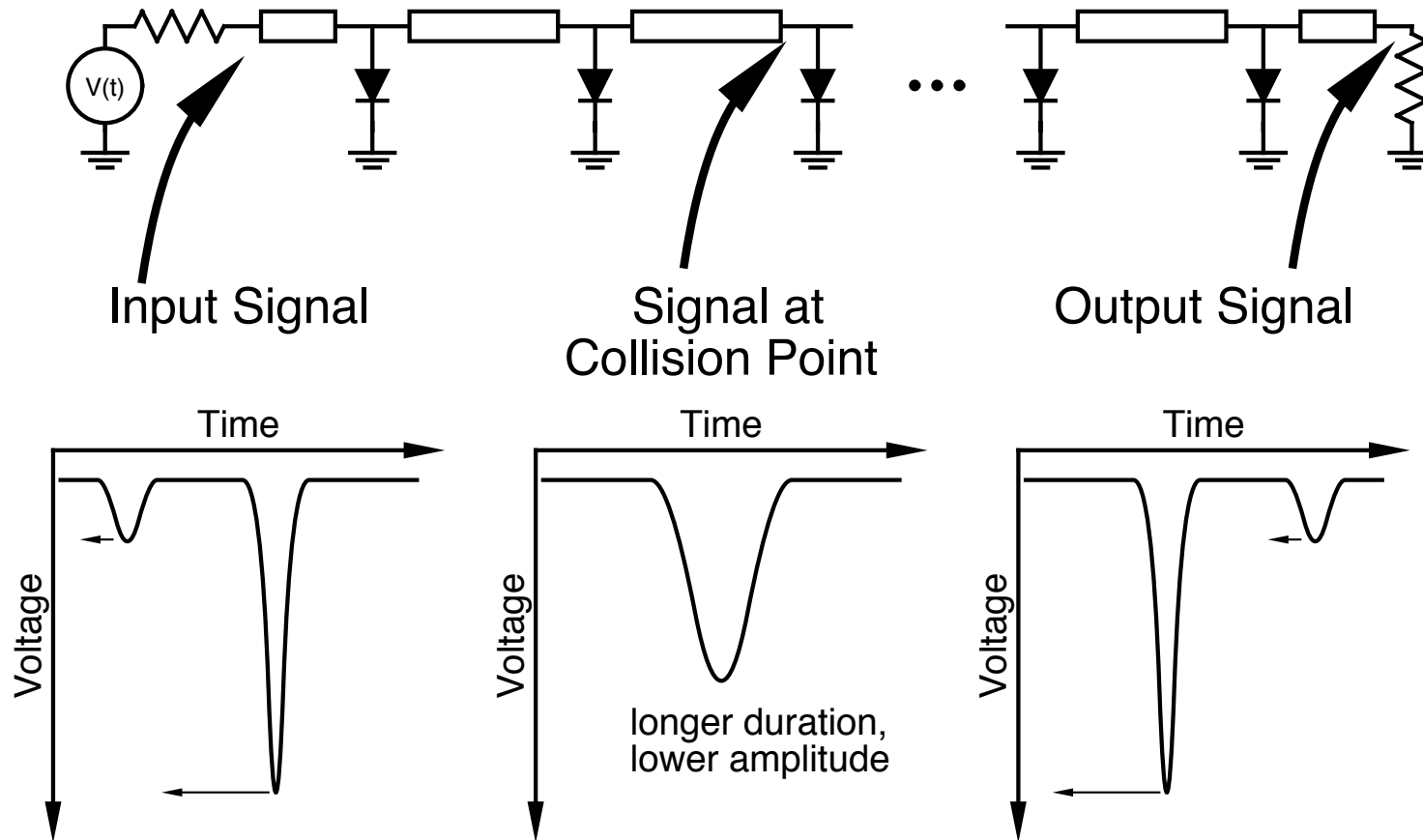


Solitary Waves on NLTs

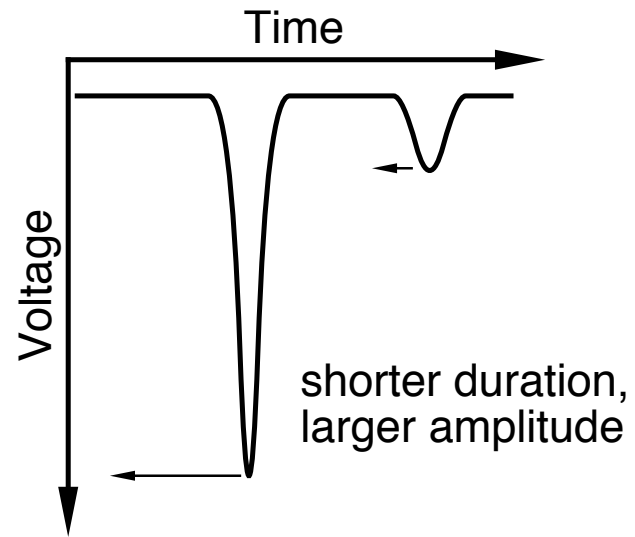
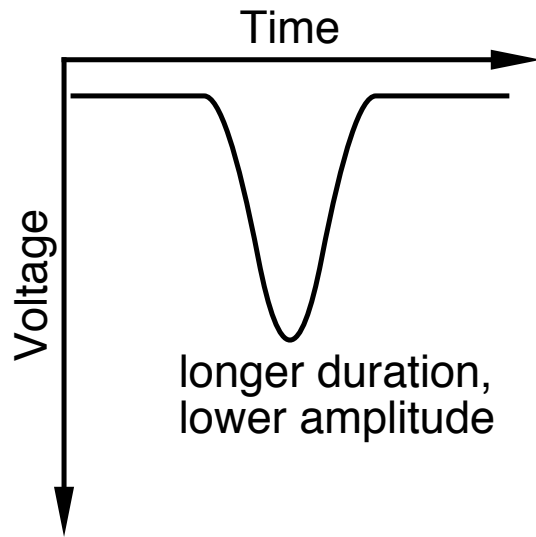
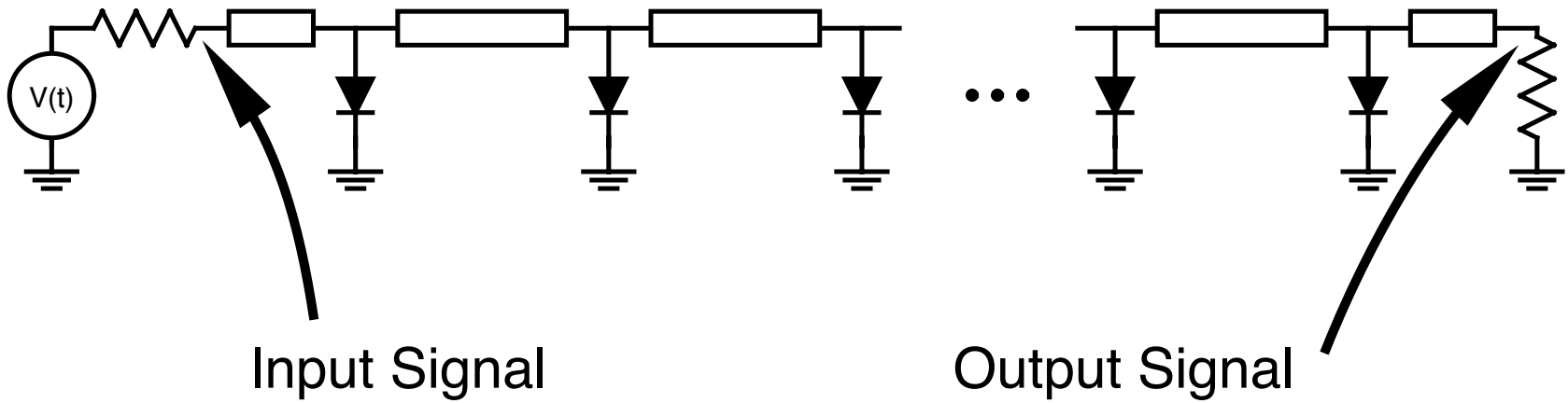
- Pulse waveforms for which nonlinearity and dispersion are in opposition
- Propagate without dispersion
- Larger amplitude solitary waves propagate faster
- Larger amplitude solitary waves have shorter duration
- Solitary wave duration inversely proportional to Bragg frequency.

Soliton Collision

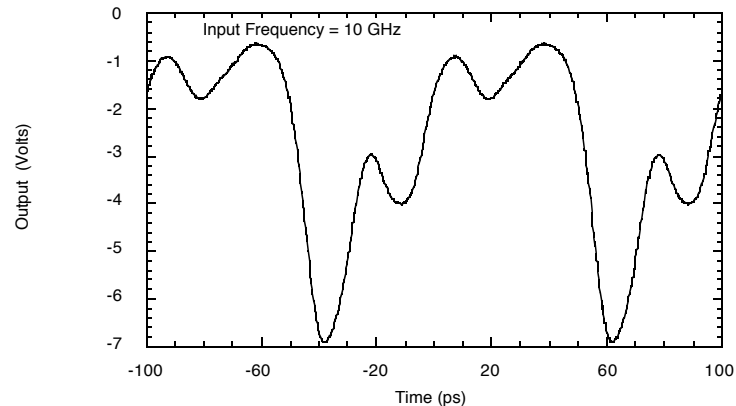
Solitons: solitary waves which are undistorted after collisions.



Compression through Soliton Decomposition

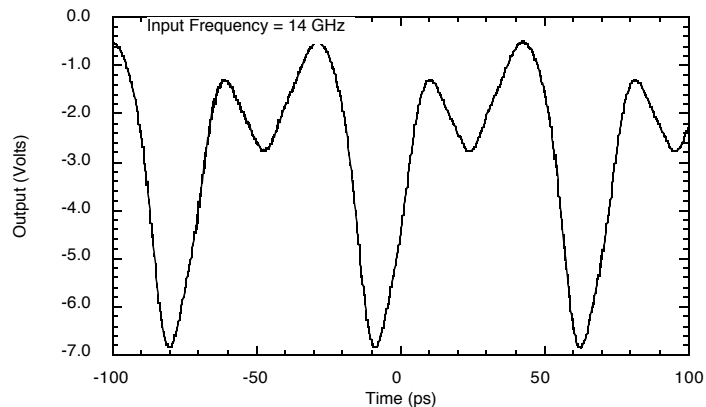


Uniform Line: Limited Compression Ratio



Line has ≈ 35 GHz Bragg frequency.

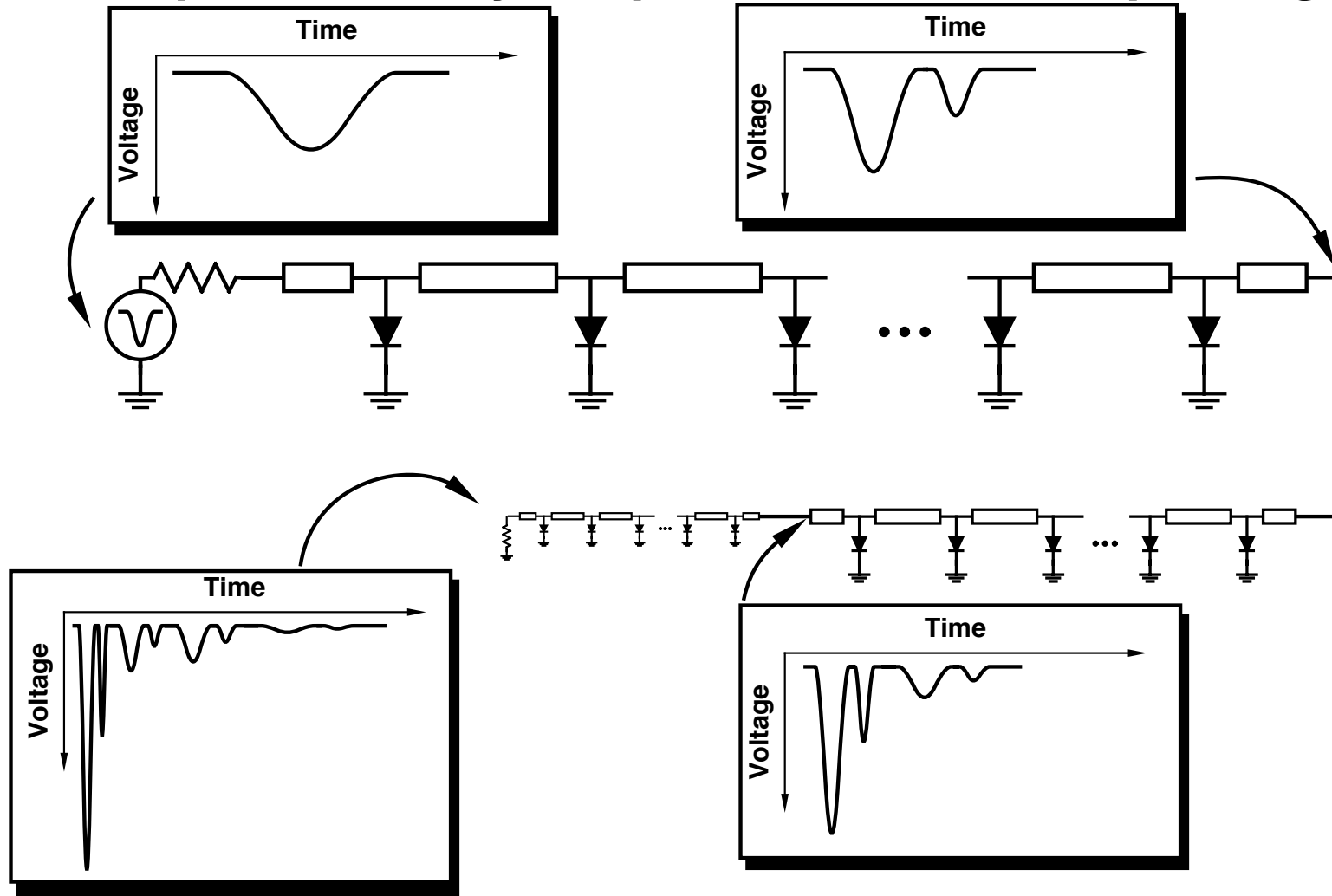
Pulse widths $\approx 1/4 f_{\text{Bragg}}$ will split into 2 solitons.



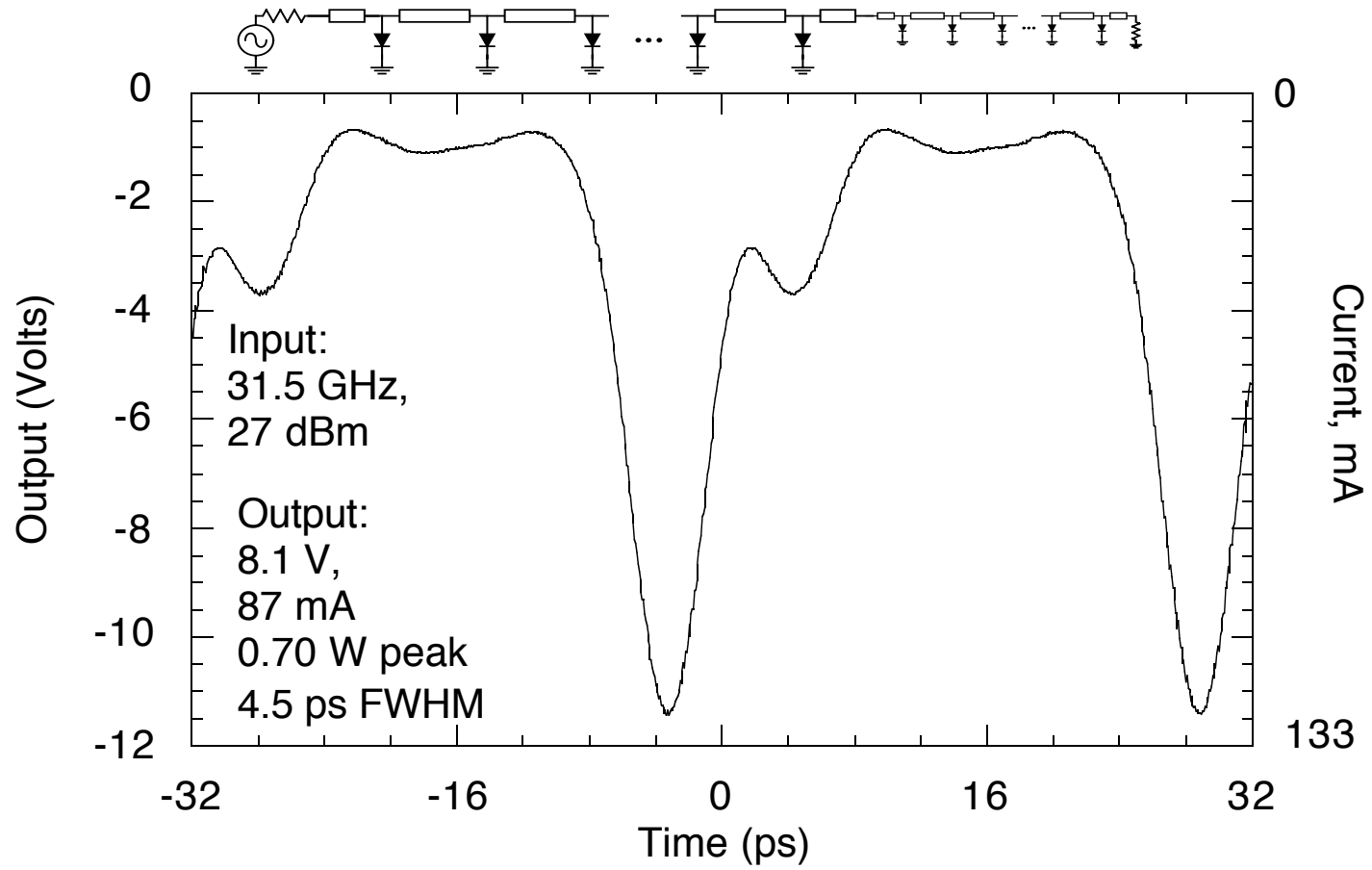
Longer pulses will split into 3 or more solitons per cycle.

Compression ratio limited to approximately 2.5:1

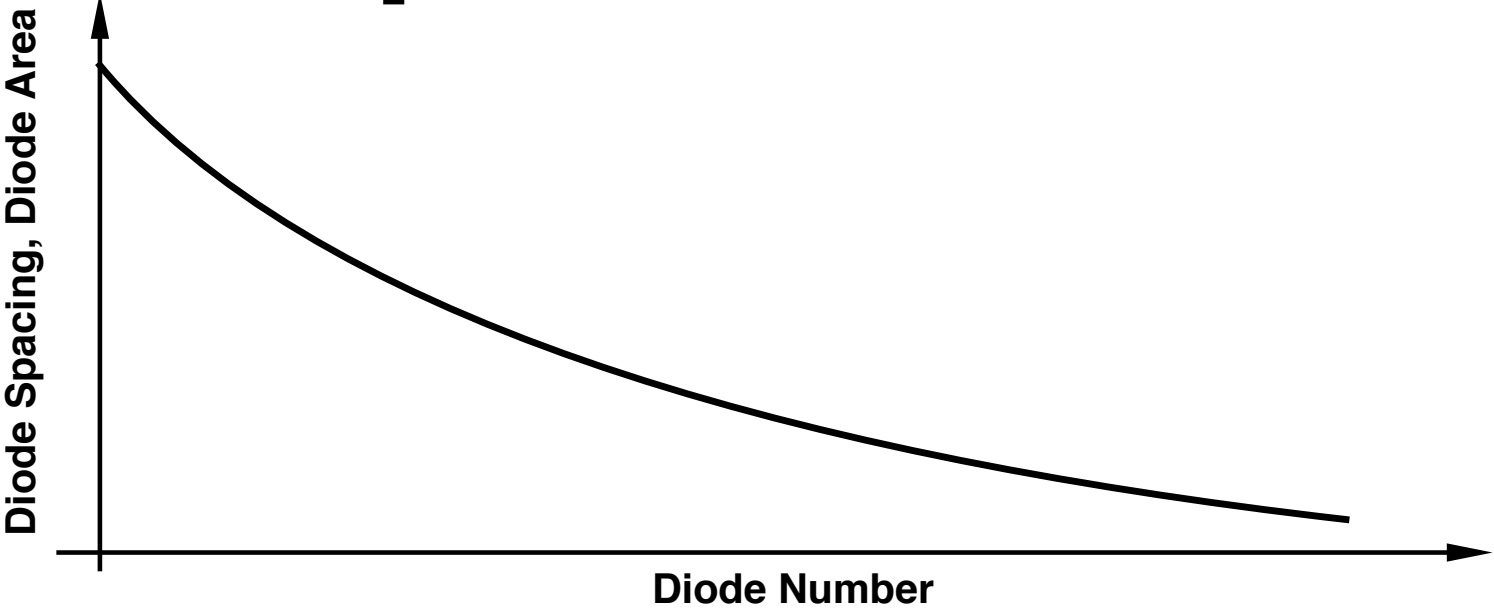
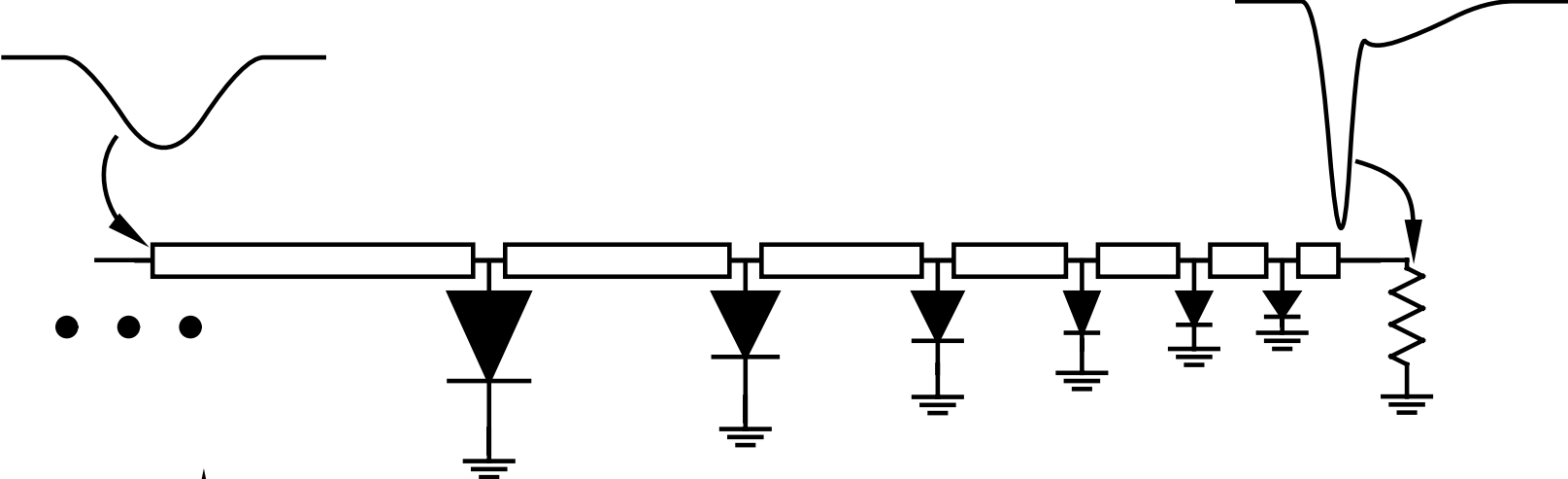
Compression by Repeated Soliton Splitting



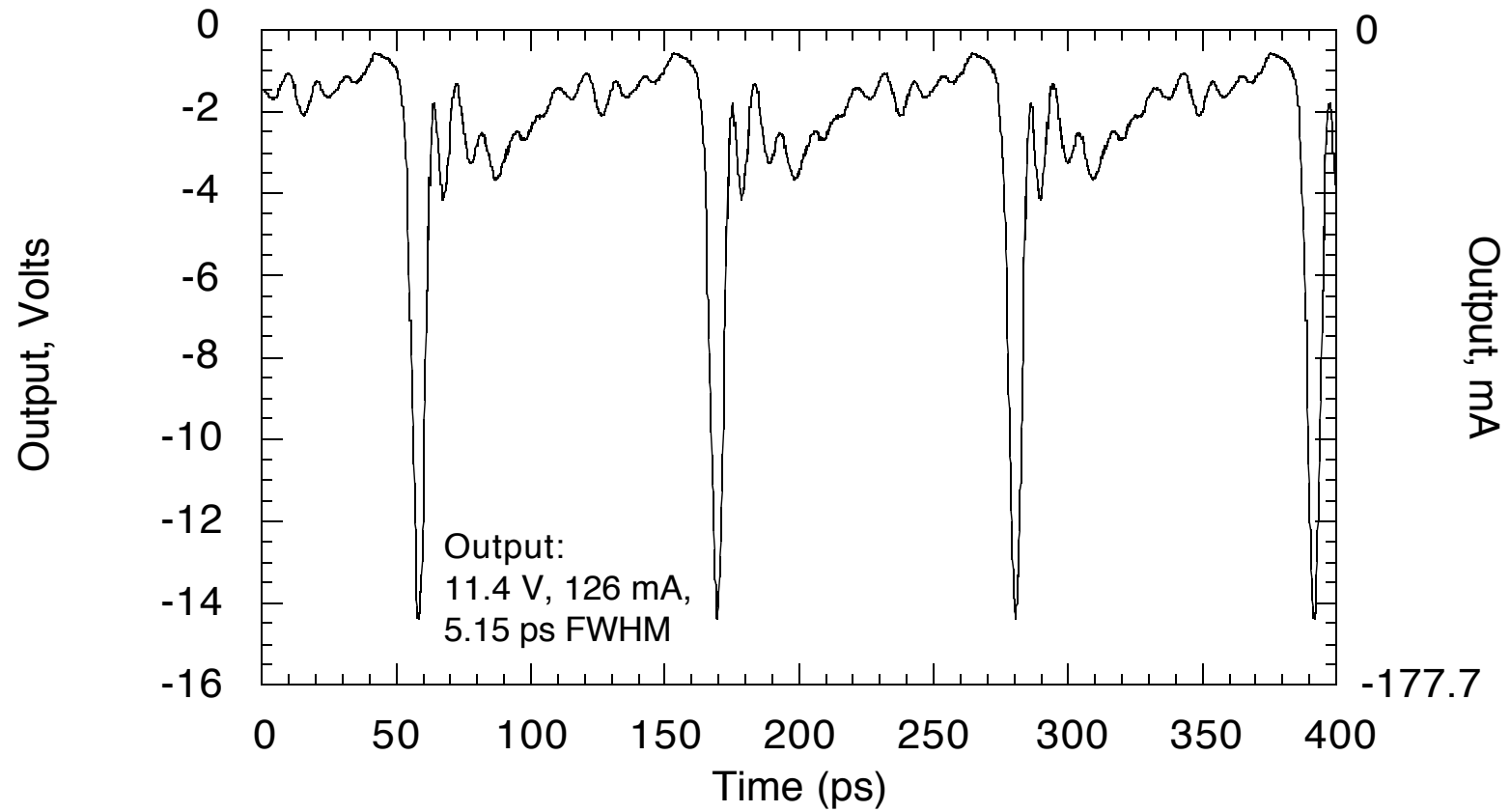
Compression on a 2-Step Line



Continuous Compression on a Tapered Line



Result: Tapered Impulse Compressor



Soliton Impulse Compressors: Summary

- Current performance

≈2:1 amplitude gain but limited bandwidth (5 ps measured, 2 ps theoretical).

- Fundamental limits:

Soliton (as opposed to shock) formation requires $f_{\text{Bragg}} \ll f_{\text{diode}}$.

2 THz (14 V Breakdown) diodes then should permit 2 ps FWHM pulses.

Faster diodes have lower breakdown voltages.

(Epitaxial or wired) series diodes may permit high f_{diode} and high V_{BR} .

Compared to Shock-Wave NLTLs:

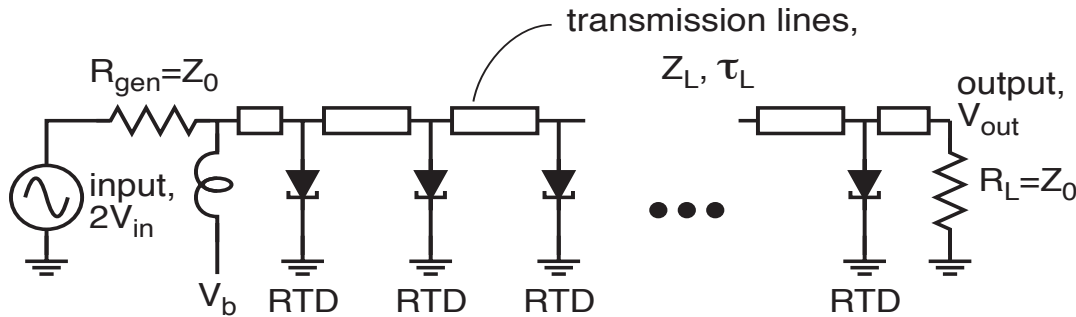
Poorer compression/length (bigger die, expensive)

≈2:1 poorer (theoretical) pulse performance (above)

much poorer experimental pulse performance

≈3 times larger output voltage (9 times in power)

Traveling-Wave RTD Pulse Generator



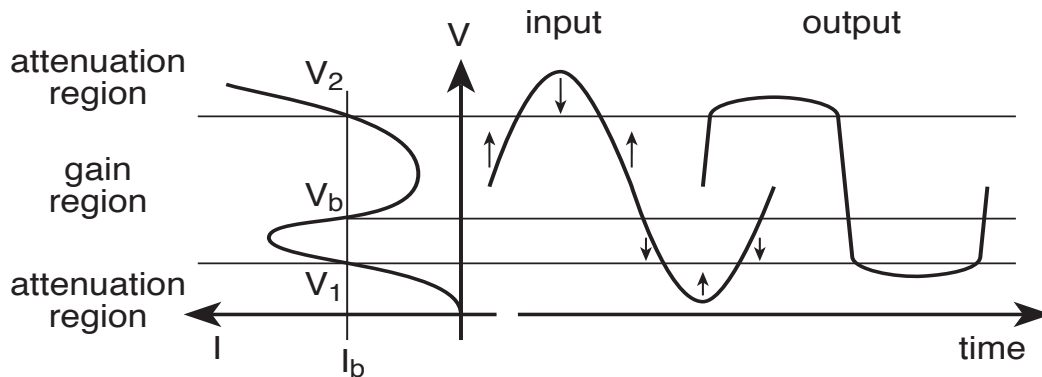
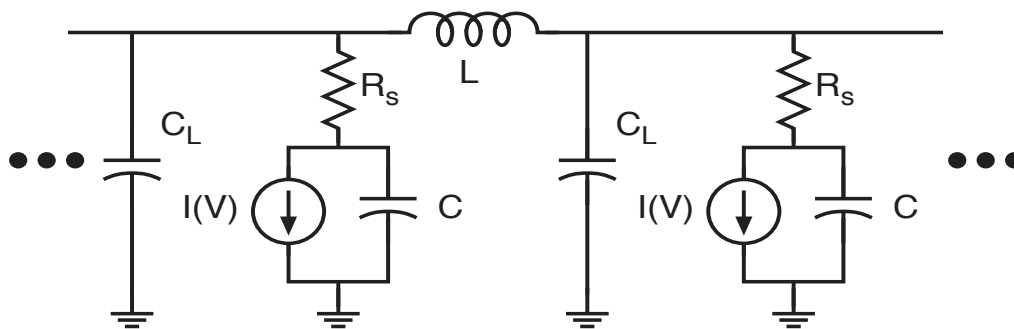
TWRTD is a distributed structure

Capacitance charging times are eliminated

risetimes limited by f_{max}

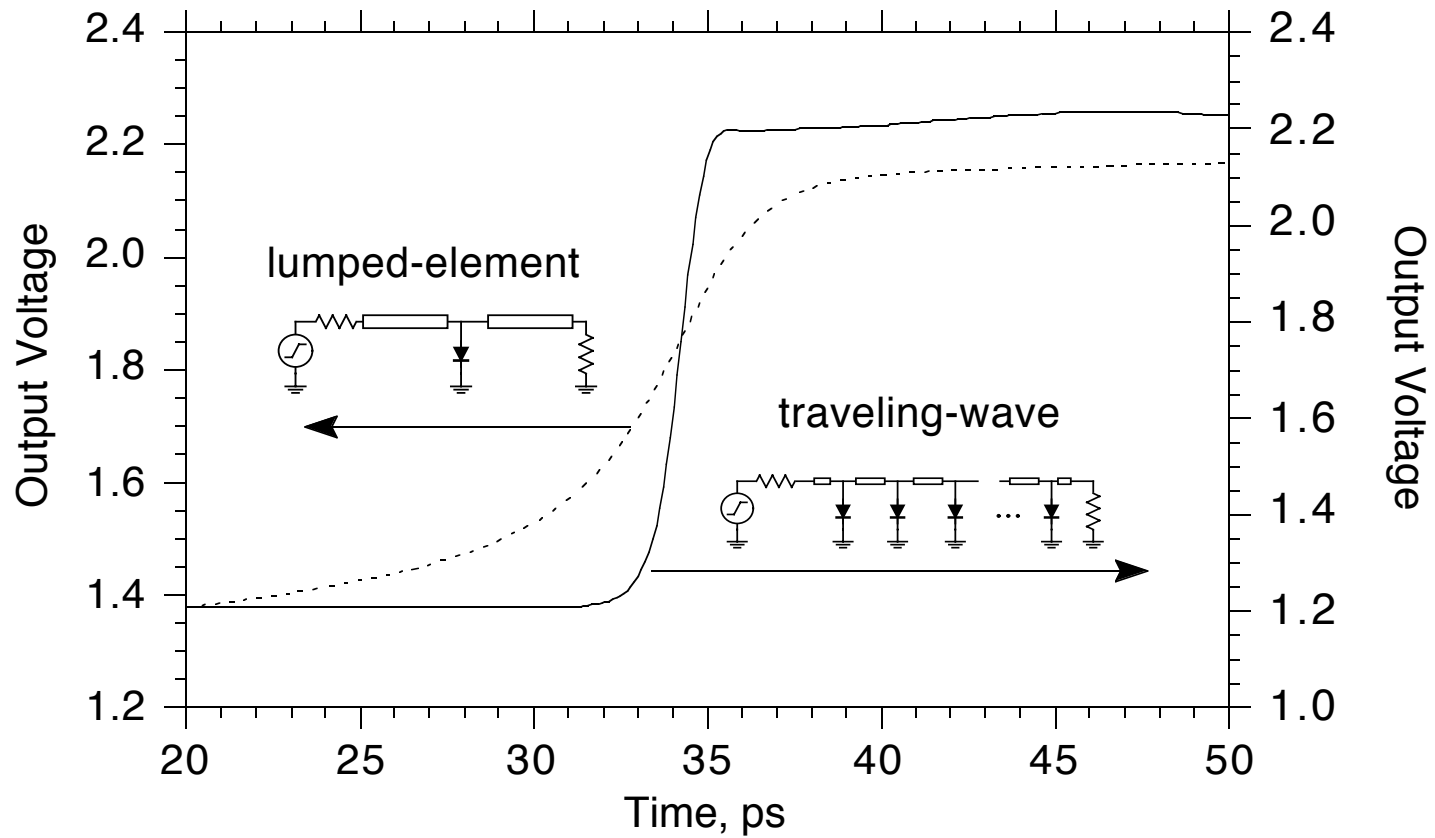
if $R_s \ll R_n$, TWRTD is several times faster than lumped RTD

NOT useful for logic : fast risetime, long delay.



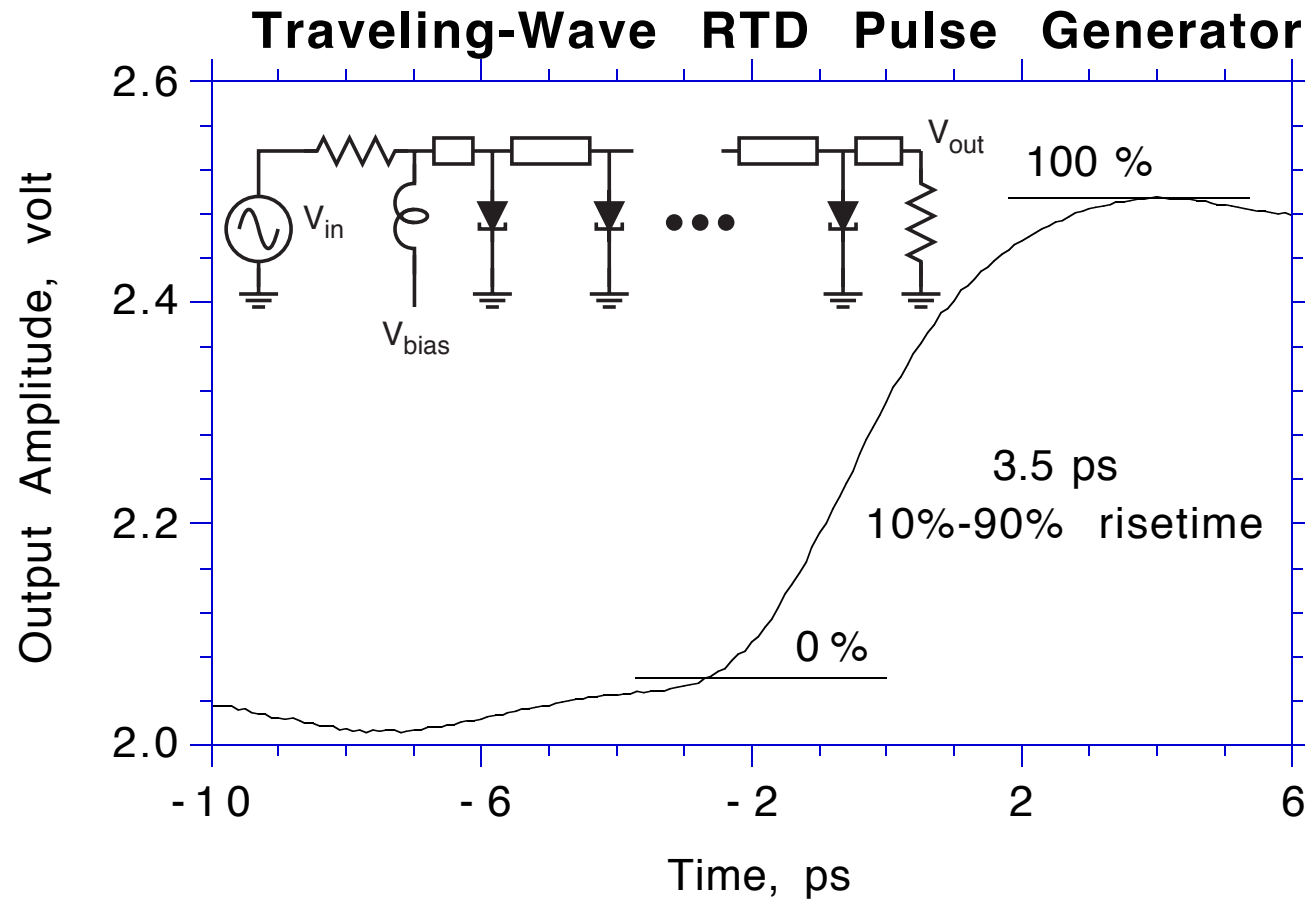
$$T_{10\%-90\%} \cong (\ln 0.9 - \ln 0.1) 2C \sqrt{R_n R_s} = 0.70 / f_{max}$$

Lumped- vs Traveling-Wave RTD Risetimes



For RTD with low R_S , TWRTD is several times faster.

TWRTD Measured Output Waveform



(measured with an .NLTL-based active probe)

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